

# Theoretical Studies of Reduction Reactions of Nitrogen Oxides Mediated by Copper Complexes

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主論文

**Theoretical Studies of Reduction Reactions of  
Nitrogen Oxides Mediated by Copper Complexes**

銅錯体による窒素酸化物還元反応に関する  
理論的研究

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2024



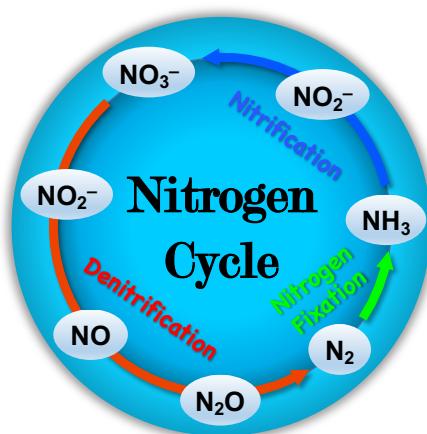
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## Chapter 1. General Introduction

### 1.1. The Nitrogen Cycle

Nitrogen is a vital element for life, being used for the biosynthesis of N-containing compounds (proteins, nucleic acids, and other fundamental compounds).<sup>1</sup> A biosphere ultimately needs to incorporate nitrogen into biological molecules through dinitrogen ( $N_2$ ) fixation (Figure 1-1), in which bacterial and archaeal prokaryotes convert atmospheric  $N_2$  to ammonium. When organisms die, ammonium is returned to the environment and is sequentially oxidized to nitrate ( $NO_3^-$ ) via nitrite ( $NO_2^-$ ), known as nitrification (Figure 1-1). In the absence of oxygen,  $NO_3^-$  can be utilized by many microorganisms as a respiratory electron acceptor. In denitrification,  $NO_3^-$  is sequentially reduced to  $N_2$  according to the process  $NO_3^- \rightarrow NO_2^- \rightarrow$  nitric oxide (NO)  $\rightarrow$  nitrous oxide ( $N_2O$ )  $\rightarrow$   $N_2$  (Figure 1-1). The four steps in the denitrification process are catalyzed by different metalloenzymes that have a transition metal center as their catalytic active site: molybdenum-dependent nitrate reductase (NaR), heme-containing nitrite reductase ( $Cd_1NiR$ ) or copper-containing nitrite reductase (CuNiR), heme/non-heme diiron nitric oxide reductase (NOR), and  $Cu_4S$ -cluster-containing nitrous oxide reductase ( $N_2OR$ ).<sup>1b,2</sup> The transition metal center acts as a binding site and electron source and the surrounding amino residue provides protons.



**Figure 1-1.** Simplified representation of the nitrogen cycle. Denitrification, red arrow; dinitrogen fixation, green arrow; nitrification, blue arrow.

## 1.2. NO<sub>x</sub> Reduction by Functional Metal Complexes

The identification of the active sites of numerous metalloenzymes through crystallographic study motivated researchers to mimic these active sites through the use of metal complexes.<sup>2</sup> The synthesis of inorganic model complexes is conducted on the assumption that the fundamental chemistry of a metal ion remains intact in metalloenzymes. The chemical properties of the active site of a protein are dominated by both the direct and secondary coordination environments surrounding the metal ion. Although the environment of a protein cannot perfectly be mimicked by a synthetic model, one can model the local structure and geometry down to the first or second coordination sphere. Such modeling has led to the synthesis of a variety of mimetic complexes and the development of improved models that are far from natural enzymes in structure. Here, several examples of metal-complex-mediated NO and NO<sub>2</sub><sup>-</sup> reductions, which will be described in this dissertation, are introduced.

### Reduction of NO to N<sub>2</sub>O

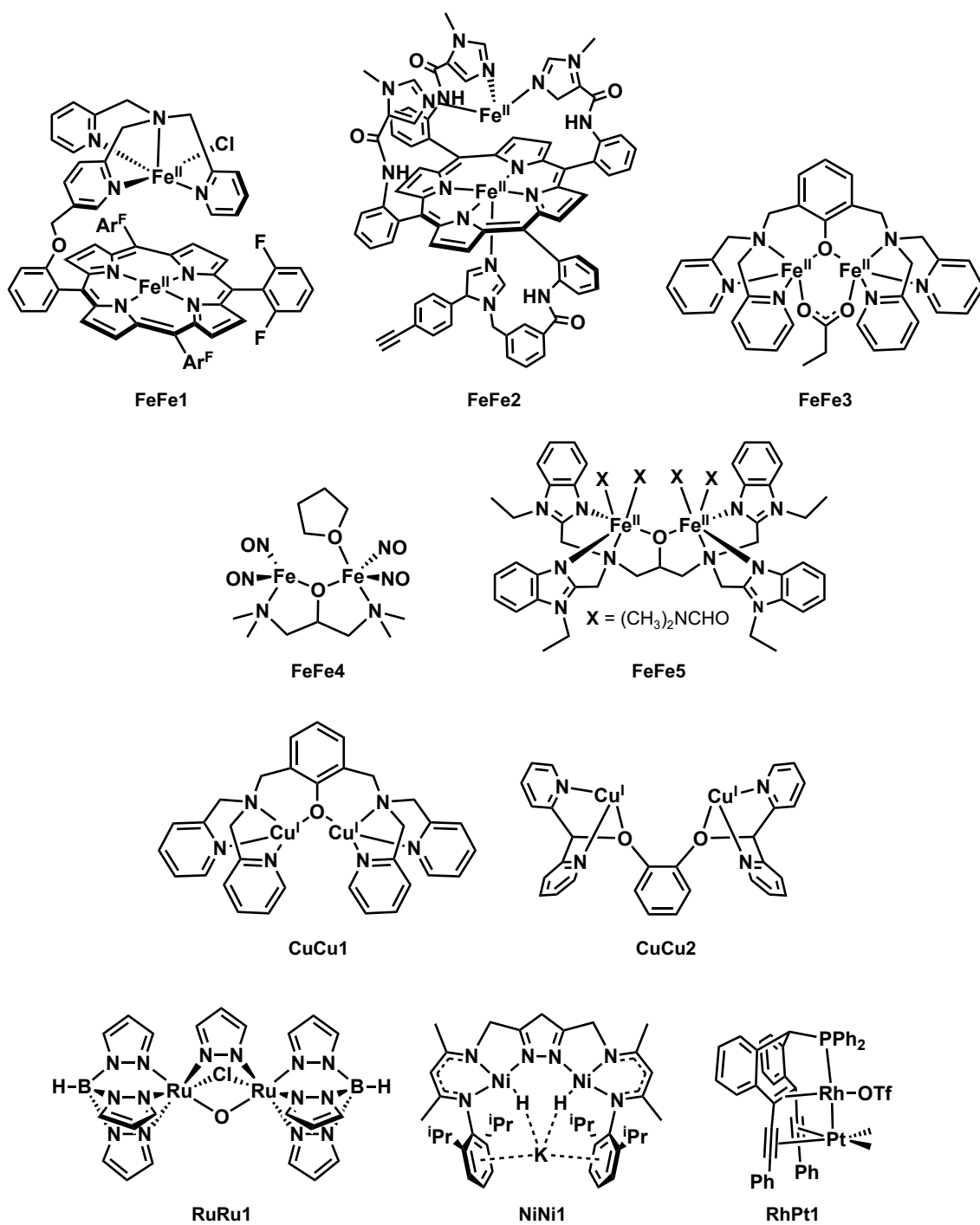
There have been several reports on a synthesis of dinuclear metal complex and an examination of its reactivity for the NO reduction. These complexes are similar to natural NORs in having a bimetallic system. However, their reaction mechanisms of NO reduction should be quite different, depending on the type of metal, metal-metal distance, and ligand structure.

Bacterial NORs in denitrification have the heme/nonheme diiron active site, where the nonheme iron is coordinated by three histidine-N atoms.<sup>2</sup> Ju et al. developed the NOR-model diiron complex that possesses porphyrin derivative tethered with tris(2-pyridylmethyl)amine (Figure 1-2, **FeFe1**).<sup>3</sup> A heme/non-heme diiron(II) complex [Fe<sup>II</sup>...Fe<sup>II</sup>]<sup>+</sup> was prepared and allowed to react with NO at low complex concentrations to give an oxido bridged complex [Fe<sup>III</sup>-O-Fe<sup>III</sup>]<sup>+</sup>. This result indicated that the synthetic heme/non-heme complex has the ability to reduce NO to N<sub>2</sub>O. Collman et al. reported another biomimetic model of the heme/non-heme diiron motif.<sup>4</sup> They synthesized a porphyrin tethered with three imidazole pickets at the distal site and an imidazole ligand at the proximal site (Figure 1-2, **FeFe2**). This model reacted with 2 equiv. of NO to form 1 equiv. of N<sub>2</sub>O. They suggested that the proximal imidazole ligand enhances a radical

character of the iron nitrosyl species during the reaction. In addition to bacterial NORs, flavodiiron nitric oxide reductase (FNOR) facilitates the NO reduction by which some pathogens protect themselves from NO toxicity.<sup>5</sup> FNORs possess the active site of nonheme diiron motif using a flavin mononucleotide cofactor as reducing agent. Lehnert and co-workers reported the FNOR-model diiron complex  $[\text{Fe}_2(\text{BPMP})(\text{OPr})(\text{NO})_2]^{2+}$  (BPMP = 2,6-bis[(bis(2-pyridylmethyl)amino)methyl]-4-methylphenolate) and showed its ability to reduce NO (Figure 1-2, **FeFe3**).<sup>6</sup>

In addition to the bioinspired models described above, extensive types of dinuclear metal complexes have been developed for the reduction of NO to N<sub>2</sub>O. Alkoxyate-bridged diiron complexes (Figure 1-2, **FeFe4** and **FeFe5**) are analogues of the FNOR-model complex **FeFe3** and were used to the NO reduction. **FeFe4** exhibited the conversion of NO to N<sub>2</sub>O without any reductants.<sup>7</sup> Crystallographic studies showed an N<sub>2</sub>O<sub>2</sub>-bridged tetrairon complex, having 2 equiv. of **FeFe4** and 2 equiv. of NO. The reaction pathway was proposed to generate N<sub>2</sub>O through the intermolecular N–N bond coupling between two nitrosyl complexes to form the N<sub>2</sub>O<sub>2</sub>-bridged tetrairon complex. **FeFe5** represents an extended form of **FeFe4**, in which four benzimidazolyl groups are introduced.<sup>8</sup> In contrast to **FeFe4**, **FeFe5** required a reductant (cobaltocene;  $\text{Co}(\eta^5\text{-C}_5\text{H}_5)_2$ ) for the generation of N<sub>2</sub>O and the corresponding N<sub>2</sub>O<sub>2</sub>-bridged tetrairon complex have not been observed. Therefore, **FeFe5** is assumed to perform the intramolecular N–N bond coupling.

Dicopper complexes (Figure 1-2, **CuCu1** and **CuCu2**) have also been synthesized for NO reduction. The dicopper complex **CuCu1** is the metal center-variant of **FeFe3** and has been reported to perform NO reduction with no proton donors and no reductants.<sup>9</sup> Another dicopper complex ligated with four pyridine ligands linked by catecholate (**CuCu2**) is also capable of reducing NO to N<sub>2</sub>O without any additional reagents.<sup>10</sup> The reaction mechanism of **CuCu2** will be described in Chapter 2.



**Figure 1-2.** Functional metal complexes for the reduction of NO to N<sub>2</sub>O.

Other dinuclear metal complexes, which are structurally far from bioinspired models, are also introduced (Figure 1-2, **RuRu1**, **NiNi1**, and **RhPt1**). Among several complexes for NO reduction, a diruthenium complex **RuRu1** is an interesting example, consisting of Cl<sup>-</sup>, pyrazolato, and two hydrotris(pyrazolyl)borate (Tp) ligands.<sup>11</sup> The reaction of the dinitrosyl complex [Ru<sup>II</sup>NO<sub>2</sub>] with HBF<sub>4</sub>•Et<sub>2</sub>O as a proton donor generates N<sub>2</sub>O. X-ray crystallography for the dinitrosyl complex revealed a longer N–N bond (1.861(3) Å) compared to the typical N–N single bond (~1.4 Å), indicating a neutral-NO dimer complex [Ru<sup>II</sup><sub>2</sub>(NO<sup>0</sup>)<sub>2</sub>]. Furthermore, the oxidation of the dinitrosyl complex [Ru<sup>II</sup><sub>2</sub>(NO<sup>0</sup>)<sub>2</sub>] by AgBF<sub>4</sub> resulted in a dicationic dinitrosyl complex [Ru<sub>2</sub>(NO)<sub>2</sub>]<sup>2+</sup>. The crystallographic result showed a much longer N–N distance (3.006(8) Å) and nearly linear Ru–N–O angles (169.7(6) and 165.4(6)°). Given these results, the electronic structure of the dicationic complex can be assigned as [Ru<sup>II</sup><sub>2</sub>(NO<sup>+</sup>)<sub>2</sub>]<sup>2+</sup>. A dinickel(I) dihydride complex **NiNi1**, which possesses a β-diketiminato-based ligand, has been reported to readily undergo intramolecular H<sub>2</sub> elimination and was therefore described as a potential [Ni<sup>I</sup><sub>2</sub>] species.<sup>12</sup> The reaction of **NiNi1** with 2 equiv. of NO resulted in [Ni<sub>2</sub>(N<sub>2</sub>O<sub>2</sub>)].<sup>13</sup> An X-ray study determined an unusual N,O-bridged-*cis*-N<sub>2</sub>O<sub>2</sub> dinickel complex. Subsequent protonation triggered the generation of N<sub>2</sub>O. Considering the results of quantum chemical calculations, the presence of K<sup>+</sup> between two Ph rings could stabilize the N<sub>2</sub>O<sub>2</sub> anion and facilitate its isomerization at the dinickel sites. At last, the bimetallic Rh<sup>I</sup>–Pt<sup>II</sup> complex reported by Grützmacher and co-workers<sup>14</sup> (**RhPt1**) is one of the few examples of a homogeneous catalyst for the NO reduction. Notably, **RhPt1** is also capable of catalytic reductions of nitrogen dioxide (NO<sub>2</sub>) and N<sub>2</sub>O to NO and N<sub>2</sub>O to N<sub>2</sub>, respectively. Given that H<sub>2</sub> was used as a reductant, **RhPt1** would have both abilities of the H<sub>2</sub> activation and the NO<sub>x</sub> reduction (hydrogenation).

## Reduction of NO<sub>2</sub><sup>-</sup> to NO

Tolman and coworkers<sup>15</sup> have developed the first functional model (Figure 1-3, **Cu1**) mimicking the CuNiR T2 site, which possesses the Cu center coordinated by three histidine residues. They utilized a 1,4,7-triazacyclononane (TACN) ligand to replicate a distorted-tetrahedral copper center of the CuNiR T2 site. They showed that the nitrite copper(I) complex, [TACN-Cu<sup>I</sup>(NO<sub>2</sub>)], reacts with acetic acid to yield NO.

Several reports on copper complexes with ligands containing imidazole or benzimidazole have been published. Casella et al.<sup>16</sup> investigated the NO<sub>2</sub><sup>-</sup> reduction properties of three Cu(I) complexes with 1-methylbenzimidazole as a ligand (Figure 1-3, **Cu2**, **Cu3**, and **Cu4**). All of them showed the NO<sub>2</sub><sup>-</sup> reduction activity in the presence of HBF<sub>4</sub>•Et<sub>2</sub>O as a proton source, and the order of the NO<sub>2</sub><sup>-</sup> reduction activity was **Cu3** > **Cu2** > **Cu4**. In addition, **Cu5**<sup>17</sup> and **Cu6**<sup>18</sup> in Figure 1-3, which have pincer-type ligands containing imidazole, have also been reported to be active for reducing NO<sub>2</sub><sup>-</sup>.

In a number of studies, the use of phenol or thiophenol derivatives together with metal complexes (Figure 1-3, **Cu7**, **Cu8**, **Cu9**, and **Fe1**) resulted in the formation of biphenol or diphenyl disulfide, along with NO.<sup>19-22</sup> This suggests that these additives served as proton and electron sources. Notably, the report by Mondal et al. on NO<sub>2</sub> reduction using **Cu7** and a phenol derivative<sup>19</sup> indicated the involvement of proton-coupled electron transfer through kinetic analysis. Chapter 3 will provide a comprehensive discussion of this reaction mechanism.

All of the above reports require a proton source or reducing agent. Conversely, there have been a few examples of NO<sub>2</sub><sup>-</sup> reduction without any additives by introducing a proton donor into a ligand. An iron N-confused porphyrin complex (Figure 1-3, **Fe2**) performs NO<sub>2</sub><sup>-</sup> reduction without any additive.<sup>23</sup> It is suggested that the C–H and N–H of the inverted pyrrole ring act as a proton donor. Similar to **Fe2**, **Fe3** in Figure 1-3 also achieves additive-free NO<sub>2</sub><sup>-</sup> reduction by introducing ammonium bromide as a proton donor into the ligand.<sup>24</sup> An iron(II) complex (**Fe4**) with a tripodal ligand containing a pyrrole ring reduced NO<sub>2</sub><sup>-</sup> to NO and produced an iron(III)-oxo complex.<sup>25</sup> The reaction was probably promoted by the attraction of electrons to the NO<sub>2</sub><sup>-</sup> ligand by the amino moiety, which is linked to the pyrrole ring, acting as H-bonding donor.

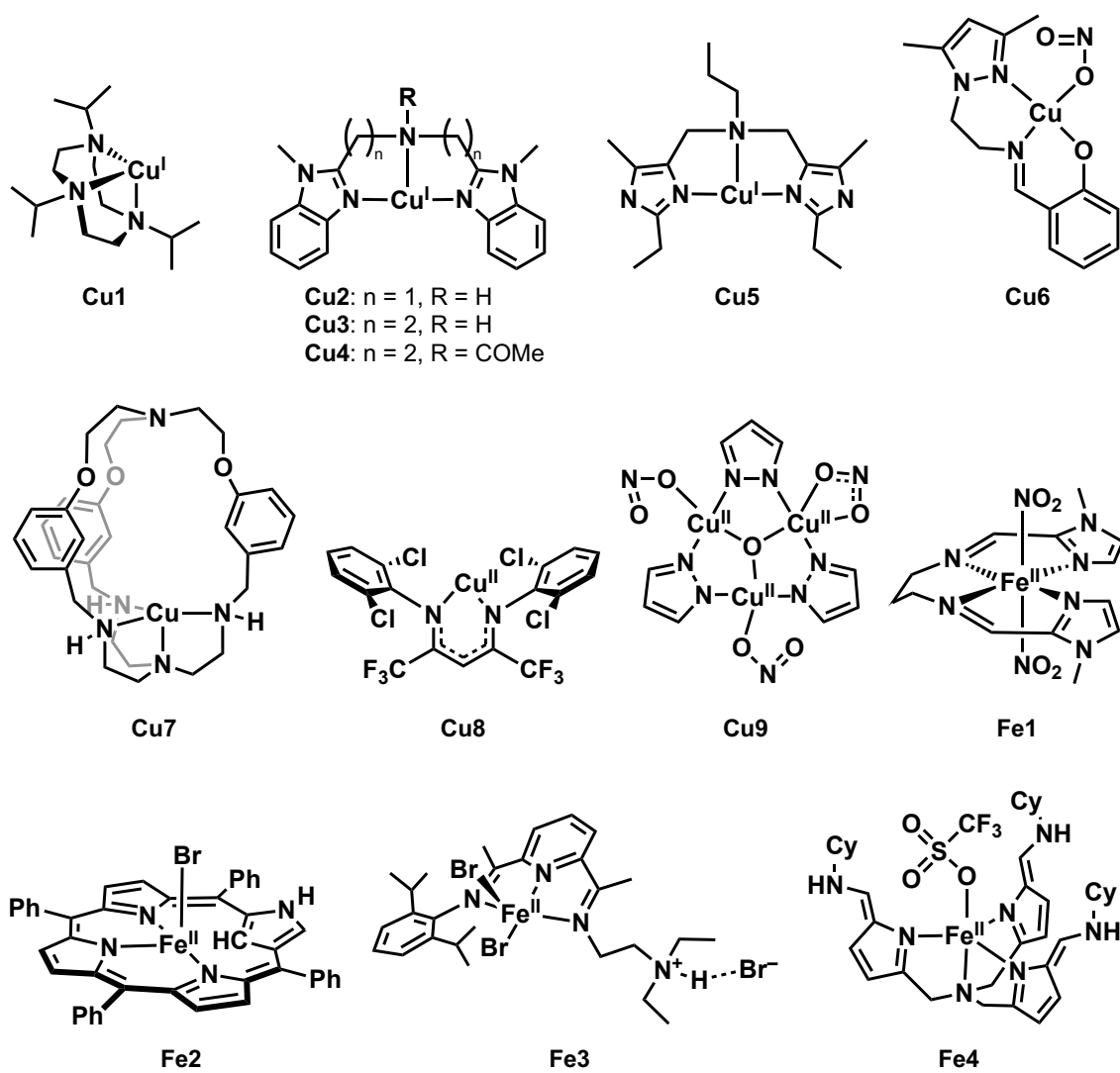
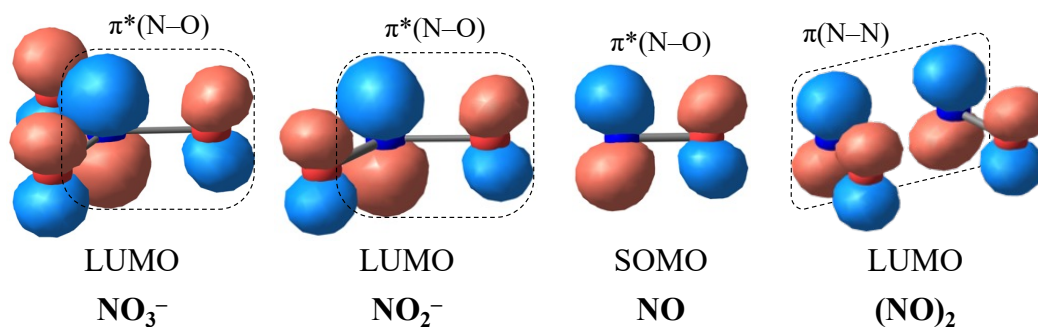


Figure 1-3. Functional metal complexes for the reduction of  $\text{NO}_2^-$  to  $\text{NO}$ .

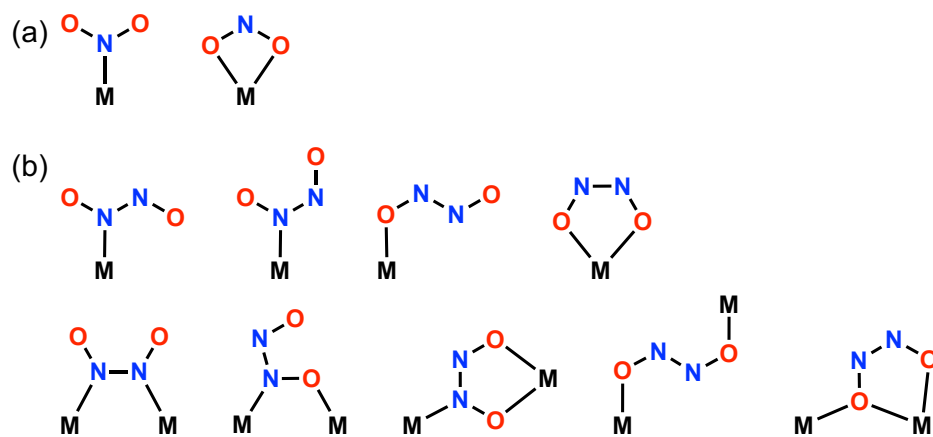
### 1.3. Reaction Mechanism of Denitrification Reactions

Major structural changes in the denitrification reaction include the cleavage of the N–O bond and the formation of the N–N bond. When the  $\text{NO}_x$  species receive electrons, the N–O bond elongates and the N–N bond between the NO dimer shortens. This behavior can be explained by the concept of molecular orbital (MO). The lowest unoccupied molecular orbital (LUMO) of  $\text{NO}_3^-$  and  $\text{NO}_2^-$  contains the  $\pi^*(\text{N–O})$  component (Figure 1-4), which results in a weakening of the N–O bond in response to reduction. In contrast, the LUMO of  $(\text{NO})_2$  exhibits the  $\pi(\text{N–N})$  interaction between two  $\pi^*(\text{N–O})$  orbitals (Figure 1-4), leading to a strengthening of the N–N bond.



**Figure 1-4.** Frontier orbitals of  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ , NO, and the NO dimer. The blue and red balls represent the N and O atoms, respectively.<sup>26</sup>

In addition to the bond behavior, coordination modes of  $\text{NO}_x$  species to metal centers, i.e., N- or O-coordination, significantly contribute to the reaction mechanism. In the enzymatic  $\text{NO}_2^-$  reduction, crystal structures of  $\text{Cd}_1\text{NiR}$  showed that  $\text{NO}_2^-$  binds to the iron center in the nitro mode (N-coordination), whereas the nitrite mode (O-coordination) has been detected in  $\text{CuNiR}$  (Figure 1-5(a)). In the NO reduction ( $2 \text{NO} \rightarrow \text{N}_2\text{O} + [\text{O}]$ ), various coordination modes of hyponitrite (ONNO) should be considered (Figure 1-5(b)). The ONNO intermediate must adopt the O-coordination mode to form metal-oxo species although NO prefers the N-coordination mode. Note that *cis-trans* isomerization should also be considered in the NO reduction.



**Figure 1-5.** Possible binding modes of (a)  $\text{NO}_2^-$  to the metal atom (M) and (b) ONNO to the mono- and di-nuclear center.

## 1.4. Overview of This Dissertation

The reduction of  $\text{NO}_x$  plays a significant role in the purification of exhaust gases and groundwater, as well as in the biological denitrification process. A deeper understanding of the reaction mechanism involved in  $\text{NO}_x$  reduction, including the identification of reaction intermediates, the determination of the rate-limiting step, and the analysis of the critical electronic structures, contributes to the advancement and enhancement of purification catalysts.

Among the  $\text{NO}_x$  reduction reactions, the NO molecule appears in the reduction of  $\text{NO}_2^-$  and NO. Since NO has one unpaired electron, the relevant chemical reaction is an open-shell system. Moreover, NO binding to metal ions possesses a non-innocent nature, meaning that it exhibits different oxidation states ( $\text{NO}^+$ ,  $\cdot\text{NO}$ , or  $\text{NO}^-$ ) depending on the type of metal and other ligands in the metal complex. Thus, the mechanistic analysis of chemical reactions involving NO requires knowledge of bioinorganic chemistry and coordination chemistry, as well as computational chemistry techniques. Due to these difficulties, theoretical studies on the reaction mechanisms of NO and  $\text{NO}_2^-$  reductions have been limited compared to other small molecule activation reactions, such as  $\text{O}_2$  activation and  $\text{CO}_2$  reduction.

In this dissertation, the author performed DFT calculations to determine the reaction mechanism of  $\text{NO}_x$  reduction reactions catalyzed by copper complexes. The theoretical study presented here is not merely an elucidation of individual reaction mechanisms; it also provides sample data that facilitate comparative studies of the reaction mechanisms in the case of various complexes. This approach highlights the unique reaction behaviors and electronic structures shown by different complexes, offering valuable insights for optimizing catalytic performance through molecular design. This dissertation contributes to advancing the understanding of homogeneous  $\text{NO}_x$  reduction and to accelerating the development of next-generation catalysts in this field.

In Chapter 2 (*Dalton Trans.* **2022**, *51*, 5399-5403), the reaction mechanism for the reduction of NO to  $\text{N}_2\text{O}$  mediated by a dicopper complex is investigated. The computed results indicate that the reaction consists of three fundamental steps: (1) N–N bond formation, (2) isomerization of the  $\text{N}_2\text{O}_2$  moiety and (3) N–O bond cleavage. The rate-

determining step is the first step of the N–N bond formation, and its activation energy is 21.8 kcal mol<sup>-1</sup>. During the NO reduction, the dicopper complex possesses various intermediates containing the *cis*- and *trans*-N<sub>2</sub>O<sub>2</sub> isomers with different coordination modes to the Cu atoms. This flexibility of the dicopper complex allows adopting the most favored structures for each step in the NO reduction. As a result of electronic structure analysis, the frequent ET between the Cu atoms and the ligand, in addition to the structural flexibility, facilitate the occurrence of the sequential reactions during the NO reduction.

In Chapter 3 (*Inorg. Chem.* **2023**, *62*, 13765-13774), the reduction of NO<sub>2</sub><sup>-</sup> to NO mediated by a copper complex using phenol as a reductant is analyzed. Two reaction pathways initiated from O-coordinated nitrite complex (Cu-ONO) and N-coordinated nitro complex (Cu-NO<sub>2</sub>) are investigated. Given the calculated energy profiles, the two pathways are comparable. In addition, both pathways involve with concerted proton-electron transfer (CPET). To better understand the CPET-derived NO<sub>2</sub><sup>-</sup> reduction, the electronic state changes associated with the structural changes derived from the intrinsic reaction coordinate (IRC) analysis were examined carefully, revealing the behaviors of proton transfer (PT) and electron transfer (ET) along the reaction coordinate. Then, the author introduced the PT and ET points as indices to evaluate the asynchronicity of PT and ET in a CPET reaction. The IRC analysis conducted with PT and ET points revealed the difference of the CPET mechanism in the two pathways substantially in terms of the asynchronicity of PT and ET.

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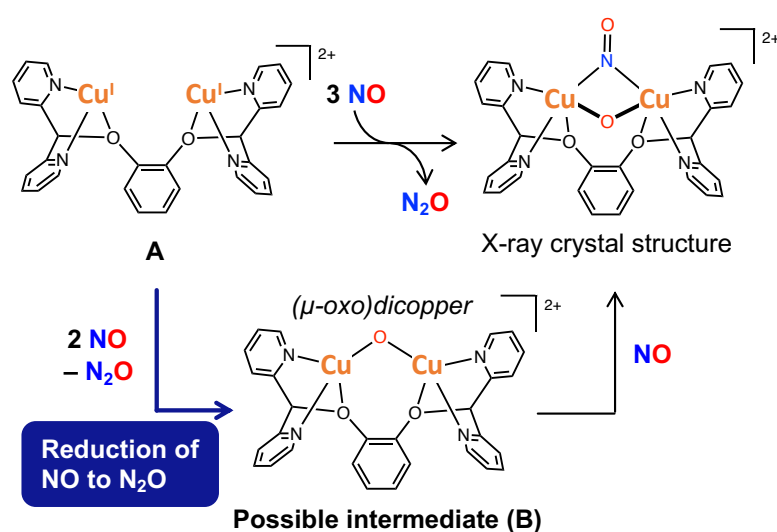
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26. Molecular orbitals were calculated at the B3LYP/6-311G\*\* level of theory.

## Chapter 2. Mechanistic Study on Reduction of Nitric Oxide to Nitrous Oxide Using A Dicopper Complex

### 2.1. Introduction

The reduction of nitric oxide (NO) to nitrous oxide (N<sub>2</sub>O) is important in biological denitrification and in heterogeneous catalytic processes useful for pollution control.<sup>1-3</sup> In biological systems, this reaction is accomplished by NO reductase (NOR) and flavodiiron NO reductase (FNOR), which convert 2 equiv. of NO, two electrons, and two protons into N<sub>2</sub>O and water.<sup>3-15</sup> Extensive efforts have been devoted to fully elucidating the corresponding catalytic cycle. On the basis of experimental evidence, NO reduction is widely acknowledged to proceed via a hyponitrite (N<sub>2</sub>O<sub>2</sub><sup>2-</sup>) intermediate via N–N bond formation.<sup>16-23</sup> Another useful clue in unraveling the mechanism is a  $\mu$ -oxo bridged species (*M*–O–*M*), which has been characterized as the resting state of NOR.<sup>24,25</sup> Still, details of the N–N bond formation, N–O bond cleavage, various possible intermediate species, and the exact timing of metal redox shuttling remain elusive.<sup>26-35</sup> Uncovering such details would provide substantial insights for the design of NOR-related therapeutics as well as for improving NO<sub>x</sub> purification systems.<sup>36-42</sup>

The reduction of NO to N<sub>2</sub>O using mono-<sup>43-47</sup> and dicopper<sup>22,48</sup> complexes has been



**Figure 2-1.** Possible reaction pathway of the reduction of NO to N<sub>2</sub>O using dicopper complex A.

experimentally demonstrated using information obtained from modeling studies. For example, Zhang and co-workers<sup>49</sup> have reported that NO can be activated by the dicopper complex supported by 1,2-bis(di(pyridin-2-yl)methoxy)benzene (**A**). The reaction of **A** with 3 equiv. of NO provides the ( $\mu$ -oxo)( $\mu$ -nitrosyl)dicopper complex and N<sub>2</sub>O (Figure 2-1). Possible reaction mechanisms based on measured kinetics and isolated intermediates have been postulated. Metz<sup>50</sup> used density functional theory (DFT) calculations to theoretically investigate the reduction of NO to N<sub>2</sub>O by monocopper complexes.<sup>44-46</sup> However, in the case of dicopper complexes, the literature contains no studies that present a complete mechanism including all intermediates. We were therefore motivated to propose a reaction mechanism using a dicopper model for the reduction of NO. In a reduction of NO to N<sub>2</sub>O using a bimetallic complex, the  $\mu$ -oxo complex is generally considered an intermediate or a product. Therefore, the presumed reaction pathway is that dicopper complex **A** reacts with 2 equiv. of NO to form  $\mu$ -oxo complex **B**, followed by another NO coordinating to **B** to give the product complex (Figure 2-1). In the present study, we focus on the reduction of NO to form N<sub>2</sub>O and **B**.

## 2.2. Computational Methods

All calculations were performed using the spin-unrestricted B3LYP functional<sup>51</sup> implemented in the Gaussian 16 package<sup>52</sup> for the structural optimization. Because the total charge of dicopper(I) complex **A** is +2, we considered three possible spin states: closed-shell singlet, open-shell singlet, and triplet states. The open-shell singlet state was computed using the broken-symmetry approach. Vibration frequencies were systematically computed to ensure that the potential energy surface for each optimized geometry corresponded to a local minimum with no imaginary frequencies, or to a saddle point with only one imaginary frequency. We used the (16s10p6d) primitive set of Wachters–Hay supplemented with one polarization *f*-function ( $\alpha = 1.44$  for Cu)<sup>53</sup> for the Cu atoms and the D95\*\* basis set for the H, C, N, and O atoms.<sup>54</sup> We added the Gibbs free energy correction (T = 298.15 K) and the Grimme’s dispersion correction (D3).<sup>55</sup> Implicit solvent effects of tetrahydrofuran ( $\epsilon = 7.4257$ ) were included via the polarizable continuum model (PCM).<sup>56</sup>

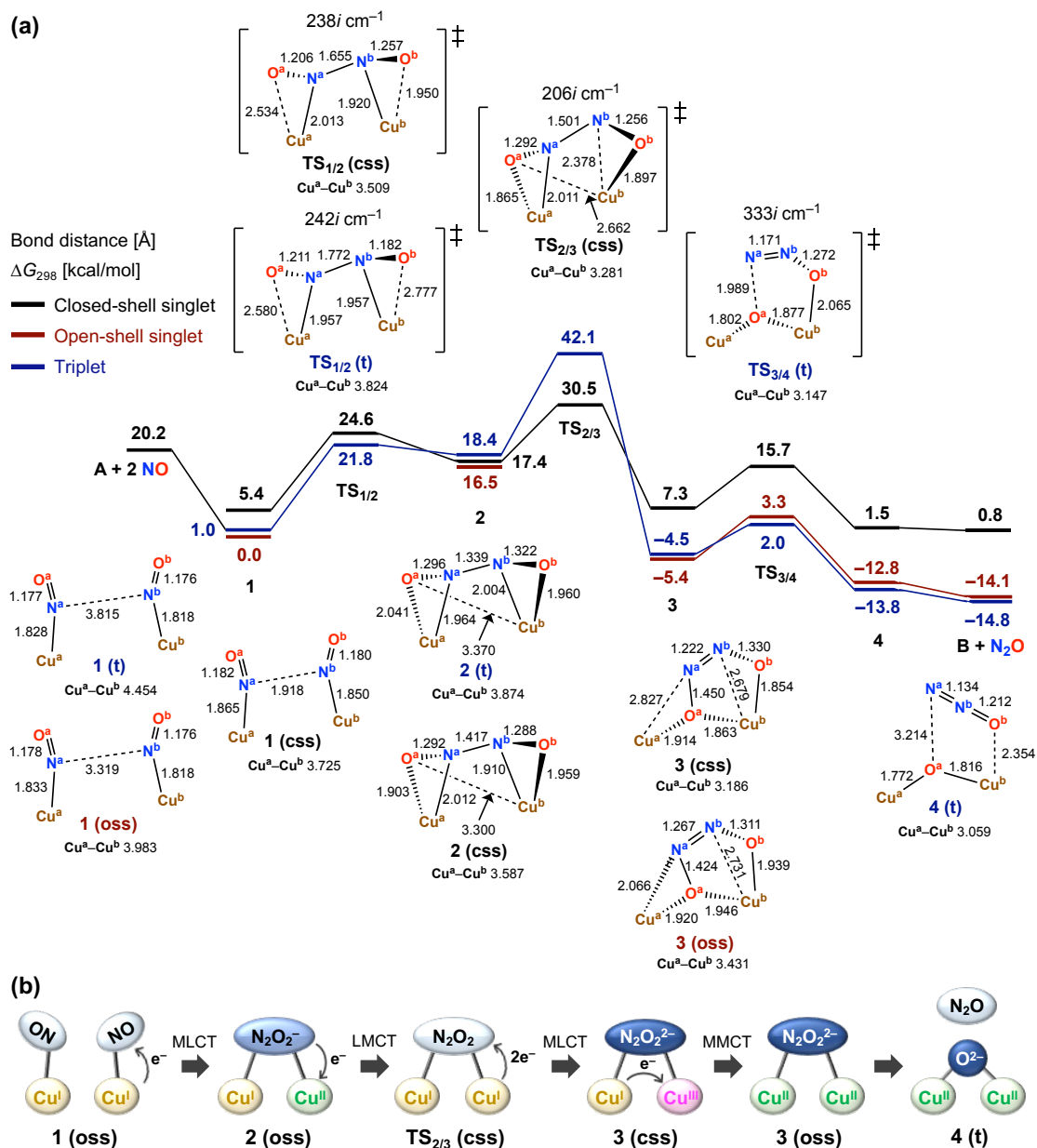
### 2.3. Results and Discussion

The reaction mechanism and ET proposed on the basis of the calculations are depicted in Figure 2-2. The reaction comprises three steps: (1) N–N bond formation, (2) isomerization of  $\text{N}_2\text{O}_2$ , and (3) N–O bond cleavage. The DFT calculations suggested that the potential energy surfaces of the closed-shell singlet, open-shell singlet, and triplet states lie close together along the first half of the reaction path. In the first step, the three spin states compete energetically. After formation of the N–N bond, the closed-shell singlet is dominant for the isomerization of the  $\text{N}_2\text{O}_2$  moiety. In the final step, the open-shell singlet and triplet states are low-lying states. The calculated binding energy between **A** and 2 equiv. of NO is  $-20.2$  kcal/mol to form **1** with the end-on coordination mode. In the triplet state of **1**, the  $\text{N}^{\text{a}}\text{--O}^{\text{a}}$  and  $\text{N}^{\text{b}}\text{--O}^{\text{b}}$  bond lengths were calculated to be  $1.177$  Å and  $1.176$  Å, respectively. The  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$  distance of  $3.815$  Å is too far for the two N atoms to interact. The Cu–Cu distance of  $4.454$  Å is also too far. The computed spin densities of the Cu atoms in **1** (**t**) are nearly zero, and the spin densities are spatially localized at the two NO moieties. Thus, the formal charges of the  $\text{Cu}^{\text{a}}$  and  $\text{Cu}^{\text{b}}$  atoms can both be assigned as  $+1$  ( $3d^{10}$ ). Given that the relative energies are  $-1.0$  kcal/mol in the open-shell singlet state and  $+5.4$  kcal/mol in the closed-shell singlet state, the other two spin states in **1** are also energetically possible. The optimized geometry of **1** (**oss**) is similar to the triplet geometry, and the  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$  distance is  $3.319$  Å, whereas **1** (**css**) has a short  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$  distance of  $1.918$  Å.

The  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$  bond formation occurs through a transition state,  $\text{TS}_{1/2}$ . The coordination of the two NO moieties subsequently changes from the end-on mode to the side-on mode, resulting in the two Cu–O bonds in **2**. The  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$  distance decreases from  $3.815$  Å in **1** to  $1.339$  Å in **2** via  $1.772$  Å in  $\text{TS}_{1/2}$  in the triplet state. Given the lengths of the  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$ ,  $\text{N}^{\text{a}}\text{--O}^{\text{a}}$ , and  $\text{N}^{\text{b}}\text{--O}^{\text{b}}$  bonds, the  $\text{N}_2\text{O}_2$  moiety of **2** is assigned as the mono-anion  $\text{N}_2\text{O}_2^-$ , indicating that one electron was transferred from the dicopper center to the  $\text{N}_2\text{O}_2$  moiety. The activation energies for  $\text{TS}_{1/2}$  are  $24.6$  kcal/mol in the closed-shell singlet state and  $21.8$  kcal/mol in the triplet state. Accordingly, the triplet state is dominant to facilitate N–N bond formation. During the reaction from **1** (**t**) to  $\text{TS}_{1/2}$  (**t**), there is little change in spin densities is little (see Table S2-2), while the  $\text{N}^{\text{a}}\text{--N}^{\text{b}}$  distance decreases drastically, almost

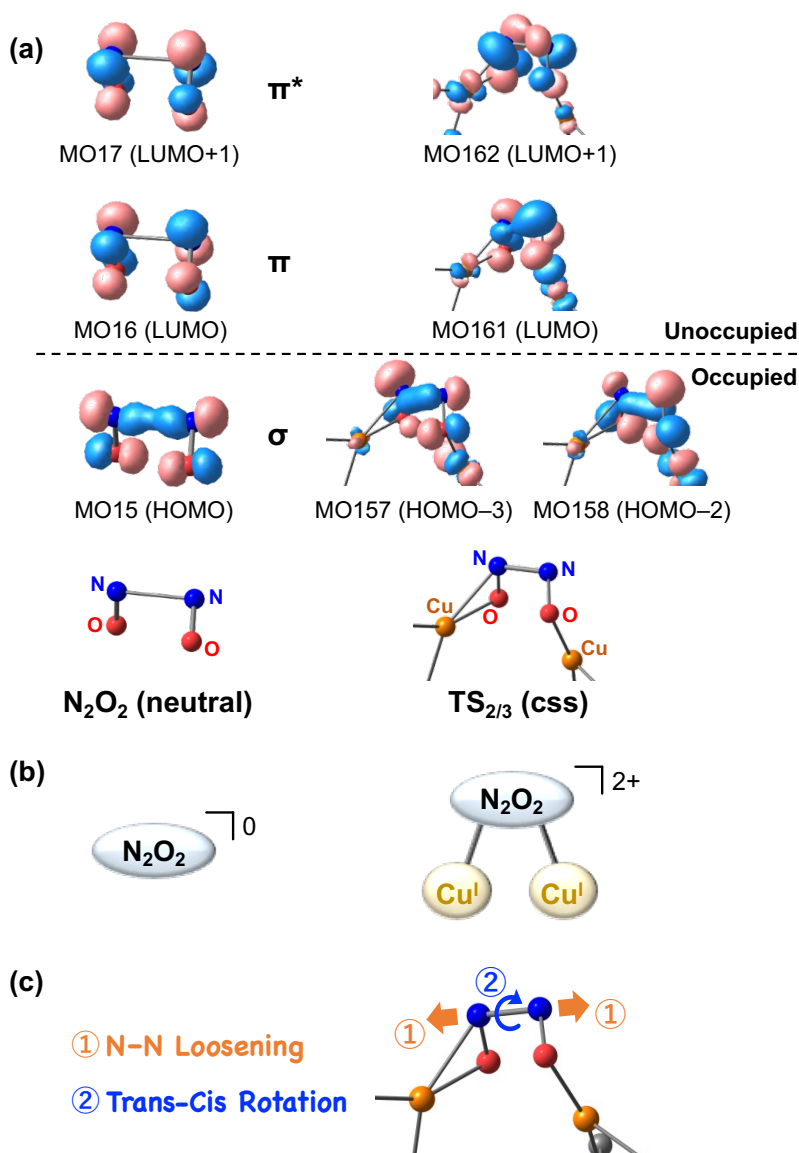
forming a single N–N bond. Therefore, the shortening of N–N distance, rather than electron transfer, triggers the N–N bond formation.

Subsequently, isomerization of the  $\text{N}_2\text{O}_2$  ligand with rotation around the N–N bond occurs via  $\text{TS}_{2/3}$ , which is a transition state corresponding to the cleavage of the  $\text{Cu}^b\text{--N}^b$  bond and the formation of the  $\text{Cu}^b\text{--O}^a$  bond. In the closed-shell singlet state, the  $\text{Cu}^b\text{--N}^b$  bond distance increases from 1.910 Å in **2** to 2.679 Å in **3** via 2.378 Å in  $\text{TS}_{2/3}$ , whereas



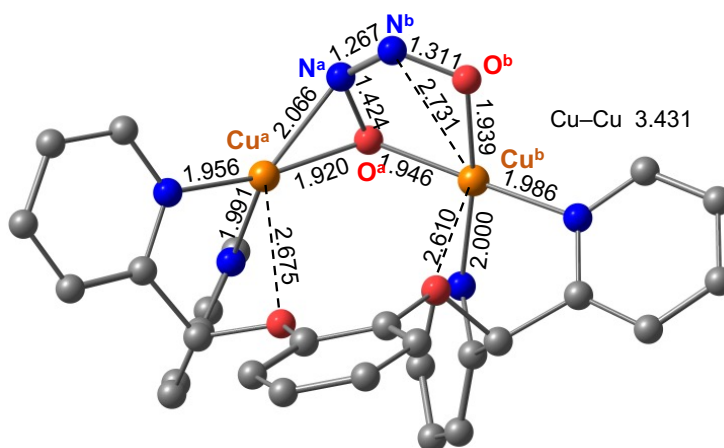
**Figure 2-2.** (a) Free-energy profile for the reduction of NO to  $\text{N}_2\text{O}$  by dicopper complex **A**. (b) Schematic representation of the changes in electronic states during the NO reduction by the dicopper complex.

the Cu<sup>b</sup>-O<sup>a</sup> bond distance decreases from 3.300 Å in **2** to 1.946 Å in **3** via 2.662 Å in **TS**<sub>2/3</sub>. This step also corresponds to the *cis*-*trans* isomerization with respect to the O<sup>a</sup>-N<sup>a</sup>-N<sup>b</sup>-O<sup>b</sup> dihedral angle, which decreases from 154.4° in **2** to 3.6° in **3** via 75.8° in **TS**<sub>2/3</sub>. Calculated energies of **TS**<sub>2/3</sub> are 42.1 kcal/mol in the triplet state and 30.5 kcal/mol in the closed-shell singlet state. Thus, the singlet pathway is energetically favored, leading to the formation of **3**. The DFT calculations indicate that electron transfer occurs from the Cu center to the N<sub>2</sub>O<sub>2</sub> moiety. In fact, the N<sup>a</sup>-N<sup>b</sup> bond distance decreases from 1.344 Å in **2** to 1.267 Å in **3** via 1.501 Å in **TS**<sub>2/3</sub>. The N<sup>a</sup>-N<sup>b</sup> bond is shortened during the step from **2** to **3**, whereas it is elongated in the vicinity of **TS**<sub>2/3</sub>. Such a change of the N<sup>a</sup>-N<sup>b</sup> bond length represents a decrease in the π-character of the N<sup>a</sup>-N<sup>b</sup> bond, which facilitates the *trans*-*cis* rotation. After **TS**<sub>2/3</sub>, the ground state of **3** changes from the closed-shell singlet to the triplet state. To help the better understanding of the change in the electronic state induced by the isomerization, we performed an orbital analysis. Figure 2-3(a) shows an orbital analysis of the isolate N<sub>2</sub>O<sub>2</sub> molecule with the dihedral angle of 75.8° and **TS**<sub>2/3</sub> (**css**). Structurally compared with the isolate N<sub>2</sub>O<sub>2</sub> species (Figure S2-2), the N<sub>2</sub>O<sub>2</sub> moiety of dicopper complexes was assigned to mono anion in **2**, neutral molecule in **TS**<sub>2/3</sub> and dianion in **3**. The orbital analysis of **TS**<sub>2/3</sub> shows that the occupied orbital of **TS**<sub>2/3</sub> has the same σ(N-N) orbital as the neutral N<sub>2</sub>O<sub>2</sub> molecule. Furthermore, similarities were observed for other orbitals, π(N-N) and π\*(N-N), indicating that **TS**<sub>2/3</sub> and the neutral N<sub>2</sub>O<sub>2</sub> molecule have a similar electronic configuration (Figure 2-3(b)). Thus, our DFT calculations suggest that the valence of the dicopper active site changes from Cu(I)Cu(II) in **2** to Cu(II)Cu(II) or Cu(I)Cu(III) in **3** via Cu(I)Cu(I) in **TS**<sub>2/3</sub>. Given these geometrical and electronic changes through **TS**<sub>2/3</sub> (**css**), the return of electron to the Cu atoms induces the loosening of the N-N bond, facilitating the *trans*-*cis* rotation as illustrated in Figure 2-3(c). The closed-shell singlet state and the open-shell singlet state of **3** correspond to Cu(I)Cu(III) and Cu(II)Cu(II), respectively. The disproportionate valence of Cu<sup>a</sup> and Cu<sup>b</sup> is energetically unfavorable for **3**; thus, one electron is transferred to form the open-shell singlet state of the two Cu(II) centers, as shown in Figure 2-2(b). Notably, it is also plausible that the process proceeds without passing through **3** (**css**) (Cu(I)Cu(III)). In this scenario, **TS**<sub>2/3</sub> (**css**) (Cu(I)Cu(I)) directly transforms into **3** (**oss**) (Cu(II)Cu(II)), where two electrons are transferred from Cu(I)Cu(I)—one from each Cu(I)—to the N<sub>2</sub>O<sub>2</sub> ligand.



**Figure 2-3.** Assignment of an electronic structure of  $\text{TS}_{2/3}$  (css). (a) Molecular orbitals of  $\text{N}_2\text{O}_2$  molecule and  $\text{TS}_{2/3}$  (css). The  $\text{N}_2\text{O}_2$  molecule was produced by rotating the O–N–N–O dihedral angle of the optimized *cis*- $\text{N}_2\text{O}_2$  molecule to  $75.8^\circ$ . (b) Schematic representation of formal charges. (c) Conceptual representation of the *trans*–*cis* rotation via  $\text{TS}_{2/3}$  (css).

The geometrical structure of **3** is unique, where the  $\text{N}_2\text{O}_2$  moiety coordinates to the two Cu ions to form a  $\mu$ -oxo structure. On the basis of the Mulliken spin densities, we assigned the formal charges of the  $\text{Cu}^a$  and  $\text{Cu}^b$  atoms and the  $\text{N}_2\text{O}_2$  moiety in **3** (**oss**), (**t**) as +2, +2, and -2, respectively. Notably, as shown in Figure 2-4, the  $\text{N}^a\text{-O}^a$  bond of **3** is distinctively elongated to 1.424 Å in **3** (**oss**), which is longer than the  $\text{N-O}$  bond of the *cis*- $\text{N}_2\text{O}_2^{2-}$  dianion (calculated to be 1.362 Å, see Figure S2-2). A similar intermediate has been reported in the NO reduction by a diiron complex, which is a model of FNOR.<sup>57</sup>



**Figure 2-4.** Optimized structure of **3** (**oss**). Bond lengths are given in a unit of Å.

In the final step,  $\text{N}^a\text{-O}^a$  bond cleavage occurs to provide the ( $\mu$ -oxo)dicopper(II) complex and an  $\text{N}_2\text{O}$  molecule. During the  $\text{N}^a\text{-O}^a$  bond cleavage, the  $\text{N}^a\text{-N}^b$  and  $\text{N}^b\text{-O}^b$  bonds shorten and the  $\text{N}^a\text{-N}^b\text{-O}^b$  geometry changes from bent to linear. In addition, the  $\text{Cu-Cu}$  distance is further shortened (3.059 Å in **4** (**t**)) to form a  $\mu$ -oxo bridge. The open-shell singlet and triplet states are dominant in this step because  $\text{TS}_{3/4}$  (**oss**) and  $\text{TS}_{3/4}$  (**t**) are lower in energy by 12.4 and 13.7 kcal/mol than  $\text{TS}_{3/4}$  (**css**), respectively. The activation energies of  $\text{TS}_{3/4}$  are 6.5 kcal/mol in the triplet state and 8.7 kcal/mol in the open-shell singlet state. In the  $\text{N}^a\text{-O}^a$  bond cleavage, the Mulliken charges of the two Cu atoms remain unchanged. The charge of the  $\text{O}^a$  atom decreases from -0.40 to -0.59, whereas the total charges of the  $\text{N}^a$ ,  $\text{N}^b$ , and  $\text{O}^b$  atoms increase from -0.17 to 0.12. Therefore, this step is completed by the electron transfer from the  $\text{N}^a$ ,  $\text{N}^b$ , and  $\text{O}^b$  atoms to the  $\text{O}^a$  atom. In **4**, the open-shell singlet and triplet states are stable and no significant differences in geometry or energy are observed. The spin density of the  $\text{N}_2\text{O}$  moiety is almost zero. Accordingly, the  $\text{N}^a\text{N}^b\text{O}^b$  moiety exists as the  $\text{N}_2\text{O}$  molecule, indicating that

little interaction occurs between the ( $\mu$ -oxo)dicopper complex and the  $\text{N}_2\text{O}$  molecule. Finally, the desorption energy of  $\text{N}_2\text{O}$  from **4** requires 7.4 kcal/mol (electronic energy) to give the ( $\mu$ -oxo)dicopper complex **B** in the triplet state. However, the desorption energy becomes unnecessary when entropy correction is considered, as shown in Figure 2-2(a).

The overall reaction proceeds exothermically with an energy of 35.0 kcal/mol. The rate-determining step is the first step of the N–N bond formation, and its activation energy is 21.8 kcal/mol. During the NO reduction, the dicopper complex possesses various intermediates containing the *cis*- and *trans*- $\text{N}_2\text{O}_2$  isomers with different coordination modes to the Cu atoms. This flexibility of the dicopper complex allows adopting the most favored structures for each step in the NO reduction, i.e., N–N bond formation,  $\text{N}_2\text{O}_2$  isomerization, and N–O bond cleavage. In addition to this structural flexibility, the frequent ET between the Cu atoms and the ligand, as illustrated in Figure 2-2(b), facilitate the occurrence of the sequential reactions during the NO reduction.

## 2.4. Conclusions

We have investigated the mechanism for the reduction of NO to N<sub>2</sub>O by a dicopper complex using DFT calculations. The computed results indicate that the reaction consists of three fundamental steps: (1) N–N bond formation, (2) isomerization of the N<sub>2</sub>O<sub>2</sub> moiety and (3) N–O bond cleavage. The calculated reaction mechanism predicts that the coupling of two NO molecules initially occurs. The two NO molecules then transform from the end-on to the side-on mode. The first step requires an activation energy of 21.8 kcal/mol in the triplet state and is the rate-determining step in this mechanism. The *cis*–*trans* isomerization of the N<sub>2</sub>O<sub>2</sub> moiety then occurs as the O atom is bridged between two Cu atoms with an activation energy of 13.1 kcal/mol in the closed-shell singlet state. Finally, cleavage of the N–O bond occurs to give [Cu<sub>2</sub>(μ-O)]<sup>2+</sup> with N<sub>2</sub>O. The elongated N–O bond in the reaction intermediate [Cu<sub>2</sub>(μ-ONNO)]<sup>2+</sup> contributes to the small activation energy of only 6.5 kcal/mol in the triplet state. The overall reaction was calculated to be exothermic by 35.0 kcal/mol in the triplet state. These computed results are consistent with the experimental observation, where N<sub>2</sub>O is released using the dicopper complex. In addition, the large binding energy of NO and Cu atoms in the reactant complex [Cu<sub>2</sub>(NO)<sub>2</sub>]<sup>2+</sup> and the small binding energy of N<sub>2</sub>O in the product complex [Cu<sub>2</sub>(N<sub>2</sub>O)(μ-O)]<sup>2+</sup> favor this catalytic cycle.

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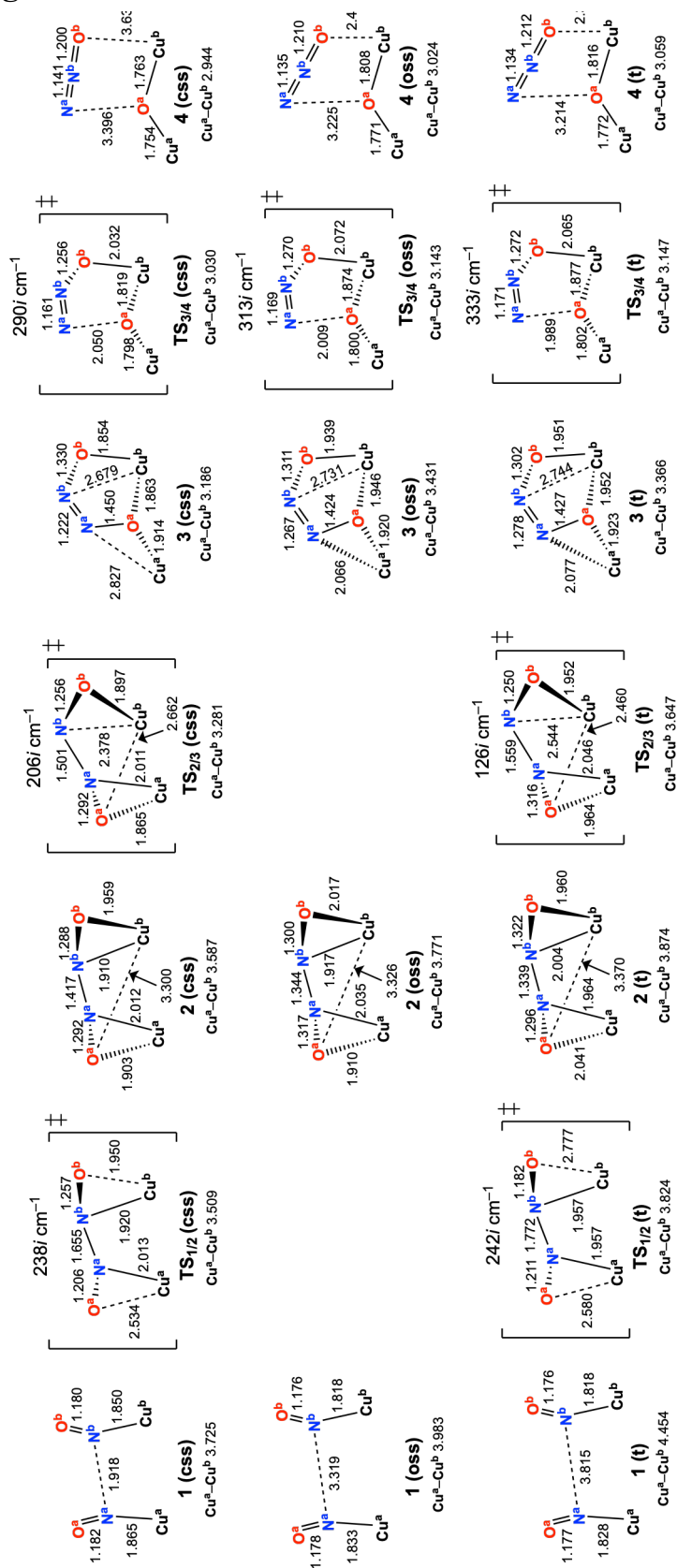
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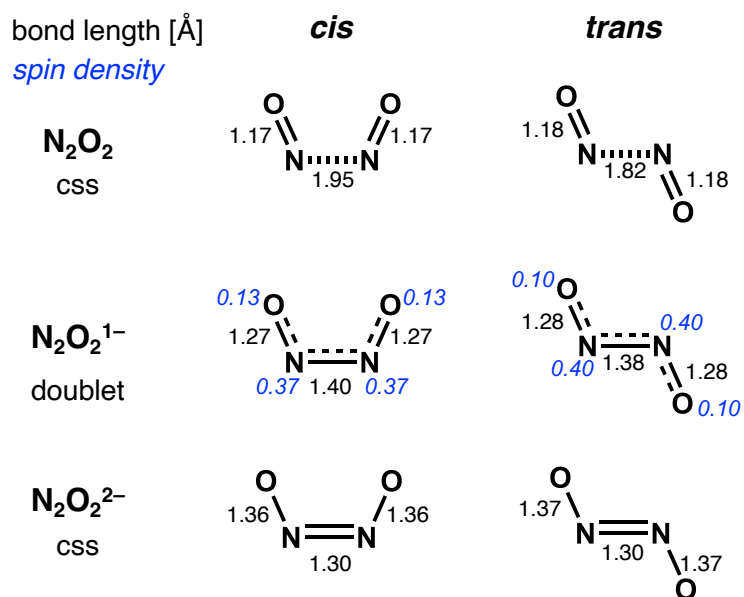
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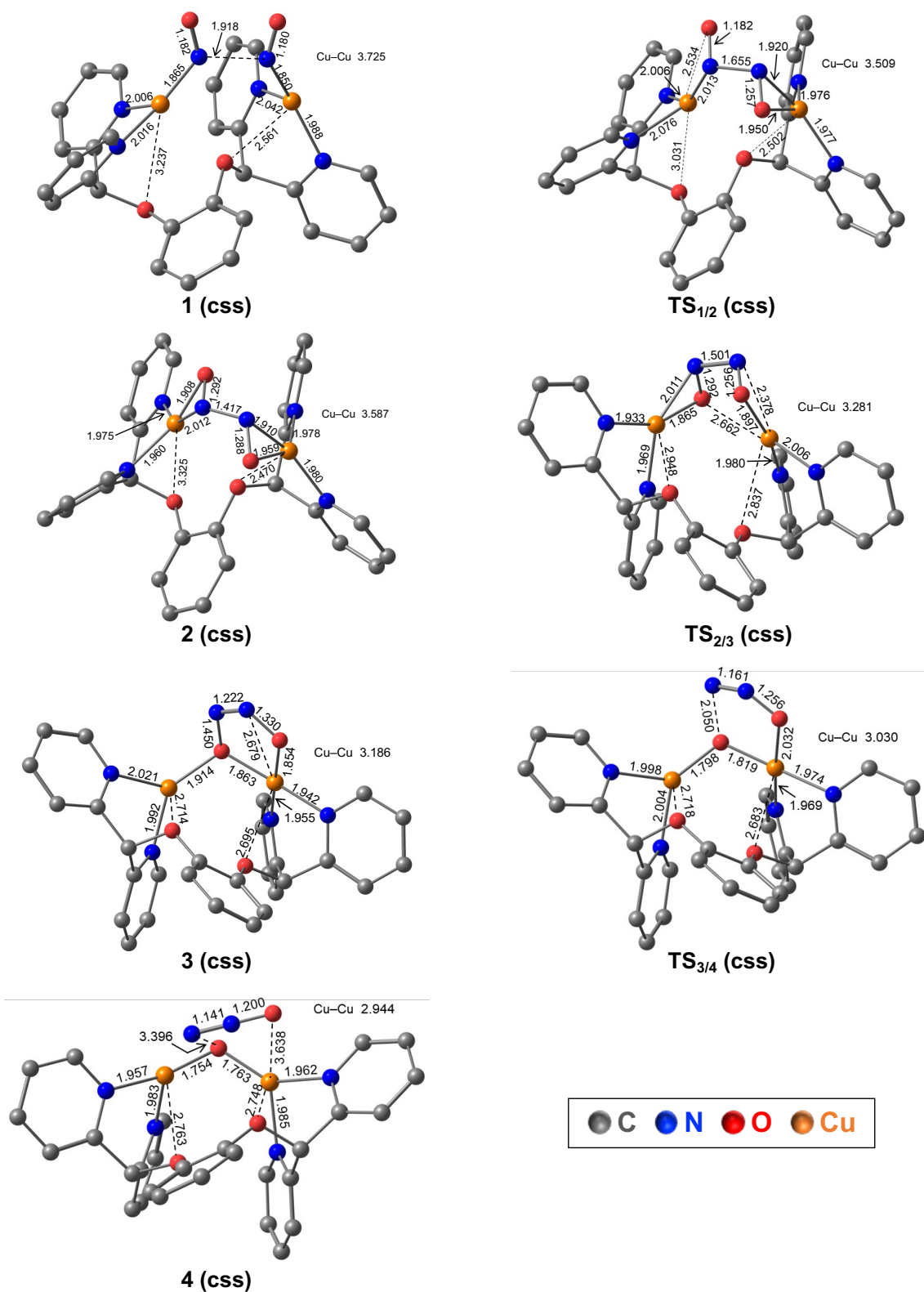
## Supporting Information



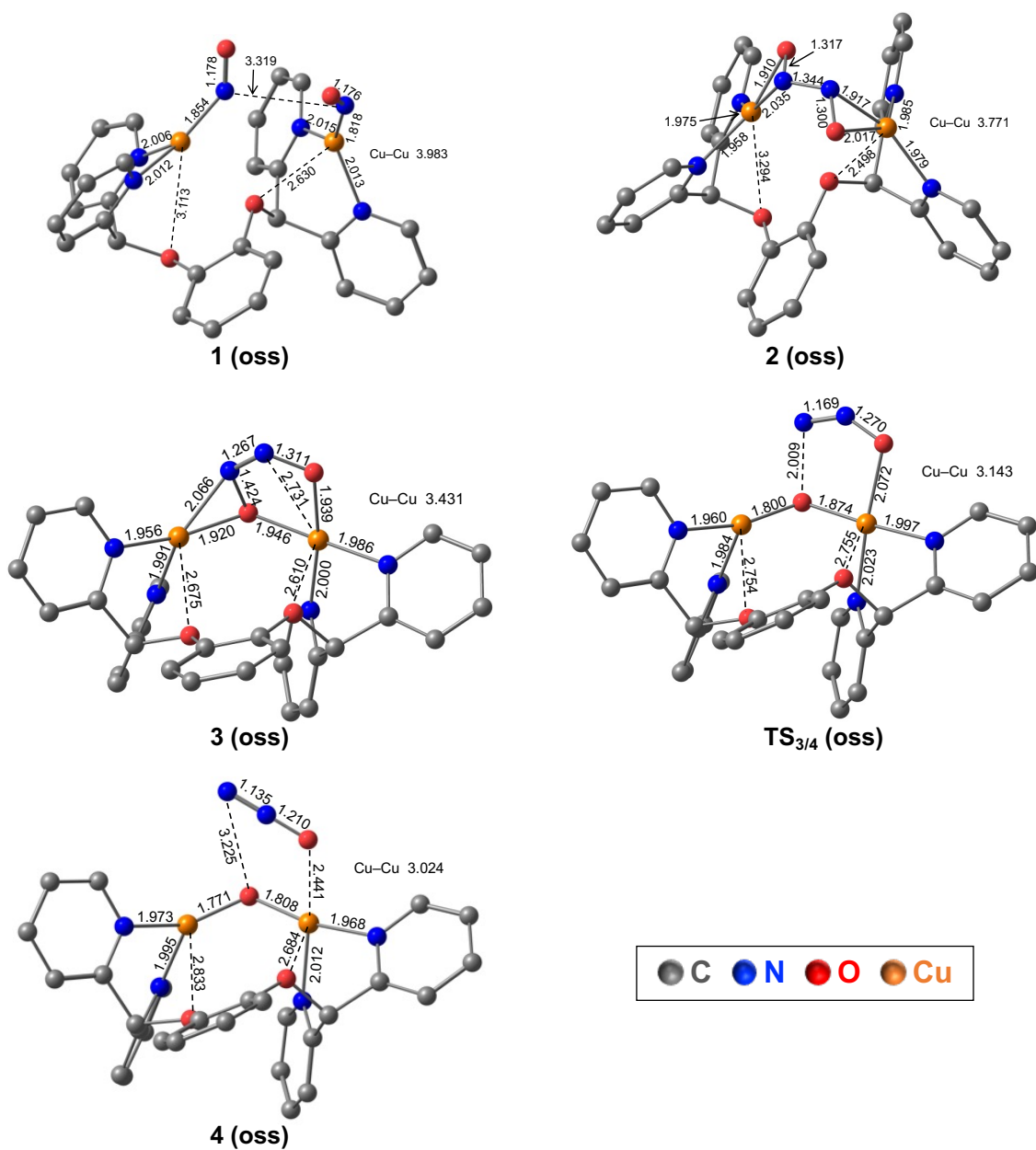
**Figure S2-1.** Optimized structures of all intermediates in the closed-shell singlet, open-shell singlet and triplet states. Distances are given in a unit of Å.



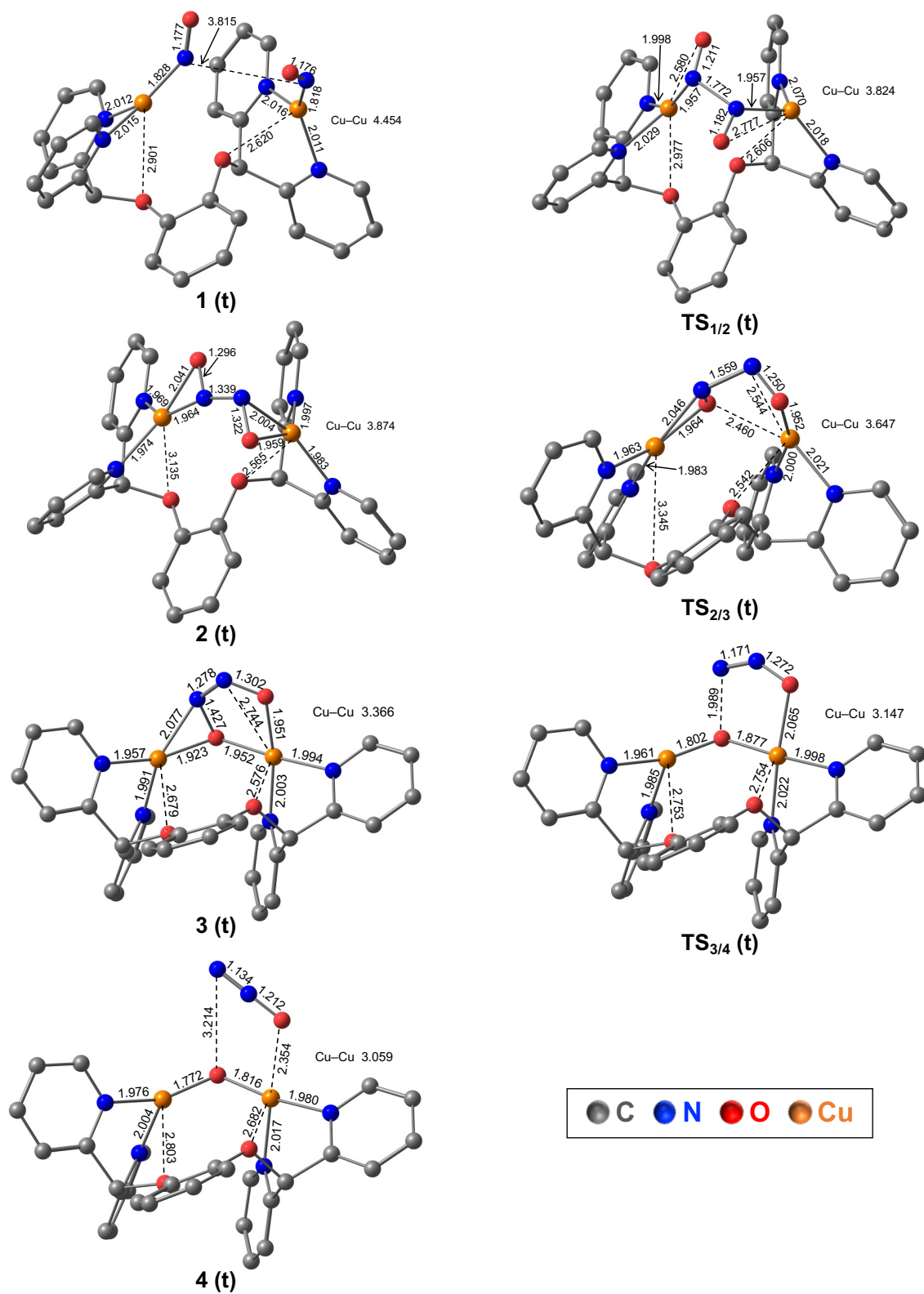
**Figure S2-2.** Optimized structures of neutral-, mono-anion- and di-anion  $\text{N}_2\text{O}_2$  species.



**Figure S2-2.** Overall structures of all species in the closed-shell singlet (css) state. H atoms are omitted for clarity. Bond distances are given in a unit of Å.



**Figure S2-3.** Overall structures of all species in the open-shell singlet (oss) state. H atoms are omitted for clarity. Bond distances are given in a unit of Å.



**Figure S2-4.** Overall structures of all species in the triplet (t) state. H atoms are omitted for clarity. Bond distances are given in a unit of Å.

**Table S2-1.** Calculated Mulliken charges of all intermediates.

<b>Closed-shell singlet</b>							
	<b>1</b>	<b>TS<sub>1/2</sub></b>	<b>2</b>	<b>TS<sub>2/3</sub></b>	<b>3</b>	<b>TS<sub>3/4</sub></b>	<b>4</b>
<b>Cu<sup>a</sup></b>	0.33	0.31	0.33	0.32	0.43	0.44	0.41
<b>Cu<sup>b</sup></b>	0.28	0.24	0.25	0.32	0.38	0.36	0.48
<b>N<sup>a</sup></b>	0.09	0.11	0.12	0.08	0.11	0.04	-0.08
<b>N<sup>b</sup></b>	0.09	0.08	0.13	0.11	0.11	0.29	0.35
<b>O<sup>a</sup></b>	-0.07	-0.09	-0.24	-0.21	-0.46	-0.57	-0.54
<b>O<sup>b</sup></b>	-0.07	-0.17	-0.24	-0.17	-0.29	-0.22	-0.21

<b>Open-shell singlet</b>							
	<b>1</b>	<b>TS<sub>1/2</sub></b>	<b>2</b>	<b>TS<sub>2/3</sub></b>	<b>3</b>	<b>TS<sub>3/4</sub></b>	<b>4</b>
<b>Cu<sup>a</sup></b>	0.31	-	0.34	-	0.44	0.51	0.45
<b>Cu<sup>b</sup></b>	0.30	-	0.29	-	0.39	0.38	0.41
<b>N<sup>a</sup></b>	0.05	-	0.13	-	0.07	0.04	-0.08
<b>N<sup>b</sup></b>	0.06	-	0.14	-	0.07	0.24	0.40
<b>O<sup>a</sup></b>	-0.04	-	-0.30	-	-0.40	-0.60	-0.60
<b>O<sup>b</sup></b>	-0.03	-	-0.28	-	-0.32	-0.28	-0.21

<b>Triplet</b>							
	<b>1</b>	<b>TS<sub>1/2</sub></b>	<b>2</b>	<b>TS<sub>2/3</sub></b>	<b>3</b>	<b>TS<sub>3/4</sub></b>	<b>4</b>
<b>Cu<sup>a</sup></b>	0.32	0.35	0.41	0.41	0.44	0.51	0.45
<b>Cu<sup>b</sup></b>	0.30	0.35	0.26	0.35	0.40	0.38	0.41
<b>N<sup>a</sup></b>	0.06	0.02	0.26	0.05	0.05	0.04	-0.07
<b>N<sup>b</sup></b>	0.06	0.09	0.00	0.07	0.08	0.24	0.40
<b>O<sup>a</sup></b>	-0.04	-0.10	-0.25	-0.27	-0.40	-0.58	-0.59
<b>O<sup>b</sup></b>	-0.03	-0.04	-0.31	-0.17	-0.30	-0.28	-0.21

**Table S2-2.** Calculated Mulliken spin densities of all intermediates.

<b>Open-shell singlet</b>							
	<b>1</b>	<b>TS<sub>1/2</sub></b>	<b>2</b>	<b>TS<sub>2/3</sub></b>	<b>3</b>	<b>TS<sub>3/4</sub></b>	<b>4</b>
<b>Cu<sup>a</sup></b>	0.01	-	0.37	-	0.53	0.62	0.51
<b>Cu<sup>b</sup></b>	-0.01	-	-0.34	-	-0.57	-0.56	-0.61
<b>N<sup>a</sup></b>	0.60	-	-0.17	-	0.02	0.02	-0.02
<b>N<sup>b</sup></b>	-0.61	-	0.11	-	0.03	0.03	0.01
<b>O<sup>a</sup></b>	0.38	-	0.00	-	0.03	-0.05	0.16
<b>O<sup>b</sup></b>	-0.38	-	0.03	-	-0.05	-0.08	-0.01

<b>Triplet</b>							
	<b>1</b>	<b>TS<sub>1/2</sub></b>	<b>2</b>	<b>TS<sub>2/3</sub></b>	<b>3</b>	<b>TS<sub>3/4</sub></b>	<b>4</b>
<b>Cu<sup>a</sup></b>	0.01	0.26	0.48	0.45	0.53	0.62	0.50
<b>Cu<sup>b</sup></b>	0.01	0.06	0.38	-0.32	0.59	0.56	0.59
<b>N<sup>a</sup></b>	0.62	0.45	0.40	0.77	0.00	-0.05	0.02
<b>N<sup>b</sup></b>	0.61	0.29	-0.10	0.49	0.09	0.01	-0.01
<b>O<sup>a</sup></b>	0.38	0.51	0.44	0.44	0.20	0.39	0.55
<b>O<sup>b</sup></b>	0.38	0.34	0.10	0.11	0.20	0.09	0.02

## Chapter 3. Mechanistic Study of Reduction of Nitrite to NO by the Copper(II) Complex: Different Concerted Proton–Electron Transfer Reactivity between Nitrite and Nitro Complexes

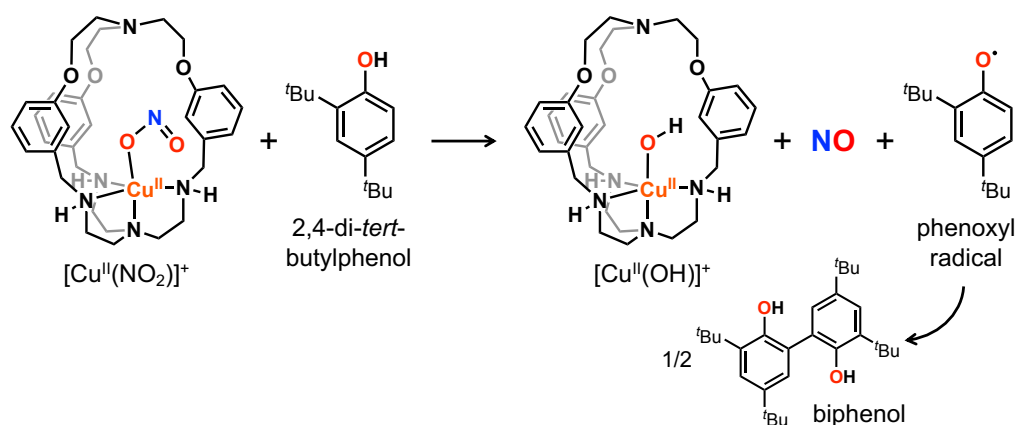
### 3.1. Introduction

The reduction of nitrite ( $\text{NO}_2^-$ ) to nitric oxide (NO) has attracted intensive attention because of its critical roles in the biogeochemical nitrogen cycle and numerous physiological processes. In a denitrification process of the nitrogen cycle, nitrate ( $\text{NO}_3^-$ ) and  $\text{NO}_2^-$  are sequentially converted into  $\text{N}_2$  ( $\text{NO}_3^- \rightarrow \text{NO}_2^- \rightarrow \text{NO} \rightarrow \text{N}_2\text{O} \rightarrow \text{N}_2$ ) by different microbial metalloenzymes.<sup>1–5</sup> The reduction of  $\text{NO}_2^-$  to NO is the second step of the denitrification and is catalyzed by nitrite reductases (NiRs) such as Cu-containing NiR and heme-containing NiR.<sup>3–11</sup> The physiological role of  $\text{NO}_2^-$  reduction is the synthesis of NO, which functions as a signaling agent during immune response, vasodilation, and neurotransmission, among other functions.<sup>12,13</sup> Such  $\text{NO}_2^-$  reduction as a NO reservoir is performed by Mo-containing NiRs such as xanthine oxidase, aldehyde oxidase, sulfite oxidase and mainly by globins.<sup>6,14–18</sup>

Several studies on the reduction of  $\text{NO}_2^-$  by Cu complexes have been reported. Spectroscopic studies and X-ray crystal structure determinations have revealed important aspects of  $\text{NO}_2^-$  reduction at Cu active centers.<sup>19–32</sup> Woollard-Shore et al. reported the synthesis, characterization, and catalytic properties of four Cu(II) complexes as catalytic agents for  $\text{NO}_2^-$  reduction.<sup>20</sup> Their crystallographic study revealed three different coordination modes:  $\eta^1\text{-NO}_2$ ,  $\eta^1\text{-ONO}$ , and  $\eta^2\text{-ONO}$ . Hunt et al. investigated electrocatalytic activities for nitrite reduction using six Cu(II) complexes and showed the relationship between the reactivity and electrochemical properties.<sup>26</sup> Maji et al. conducted an electrochemical study and suggested that the coordination mode is isomerized from  $\eta^2\text{-ONO}$  to  $\eta^1\text{-NO}_2$  during  $\text{NO}_2^-$  reduction by a Cu(II) complex.<sup>30</sup> Computational studies have also provided beneficial information. Geometry optimization using density functional theory (DFT) calculations has been used to compare the deduced structures with experimentally obtained structures, and molecular orbital analysis has been used to clarify the corresponding electronic structures.<sup>33–36</sup> Symes and coworkers carried out a

calculation for the formation of Cu(II)–HNO<sub>2</sub> from Cu(II)–NO<sub>2</sub> and the corresponding transition state of proton transfer from ligand-derived phenol to NO<sub>2</sub><sup>−</sup>, where the activation energy was calculated to be 33.5 kcal/mol.<sup>35</sup> Szymczak and coworkers carried out a calculation for the reaction from Cu(I)–NO<sub>2</sub> to Cu(II)–OH and a corresponding transition state with an activation energy of 21.7 kcal/mol.<sup>36</sup> Thus, the reaction mechanism for Cu-mediated NO<sub>2</sub><sup>−</sup> reduction has been investigated using both experimental and theoretical methods. In addition, the involvement of concerted proton–electron transfer (CPET) has been proposed on the basis of kinetic isotope effect studies.<sup>35</sup> However, detailed information, such as the source of the protons and electrons and the mechanism by which NO is released, is lacking.

This work aims to highlight the mechanistic features of NO<sub>2</sub><sup>−</sup> reduction by a Cu(II) complex, as described by Kundu and coworkers.<sup>37</sup> They reported the phenol-mediated reduction of NO<sub>2</sub><sup>−</sup> to NO by a Cu(II) complex supported by a tripodal-heteroditopic-cryptand ligand (**L**) (Figure 3-1). The reaction of 2,4-di-*tert*-butylphenol with the nitrite copper(II) complex ([Cu<sup>II</sup>(**L**)(ONO)]<sup>+</sup>) forms the hydroxyl copper(II) complex ([Cu<sup>II</sup>(**L**)(OH)]<sup>+</sup>), NO, and 3,3',5,5'-tetra-*tert*-butyl-(1,1'-biphenyl)-2,2'-diol (biphenol). The biphenol was presumed to be formed by coupling of the corresponding phenoxy radical (Figure 3-1). Corresponding mechanistic studies indicated the involvement of concerted proton-electron transfer (CPET) in the NO<sub>2</sub><sup>−</sup> reduction. In the present study, we carried out the density functional theory (DFT) calculations to elucidate the reaction



**Figure 3-1.** Reaction scheme of the nitrite reduction reported in ref 37.

pathway for  $\text{NO}_2^-$  reduction. We focused on a change in the electronic structure during the conversion from  $\text{NO}_2^-$  into NO by the Cu(II) complex. On the basis of DFT calculations conducted using intrinsic reaction coordinate (IRC) and intrinsic bond orbital (IBO) analyses, we deduced a reaction mechanism for the reduction of  $\text{NO}_2^-$  and successfully described the precise CPET behavior. The results of our IRC and IBO analyses demonstrate the importance of asynchronicity between PT and ET in CPET reactions.

## 3.2. Computational Methods

We investigated the mechanism for  $\text{NO}_2^-$  reduction by a Cu(II) complex using DFT calculations. We used the B3LYP method,<sup>38–40</sup> which has been widely used for simulating various transition-metal complexes for more than 25 years. All calculations were performed using spin-unrestricted DFT implemented in the Gaussian 16 package<sup>41</sup> for the structural optimization. The spin densities were calculated by the Mulliken population analysis. Because the total charge of the Cu(II) complex is +1, we considered the doublet state. Vibration frequencies were systematically computed to ensure that the potential-energy surface associated with each optimized geometry corresponded to a local minimum with no imaginary frequencies, or to a saddle point with only one imaginary frequency. We used the 15s11p6d primitive set of Wachters–Hay supplemented with one polarization f-function ( $\alpha = 1.44$  for Cu)<sup>42–44</sup> for the Cu atoms and the 6-31G\*\* basis set<sup>45–47</sup> for the H, C, N, and O atoms. We added Grimme's dispersion correction (D3).<sup>48,49</sup> The B3LYP-D3 method provides good results for the calculation of copper complex, as does the coupled cluster method.<sup>50</sup> Implicit solvent effects of acetonitrile ( $\epsilon = 35.688$ ) were included via the polarizable continuum model (PCM).<sup>51</sup> Intrinsic reaction coordinate (IRC) analysis was conducted to confirm transition states and to follow the change of electronic structures in the vicinity of transition states.<sup>52,53</sup> Using the IRC method, we can also trace the steepest descent path from the transition state. The reaction coordinate ( $s$ ) in the  $N$ -atoms system is defined as follows:

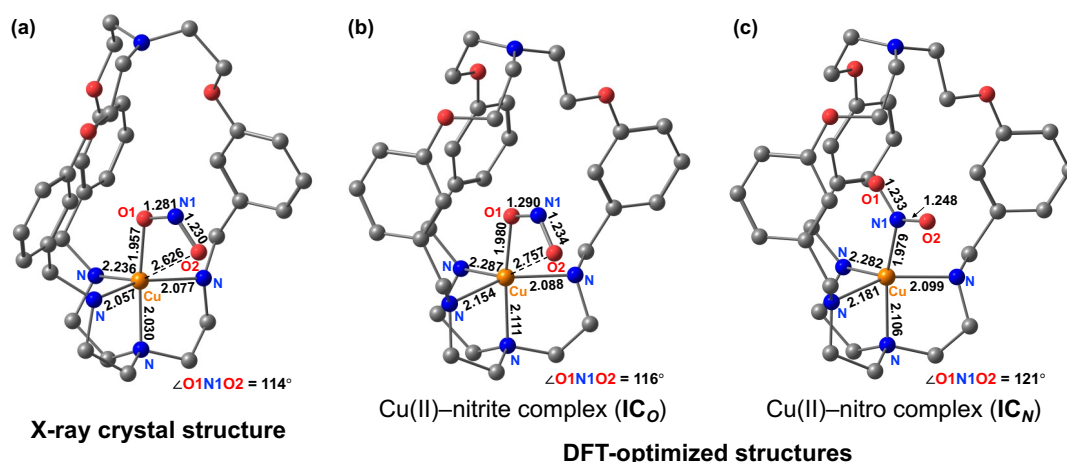
$$ds^2 = \sum_{i=1}^{3N} (\sqrt{m_i} dx_i)^2$$

where  $x_i$  and  $m_i$  are the cartesian coordinates and the atomic mass of the atom  $i$ , respectively. Since  $ds$  is defined as the sum of the displacements of each moving atom corresponding to molecular deformation, it is used as a quantitative measure of molecular deformation. To characterize a concerted reaction which contains multiple chemical events, the IBO method was used.<sup>54–56</sup> To calculate IBOs, we carried out single-point calculations at the B3LYP/def2-TZVP level<sup>57,58</sup> of theory using TURBOMOLE rev. V7.5.0,<sup>59</sup> where the transition state and the IRC structures were obtained using the Gaussian 16 program. IBOs are generated and visualized by IboView<sup>54</sup> using electron structure data calculated by TURBOMOLE.

### 3.3. Results and Discussion

#### Optimized Structures of CuNO<sub>2</sub> Complexes

We first consider the initial complex [LCu<sup>II</sup>ONO]<sup>+</sup> in the NO<sub>2</sub><sup>-</sup> reduction by Cu(II), where L is a tripodal-heteroditopic-cryptand ligand. Figure 3-2 shows the X-ray crystal structure and the two DFT-optimized structures. DFT calculations suggested two coordination modes: an O-coordinated Cu(II)–nitrite complex (**IC<sub>O</sub>**), and an N-coordinated Cu(II)–nitro complex (**IC<sub>N</sub>**). These two coordination modes have been reported in both experimental<sup>20,23,33</sup> and computational studies.<sup>30,33</sup> For the X-ray crystal structure in Figure 3-2(a), the N1–O1 bond length, the N1–O2 bond length, and the O1–N1–O2 bond angle are 1.280(2) Å, 1.230(2) Å, and 114.01(14)°, respectively, which are completely consistent with **IC<sub>O</sub>** (the values are 1.290 Å, 1.234 Å, and 116°, respectively) in Figure 3-2(b). Both the X-ray crystal structures and the **IC<sub>O</sub>** show the η<sup>1</sup>-O1 binding mode for NO<sub>2</sub><sup>-</sup>. In the two structures, no significant difference is observed in the Cu–O1 and Cu–O2 distances. Additionally, the calculated spin density of Cu (0.56) and optimized structure indicate **IC<sub>O</sub>** has the d<sup>9</sup> Cu<sup>II</sup> state with a distorted octahedral structure, which is consistent with the X-band electron paramagnetic resonance (EPR) spectrum reported by Kundu et al.<sup>37</sup> These computed results indicate that our model and method are sufficient to correctly represent the chemistry of the active site of the Cu(II) complex supported by a tripodal-heteroditopic-cryptand ligand.

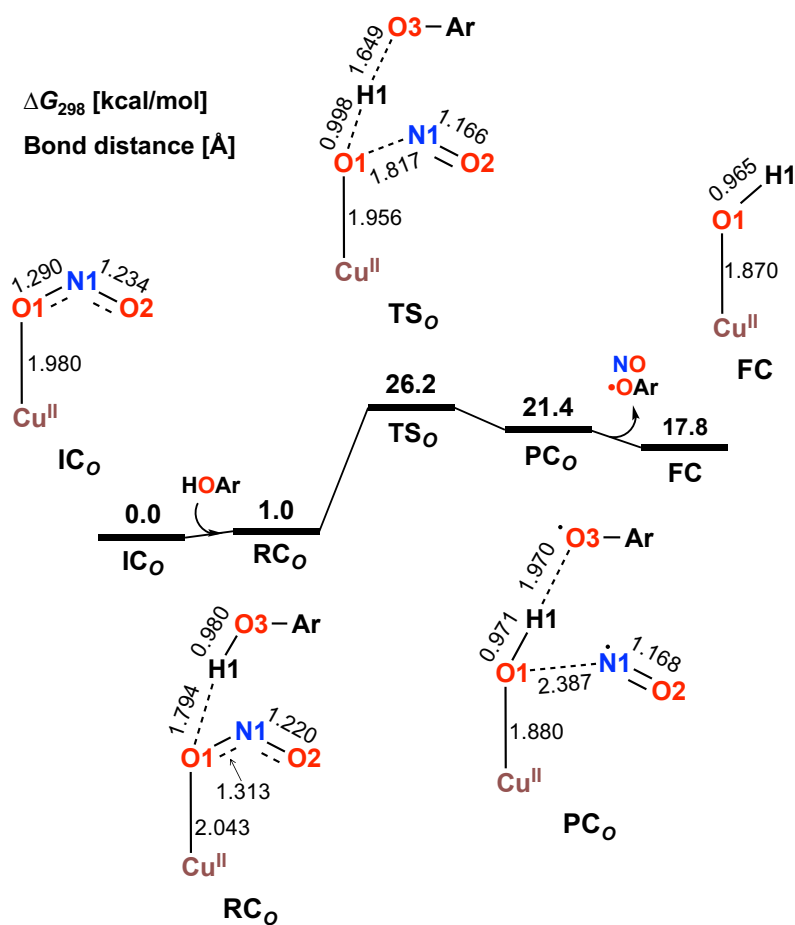


**Figure 3-2.** X-ray crystal structure reported in Ref. 37 (a) and DFT-optimized structures of O-coordinated Cu(II)–nitrite complex (**IC<sub>O</sub>**) (b) and N-coordinated Cu(II)–nitro complex (**IC<sub>N</sub>**) (c). Bond distances are expressed in Å. H atoms are omitted for clarity.

The DFT calculations suggest another stable structure,  $\mathbf{IC}_N$  (Figure 3-2(c)), which differs from the X-ray crystal structure. Because  $\mathbf{IC}_N$  is 3.8 kcal/mol higher in the free energy than  $\mathbf{IC}_O$ , only O-coordinated species can be obtained from the X-ray crystal structure. The calculations show that one structure is experimentally confirmed and the other is a new structure. Thus, two initial complexes— $\mathbf{IC}_O$  and  $\mathbf{IC}_N$ —are considered; no significant geometrical difference exists between them except for the coordination modes of the  $\text{NO}_2$  ligand.

### Two Reaction Pathways

The DFT calculations suggest the two initial complexes,  $\mathbf{IC}_O$  and  $\mathbf{IC}_N$ . Therefore, two reaction pathways from  $\mathbf{IC}_O$  (nitrite pathway) and  $\mathbf{IC}_N$  (nitro pathway) were examined. Both reaction pathways finally lead to the same product— $[\text{Cu(II)OH}]^+$ ,  $\text{NO}$ , and  $\text{ArO}^\bullet$ —( $\mathbf{FC}$ ). In the nitrite pathway (Figure 3-3), the reaction of  $\mathbf{IC}_O$  with  $\text{ArOH}$  forms the reactant complex  $\mathbf{RC}_O$ . In  $\mathbf{RC}_O$ , the  $\text{O1-H1}$  distance was calculated to be 1.794 Å, indicating the formation of a hydrogen bond. Such an interaction provides an asymmetrical structure of the  $\text{ONO}$  moiety because of elongation of the  $\text{O1-N1}$  bond, where the distances were calculated to be 1.290 Å in  $\mathbf{IC}_O$  and 1.313 Å in  $\mathbf{RC}_O$ . The H atom migration and the  $\text{O1-N1}$  bond cleavage simultaneously proceed through transition state  $\mathbf{TS}_O$  with an activation energy of 25.2 kcal/mol. The  $\text{O1-N1}$  bond is elongated from 1.313 Å in  $\mathbf{RC}_O$  to 1.817 Å in  $\mathbf{TS}_O$ , whereas the  $\text{N1-O2}$  bond is shortened from 1.220 Å in  $\mathbf{RC}_O$  to 1.166 Å in  $\mathbf{TS}_O$ , indicating that the  $\text{N1-O2}$  moiety is ready to be released as an  $\text{NO}$  molecule. The  $\beta$ -spin density on the  $\text{N1}$  and  $\text{O2}$  atoms increases from 0.02 and 0.01 in  $\mathbf{RC}_O$  to  $-0.36$  and  $-0.19$  in  $\mathbf{TS}_O$ , respectively, indicating impending formation of  $\text{NO}$ . Similarly, the  $\alpha$ -spin density for the  $\text{O3}$  atom increases from 0.00 in  $\mathbf{RC}_O$  to 0.22 in  $\mathbf{TS}_O$ , indicating that the system is approaching the formation of  $\text{ArO}^\bullet$ . After  $\mathbf{TS}_O$ , the  $\text{Cu(II)OH}$  complex,  $\text{ArO}^\bullet$ , and  $\text{NO}$  ( $\mathbf{PC}_O$ ) are present. In  $\mathbf{PC}_O$ , the  $\text{O3-H1}$  distance was calculated to be 1.970 Å, where  $\text{ArO}^\bullet$  is attracted by the hydrogen bond between the  $\text{O3}$  atom and  $\text{H1}$  atom. The  $\text{O1-N1}$  distance further increases to 2.387 Å. The spin densities for the  $\text{Cu}$ ,  $\text{N1}$ ,  $\text{O1}$ ,  $\text{O2}$ , and  $\text{O3}$  in  $\mathbf{PC}_O$  were calculated to be 0.58,  $-0.69$ , 0.17,  $-0.31$ , and 0.34, respectively (Table 3-1). Although the spin density for the  $\text{Cu(II)}$  center remains unchanged, the reaction is observed to increase the  $\alpha$ -spin density for  $\text{ArO}^\bullet$  and the  $\beta$ -spin



**Figure 3-3.** Computed free energy diagram for the nitrite pathway and optimized geometries of Cu active site for reactant complex (**RC<sub>0</sub>**), transition state (**TS<sub>0</sub>**), and product complex (**PC<sub>0</sub>**). **IC** and **FC** indicate an initial complex and a final complex.

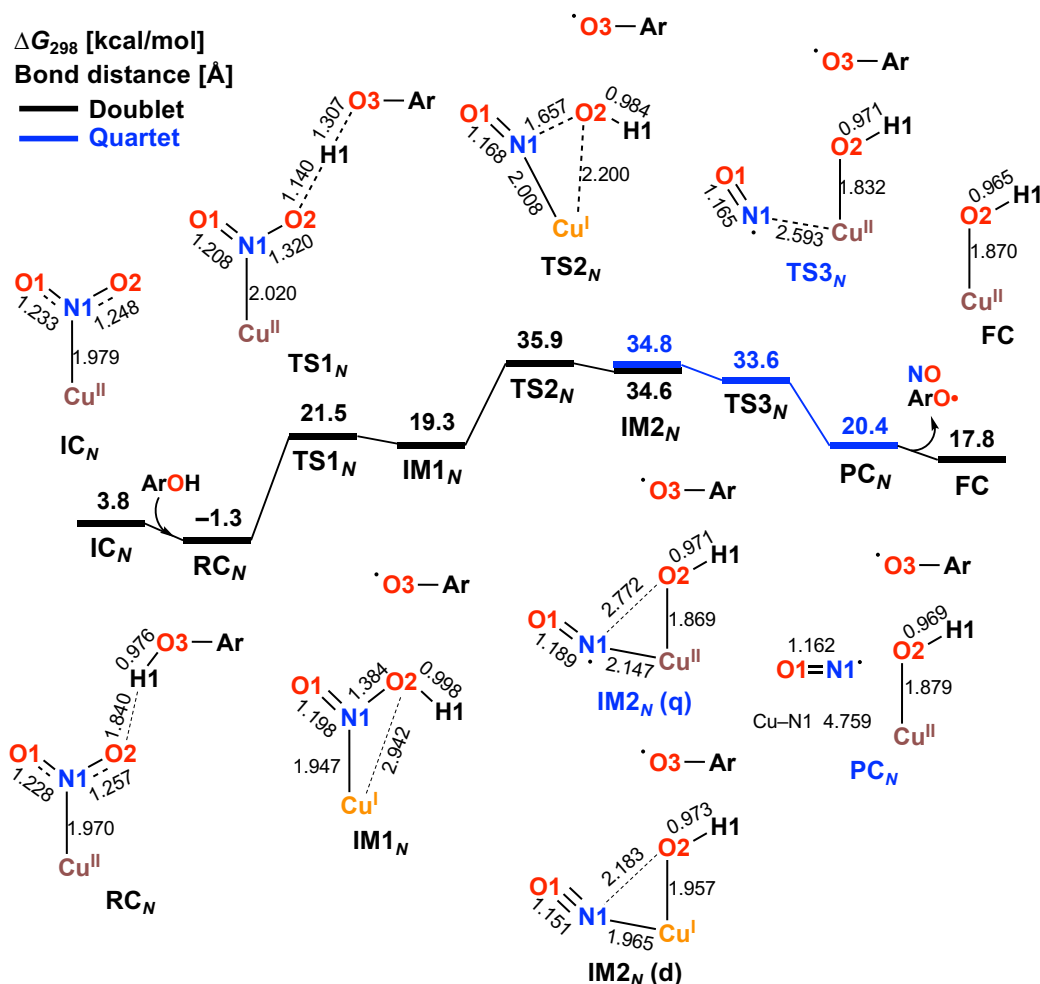
**Table 3-1.** Calculated Mulliken spin densities for reaction species along the nitrite pathway.

	Cu	N1	O1	O2	O3	ArO
<b>IC<sub>0</sub></b>	0.56	0.02	0.11	0.00	-	-
<b>RC<sub>0</sub></b>	0.56	0.02	0.08	0.01	0.00	0.00
<b>TS<sub>0</sub></b>	0.54	-0.36	0.12	-0.19	0.22	0.61
<b>PC<sub>0</sub></b>	0.58	-0.69	0.17	-0.31	0.34	1.00
<b>FC</b>	0.59	-	0.16	-	-	-

density for NO because of spin polarization within the complex. Given the changes in geometry and spin density, two events proceed in concert in this step: electron transfer (ET) from ArOH to the N1O2 moiety and proton transfer (PT) from ArOH to the O1 atom. That is, ArOH provides an electron for one and a proton for another. This reaction can be categorized as CPET. At last, **FC**, where NO and ArO• were released, is calculated to be 3.6 kcal/mol lower than **PC<sub>o</sub>**. The overall reaction in the nitrite pathway was calculated to be endothermic by 17.8 kcal/mol. When the position of **TS<sub>o</sub>** in the reaction is considered, the transition state is late in molecular structure and early in electronic structure. **TS<sub>o</sub>** is geometrically similar to **PC<sub>o</sub>**, indicating that **TS<sub>o</sub>** should be categorized as a late transition state.

Meanwhile, the nitro pathway (Figure 3-4) involves three steps: (1) H-atom migration ( $[\text{Cu}^{\text{II}}\text{NO}_2]^+ + \text{ArOH} \rightarrow [\text{Cu}^{\text{I}}(\text{HONO})]^+ + \text{ArO}^\bullet$ ), (2) N–O bond cleavage ( $[\text{Cu}^{\text{I}}(\text{HONO})]^+ + \text{ArO}^\bullet \rightarrow [\text{Cu}(\text{OH})(\text{NO})]^+ + \text{ArO}^\bullet$ ), and (3) NO desorption ( $[\text{Cu}(\text{OH})(\text{NO})]^+ + \text{ArO}^\bullet \rightarrow [\text{Cu}^{\text{II}}\text{OH}]^+ + \text{NO} + \text{ArO}^\bullet$ ). The reaction begins with the association of  $\text{IC}_N$  with ArOH to form the reactant complex  $\text{RC}_N$  with a binding energy of 5.1 kcal/mol. In  $\text{RC}_N$ , the O2–H1 distance was calculated to be 1.840 Å, resulting in the formation of a hydrogen bond.

Then, H atom migration from ArOH to  $\text{NO}_2^-$  occurs with an activation energy of 22.8 kcal/mol, leading to the HONO intermediate  $[\text{Cu}(\text{HONO})]^+$  with  $\text{ArO}^\bullet$  ( $\text{IM1}_N$ ). In this reaction, O3–H1 bond cleavage and O2–H1 bond formation occur. The O3–H1 (O2–H1) bond length in the transition state ( $\text{TS1}_N$ ) is calculated to be 1.307 Å (1.140 Å), which is



**Figure 3-4.** Computed free energy diagram for the nitro pathway and optimized geometries of the Cu active site along the reaction. **IM** indicates an intermediate.  $\Delta G$  are measured from  $\text{IC}_O$  in Figure 3-2.

shorter (longer) than that for O3–H1 (O1–H1), indicating that the acceptance of H atoms by the NO<sub>2</sub> moiety is lower in Path 2 than in Path 1. The HONO ligand in **IM1<sub>N</sub>** consists of the N1–O1 bond with a length of 1.198 Å, the N1–O2 bond with a length of 1.384 Å, and the O2–H1 bond with a length of 0.998 Å, structurally corresponding to an isolated HONO molecule (see Figure S3-1). In H-atom migration, additionally, one of the ligand–N–Cu bonds is cleaved (Figure S3-3), where the ligand–N–Cu length increases from 2.178 Å in **RC<sub>N</sub>** to 3.190 Å in **IM1<sub>N</sub>** via 3.122 Å in **TS1<sub>N</sub>**, resulting in the four-coordinated Cu species. As shown in Table 3-2, the spin density for the Cu atom decreases from 0.51 in **RC<sub>N</sub>** to 0.00 in **IM1<sub>N</sub>** via 0.21 in **TS1<sub>N</sub>**, whereas that for the O3 atom increases from 0.00 in **RC<sub>N</sub>** to 0.29 in **IM1<sub>N</sub>** via 0.17 in **TS1<sub>N</sub>**. The changes in geometry and spin density indicate that two main events are occurring in this step: electron transfer (ET) from ArOH to Cu(II) to form Cu(I), and proton transfer (PT) from ArOH to NO<sub>2</sub><sup>−</sup> to form HONO. Therefore, we concluded that the first step in the nitro pathway is a CPET reaction in which ArOH acts as both the electron and proton source.

After the formation of the HONO ligand, the N1–O2 bond cleavage forms the nitrosyl hydroxyl complex [Cu(NO)(OH)]<sup>+</sup> (**IM2<sub>N</sub>**) having two spin states corresponding to Cu<sup>I</sup>NO<sup>+</sup> (doublet) and Cu<sup>II</sup>NO<sup>0</sup> (quartet). **IM2<sub>N</sub>** in the doublet state (**IM2<sub>N</sub> (d)**) has an

**Table 3-2.** Calculated Mulliken spin densities for reaction species along the nitro pathway.

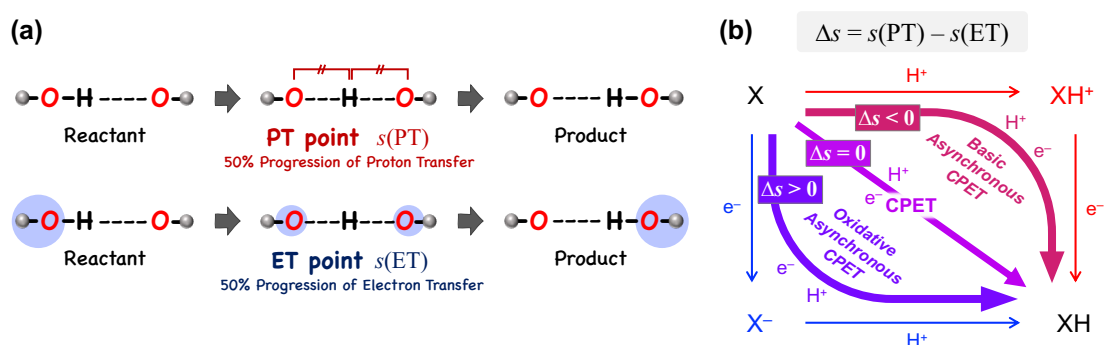
	Cu	N1	O1	O2	O3	ArO
<b>IC<sub>N</sub></b>	0.50	0.12	0.04	0.04	-	-
<b>RC<sub>N</sub></b>	0.51	0.11	0.03	0.03	0.00	0.00
<b>TS1<sub>N</sub></b>	0.21	0.01	0.00	0.01	0.17	0.65
<b>IM1<sub>N</sub></b>	0.00	0.00	0.00	0.00	0.29	0.99
<b>TS2<sub>N</sub></b>	0.00	0.00	0.00	0.00	0.31	1.00
<b>IM2<sub>N</sub> (d)</b>	0.00	0.00	0.00	0.00	0.33	1.00
<b>IM2<sub>N</sub> (q)</b>	0.56	0.69	0.34	0.19	0.32	0.99
<b>TS3<sub>N</sub></b>	0.59	0.69	0.31	0.20	0.32	0.99
<b>PC<sub>N</sub></b>	0.57	0.70	0.29	0.17	0.33	1.00
<b>FC</b>	0.59	-	-	0.16	-	-

N1–O1 bond length of 1.151 Å and a spin density of 0.00 for the Cu, N1, and O atoms, whereas **IM2<sub>N</sub>** in the quartet state (**IM2<sub>N</sub> (q)**) has an N1–O1 bond length of 1.189 Å and spin densities of 0.56, 0.69, and 0.34 for Cu, N1, and O1 atoms, respectively. The  $\Delta G_{298}$  of **IM2<sub>N</sub>** are calculated to be 34.6 kcal/mol in the doublet state and 34.8 kcal/mol in the quartet state. Thus, the spin inversion of **IM2<sub>N</sub>** from a doublet into a quartet is energetically likely to occur because of the small energy difference.

The final step involves NO ligand dissociation from the Cu center. Whereas the computed activation barrier from **IM2<sub>N</sub> (q)** to **TS3<sub>N</sub>** is 0.4 kcal/mol in electronic energy, this step is calculated to be barrierless in  $\Delta G$ . The Cu–N1 bond is elongated from 2.147 Å in **IM2<sub>N</sub> (q)** to 4.759 Å in **PC<sub>N</sub>** via 2.593 Å in **TS3<sub>N</sub>**. Accompanying the desorption of NO, the ligand–N–Cu distance decreases from 3.371 Å in **IM2<sub>N</sub> (q)** to 2.223 Å in **PC<sub>N</sub>** via 3.242 Å in **TS3<sub>N</sub>**, resulting in a five-coordinated Cu complex, where four ligand–N and one hydroxyl bind to the Cu atom (Figure S3-3). This step can also proceed in the broken-symmetry doublet state, which is calculated to be almost identical to the result of quartet state (see Figure S3-4). Finally, the release of NO and ArO• leads to **FC** as well the nitrite pathway. The calculated rate-determining step is H-atom migration with an activation energy of 22.8 kcal/mol. Thus, DFT calculations suggest that the nitrite pathway ( $\Delta G^\ddagger_{\text{TS0}} = 25.2$ ) and the nitro pathway ( $\Delta G^\ddagger_{\text{TS1N}} = 22.8$ ) are comparable. Kundu and coworkers suggested from their kinetic investigation that the rate-determining step involves an electron transfer.<sup>37</sup> Our calculated results also show the rate-determining steps are the CPET reaction, which contains an electron transfer (ET), via **TS0** in the nitrite pathway and via **TS1<sub>N</sub>** in the nitro pathway. The experimental prediction was confirmed by our DFT calculations.

## IRC Analysis

The above mentioned approach allowed tracing changes on the potential energy surface by connecting discrete points of stable structures and transition states; however, further insights can be obtained by using the IRC calculations to trace continuous changes in electronic energies, geometries, and electronic configurations, particularly regarding the CPET mechanism. To better understand the CPET-derived  $\text{NO}_2^-$  reduction, we carefully examined the electronic state changes associated with the structural changes derived from the IRC analysis, revealing the PT and ET behavior along the reaction coordinate. Over the past decade, a mechanism involving the concerted but asynchronous movement of a proton and an electron (i.e., asynchronous CPET) has been proposed.<sup>60-66</sup> Given the existence of multiple CPET mechanisms, a detailed analysis requires information on the sequential changes in charge and structure along the reaction path. To this aim, IRC calculations, which can determine the reaction pathway itself, are highly valuable. Herein, we introduce indices to spotlight the asynchronicity of PT and ET in a CPET reaction, which we called PT point and ET point, respectively. The PT and ET points designate the location of the middle progression of PT and ET in the reaction coordinate as depicted in Figure 3-5. Calculating the gap between PT and ET points allows evaluating quantitatively their asynchronicity. In particular, the PT point is the location where the two O–H bonds are of the same length and the ET point is the location where 50% of the total change in the spin density of the atoms involved in ET occurs. Calculating the gap

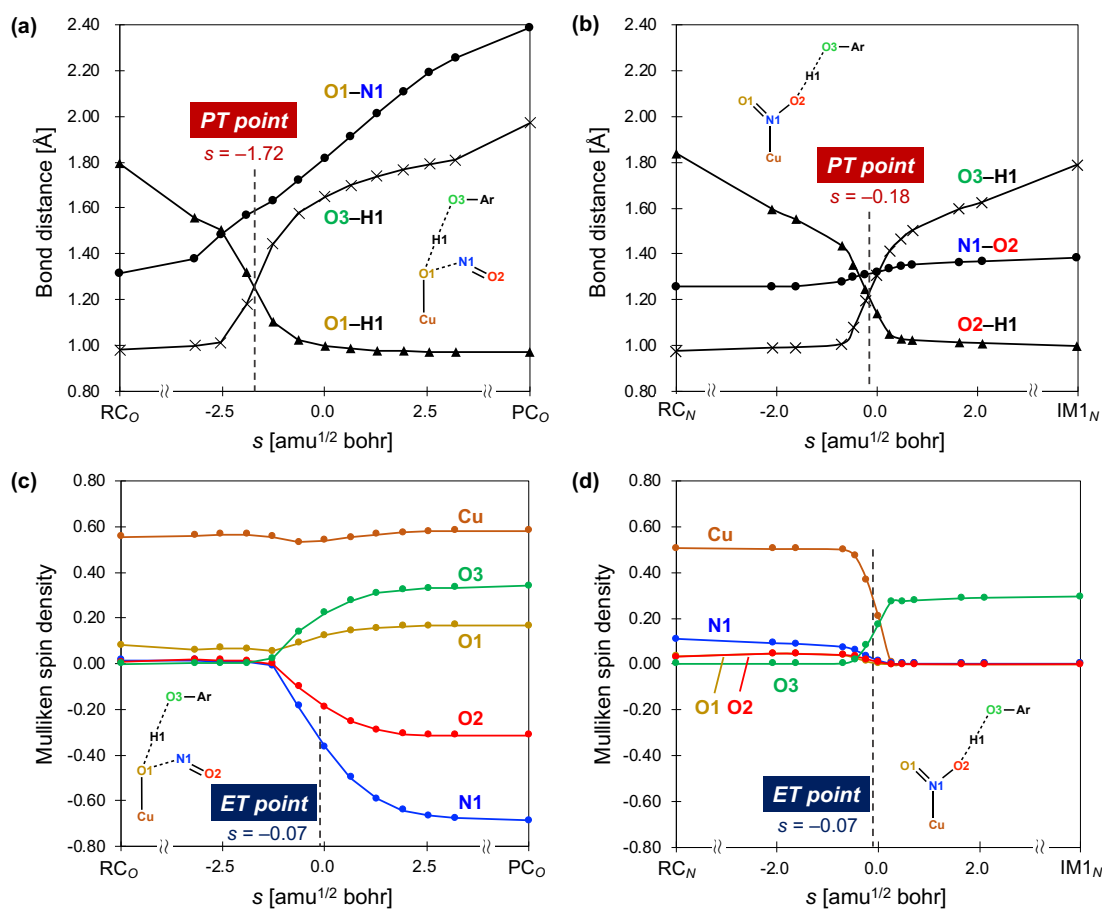


**Figure 3-5.** (a) Conceptual representation of the definition of proton transfer (PT) point and electron transfer (ET) point. (b) Application of PT and ET points to the square scheme. When  $\Delta s < 0$ , PT occurs prior to ET (basic-asynchronous CPET). When  $\Delta s = 0$ , PT and ET proceed simultaneously (synchronous CPET). When  $\Delta s > 0$ , ET occurs before PT (oxidative-asynchronous CPET).

between PT and ET points ( $\Delta s$ ) allows evaluating quantitatively their asynchronicity and classifying the type of CPET (Figure 3-5(b)).

The IRC calculation results shown in Figure 3-6 revealed changes in bond distance and spin density as a function of the reaction coordinate ( $s$ ), where a reaction coordinate of  $s = 0.0$  corresponds to a transition state. The values of two O–H distances, one N–O distance, and the spin densities for the Cu, N1, O1, O2, and O3 atoms are shown. In the PT point, the two O–H bonds are of equal length, and the O–H distances change before and after PT. Meanwhile, in the ET point, 50% of the total change in spin density for the atoms involved in ET occurs. The results for the reaction from **RC<sub>o</sub>** to **PC<sub>o</sub>** via **TS<sub>o</sub>** in the nitrite pathway are shown in Figures 3-6(a) and 3-6(c). As depicted in Fig. 3-6(a), the increase in the O3–H1 distance and the decrease in the O1–H1 distance, which correspond to the migration of the H1 atom from the O3 atom to the O1 atom, is nearly complete before reaching the transition state ( $s = 0.0$ ). However, the O1–N1 distance consistently increases throughout the reaction. Here, the PT point is defined as the intersection between the two plots of the O1–H1 and O3–H1 distances, which was determined to be  $s(\text{PT}) = -1.72$ . Figure 3-6(c) shows that the  $\alpha$ -spin emerges on the O3 atom, whereas the  $\beta$ -spin appears on the N1 and O2 atoms. The ET point is defined as the 50% completion point of the spin-density change for the N1 atom, which was identified as  $s(\text{ET}) = -0.07$ . Consequently,  $\Delta s = s(\text{PT}) - s(\text{ET}) = -1.65$ , indicating that PT occurs earlier than ET. These computational results indicate that **TS<sub>o</sub>** represents a basic-asynchronous CPET, with "basic" referring to the dominance of the acid–base character.<sup>62,66</sup>

Figures 3-6(b) and 3-6(d) illustrate the results for the reaction from  $\text{RC}_N$  to  $\text{IM1}_N$  via  $\text{TS1}_N$  in nitro pathway. As shown in Figure 3-6(b), the increase in the O3–H1 distance and the decrease in the O2–H1 distance, corresponding to the migration of the H1 atom from the O3 atom to the O2 atom, progress considerably near  $s = 0$ . The O1–N1 distance also increases substantially near  $s = 0$ ; however, this change is minimal and can be attributed to the elongation of the N–O bond from a double bond to a single bond. In this case, the PT point, which is defined as the intersection between the two plots of the O2–



**Figure 3-6.** Intrinsic reaction coordinate plots of the changes in bond distances and spin densities from  $\text{RC}_O$  to  $\text{PC}_O$  via  $\text{TS}_O$  in the nitrite pathway (a,c) and from  $\text{RC}_N$  to  $\text{IM1}_N$  via  $\text{TS1}_N$  in the nitro pathway (b,d) as a function of the reaction coordinate  $s$  [amu<sup>1/2</sup> bohr]. The transition state lies at  $s = 0.0$ . The proton transfer (PT) point is defined as the intersection between the two O–H distances (O1–H1 and O3–H1 in (a) and O2–H1 and O3–H1 in (b)). The electron transfer (ET) point is defined as the point where the spin density for the N1 (Cu) atom reaches the midpoint of  $\text{RC}_O$  and  $\text{PC}_O$  in (c) ( $\text{RC}_N$  and  $\text{IM1}_N$  in (d)).

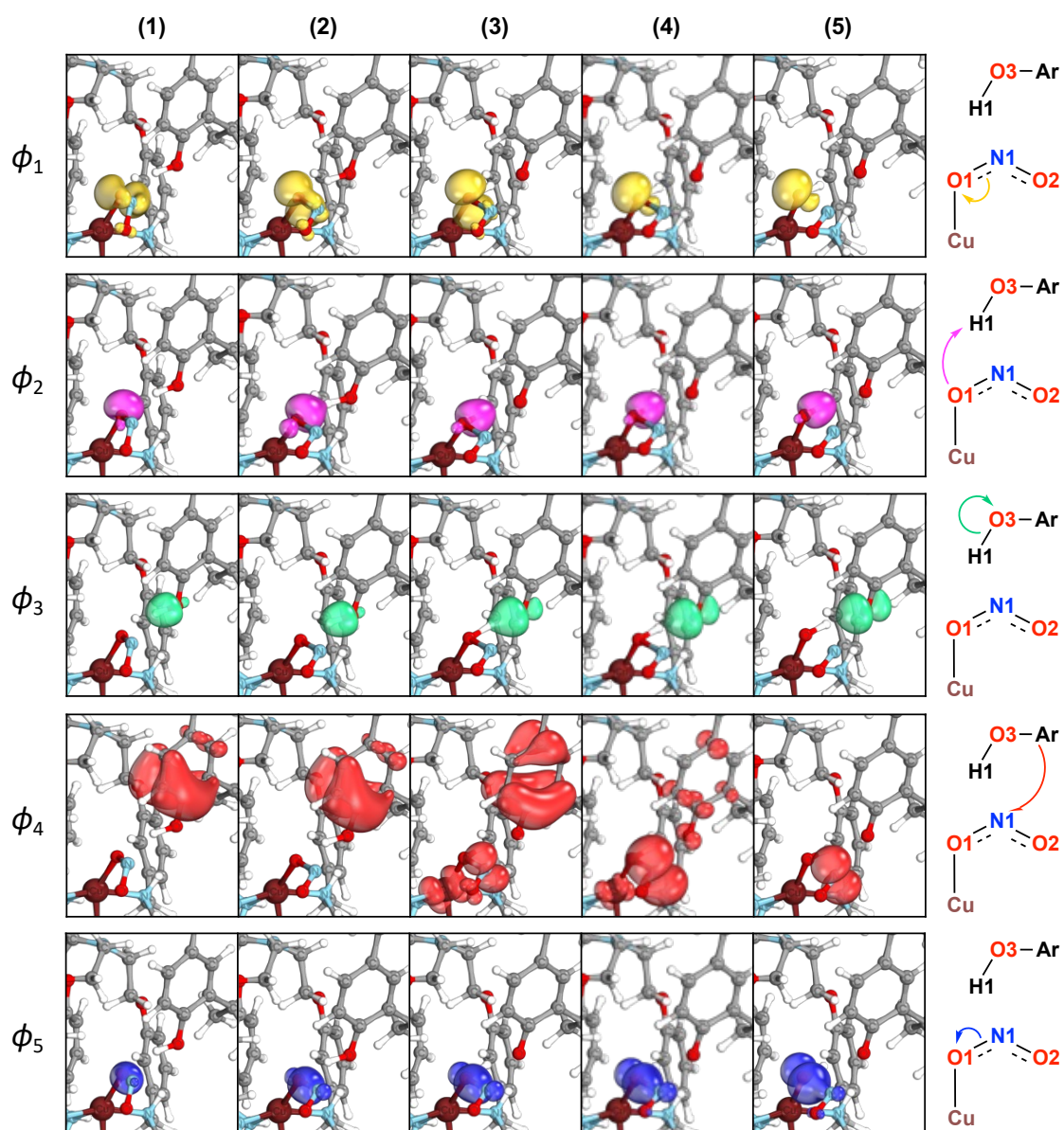
H1 and O3–H1 distances, was determined to be  $s(\text{PT}) = -0.18$ . Figure 3-6(d) shows an increase and a decrease in the spin densities on the Cu atom and the O3 atom, respectively. The ET point, defined as the 50% completion point of the spin-density change for the Cu atom, was identified as  $s(\text{ET}) = -0.07$ . Consequently, a gap of  $\Delta s = -0.11$  exists between PT and ET, indicating that both processes progress almost synchronously. According to the IRC results, the reaction step via **TS1<sub>N</sub>** was classified as a synchronous CPET.

The concept of PT and ET points allows evaluating simple reactions involving the transfer of one proton and one electron. However, it is expected to be applicable to the elucidation of more complex reaction mechanisms in biological systems, such as ion channels, which involve the transfer of multiple protons and electrons.

### **IBO Analysis**

The IRC analysis showed that the nitrite pathway proceeds via *asynchronous* CPET. Here, to determine how the asynchronicity arises in the  $\text{NO}_2^-$  reduction of the nitrite pathway, we performed an IBO analysis, as developed by Knizia and coworkers.<sup>54</sup> The common Kohn-Sham molecular orbital is often delocalized in the case of transition-metal complexes, which makes tracking the change of an orbital on a certain moiety along the reaction coordinate difficult. By contrast, IBO is suitable for clearly representing the change in electronic structure along the reaction coordinate. The IBO analysis is an appropriate method to represent electron flow through transition states, distinguish between classes of mechanisms, and evaluate the synchronicity of multiple chemical events.<sup>55,56,62,67–69</sup>

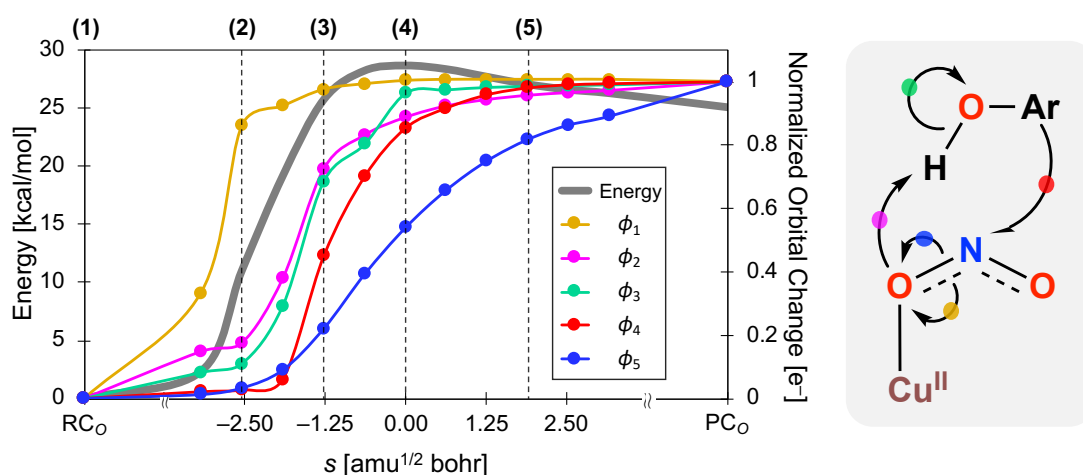
Five selected  $\beta$ -IBOs ( $\phi 1$ – $\phi 5$ ) show substantial displacement along the reaction coordinate from **RC<sub>O</sub>** to **PC<sub>O</sub>** via **TS<sub>O</sub>** (Figure 3-7). The corresponding  $\alpha$ -IBOs are shown in Figures S3-6–S3-10. The first of these,  $\phi 1$ , corresponds to the  $\pi(\text{O1–N1})$  bond of the nitrite moiety, which smoothly progresses to form the lone pair of the O1 atom. Similarly,  $\phi 2$ ,  $\phi 3$ ,  $\phi 4$ , and  $\phi 5$  track the following transformations: lone pair(O1)  $\rightarrow$   $\sigma(\text{O1–H1})$ ,  $\sigma(\text{O3–H1}) \rightarrow$  lone pair(O3),  $\pi(\text{Ar}) \rightarrow$  lone pair(N1), and  $\sigma(\text{O1–N1}) \rightarrow$  lone pair(O1)', respectively. These orbital transformations can be assigned to five elementary chemical events: the cleavage of the O1–N1  $\pi$ -bond, the formation of the O1–H1 bond, the cleavage of the O3–H1 bond, the ET from Ar to nitrite, and the cleavage of the O1–N1  $\sigma$ -bond. In



**Figure 3-7.** Transformation of selected  $\beta$ -IBOs ( $\phi_1$ – $\phi_5$ ) along intrinsic reaction coordinate for  $\text{NO}_2^-$  reduction from  $\text{RC}_O$  to  $\text{PC}_O$  via  $\text{TS}_O$ . The phase of IBOs is omitted for clarity. The curly arrows corresponding to the IBO changes are shown on the right.

$\phi_1$ ,  $\phi_2$ ,  $\phi_3$ , and  $\phi_5$ ,  $\alpha$ - and  $\beta$ -IBOs behave similarly, indicating the flow of electron pairs. In particular, the fact that both the  $\alpha$ - and  $\beta$ -IBOs for  $\phi_3$  remain on the O3 atom means that the H1 atom is transferred as a proton. However, in  $\phi_4$ , the  $\alpha$ - and  $\beta$ -IBOs behave differently. The  $\alpha$ -IBO  $\phi_4$  shows the migration of a  $\pi$ -cloud,  $\pi(\text{Ar}) \rightarrow \pi(\text{Ar})'$ , whereas the  $\beta$ -IBO  $\phi_4$  represents  $\pi(\text{Ar}) \rightarrow \text{lone pair}(\text{N1})$ , as previously described. Thus, the  $\alpha$ - and  $\beta$ -IBOs for  $\phi_4$  each indicate the flow of a single electron, not a pair.

To evaluate the concept of synchronicity of the five orbitals, we introduce "orbital change" as an indicator of the extent to which orbitals transform compared with their initial state. Orbital change is defined as the root-mean-square deviation of the orbital's partial charge distribution among the atoms from their initial charge distribution.<sup>56,66,69</sup> Figure 3-8 shows a plot of orbital changes for the five IBOs ( $\phi_1$ – $\phi_5$ ) along the reaction coordinate. The orbital change plots intuitively represent a progression of five elementary chemical events. Each of the five plots shows a substantial increase at different points, indicating asynchronous progress of the five elementary events. Their order is as follows: 1) O1–N1  $\pi$ -bond cleavage; 2) O1–H1 bond formation; 3) O3–H1 bond cleavage; 4) ET from ArOH to nitrite; and 5) O1–N1  $\sigma$ -bond cleavage. Among the five IBOs, the IBO  $\phi_1$  corresponding to O1–N1  $\pi$ -bond cleavage shows a sharp increase in orbital change at the



**Figure 3-8.** The IRC plots of relative energies and orbital changes of the five IBOs ( $\phi_1$ – $\phi_5$ ) shown in Figure 3-7. The numbers above the plots (in parentheses) correspond to the snapshot numbers in Figure 3-7. The transition state lies at  $s = 0.0 \text{ amu}^{1/2} \text{ bohr}$ . The colors of the plotted lines correspond to the colors of the IBOs. The corresponding curly arrows are summarized on the right.

earliest point. This result is consistent with the IRC analysis results in Figure 3-6(a). The IBOs  $\phi_2$  and  $\phi_3$  show a substantial orbital change near the PT point ( $s = -1.72$ ). Thus, the changes in  $\phi_2$  and  $\phi_3$  correspond to O–H bond formation and O–H bond cleavage, respectively. After that, the  $\beta$ -IBO  $\phi_4$ , which corresponds to the ET from ArOH to nitrite, changes as the reaction proceeds. The change in IBO  $\phi_5$  progresses slowly after the changes of the IBOs  $\phi_1$ – $\phi_4$  have occurred. Our orbital analysis provides useful information on the electronic structure changes associated with PT and ET, revealing that changes of the IBO are completely consistent with the IRC analysis. Also, the change in IBO  $\phi_4$  indicates the transformation from an aromatic  $\pi$ -orbital into the lone pair of the N1 atom, indicating that this CPET mechanism contains PT and ET from the aromatic  $\pi$ -cloud. This is in good agreement with previous studies on the CPET mechanism related to phenol derivatives.<sup>70–72</sup> This result indicates that the reaction from **RC<sub>O</sub>** to **PC<sub>O</sub>** via **TS<sub>O</sub>** includes five elementary events, which proceed concertedly; furthermore, it indicates that they start with a timing gap for each other.

### 3.4. Conclusions

We used DFT calculations to investigate the mechanism for the reduction of  $\text{NO}_2^-$  to NO, mediated by a Cu(II) complex. On the basis of a geometry optimization, we obtained two types of  $[\text{CuNO}_2]^+$  initial complexes: an O-coordinated Cu(II)–nitrite complex ( $\text{IC}_O$ ) and an N-coordinated Cu(II)–nitro complex ( $\text{IC}_N$ ).  $\text{IC}_O$  and  $\text{IC}_N$  differ in energy by 3.8 kcal/mol. The two initial complexes,  $\text{IC}_O$  and  $\text{IC}_N$ , provide two reaction pathways: nitrite pathway and nitro pathway, respectively. The calculation results show that the nitrite pathway is achieved via one reaction step and proceeds via a transition state  $\text{TS}_O$  in an endothermic way of 17.8 kcal/mol with an activation energy of 25.2 kcal/mol. The transition state corresponds to three chemical events: O–H bond cleavage, O–H bond formation, and O–N bond cleavage. By contrast, the nitro pathway is completed stepwise via two intermediates:  $[\text{Cu(I)HONO}]^+$  and  $[\text{Cu(II)(NO)(OH)}]^+$ . The rate-determining step for the nitro pathway is the first step of the formation of  $[\text{Cu(I)HONO}]^+$  via transition state  $\text{TS1}_N$  with an activation energy of 22.8 kcal/mol. On the basis of these results, the nitrite pathway,  $\text{IC}_O$ -initiated concerted pathway, and the nitro pathway,  $\text{IC}_N$ -initiated stepwise pathway, are comparable. DFT calculations suggest that the tripodal-heteroditopic-cryptand ligand plays no significant role in the nitrite reduction by the copper(II) complex. Thus, this reaction mechanism can be extended to the nitrite reduction using a copper complex which has the similar ligand field and phenol derivative as a reductant. We also performed an IRC analysis to precisely characterize each reaction step. The results showed that the two reaction pathways are achieved by CPET. In the nitrite pathway, CPET coupled with O–N bond cleavage occurs to form product complex  $[\text{Cu(II)OH}]^+$ , NO, and  $\text{ArO}^\bullet$ . In the first step of the nitro pathway, CPET occurs to form  $[\text{Cu(I)HONO}]^+$  and  $\text{ArO}^\bullet$ . Moreover, the IRC analysis determined the location of PT and ET as a unit of the  $s$  value. Therefore, by using  $\Delta s$ , the difference between the PT and ET points, as an index, the (a)synchronicity of PT and ET in the CPET reaction can now be evaluated. In the nitrite pathway, PT point between  $\text{RC}_O$  and  $\text{PC}_O$  is earlier than ET point by  $\Delta s = 1.65$ , in which the reaction is a *basic asynchronous* CPET. In contrast, in the nitro pathway, PT and ET points between  $\text{RC}_N$  and  $\text{IM1}_N$  are close ( $\Delta s = 0.11$ ), in which the reaction is *synchronous* CPET. Although the two pathways lead to the same products—

[Cu(II)OH]<sup>+</sup>, NO, and ArO<sup>•</sup>—their CPET mechanisms differ substantially with respect to the asynchronicity of PT and ET. Thus, by tracking the continuous change in electronic structure *via* IRC analysis, we successfully described the CPET behavior in the NO<sub>2</sub><sup>-</sup> reduction. The concept of PT and ET points will facilitate the characterization and understanding of CPET reactions.

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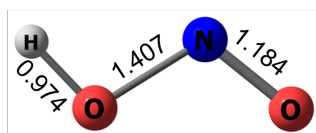
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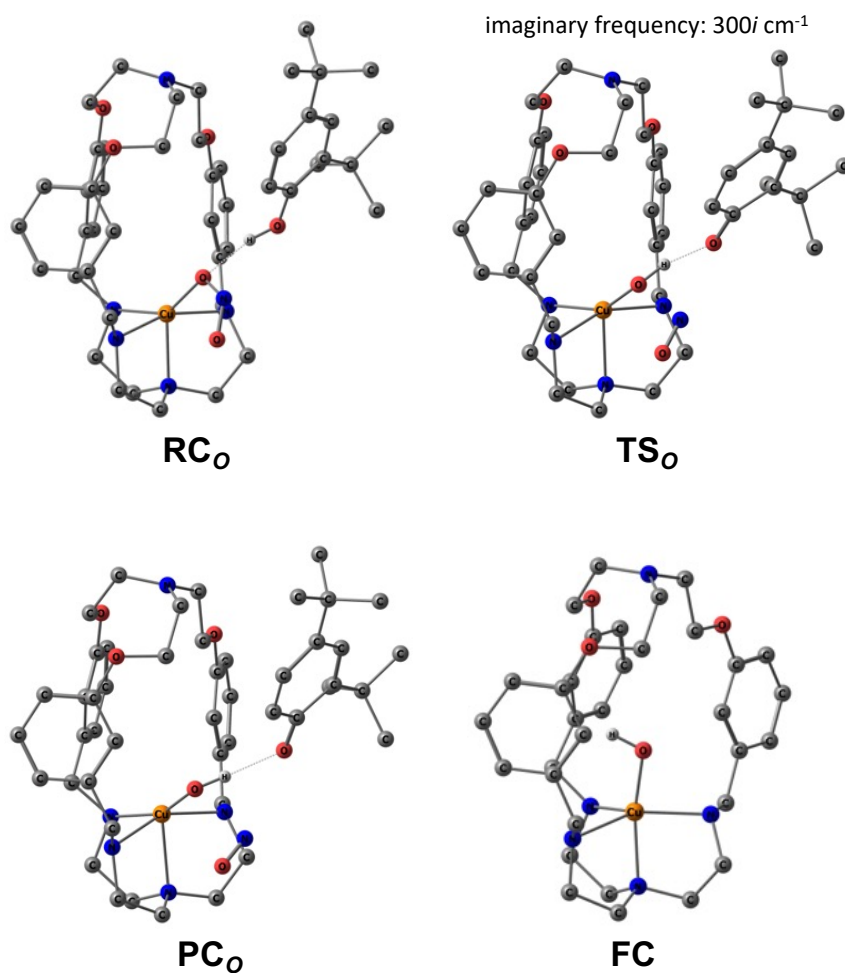
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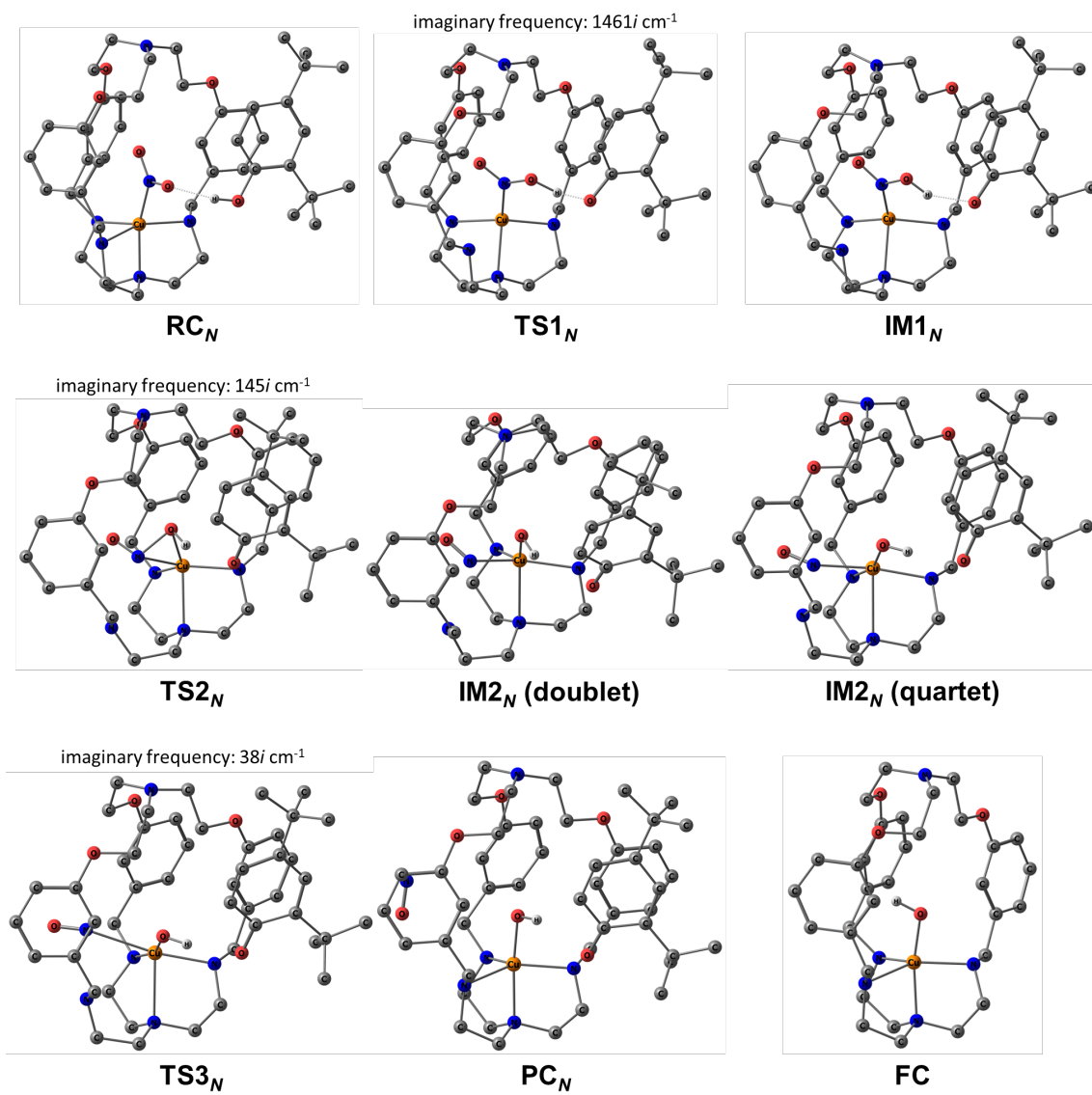
## Supporting Information



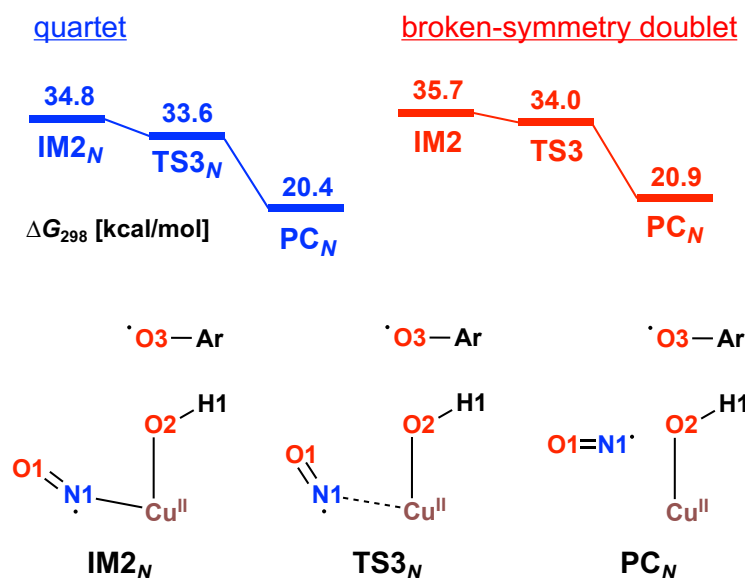
**Figure S3-1.** Optimized structure of nitrous acid molecule. Bond distance is given in unit of Å.



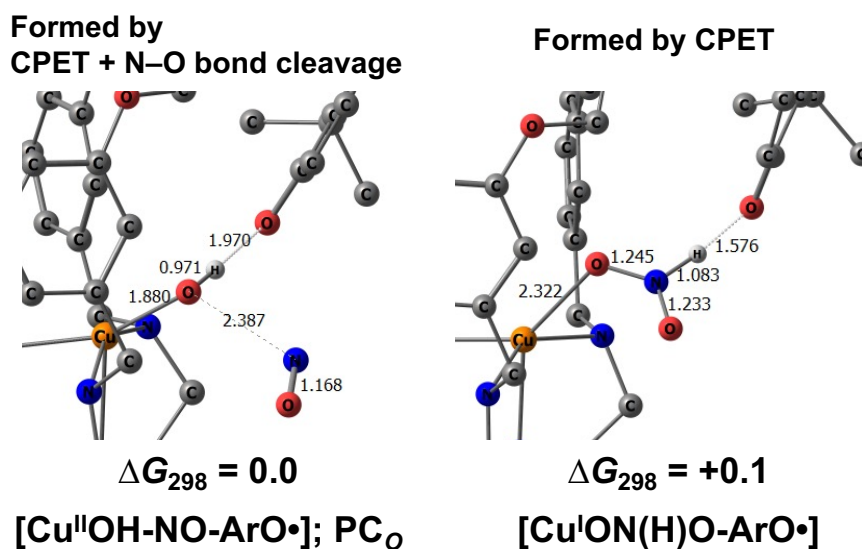
**Figure S3-2.** Overall structures of all species in the nitrite pathway. H atoms are omitted for clarity.



**Figure S3-3.** Overall structures of all species in the nitro pathway. H atoms are omitted for clarity.

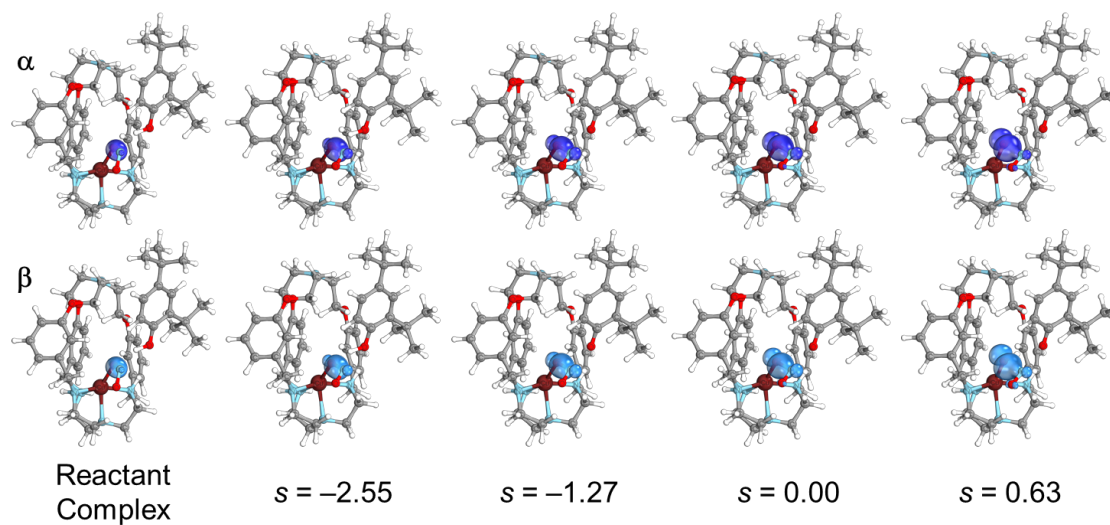


**Figure S3-4.** The free energy diagram for the reaction step  $\text{IM2}_N \rightarrow \text{TS3}_N \rightarrow \text{PC}_N$  in the quartet and broken-symmetry doublet states.



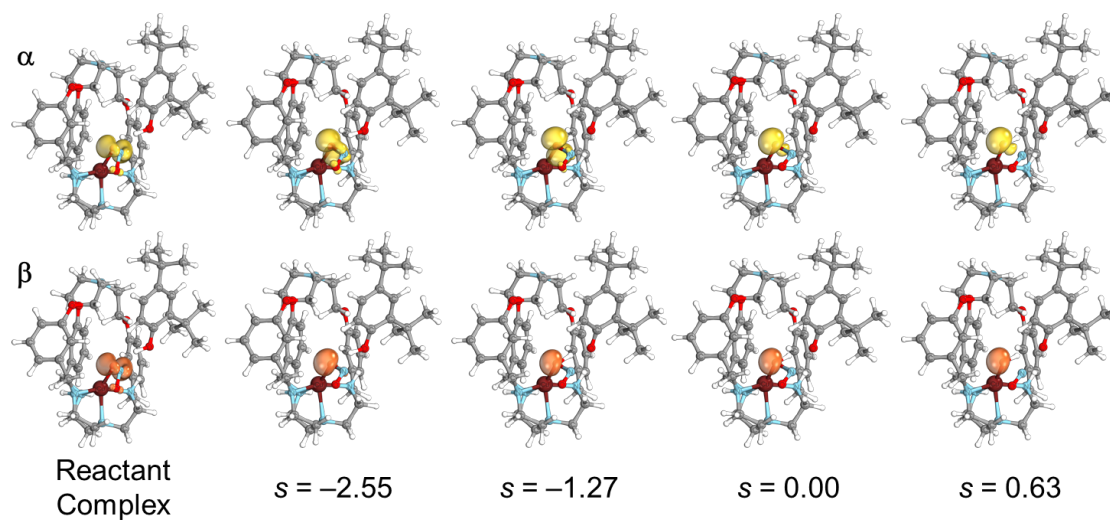
**Figure S3-5.** Optimized structures of  $\text{PC}_O$  and a byproduct formed by H-atom migration from ArO to the N1 atom.

### O1-N1 $\sigma$ -bond



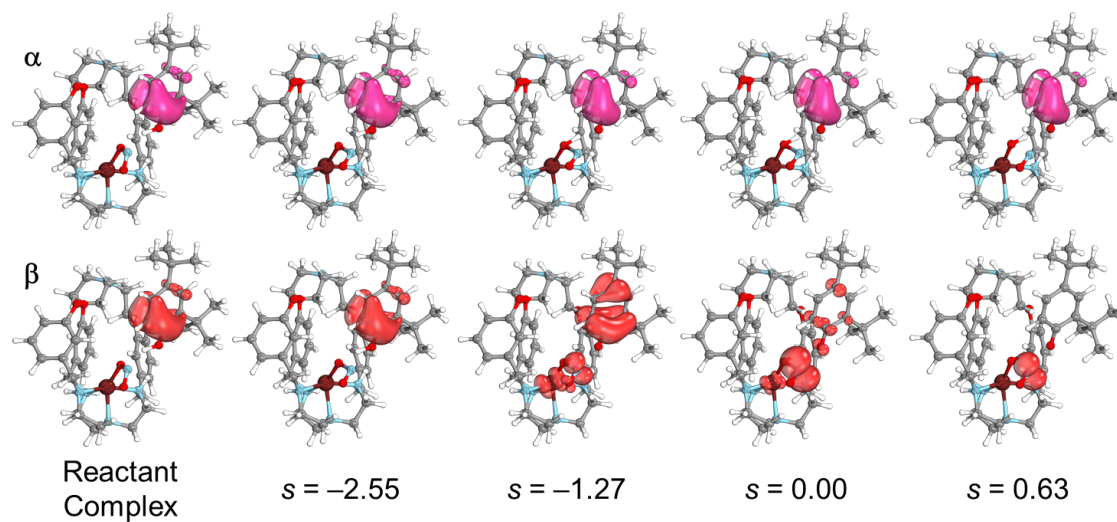
**Figure S3-6.** Transformation of O-N  $\sigma$ -bond IBO.

### O1-N1 $\pi$ -bond



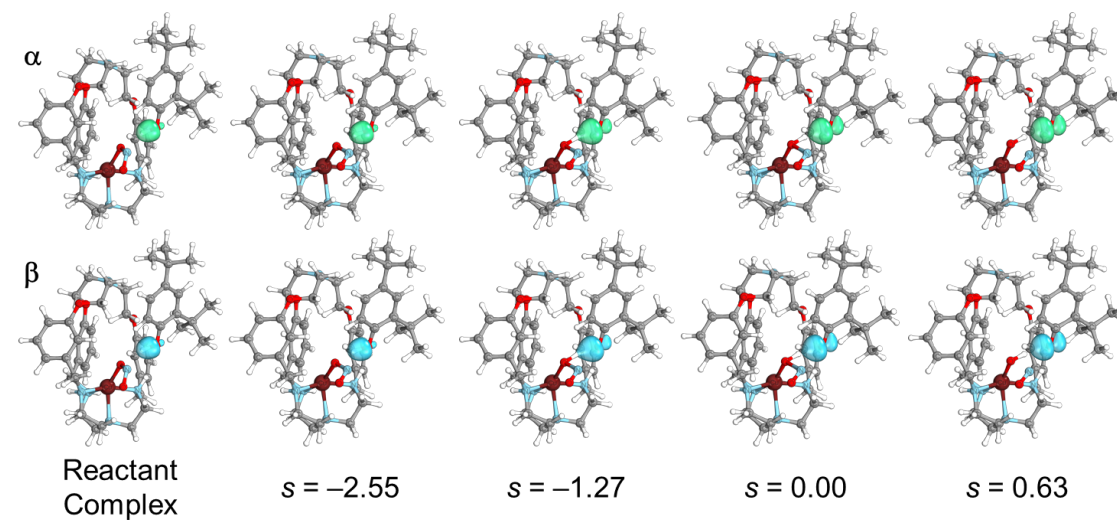
**Figure S3-7.** Transformation of O-N  $\pi$ -bond IBO.

### $\pi$ -system of ArOH



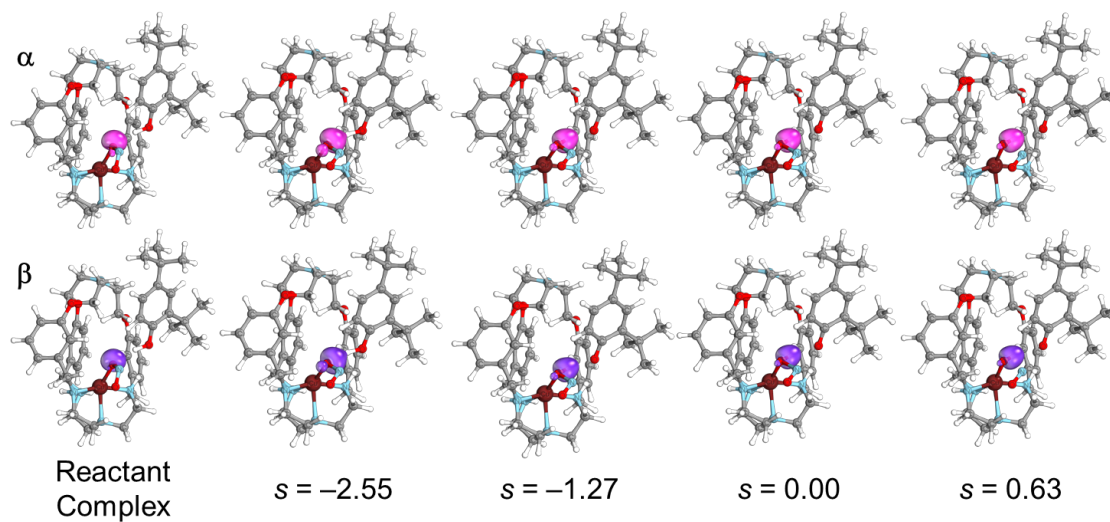
**Figure S3-8.** Transformation of IBO for  $\pi$ -system of ArOH.

### O3-H1 $\sigma$ -bond



**Figure S3-9.** Transformation of O-H  $\sigma$ -bond IBO.

### Lone pair of O3 atom



**Figure S3-10.** Transformation of IBO for lone pair of O atom.

## Chapter 4. General Conclusions

This dissertation exhibited the mechanistic aspects of two important NO<sub>x</sub> reduction reactions mediated by transition metal complexes; reduction of NO to N<sub>2</sub>O by a dicopper complex and reduction of NO<sub>2</sub><sup>-</sup> to NO by a monocopper complex.

In Chapter 2, the mechanism of the reduction of NO to N<sub>2</sub>O mediated by a dicopper complex is discussed. The computational results indicated that the reaction consists of three fundamental steps: (1) N–N bond formation, (2) isomerization of the N<sub>2</sub>O<sub>2</sub> moiety, and (3) N–O bond cleavage. The calculated reaction mechanism predicts the initial coupling of two NO molecules. These results are consistent with experimental observations, where N<sub>2</sub>O is released in the presence of the dicopper complex. Additionally, the large binding energy of NO and Cu atoms in the reactant complex [Cu<sub>2</sub>(NO)<sub>2</sub>]<sup>2+</sup> and the small binding energy of N<sub>2</sub>O in the product complex [Cu<sub>2</sub>(N<sub>2</sub>O)(μ-O)]<sup>2+</sup> favor this catalytic cycle. Thus, DFT calculations enable us to understand the frequent electron transfer (ET) between Cu and the ligand in addition to structural changes in the reaction mechanism, providing a useful tool for analyzing reaction pathways and revealing the details of the sequential N–N bond formation, isomerization of N<sub>2</sub>O<sub>2</sub>, and N–O bond cleavage reactions.

In Chapter 3, the author investigated the mechanism of the reduction of NO<sub>2</sub><sup>-</sup> to NO by a Cu(II) complex using DFT calculations. According to geometry optimization, we identified two types of [CuNO<sub>2</sub>]<sup>+</sup> initial complexes, i.e., an O-coordinated Cu(II)–nitrite complex (**IC<sub>O</sub>**) and an N-coordinated Cu(II)–nitro complex (**IC<sub>N</sub>**), which allowed proposing the nitrite and nitro reaction pathways, respectively. Given their energy profiles, the two pathways are comparable. In addition, to determine the exact nature of the concerted proton-electron transfer (CPET) reactions in both pathways, changes in the geometric and electronic structures were analyzed using an intrinsic reaction coordinate (IRC) analysis. The points of proton transfer (PT) and electron transfer (ET) were introduced to evaluate the asynchronicity of PT and ET in a CPET reaction using the difference between the PT and ET points ( $\Delta s = s(\text{PT}) - s(\text{ET})$ , where the  $s$  value represents the location of PT and ET). In the nitrite pathway,  $\Delta s = -1.65$ , indicating that the PT point precedes the ET point, that is the reaction is a basic asynchronous CPET. In contrast, in

the nitro pathway, the reaction is a synchronous CPET because the PT and ET points are close ( $\Delta s = -0.11$ ). Although the two pathways lead to the same products, i.e.,  $[\text{Cu(II)OH}]^+$ , NO, and  $\text{ArO}^\bullet$ , their CPET mechanisms differ substantially in terms of the asynchronicity of PT and ET. Thus, by tracking the continuous change in electronic structure via IRC analysis, we successfully described the CPET behavior in the  $\text{NO}_2^-$  reduction. The concept of PT and ET points facilitates the characterization and understanding of CPET reactions.

A reaction mechanism is a theoretical deduction that provides a comprehensive description of how molecules transform into products during a chemical reaction. Only a restricted subset of the reaction mechanism can be observed experimentally. For example, initially, only stable products or intermediates could be isolated, while unstable intermediates and transition states were unobservable. However, the advent of crystallography and spectroscopy has enabled the observation of metastable chemical species that emerge during a reaction. Concurrently, advancements in reaction kinetics, including transition state theory, have significantly contributed to the elucidation of reaction mechanisms. Consequently, a reliable reaction mechanism can be proposed by integrating diverse experimental techniques and synthesizing their findings.

Currently, DFT calculations are employed by numerous researchers as a highly effective tool for analyzing reaction mechanisms. Initially, it was challenging to optimize the structure of even a simple organic molecule comprising a few dozen atoms. However, due to advancements in computer performance, it is now possible to handle molecules comprising over 100 atoms and transition metal elements at a practical level. Consequently, the role of DFT calculations is evolving, moving from “calculations that reproduce experiments” to “calculations that drive experiments.”

In this dissertation, the author has elucidated key mechanistic pathways and electronic structures involved in the  $\text{NO}_x$  reduction reactions catalyzed by copper complexes, using DFT calculations. These findings highlight not only the utility of DFT calculations to unravel complicated reaction mechanisms but also their potential to provide novel perspectives that are challenging to observe experimentally. These contributions lay a robust foundation for the rational design of next-generation transition metal catalysts aimed at enhancing the efficiency and selectivity of  $\text{NO}_x$  reduction reactions.

## List of Publications

1. Chen, L.; **Kametani, Y.**; Imamura, K.; Abe, T.; Shiota, Y.; Yoshizawa, K.; Hisaeda, Y.; Shimakoshi, H. Visible Light-Driven Cross-Coupling Reactions of Alkyl Halides with Phenylacetylene Derivatives for C(sp<sup>3</sup>)-C(sp) Bond Formation Catalyzed by a B<sub>12</sub> Complex. *Chem. Commun.* **2019**, 55 (87), 13070-13073. DOI: 10.1039/C9CC06185A.
2. Abe, T.; **Kametani, Y.**; Yoshizawa, K.; Shiota, Y. Mechanistic Insights into the Dicopper-Complex-Catalyzed Hydroxylation of Methane and Benzene Using Nitric Oxide: A DFT Study. *Inorg. Chem.* **2021**, 60 (7), 4599-4609. DOI: 10.1021/acs.inorgchem.0c03558.
3. **Kametani, Y.**; Abe, T.; Yoshizawa, K.; Shiota, Y. Mechanistic Study on Reduction of Nitric Oxide to Nitrous Oxide Using a Dicopper Complex. *Dalton Trans.* **2022**, 51 (14), 5399-5403. DOI: 10.1039/D2DT00132H.
4. Kamada, K.; Jung, J.; **Kametani, Y.**; Wakabayashi, T.; Shiota, Y.; Yoshizawa, K.; Bae, S. H.; Muraki, M.; Naruto, M.; Sekizawa, K.; Sato, S.; Morikawa, T.; Saito, S. Importance of Steric Bulkiness of Iridium Photocatalysts with PNNP Tetradentate Ligands for CO<sub>2</sub> Reduction. *Chem. Commun.* **2022**, 58 (66), 9218-9221. DOI: 10.1039/D2CC03689B.
5. Chen, T. W. M.; Tanaka, Y.; **Kametani, Y.**; Cheng, K. Y.; Lin, C. H.; Lin, Y. R.; Hsu, T. R.; Chen, Z. Q.; Hao, J. P.; Mori, S.; Shiota, Y.; Yoshizawa, K.; Furuta, H.; Shimizu, S.; Chen, C. H. Spontaneous Assembly and Three-Dimensional Stacking of Antiaromatic 5,15-Dioxaporphyrin on HOPG. *Angew. Chem., Int. Ed.* **2022**, 61 (48), e202212726. DOI: 10.1002/anie.202212726.
6. Shichijo, K.; **Kametani, Y.**; Shiota, Y.; Yoshizawa, K.; Fujitsuka, M.; Shimakoshi, H. Effect of Macrocycles on the Photochemical and Electrochemical Properties of Cobalt-Dehydrocorrin Complex: Formation and Investigation of Co(I) Species. *Inorg. Chem.* **2023**, 62 (30), 11785-11795. DOI: 10.1021/acs.inorgchem.3c01718.
7. **Kametani, Y.**; Ikeda, K.; Yoshizawa, K.; Shiota, Y. Mechanistic Study of Reduction of Nitrite to NO by the Copper(II) Complex: Different Concerted Proton–Electron Transfer Reactivity between Nitrite and Nitro Complexes. *Inorg. Chem.* **2023**, 62

- (34), 13765-13774. DOI: 10.1021/acs.inorgchem.3c01854.
8. Nakamura, G.; Sakurai, M.; **Kametani, Y.**; Kawasaki, Y.; Shiota, Y.; Yoshizawa, K.; Ogo, S.; Matsumoto, T. Photosynthesis of  $\text{NH}_3$  from  $\text{NO}_3^-$  Using  $\text{CH}_4$  in Homogeneous Re Catalysis at Room Temperature and Normal Pressure. *ChemRxiv* **2024**. DOI: 10.26434/chemrxiv-2024-mzzcr-v2. This content is a preprint and has not been peer-reviewed.
  9. Wakabayashi, T.; **Kametani, Y.**; Tanahashi, E.; Shiota, Y.; Yoshizawa, K.; Jung, J.; Saito, S. Ferrocenyl PNNP Ligands-Controlled Chromium Complex-Catalyzed Photocatalytic Reduction of  $\text{CO}_2$  to Formic Acid. *J. Am. Chem. Soc.* **2024**, *146* (38), 25963-25975. DOI: 10.1021/jacs.4c03683.
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  11. Nishimoto, K.; Noto, N.; **Kametani, Y.**; Grømer, B.; Murata, C.; Okuwa, H.; Shiota, Y.; Yoshizawa, K.; Saito, S. (PNCP)Ir vs. (PNNP)Ir: Neutral Iridium Complex for Direct Hydrogenation of Carboxylic Acids. *Organometallics* **2024**, *43* (23), 3013-3021. DOI: 10.1021/acs.organomet.4c00355.
  12. Säask, V.; Abe, A.; **Kametani, Y.**; Shiota, Y.; Sato, O.; Adachi, C. Dynamic Luminescence Vapochromism of Pyridinium-Based Organic Salts. *Chem. Eur. J.* **2024**, *30* (69), e202402777. DOI: 10.1002/chem.202402777
  13. **Kametani, Y.**; Shiota, Y. Mechanistic studies of  $\text{NO}_x$  reduction reactions involving copper complexes: encouragement of DFT calculations. *Dalton Trans.* **2024**, *53* (48), 19081-19087. DOI: 10.1039/D4DT02420F (*Frontier Article*)
  14. Shichijo, K.; Tanaka, M.; **Kametani, Y.**; Shiota, Y.; Fujitsuka, M.; Shimakoshi, H.  $\text{B}_{12}$ -Catalyzed Carbonylation of Carbon Tetrahalides: Using a Broad Range of Visible Light to Access Diverse Carbonyl Compounds. *Chem. Eur. J.* **2024**, e202403663. DOI: 10.1002/chem.202403663.

## List of Presentation at International Conferences

- 1) **Yohei Kametani**, Kei Ikeda, Yoshihito Shiota, Kazunari Yoshizawa “DFT study on nitrite reduction by copper complex: concerted asynchronous mechanism” *The 9th Tokyo Conference on Advanced Catalytic Science and Technology*, Fukuoka, Japan (July 26, 2022), Oral presentation.
- 2) **Yohei Kametani**, Tsukasa Abe, Yoshihito Shiota, Kazunari Yoshizawa “DFT Study on Mechanism of Nitric Oxide Reduction by Dicopper Complex” *10th Asian Biological Inorganic Chemistry Conference*, Kobe, Japan (November 29, 2022), Poster presentation.

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亀谷陽平