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Full noninductive plasma start-up using electron Bernstein wave current drive on high-field side injection in QUEST

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Abstract

Electron cyclotron wave (ECW) injection is considered an effective auxiliary method for plasma start-up. In QUEST, high-field side (HFS) injection of ECW was conducted to achieve effective mode conversion to electron Bernstein wave (EBW), which can propagate in high-density plasma and provide greater heating/current-drive efficiency than ECW at low electron temperatures. The EBW-driven current, I_{EBW} , whose direction is inverted by toroidal and radial magnetic fields, was clearly observed experimentally, and this characteristic of EBW current drive (EBWCD) played an important role in closed-flux surface formation. The findings are supported by an improved current-density profile model used to reconstruct the current distribution in the open flux surface (OFS) phase. The EBWCD efficiency in the OFS is approximately evaluated 0.014 A W^{-1} in the OFS phase using a model calculation that includes co- and counter-directed I_{EBW} .

Keywords: spherical tokamak (ST), noninductive plasma start-up, electron Bernstein wave (EBW), electron Bernstein wave current drive (EBWCD), high-field side (HFS), QUEST

(Some figures may appear in colour only in the online journal)

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1. Introduction

Spherical tokamaks (STs) are characterized by a higher β -limit than conventional tokamaks, making them a cost-effective candidate for fusion power plants [1]. While, ST based fusion power plants require effective noninductive plasma start-up due to the limited space for central solenoids. Noninductive current drive by RF injection is considered a promising method.

Electron cyclotron wave (ECW) [2, 3] is useful for plasma initiation in tokamaks, but their effectiveness is low in present ST plasmas, which have lower magnetic fields and higher dielectric constants than conventional tokamaks. The narrow space in the central part of the torus results in a low toroidal field, requiring the use of low-frequency ECW, which is more likely to encounter cutoffs induced by plasma properties. This makes it difficult to obtain high-density plasmas. On the other hand, the electron Bernstein wave (EBW) do not have cutoff issues and can be generated from ECW through mode-conversion processes. Moreover, because it propagates as an electrostatic wave, EBW can heat plasma and drive the plasma current, I_p more efficiently at low temperatures. This is essential for forming closed flux surface (CFS) [4–7].

Several mode-conversion scenarios have been proposed, including ordinary–extraordinary–Bernstein (O–X–B) mode conversion from low-field side (LFS) [8–10] and X–B mode conversion from the LFS [11]. The O-mode ECW is converted to X-mode at the plasma cutoff, and the X-mode is further converted to EBW at the upper hybrid resonance (UHR) layer. However, because this process requires two conversions, it is difficult to achieve a high mode-conversion efficiency without precise ECW injection angle adjustment. During the plasma startup phase, the plasma density fluctuates significantly, making the adjustment process more complex. X–B mode conversion from the LFS requires a steep density gradient [12], which is not expected just after the plasma initiation. X–B mode conversion from the high-field side (HFS) [13–15] offers significant advantages for achieving a high mode-conversion efficiency without requiring an extremely steep density gradient or complicated ECW injection angle adjustments. Nevertheless, installing an injection system on the HFS is challenging due to the limited space for antennas compared to LFS.

So far, EBW applications in tokamaks have been studied on several devices [16–20]. The first demonstration was conducted in the COMPASS-D tokamak device using a 60 GHz ECW system, where the launch angle of the injected X-mode wave was set as a variable. The results show that EBWH/EBW current drive (EBWCD) achieved the highest efficiency when the wave was injected perpendicularly [16]. In the MAST device, the presence of EBWCD was demonstrated, showing that the CD direction could be modified by the radial magnetic field (B_R), which is a typical feature of EBWCD as described below [17–19]. In the LATE device, noninductive start-up with EBW shows that by placing the first and second ECR layers near the plasma core and the outer vessel wall, respectively, the plasma can be heated efficiently and the line-averaged electron

density, exceeds approximately six times the plasma cutoff density [20].

In QUEST, an over-dense plasma exceeding the cutoff density (n_e (O-cutoff) = $0.83 \times 10^{18} \text{ m}^{-3}$ for 8.2 GHz) with an I_p above 4 kA was successfully achieved using 8.2 GHz EBW-assisted plasma start-up by HFS injection [14, 15]. Studies on EBWCD has primarily focused on the CFS phase, because EBWCD plays a significant role in sustaining the plasma. While, it is also applicable to plasma start-up phase, and its mechanism in the open flux surface (OFS) phase remains unclear.

The EBW drives the plasma current according to the refractive index parallel to the magnetic field, $N_{\parallel}$. The value of $N_{\parallel}$ is given by $N_{\parallel} = N_{\Phi} R + (N_R B_R / B)$ near the ECR layer [21], where, N_{Φ} , N_R indicate the refractive indices to the toroidal and radial direction, respectively, and B denotes the magnetic field strength. In the EBW power absorption region, the second term becomes to be dominant. As a result, the EBWCD is likely to be composed of forward and reversed currents according to B_R . When the direction of EBWCD coincides with the direction of pressure-driven current, I_{pressure} , CFS formation may be promoted. Here the I_{pressure} is mainly consist of the Pfirsch-Schlüter current in the OFS configuration, which flows along the magnetic field lines and is driven by the plasma pressure gradient. While, EBWCD drives current in the opposite direction to I_{pressure} , it may hinder CFS formation through the reduction of total current, but the EBWCD helps concentrate I_p distribution by canceling the widespread I_{pressure} . The focused I_p will play a key role in CFS formation. As described above, the properties of EBWCD in the OFS configuration should be investigated. If the properties predictively work, Br control may be essential for effective plasma start-up, forming a CFS. Identifying the optimal magnetic field configuration for EBWCD-assisted plasma start-up is useful for future tokamak-based fusion devices.

In this study, B_T inversion was also used to distinguish EBW driven current, I_{EBW} , from I_{pressure} . The magnetic flux distribution in the OFS phase was measured with flux loops on the vacuum vessel surface and reconstructed with the improved current profile model [22]. The B_R was applied to correct the error field caused by TF coil junctions and to optimize EBWCD start-up by adjusting the current-drive area. As a result, the conditions for the smooth ramp-up of I_p were clarified.

In this paper, the experimental apparatus and situation is introduced in the section 2, and the experimental results is described in the section 3. The current density reconstruction and relating discussion are shown in the section 4 and 5, and the summary of the contents is given in the section 6.

2. EBWCD experiment on HFS injection

This EBWCD experiment with HFS injection was conducted on the ST device QUEST with $R = 0.64\text{m}$ and $a = 0.36\text{m}$. Eight symmetrical 2-turn TF coils were installed outside the

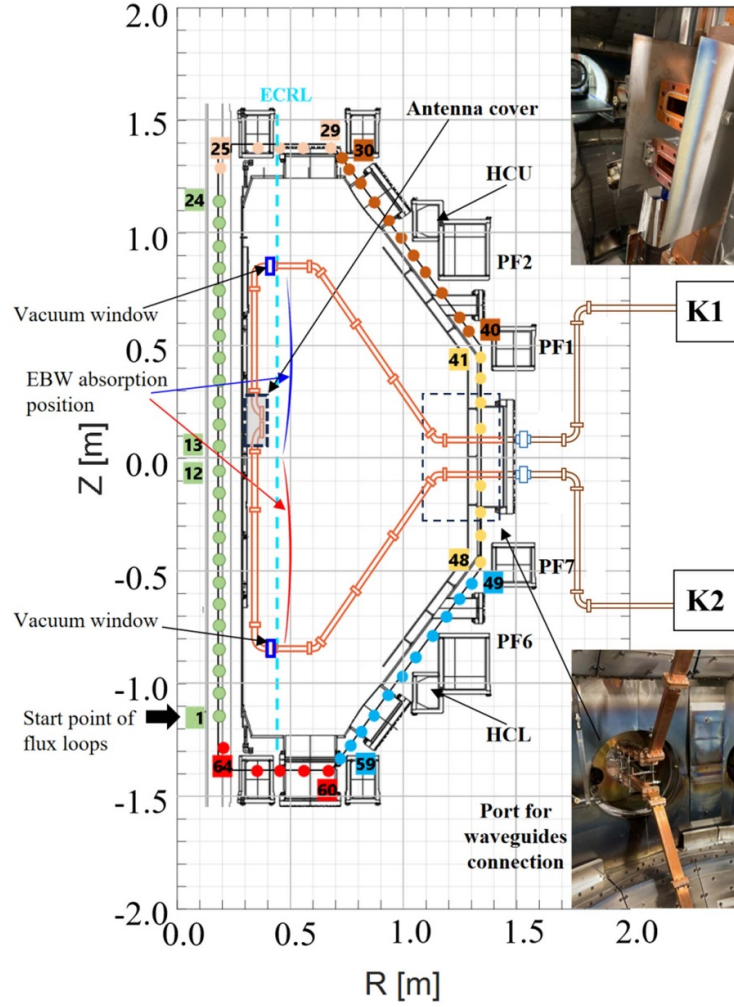


Figure 1. Atmospheric waveguides (brown) and vacuum waveguides (orange) in QUEST. SF_6 gas was filled inside the vacuum waveguides to prevent arcing. The Saphir vacuum windows were set at the HFS of the ECR layer to isolate the SF_6 gas. K1 and K2 are klystrons, providing 25 kW/8.2 GHz power output, respectively. Colored points indicate magnetic flux loops distributed on the vacuum vessel wall. The black arrow marks the starting point of the flux loop distribution, with subsequent numbering following a CW sequence. Green points (C1–24) located on the center stack are the most sensitive flux loops to EBW drive current. Among them, loops 12 and 13 are located on the lower and upper sides of the equatorial plane, respectively. The pink (T25–29) and red (B60–64) points are the symmetrical loops located on the top and bottom sides inside the vacuum vessel. The brown (TS 30–40) and blue (BS49–59) points lie on the inclined surface inside the vacuum vessel. The yellow points (S41–48) are located on the farthest surface of the vacuum vessel. The predicted EBW power absorption regions are also shown: blue represents EBWCD generated in CW, red indicates EBWCD generated in CCW for $B_R = \pm 5$ mT in the case of B_T [CCW].

vacuum vessel, five pairs of PF coils were symmetrically distributed along its equatorial plane (PF1 and PF7 with 12 turns each, PF2 and PF6 with 36 turns each were used in this study), and one pair of 16-turn HCU and HCL coils generated B_R (figure 1). The current of the PF coil pair remains equal in both magnitude and direction, but the current of the HCU and HCL coil pair, while equal in magnitude, is in opposite directions, generating a B_R . The sign of B_R in this paper is defined as positive (inward) and negative (outward). In this paper, the inward B_R is shown in cool colors, and the outward B_R in warm colors to identify visually (figure 2). The directions of coil current and I_p (clockwise, CW; counter-clockwise, CCW) are defined from the top-view and CW current is expressed as a negative value. The toroidal magnetic field in the CCW direction from the top-view is denoted as B_T [CCW].

PF coils 1–7 ($-30 \text{ A} \times 12$ turns) and 2–6 ($167 \text{ A} \times 36$ turns) were used to generate a vertical magnetic field of $B_v = 2$ mT and $n - \text{index} = 0.35$ at $R = 0.6 \text{ m}$ on the mid-plane. TF coil currents of 42 kA per turn were used to produce $B_T = 0.22 \text{ T}$ at $R = 0.64 \text{ m}$, and the first ECR layer for 8.2 GHz ECW was located at $R = 0.45 \text{ m}$. The settings were chosen to optimize the best performance of plasma start-up without B_R in the previous studies on QUEST [15]. A dedicated HFS injection system was installed in the vacuum vessel to inject X-mode ECW into the plasma from the HFS (figure 1). Al and Cu waveguides were used on the atmospheric side, while Cu vacuum waveguides were installed on the vacuum side (figure 1). A Saphir vacuum window was placed in the HFS of the first ECR layer. The waveguides were filled with SF_6 gas to prevent arcing, and the vacuum window was used to prevent SF_6 leakage into

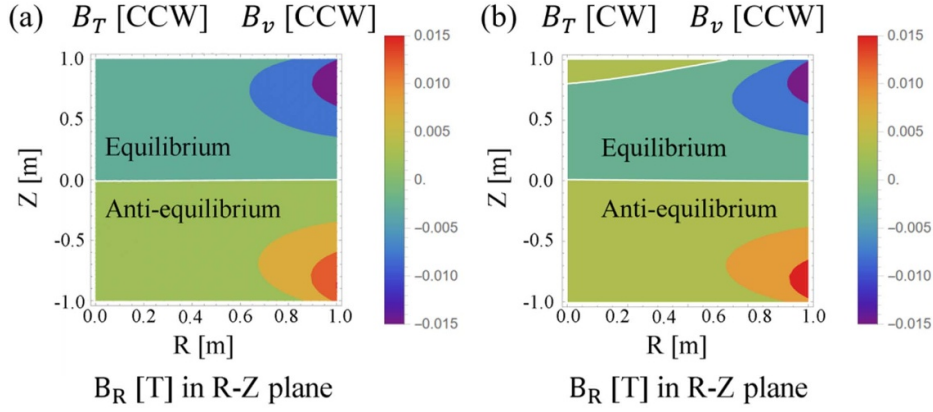


Figure 2. B_R profile in the vacuum magnetic configuration. PF coils were energized with -30 A in PF1–7 (12 turns) and 167 A in PF2–6 (36 turns). The cold and warm zones represent the area where B_R is directed inward and outward relative to the center of the vacuum vessel, respectively. The white line denotes the location where $B_R = 0$. The equilibrium and anti-equilibrium areas indicate where the EBW is expected to drive the current in the same or opposite direction as the equilibrium current. The I_{pressure} always flows in the equilibrium direction.

the vacuum vessel. Tungsten covers were equipped on both sides of the antenna to protect it from plasma (see the inset of figure 1). The antenna is located 0.17 m above the mid-plane, and the cover protecting the antenna protrudes further towards the plasma. Since it is significantly close to the plasma, its influence on the plasma may be far from insignificant. The current distribution model assumes that no current passing through the antenna cover, and takes some of its effects into consideration. This paper describes a dedicated experiment to identify the effects of EBWCD during the start-up phase. In future fusion devices, if the method described in the paper is to be used, it is extremely important to improve the method of injecting ECW so as not to affect the plasma as proposed in the previous papers [16, 19].

Two klystrons, each with 25 kW/ 8.2 GHz power output, were employed. The antennas, positioned 0.17 m above the mid-plane of the vacuum vessel, were protected from plasma by the covers made of tungsten. The rear edge of the cover is flush with the center stack, while the front edge is positioned at $R = 0.363$ m, and the upper and lower edges at $Z = 0.28$ m and $Z = 0.06$ m, respectively. According to calculations by [13], the ECW incident here is expected to be converted into EBW and propagate primarily to the upper side of the plasma, efficiently driving I_p . Mineral-Insulated cables with high heat and vacuum resistance were used as conductor wires for magnetic diagnostics, wound into a toroidal loop on the vacuum vessel wall. The vertical magnetic fluxes, primarily generated by I_p and PF coil currents, were measured by 64 flux loops (figure 1).

Changes in I_p or PF coil currents alter the vertical magnetic flux, generating a voltage signal of flux loops. Integrating a flux loop signal provides the time evolution of magnetic flux at the position, which was used for current profile fitting [22].

Flux loops were installed on the center stack every 0.1 m from $Z = 1.15$ m to -1.15 m, totaling 24 (C1–24, figure 1). These 24 coils, positioned near the predicted ECR layer ($R = 0.45$ m) and EBW absorption regions, are also sensitive to I_{EBW} . Their signals were recorded with an oscilloscope

(DL850 type Yokogawa Electric Corp.) at a proper sampling frequency of 100 kS s^{-1} for accurate integration. Despite efforts, the integrated signals often contained a drift component caused by high-frequency noise exceeding the sampling frequency. To remove the drift component, a time-linear fit before and after each discharge was applied, and the drift was mostly subtracted from the magnetic flux signals. In addition, off-plasma discharges that under the same magnetic fields but without plasma were also conducted to obtain plasma-induced magnetic flux signals for each discharge. The PF coil contribution was completely subtracted from the magnetic flux and the plasma-induced magnetic flux was successfully obtained.

Figure 2 shows the B_R profile of the vacuum magnetic configuration under the typical experimental conditions. The $N_{\parallel}$ of the EBW near the first ECR layer is expressed as:

$$N_{\parallel} = N_{\Phi} R + N_R B_R / B,$$

and its direction is primarily influenced by B_R , as N_R increases significantly around the first ECR layer. The direction of the I_{EBW} reverses when the B_T is reversed, since the sign of $N_{\parallel}$ primarily depends on the direction of B_T . Figure 2(a) shows the B_R profile for the B_T [CCW] configuration, with the equilibrium I_{EBW} expected to flow along the upper side of the plasma. In contrast, under the B_T [CW] configuration (figure 2(b)), the equilibrium I_{EBW} flows in the lower side of plasma. The equilibrium I_p direction changed with B_v but the region of the equilibrium current remains unchanged, where B_v denotes a vertical magnetic field. Because the B_R direction reverses, when the B_v is reversed.

As the direction of the I_{pressure} also changes in the B_v , distinguishing the I_{EBW} from the I_{pressure} using B_v reversal is impossible. For example, comparing the case 1 and case 4 in figure 3, the EBWCD in the equilibrium direction is expected to flow on the upper side, while I_{pressure} flows in the equilibrium direction on both sides. When the B_v direction is reverse, the EBWCD direction is also reversed, but the equilibrium direction also reverses. Consequently, the EBWCD in

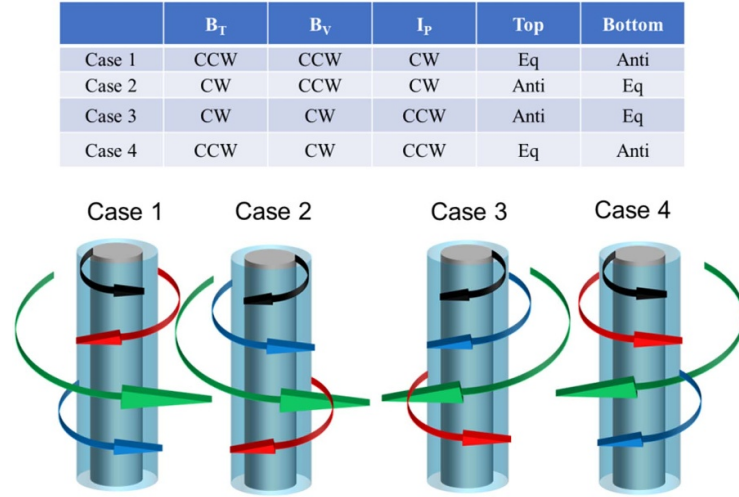


Figure 3. (a) Black arrows represent the direction of B_T , green arrows represent the direction of B_V , red arrows represent the direction of equilibrium I_{EBW} and blue arrows represent the direction of anti-equilibrium I_{EBW} . (b) The magnetic configuration to investigate plasma start-up using EBWCD.

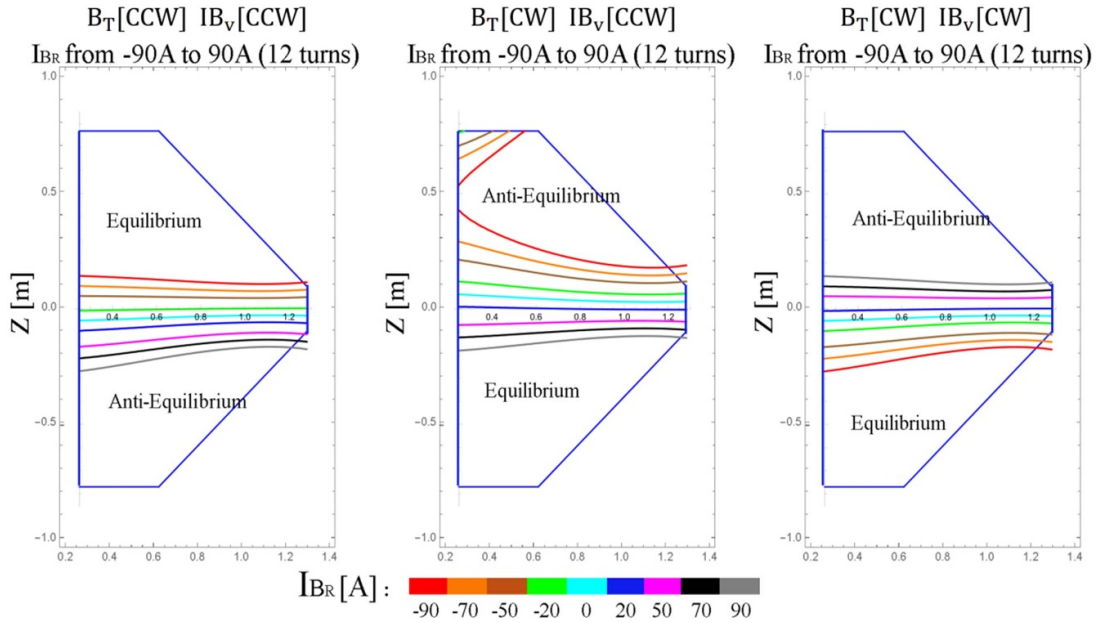


Figure 4. Equilibrium and anti-equilibrium areas for EBWCD under various magnetic field configurations generated by different coil currents in the paired HCU and HCL coils, I_{BR} . The lines indicate the $B_R = 0$ boundaries corresponding to each HCU and HCL coil current. The line colors denote the applied current values, as indicated by the color bar at the lower side. The blue frame represents the plasma boundary determined using the dedicated waveguides and the vacuum vessel used in this study (figure 1).

the equilibrium direction remains on the upper side. This is why the B_T inversion is needed to distinguish the I_{EBW} from the $I_{pressure}$. As a result, there are four types of magnetic field configurations that need to be investigated as shown in figures 3(a) and (b). Reversing the B_T is essential as shown in case 1 and case 2. In the paper, the cases 1–3 were mainly performed. Minor differences between both magnetic configurations (figure 4) are primarily attributed to the B_R generated by the incomplete compensation of the one-turn toroidal component of the TF coil. By adjusting the HCU and HCL coil currents within the range of ± 90 A, the ratio of the equilibrium to anti-equilibrium area of the I_{EBW} can be controlled.

For quantitative analysis, the ratio of the equilibrium area to the anti-equilibrium was calculated. While this index provided only an approximate evaluation, since each area should uniformly be weighted only by the EBW power deposited regions, it remains valuable for illustrating a schematic figure of merit within the complex magnetic configuration (figure 4).

The B_R was varied by adjusting the HCU and HCL coil currents from -90 A to 90 A, resulting in changes in the equilibrium and anti-equilibrium areas of the EBWCD (figure 4). The I_p was confined within the region denoted by the blue lines, since the waveguides also served as limiters inside the vacuum vessel. Electrons striking the waveguides prevented

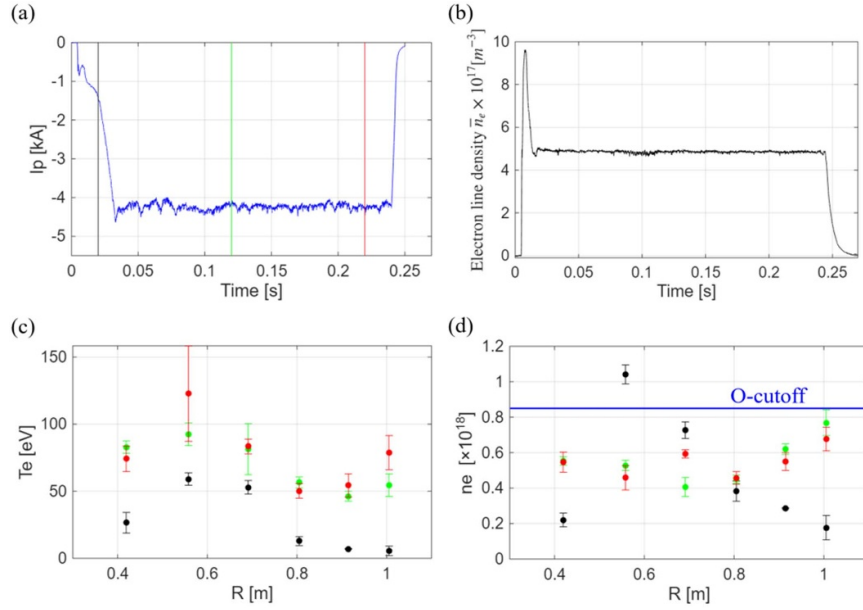


Figure 5. (a) Time evolution of I_p under B_T [CW] and B_v [CCW] (case 2). The steady currents of PF26, PF17, and I_{BR} were applied and the values were 116.7 A (36 turns), -29.9 A (12 turns), and -20 A (16 turns), respectively. Black, green and red lines denote the time points of 0.02 s, 0.12 s and 0.22 s after RF injection. (b) $\bar{n}_e$ measured by interferometer. (c) T_e measured by Thomson scattering at the time points, whose color is corresponding to the line color in (a). (d) n_e measured by Thomson scattering at the time points, whose color is corresponding to the lines in (a). The plasma cutoff $((\omega_{pe}/\omega)^2 \cong 1)$ are indicated in panel.

the formation of a net current. In the future, we will optimize the installation method of the waveguides so that they do not affect the plasma configuration inside the vacuum vessel. To apply this technique to fusion experiments, it would be necessary to launch the ECW from top or bottom high field side [16] or install mode-conversion mirrors on the central stack [19].

3. Experiment results

Figure 5 shows time evolutions of I_p in a typical discharge under B_T [CW] and B_v [CCW]. T_e and n_e at 0.02 s, 0.12 s and 0.22 s after RF injection was measured by Thomson scattering. Two peaks of T_e and n_e were observed at 0.12 s and 0.22 s. This indicates a CFS was formed and these peaks were located on the same magnetic surface.

Figure 6(a) shows the time evolutions of the I_p in the cases of B_T [CCW] (case 1) and B_T [CW] (case 2). For the B_T [CCW] configuration (case 1), I_p remained constant or exhibited a slight negative growth during the OFS phase (0–0.05 s) following the initial fluctuation indicated by the red arrow. Subsequently, it increased significantly to its maximum value after the oscillation marked by the red circle, suggesting the formation of a CFS [23]. For the B_T [CW] (case 2), I_p exhibited sustained growth without oscillations until the CFS formed, contrasting significantly with the current jump observed under the B_T [CCW] (case 1) [23]. Figure 6(b) shows the I_p growth rate denoted by the black dashed frame (figure 6(a)). The I_p growth rate was calculated by applying a moving average to

the measured current data. The sampling of the current was executed every 2×10^{-6} s. The moving average uses 1500 samples. The error bar was determined by the maximum and minimum I_p growth rates within the black dashed frame. For the B_T [CCW], I_p remained nearly constant or even decreased before abruptly increasing to its maximum value. On the other hand, for B_T [CW], I_p increased continuously, with a growth rate $> 17 \text{ kA s}^{-1}$. As a distinct boundary was observed, the I_p behaviors during the OFS phase for B_T [CCW] (case 1) and B_T [CW] (case 2) are designated ‘jump’ and ‘ramp-up,’ respectively, in this study.

Figure 7(a) shows the magnetic flux distribution induced by only plasma current at 0.02 s after the initiation of an RF injection. The corresponding time point (figure 6, black arrow) was evaluated to minimize the effect of eddy currents on the plasma-facing components and the vacuum vessel, including the central stack, which is made of SS type 316 l and lacks toroidal insulation. The horizontal axis represents the number of the flux loop, corresponding to the spatial distribution in figure 1. Figure 7(b) illustrates an expanded plot of the magnified flux signals measured only on the central stack. For the B_T [CCW] (case 1), the flux signals peaked in the equilibrium direction on the upper side. In contrast, the peak for the equilibrium direction was on the lower side in B_T [CW] (case 2). A slight peak shift was observed on the LFS near ch44, where the EBW antenna was positioned. This tendency that peaks on HFS and LFS moved with the inverting of B_T is theoretically expected (figure 2), which demonstrate the changing location of current concentration.

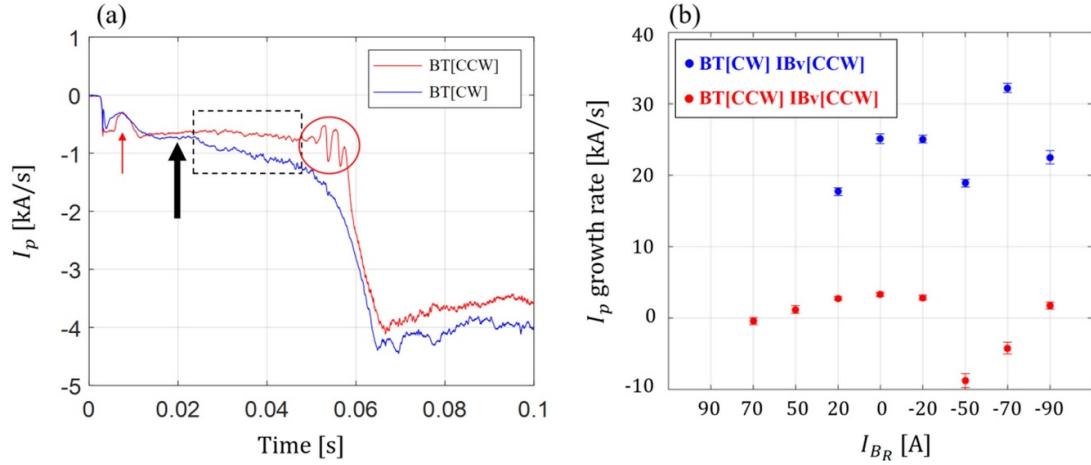


Figure 6. (a) Time evolution of I_p for different B_T directions at PF17 current = -29.9 A (12 turns), PF26 current = 116.7 A (36 turns), and $I_{BR} = 0$ A (case 1 and 2). The B_R profiles are denoted in figure 2. The red and blue lines represent I_p measured with a Rogowski coil in B_T [CCW], I_{BV} [CCW] (case 1), $I_{BR} = 0$ A and B_T [CW], I_{BV} [CCW] (case 2), $I_{BR} = 0$ A, respectively. The black arrow indicates the time point with the flux data plotted in figure 7(b). (b) I_p growth rates from 0.025 s to 0.05 s which is indicated by the black dashed frame for B_T [CCW] and B_T [CW] configurations (case 1 and 2), with I_{BR} varying from -90 A to 90 A.

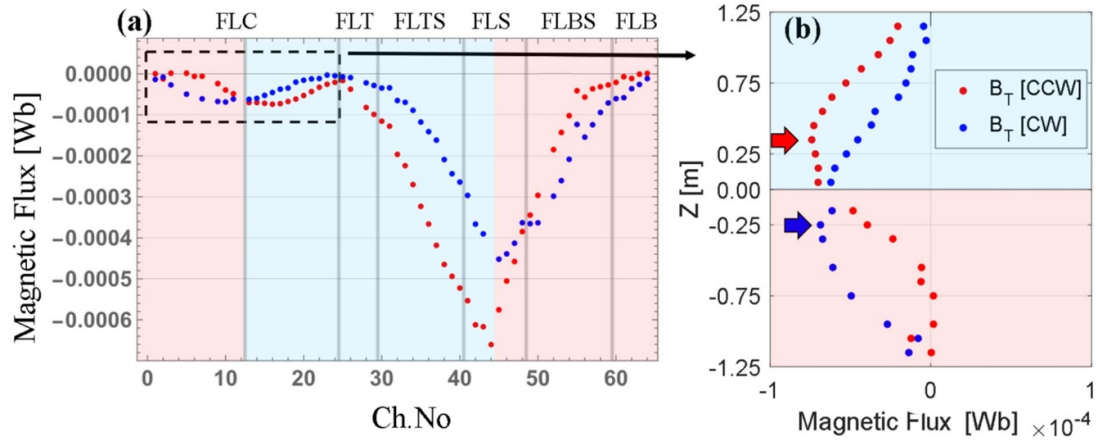


Figure 7. Magnetic flux distribution at the time indicated by the arrow in figure 6. (a) Flux distribution for all 64 channels. FLC, central stack flux loop; FLT top flux loop; FLTS, top-side flux loop; FLBS, bottom-side flux loop; FLB, bottom flux loop. (b) Enlarged view of the 24ch signal on the central stack surface plotted along the Z direction. The small red and blue arrow in (b) indicate the peak locations of the flux distribution in the equilibrium direction. The light blue and light red shaded areas in (a) and (b) represent the flux loops located above or below the mid-plane, respectively.

Figure 8 illustrates the time evolution of I_p corresponding to various B_R . An index, termed the area ratio, was introduced to quantitatively represent the figure of merit of the configuration and is defined as:

$$\text{Area ratio} = \frac{\text{Equilibrium area} - \text{AntiEquilibrium area}}{\text{Equilibrium area} + \text{AntiEquilibrium area}}.$$

For all magnetic configurations, I_p consistently initiated once the area ratio became positive, indicating that the equilibrium area was greater than the anti-equilibrium area. Figures 8(b) and (c) show that I_p in the OFS phase transitioned from a constant value to a ramp-up phase (figure 6) and reached its maximum earlier with increasing area ratio. The error bar (figure 8(c)) at 0.03 s was larger than in other cases, potentially attributed to the rapid growth of I_p . These findings indicate that a larger equilibrium area facilitates plasma

start-up. An exception was observed at an area ratio of 0.365 (figure 8(a)), where I_p failed to initiate. This operation was not repeated multiple times during the experiment; therefore, its reproducibility could not be confirmed. It should be noted that in this experiment, the plasma was reproducible well, and even after restoring the parameters after scanning, almost the same plasma was reproduced. Since the failed discharge was the last one performed in the day's experiment, and all previous discharges had successfully started the plasma. It suggested that the wall conditioning was not the cause of the failure. Since the plasma failed to start, it was difficult to compare the line spectrum emitted from the impurities with that emitted from a successfully started discharge. The cause of the failure may be that the plasma potentially deviated upward during the early phase due to the large B_R , disrupting the current upon contact with the waveguides inside the vacuum vessel.

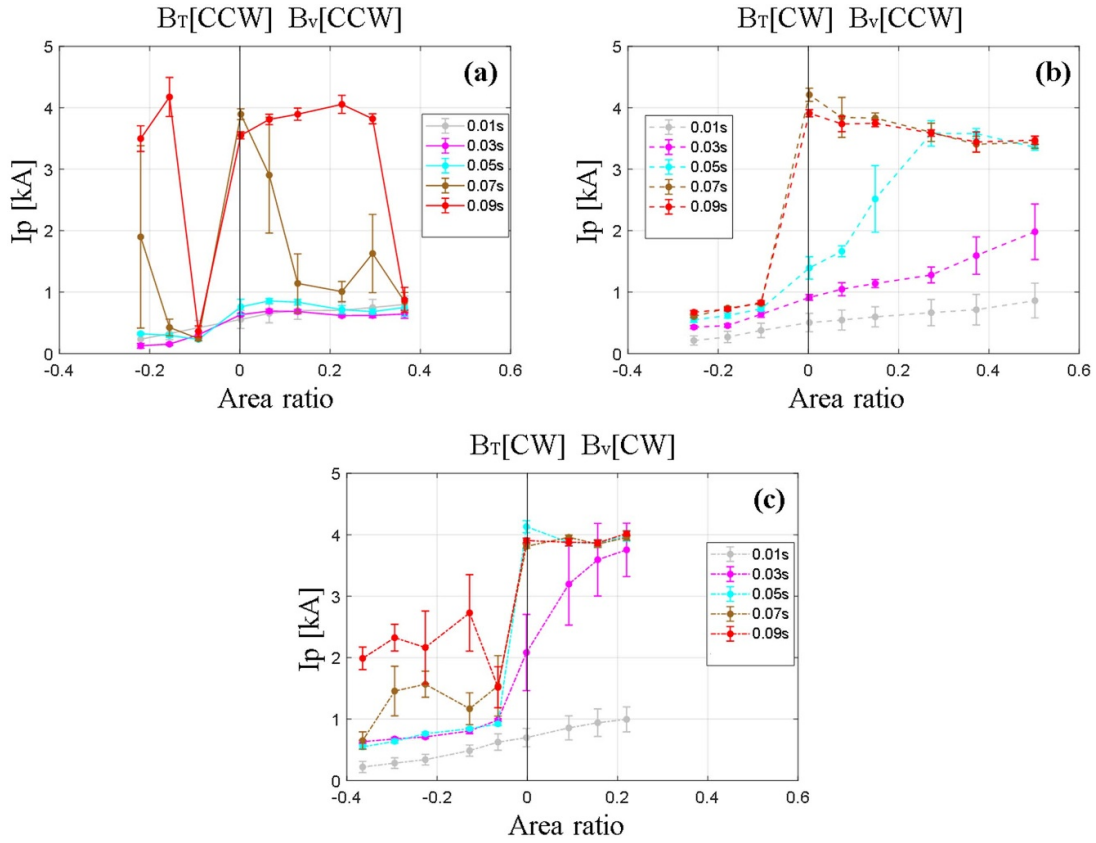


Figure 8. I_p at different time points for various B_R configurations. (a) Results for B_T [CCW] and B_v [CCW] (case 1) (b) Results for B_T [CW] and B_v [CCW] (case 2). (c) Results for B_T [CW], B_v [CW] (case 3). The colored lines denote I_p at different time points.

The variations in I_p across different magnetic configurations indicate that plasma start-up can be optimized by increasing the equilibrium area ratio.

4. Reconstruction of the current density profile using an improved fitting model

A top-bottom symmetric current density profile model was employed to reconstruct the current density profile based on the measured magnetic flux data first. The current mainly represents a pressure driven current, I_{pressure} . The center of the current density located at the mid-plane and it bounded by the vacuum waveguides and plasma-facing walls. The results are shown in figure 9. The measured data was obtained in the conditions under B_T [CCW] and B_T [CW] configurations (case 1 and 2) with similar area ratios ($B_R = 0$ A). A wide current density profile is required for B_T [CCW] (case 1), but not for B_T [CW] (case 2). Significant discrepancies in peak positions between measured and modeled values are observed in both the HFS (ch1–24) and LFS (ch30–58) regions, indicating clear shifts in the magnetic flux distribution. The measured values in ch1–10 of the B_T CCW configuration (case 1) show a decrease compared to the modeled values. The discrepancies indicate a shift of current density position from the mid-plane and it may represent a shift of

I_{pressure} and a presence of I_{EBW} s with differing magnitudes and opposite directions in the upper and lower halves of the plasma.

To investigate the model sensitivity, we used both the filament current model and the strip-like current model for the expression of the EBW current distribution [22]. As a result, the difference of the model was mainly evident in the flux loop signals located on central stack, because the EBW drive current is expected to appear on the EBW absorption region where is close to the central stack. The strip has a vertically elongated rectangular shape to simulate the EBW absorption region. The filament current model suffers from problems such as excessive current concentration leading to unrealistically large distortion on the magnetic surface, and the presence of currents in the anti-equilibrium direction. This situation can also happen in the strip-like current model, because the algorithm may continuously increase the concentration of current in pursuit of minimal fitting error. Actually, the presence of anti-equilibrium current has been observed in the MAST device [19], but to avoid unrealistic distortions of the magnetic surface, this paper employs a vertically elongated elliptic current model with a current density distribution that decays from the center to the edge. Since the solution obtained from the magnetic flux loop signal is not unique, it is desirable to directly measure the current density, but that is beyond the scope of this paper.

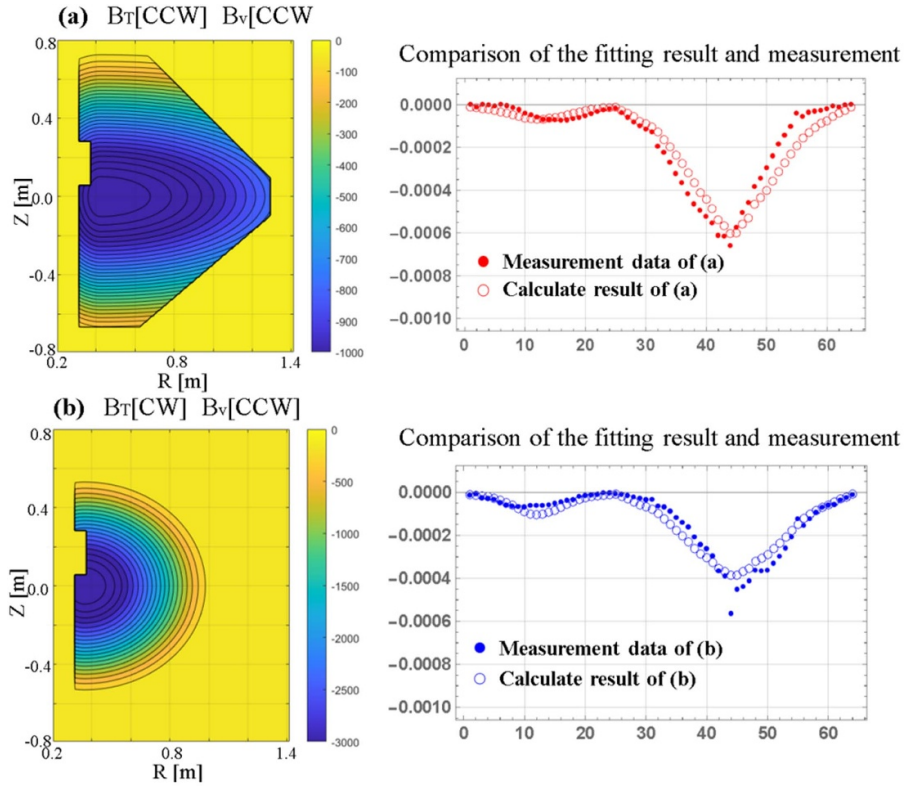


Figure 9. Fitting results using a top-bottom symmetric current density profile model. (a) Current density profile in B_T [CCW] configuration (case 1) and the comparison of the fitting result and measurement. Red dots represent the measurement data and red circles represent the calculated data. (b) Current density profile in [CW] configuration (case 2) and the comparison of the fitting result and measurement. Blue dots represent the measurement data and blue circles represent the calculated data.

Figures 10(a)–(c) illustrate the plasma current density profile was fitted by the vertically elongated elliptic current model with the data from Ch.1–24 located on the central stack (figure 1) assigned 10 times higher weighting than those from the other channels, because these 24 signals are mainly representing the EBWCD effect. It is important to note that the direction of the EBW drive current is reversed due to the B_T inversion, so the directions of the upper and lower elliptical currents are reversed. Figure 10(e) illustrates all measured flux signals and calculated data for both discharges. The fitting results are better than those shown in figure 9. The current density distribution shown in figure 9 also shifted upward or downward by 0.1 m in B_T [CCW] and B_T [CW] configurations respectively, to be fitted with flux loop signals located on the LFS. The positions of the top-bottom elliptic current density suggest the presence of the local EBW drive current. The fitting results closely match the flux loop signals, demonstrating that the direction of the EBW drive current was inverted when the is reversed. This clearly indicates that I_{EBW} is present in the OFC during the start-up phase. The presence of a positive and negative pair current indicates that comparing these current distributions is necessary for accurately estimating the efficiency of EBWCD. Actually, the I_{EBW} estimated by the model is 0.563 kA and the EBWCD efficiency is 0.014 A W^{-1} although the net I_{EBW} is 79.4 A according the following formula,

$$\eta_{EBWCD} = \frac{I_{EBW}^{\text{total}}}{P_{\text{inj}}^{\text{net}}}.$$

Here the I_{EBW}^{total} and $P_{\text{inj}}^{\text{net}}$ refer to the magnitude of generated EBW current in both equilibrium or anti-equilibrium direction and the difference between the injected power and reflected power of Klystrons power according the following formulas,

$$\begin{aligned} I_{EBW}^{\text{total}} &= I_{EBW}^{\text{equ}} + I_{EBW}^{\text{anti-equ}} \\ P_{\text{inj}}^{\text{net}} &= P_{\text{inj}} - P_{\text{ref}}. \end{aligned}$$

The efficiency of EBWCD could be further improved if the injected wave were more tightly focused, thereby minimizing the anti-equilibrium current. The applied model is useful to distinguish I_{EBW} from I_{pressure} , but the total current should be represented by more complicated current profiles, because the I_{EBW} may spread along the flux surface. In addition, total current density distribution shows an anti-equilibrium direction current locally being surrounded by an equilibrium current. The EBWCD effect is reflected in the distributed current profile within the model. From this perspective, the model effectively represents the combined contributions of the I_{pressure} and the I_{EBW} in the OFS phase [22], compared to the conventional models [24]. The EBW may drive both equilibrium and anti-equilibrium currents depending on the magnetic

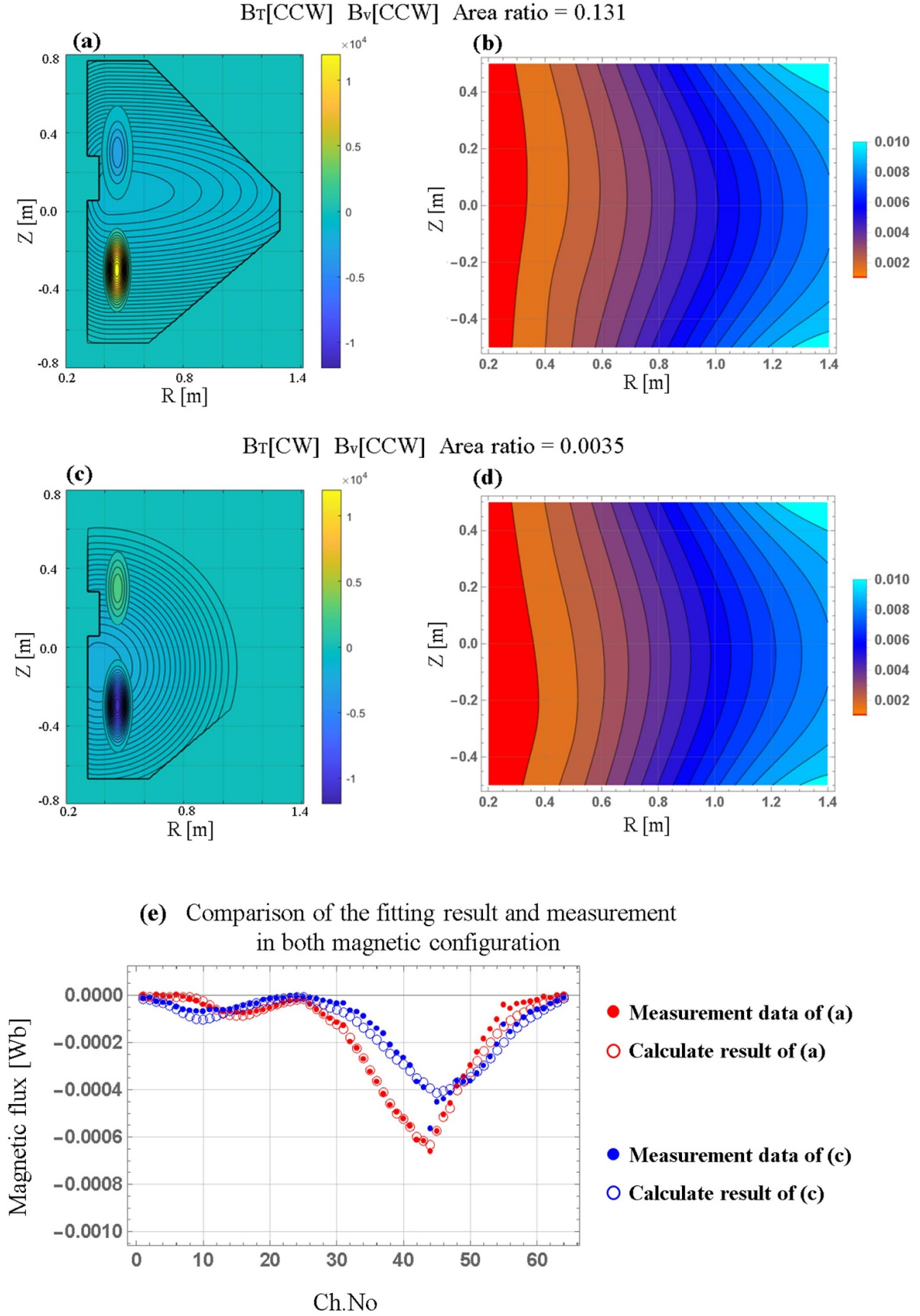


Figure 10. Representative fitting results using a previously described model [22]. (a) Shows the fitted current density distribution, with two elliptical current profiles where EBWCD is expected. (b) Shows the corresponding flux surfaces based on the fitted current distribution. (c) and (d) Are panels for the B_T [CW] configuration; the panel descriptions are the same as in (a) and (b). (e) Comparison between fitting results and experimental data for both magnetic configurations.

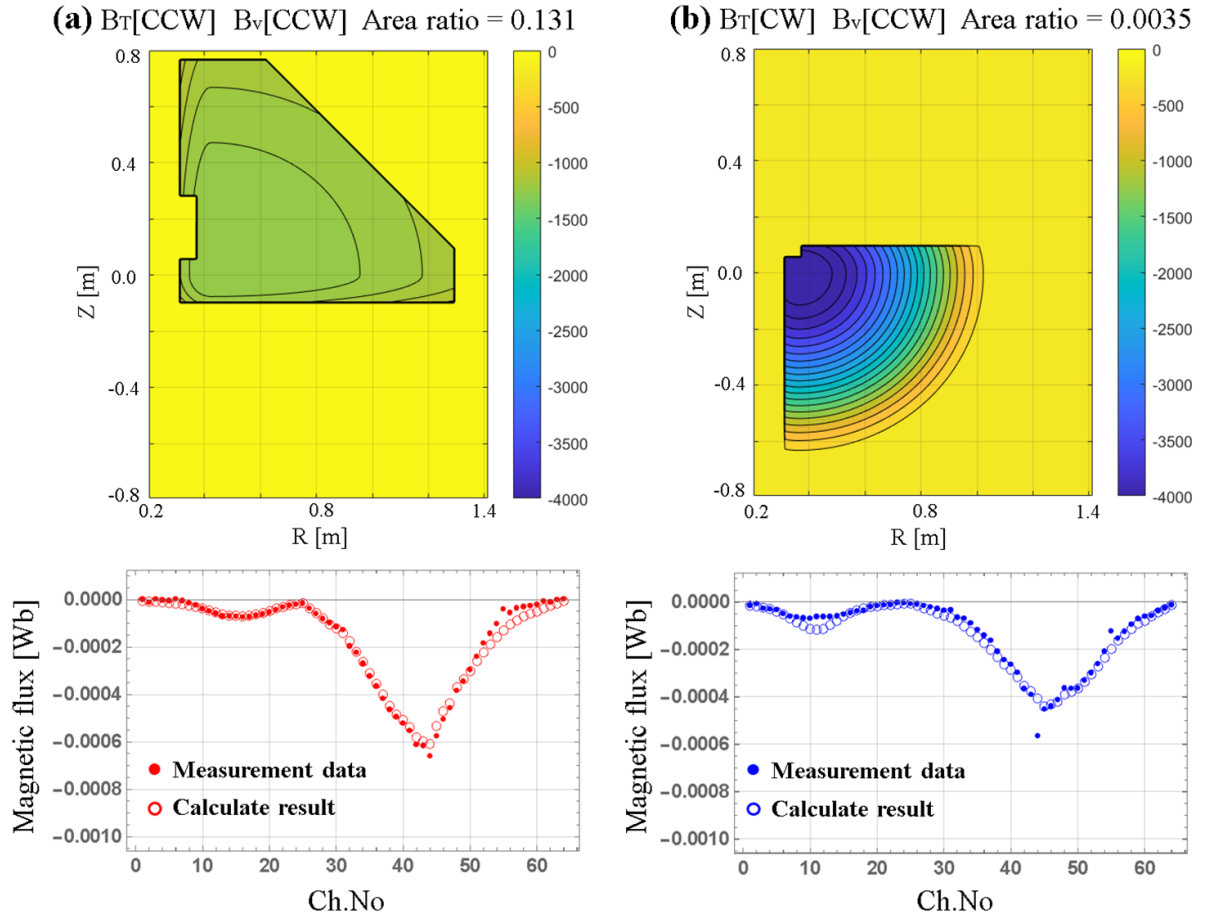


Figure 11. (a) Shows the fitted current density distribution with the current distribution model proposed by Yoshinaga, in which the current density concentrates on the upper side. (b) Shows the fitted current density distribution, in which the current density concentrates on the lower side.

configuration. In the anti-equilibrium area, the I_{EBW} counteracted the $I_{pressure}$, although a stronger anti-equilibrium current could not be driven due to the difficulty of achieving force balance. Consequently, the distributed current in the anti-equilibrium area was weakened by the generated EBW current.

Considering that the anti-equilibrium I_{EBW} in figures 10(a)–(c) may partially cancel with the $I_{pressure}$, figures 11(a) and (b) presents the fitting results using the current distribution model proposed by Yoshinaga *et al* [24] that prohibits the presence of anti-equilibrium current as a total value. In the B_T [CCW] (case 1) and B_T [CW] (case 2) configurations, the current concentrates in the upper and lower halves of the vessel, respectively, with no current present in the opposite side. This indicates that the anti-equilibrium I_{EBW} causes a significant decrease in current density as a total value. As a result, the fitting results exhibit a half-sided current profile. In addition, the calculated flux in B_T [CW] (case 2) shows a large difference around Ch.10, where is close to the mid-plane. A more complicated current distribution model may be necessary to reconstruct accurate current density profile. Only the flux loop signals are insufficient, and further research will require obtaining two-dimensional information including the inside of the plasma, which is beyond the scope of this paper.

Figure 12 shows the currents contained in the equilibrium and anti-equilibrium areas plotted as a function of the area ratio induced by the variation of B_R at 0.02 s after RF injection. In this analysis, the current distribution model proposed in the [22] is used to more easily search for trends. The currents in the equilibrium area exhibited the same trend with respect to the area ratio variation—namely, the larger the ratio, the greater the current. In contrast, the currents in the anti-equilibrium area remained nearly constant with a slightly positive value as the area ratio increased under the B_T [CCW] configuration (case1). In the B_T [CW] configuration (case 2), the sign of the current in the anti-equilibrium area reversed around the area ratio of approximately zero. This finding is particularly significant, as EBWCD cannot drive equilibrium current in the anti-equilibrium area. Although the area ratio is defined based on the vacuum magnetic field, it is expected to shift toward more negative values when considering magnetic field variation induced by the driven current. This suggests the presence of other driven current components, such as ECCD and currents driven by energetic electrons in the OFC. The effect of energetic electron was negligible, because the electron density, n_e was 5 times larger than that in the discharge where energetic electrons dominantly drive I_p [25]. The injected ECW from the antenna expanded toroidally and poloidally, and the

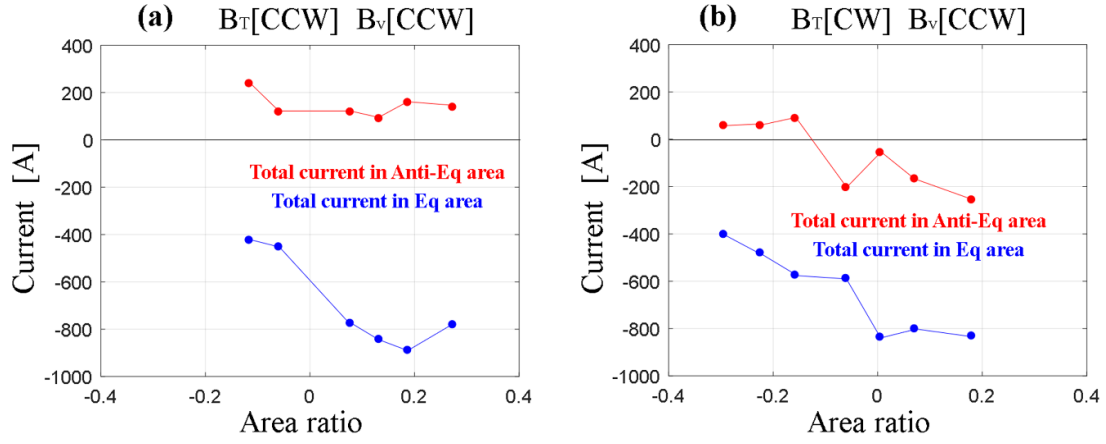


Figure 12. Current flowing at 0.02 s in the equilibrium area based on the vacuum field (red circles and lines) and in the anti-equilibrium area (blue circles and lines) as a function of area ratio induced by a variation of B_R , according to the fitting result derived from the current distribution model [22].

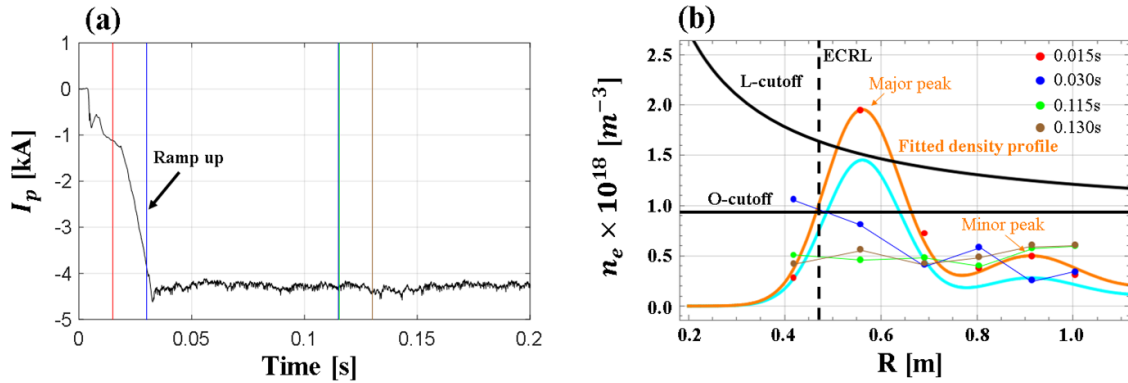


Figure 13. (a) Time evolution of plasma current. (b) n_e profiles measured with Thomson scattering at the time points indicated through the colored lines in panel (a). Two black lines of plasma cutoff ($(\omega_{pe}/\omega)^2 \cong 1$) and L cutoff for $N_{tor} = 0$, are indicated in panel (b). The orange curve represents the fitting result of the Thomson scattering measurement obtained using a two-peak Gaussian model, and corresponding to the point 2 (Orange symbols) in figure 4(b). The major and minor peaks are indicated with orange arrows. The cyan curve represents the density profile when the L cut-off layer just disappears, corresponding to the point 3 (Cyan symbol) in figure 14(b). ω_{pe} , electron plasma frequency; ω , wave frequency; N_{tor} , refractive index in the toroidal direction.

ECW may be absorbed at the 1st ECR layer as X-mode, but the absorption of X-mode in the vicinity of plasma cut-off density is small [26]. The ECCD effect may cancel out in toroidal directions, but the equilibrium current is likely to remain more effective in the OFC [21]. Otherwise, a small closed magnetic flux surface may have formed at the time, because the total I_p was larger than the others. This may be related to the I_p ramp-up (figure 6).

5. Inference of ECW propagation based on reconstructed current density profile

To clarify the difference in the current ramp-up between the two directions of the B_T as shown in figure 6, the time evolution of n_e and the consequent change of the UHR layer were analyzed. The n_e profile was reconstructed based on Thomson scattering measurements. The n_e was assumed to be constant along each magnetic flux surface. According to the radial n_e profile measured with a movable Langmuir probe inserted into

the plasma [26], a major peak and a sub-peak were observed. The major peak, located a little low field side of the 1st ECR of the injected ECW, exhibited a significantly higher n_e during the OFS phase (figure 13(b)). The sub-peak, located around $R = 0.915$ m, was noticeably higher than that of the surrounding regions.

As the time evolution of n_e could not be measured due to the insufficient temporal resolution of the Thomson scattering diagnostic, an interferometer signal was used to estimate the time evolution of the line-averaged $\bar{n}_e$ along the mid-plane in the OFS (figure 14).

Corresponding to the distinct time evolution of the current ramp-up in the OFS, the $\bar{n}_e$ also exhibited different temporal behavior. The $\bar{n}_e$ sharply increased immediately after the ECW injection and then decreased to approximately $5 \times 10^{17} m^{-3}$. When the current increased continuously, the $\bar{n}_e$ remained constant after reaching $5 \times 10^{17} m^{-3}$ (figure 14(a)). This time evolution of I_p is typically observed in the case of $B_T[CW]$, where the equilibrium current driven by EBW was expected to form on the lower side. Figure 14(b) shows

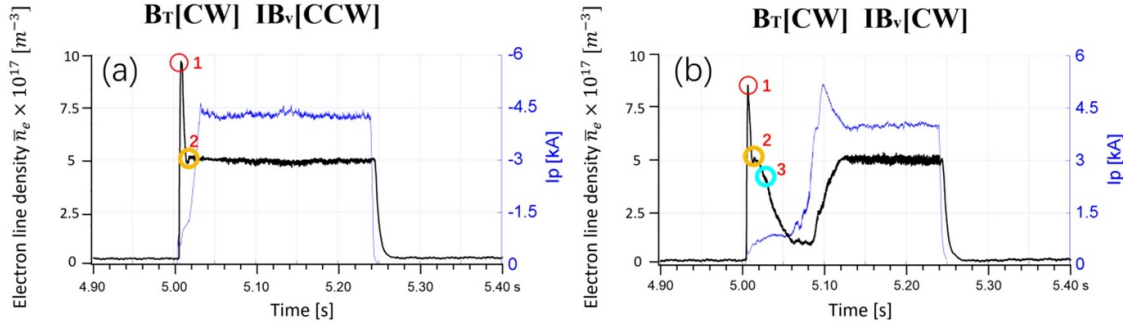


Figure 14. Typical time evolutions of I_p (black line) and $\bar{n}_e$ (blue line) regarding (a) smoothed current ramp-up under B_T [CW], B_V [CCW], $I_{B_R} = -20$ A, and (b) current jump under B_T [CW], B_V [CW], $I_{B_R} = -20$ A are denoted. In both cases, reached high n_e just after ECW injection. The red, orange and cyan symbols indicate the time points corresponding to the 2D map (figure 15).

the representative time evolutions of I_p and $\bar{n}_e$. The flat-top values of I_p and $\bar{n}_e$ were similar in both cases. This finding suggests that the flat-top values of I_p and $\bar{n}_e$ were primarily maintained by other CD mechanisms rather than by EBWCD. If the EBWCD had been the dominant CD mechanism, the equilibrium current would likely have flowed in front of the antenna, which was positioned on the upper side. Identifying which CD mechanism predominantly operated after the formation of the CFS remains a subject for future investigation.

When the current did not ramp up and remained almost constant before the current jump (figure 14(b)), the $\bar{n}_e$ continuously decreased to approximately $1.2 \times 10^{17} \text{ m}^{-3}$ and then increased concurrently with the rise in I_p .

At the beginning of the RF injection, since the high plasma density $[(\omega_{pe}/\omega)^2 > 2]$, an L-cutoff layer formed in front of the antenna, causing all the X-mode ECW to be reflected back (figure 15(a)). Consequently, n_e decreased, and the L-cutoff layer contracted accordingly. As n_e continued to decrease, the L-cutoff layer further reduced in size (figure 15(b)). However, calculation of the L-cutoff and UHR layers was based solely on n_e measured on the mid-plane with Thomson scattering. The 2D density profile over the poloidal cross-section was not available. The calculation of the L-cutoff layer was conducted under the assumption that n_e is constant on the flux surface. Since the value of n_e in front of the antenna may be larger than that on the mid-plane, the L-cutoff layer is more likely to form in front of the antenna.

The L-cutoff layer gradually contracted and moved outward as $\bar{n}_e$ decreased. When $\bar{n}_e$ reached $5 \times 10^{17} \text{ m}^{-3}$, the L-cutoff layer was reduced, allowing the ECW emitted from the antenna to be reflected upon reaching the L-cutoff layer and then reflected again via the central stack, ultimately reaching the equilibrium area below the mid-plane. Consequently, the $\bar{n}_e$ might be keeping a distinct plateau at $5 \times 10^{17} \text{ m}^{-3}$ in the balance of in the balance of ECW propagation and EBW absorption as shown in figures 14(a) and (b) (symbol 2).

After $\bar{n}_e$ reached $5 \times 10^{17} \text{ m}^{-3}$, the L-cutoff layer disappeared or remained, leading to different plasma responses. When the L-cutoff layer was maintained at $\bar{n}_e = 5 \times 10^{17} \text{ m}^{-3}$, the EBW was continuously absorbed in the equilibrium area and coupled with I_{pressure} , resulting in the ramp-up of I_p . It

should be noted that the elimination of L-cutoff takes place in lower n_e for higher $N_{||}$.

$$1 - \frac{\omega_p^2}{\omega(\omega - \omega_{ce})} - N_{||}^2 = 0$$

$$\omega_p^2 = \frac{n_e e^2}{\epsilon_0 m_e}.$$

If the L-cutoff layer disappeared (figure 15(c)), the EBW would be absorbed in the anti-equilibrium area located above the mid-plane in the case of B_T [CW] (case 2). The resulting I_{EBW} , flowing opposite to I_{pressure} , inhibited the formation of CFS. Consequently, I_p remained constant, while $\bar{n}_e$ continued to decrease, as indicated at the time marked as symbol 3 in figure 14(b). As decreased further, L-cutoff for ECW with higher $N_{||}$ gradually eliminated and the UHR layer moved inward, and the closer the UHR layer approached the antenna, the more concentrated the EBW absorption became.

Figure 16 depicts the comparison results of I_p at 0.03 s. Regarding B_T [CCW] B_V [CCW] (case 1), the injected ECW was reflected toward the lower side, corresponding to the anti-equilibrium area, by the presence of L-cutoff layer. This reflected ECW hindered the formation of CFS, and the I_p remained constant and subsequently jumped to its maximum once I_p became sufficient to form the CFS.

Regarding [CW] (case 2), following the initial density surge, the L-cutoff layer gradually contracted as the density decreased. The injected ECW was reflected into the equilibrium area below the mid-plane, where it was converted into EBW and subsequently absorbed, generating an equilibrium current that contributes to I_p . Consequently, the I_p growth rate significantly exceeded that observed in the B_T [CCW] case as shown in figure 6(a).

The plasma start-up under a negative area ratio was unstable. Regarding B_T [CW], plasma failed to start up (figures 8(b) and (c)), but later succeeded (figure 14(b)). The reason is attributed to the time evolution of n_e as described above. The fuel hydrogen absorbed in the first wall after several days of experiments may have an impact, but the true reason remains unknown. Since the area ratio was negative (red arrow), the plasma start-up was not achieved until 0.1 s after injection,

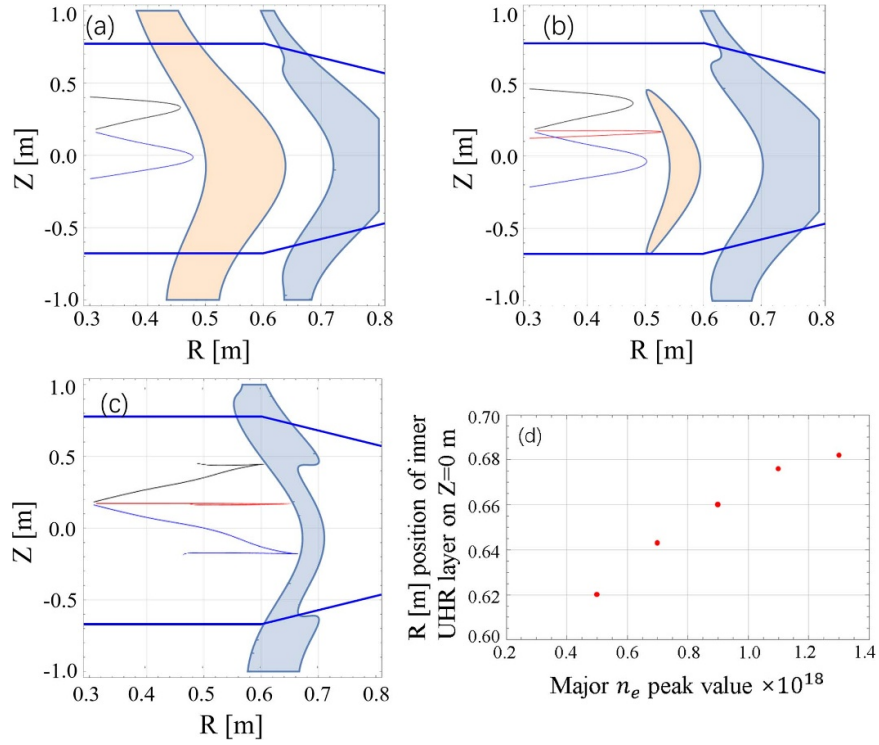


Figure 15. The thick blue lines represent the waveguides inside the vacuum vessel. The light orange area represents the L-cutoff layer for $N_{//}=0$. The light blue area represents the non-propagating area for ECW X-mode between the UHR layer on the high-field side and the R cutoff layer on the low-field side. The thin black lines, red lines and blue lines represent the path of the injected waves according to $\frac{k_z}{k_R} = 1$, $\frac{k_z}{k_R} = 0$ and $\frac{k_z}{k_R} = -1$, respectively, under different electron density profiles. (a) $\bar{n}_e = 8.6 \times 10^{17} \text{ m}^{-3}$, corresponding to symbol 1 in figure 14. (b) $\bar{n}_e = 6 \times 10^{17} \text{ m}^{-3}$, corresponding to symbol 2 in figure 14. (c) $\bar{n}_e = 4.2 \times 10^{17} \text{ m}^{-3}$, corresponding to symbol 3 in figure 14. (d) The R position of the UHR layer on $Z = 0 \text{ m}$, corresponding to the major n_e peak value. **Abbreviations:** L-cutoff, left-hand-cutoff; UHR, upper hybrid resonance; R-cutoff, right-hand-cutoff; R, radial

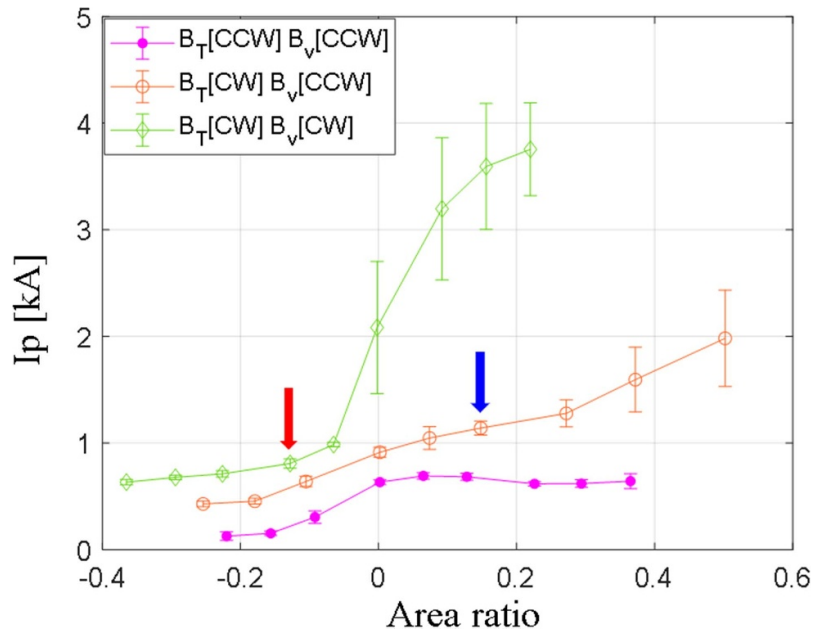


Figure 16. Comparison of I_p at 0.03 s under different magnetic configurations shown in figure 8. The pink (case 3), orange (case 2) and green (case 1) marks represent the total plasma current in different magnetic field configuration, respectively. The blue arrow indicates the equilibrium area ratio corresponding to figure 11(a), while the red arrow indicates the equilibrium area ratio corresponding to figure 14(b).

which was considerably slower than that observed in the positive area ratio (blue arrow). This finding indicates that the equilibrium area ratio exerts a significant influence on plasma start-up behavior.

6. Summary

EBWCD experiments using the HFS injection system were conducted on the QUEST device. During the experiments, B_T was reversed from CCW to CW. Consequently, the peak of the measured magnetic flux at the central stack shifted from the top side to the bottom side, indicating that a part of the equilibrium I_p was driven via EBW. The appearance of opposite magnetic flux on the anti-equilibrium side further confirmed the presence of anti-equilibrium EBWCD.

The B_v and B_R were also adjusted to further investigate plasma start-up. The shift of the plasma mid-plane caused by varying B_R affected the balance between the equilibrium and anti-equilibrium areas of the I_{EBW} relative to the $I_{pressure}$. The results show that when the anti-equilibrium area was larger than the equilibrium area, plasma start-up became significantly more difficult or failed altogether. In the B_T [CW] configuration, the CFS formed earlier owing to the larger equilibrium area ratio. The I_p always grew faster and more smoothly than in the B_T [CCW] configuration during the OFS phase. This may be because the L-cutoff layer appeared before the antenna located on the top side, preventing the propagation of the ECW and thereby reducing the EBWCD contribution to I_p .

The current density profile was then reconstructed using an improved model based on the measured magnetic flux distribution. On the anti-equilibrium side, the EBWCD and $I_{pressure}$ may have partially canceled each other, while the equilibrium EBWCD concentrated the I_p on the upper or lower side depending on the B_T configuration. The total EBWCD was estimated via assuming the same $I_{pressure}$ under similar magnetic configuration, yielding an efficiency of approximately 0.014 A W^{-1} in the OFS phase. However, this calculated EBWCD efficiency does not represent the pure current-drive efficiency for I_p start-up, but rather indicates the magnitude of the I_{EBW} .

By evaluating the currents on both sides of the plasma mid-plane, it was observed that the current in the anti-equilibrium area exhibited different behavior under the B_T [CCW] and B_T [CW] configurations. The reason may be attributed to the existence of the L-cutoff layer during the early phase of plasma start-up, which would have prevented the propagation of the ECW and reflected it toward the bottom side of the vacuum vessel. In the B_T [CCW] configuration, the current in the anti-equilibrium area did not vary significantly with changes in the equilibrium area ratio. In the [CW] configuration, when the equilibrium area ratio increases, the current in the anti-equilibrium area decreased significantly and transitioned from positive to negative values. This indicates that within the anti-equilibrium area, the value of $I_{pressure}$ has surpassed the anti-equilibrium I_{EBW} . This phenomenon may be attributed to the antenna's position above the equatorial plane.

The L-cutoff and UHR layers were also reconstructed based on the measured n_e . The calculated variation of the L-cutoff layer, corresponding to changes in the line-averaged $\bar{n}_e$, indicating that the L-cutoff layer appeared at the beginning of plasma start-up and prevented the propagation of all ECW. The L-cutoff layer gradually contracted as n_e decreased and eventually disappeared just before reaching the antenna position. Subsequently, the $\bar{n}_e$ decreased continuously, corresponding to the smooth ramp-up of I_p , or remained constant, corresponding to the sudden jump of I_p . This may be attributed to the different initial n_e profiles, as the major and minor peaks significantly influence the location and shape of the UHR layer, leading to distinct subsequent variations in n_e and I_p . However, the calculation results deviate from the experimental values because only the n_e distribution on the equatorial plane was measured.

In conclusion, EBWCD via HFS injection played an important role in plasma start-up; however, the anti-equilibrium current reduced the net efficiency of EBWCD. The anti-equilibrium current could cancel a part of widespread $I_{pressure}$ and resulted in current density concentration which played a role in a CFS formation. Minimizing the anti-equilibrium current remains an important challenge and a promising approach for future ST-based fusion reactors.

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