

A Comparative Study of Site-Specific Distribution of Aging-Related Tau Astrogliopathy and Its Risk Factors Between Alzheimer Disease and Cognitive Healthy Brains: The Hisayama Study

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addition, the Thal phase significantly affected the presence of white matter ARTAG. In conclusion, our research revealed differences in the distribution of ARTAG and affected variables across AD and HC individuals.

Cover Sheet

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A Comparative Study of Site-Specific Distribution of Aging-Related Tau Astroglipathy and Its Risk Factors Between Alzheimer Disease and Cognitive Healthy Brains: The Hisayama Study

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5

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7

Abstract

Knowledge of aging-related tau astrogliopathy (ARTAG) in healthy elderly individuals remains incomplete and studies to date have not focused on the olfactory nerve, which is a vulnerable site of various neurodegenerative disease pathologies. We performed a semiquantitative evaluation of ARTAG in 110 autopsies in the Japanese general population (Hisayama study). Our analysis focused on Alzheimer disease (AD) and cognitive healthy cases (HC), including primary age-related tauopathy. Among the various diseased and non-diseased brains, ARTAG was frequently observed in the amygdala. The ARTAG of HC was exclusively limited to the amygdala whereas gray matter ARTAG in AD cases was more prominent in the putamen and middle frontal gyrus than in the amygdala. ARTAG of the olfactory nerve mainly consists of subpial pathology that was milder in the amygdala. A logistic regression analysis revealed that age at death and neurofibrillary tangle Braak stage significantly affected the ARTAG of HC. In AD, age at death and male gender had significant effects on ARTAG. In addition, the Thal phase significantly affected the presence of white matter ARTAG. In conclusion, our research revealed differences in the distribution of ARTAG and affected variables across AD and HC individuals.

Key words: Aging-related tau astrogliopathy, Alzheimer disease, Astrocyte, Olfactory nerve, Primary age-related tauopathy.

INTRODUCTION

Nonspecific glial tauopathies have long been recognized in a wide range of neurodegenerative diseases that are different from specific tau astrogliopathies, such as tufted astrocytes in progressive supranuclear palsy (PSP), astrocytic plaques in corticobasal degeneration, ramified astrocytes in Pick disease, and globular astrocytic inclusions in globular glial tauopathy (1, 2).

Nonspecific abnormal tau accumulation in astrocytes has also attracted attention in light of the growing body of evidence that astrocytes play a significant role in various central nervous system (CNS) diseases. In abnormal tau gliopathies, 2 morphologically distinct findings have been recognized: thorn-shaped astrocytes (TSA) exhibiting phosphorylated tau accumulation in perinuclear and proximal/distal protrusions, and granular or fuzzy astrocytes (GFA) involving fine punctate changes in the proximal and distal processes. Aging-related tau astrogliopathy (ARTAG) was suggested as a neuropathological term, encompassing a wide spectrum of tau astrogliopathies in 2016 (1).

Systematic neuropathological analysis of ARTAG in 628 brain bank cases disclosed that age at death, male gender, and frontotemporal lobar degeneration tauopathy are associated with ARTAG (3). Another brain bank-based evaluation of GFA revealed an association with primary tauopathies such as PSP and argyrophilic grain disease (AGD). However, a significant association with age at death was not detected in that study (4). The amygdala is considered to be the most frequently affected site of ARTAG but definitive relationships between ARTAG and neuronal tauopathies have not been demonstrated and the mechanisms of ARTAG formation remain unknown. The sequential pattern of ARTAG involvement indicates that tau astrogliopathy is mainly initiated in the amygdala and spreads to other brain regions whereas some gray matter (GM) ARTAG spreads from the striatum to other sites, including the amygdala. This implies that

1 ARTAG may exhibit discrete behaviors according to specific disease subtype (5).

2
3 ARTAG is found not only in numerous CNS diseases but is also found in mentally normal
4 elderly individuals and may be associated with primary age-related tauopathy (PART). As such,
5 it may reflect reduced brain metabolism during aging (1, 4). However, previous studies on
6 ARTAG were performed on brains of patients with CNS diseases collected in brain banks;
7 elderly individuals without cognitive disorders remain to be investigated. The Hisayama study is
8 a prospective cohort study of cerebral/cardiovascular diseases and dementia in general Japanese
9 residents. This study previously revealed trends of dementia prevalence and increasing tauopathy
10 and an association between insulin resistance and Alzheimer disease (AD) (6–8).

11
12 We sought to clarify the extent of ARTAG in the general elderly population, including in people
13 without cognitive diseases, based on the consecutive autopsies of the Hisayama study. We then
14 compared site-dependent progression of ARTAG in AD.

Materials and Methods

Subjects

A population-based prospective study (the Hisayama study) was conducted in 1961 in the town of Hisayama, a suburban community adjacent to Fukuoka City, a metropolitan area of Kyushu Island in southern Japan. The population of Hisayama is approximately 8,400 and has been stable for the past 50 years (9, 10). Comprehensive surveys of cognitive impairment in the elderly population of this town have been conducted since 1985. In the present study, we examined a series of 110 autopsies that were performed between November 11, 2017 and March 2, 2020 on residents of Hisayama (mean age at death: 85.2 ± 9.11 years; age range: 61–104 years). A total of 226 residents died during this period; of these, 138 underwent autopsy examination (the autopsy rate was 61.1%). Neuropathological evaluation was not performed in 9 autopsy cases, and 19 brain specimens were not viable for analysis mostly because of olfactory nerve impairment during autopsy. The profiles of the examined cases are summarized in Table 1. This study was approved by the Ethics Committee of the Faculty of Medicine, Kyushu University (#2020-167), and was carried out in accordance with the ethical standards described in the fifth revision of the Declaration of Helsinki, 2000.

Histopathology and Immunohistochemistry

Brains were weighed and fixed with 10% buffered formaldehyde for at least 2 weeks. The brain specimens investigated included the olfactory nerve, middle frontal gyrus, basal ganglia, superior and middle temporal gyri, amygdala, hippocampus, entorhinal cortex, and transentorhinal cortex (at the level of the lateral geniculate body), precentral gyrus, thalamus, inferior parietal lobule, anterior cingulate gyrus, midbrain, pons, and medulla oblongata. Sections were embedded in paraffin and routinely stained with hematoxylin and eosin and Klüver-Barrera staining.

Immunohistochemistry was performed using primary antibodies against phosphorylated tau (AT8, 90206 mouse monoclonal, 1:500; Innogenetics, Ghent, Belgium), amyloid beta (A β) (M0872 mouse monoclonal, 1:200; Dako, Glostrup, Denmark), phosphorylated α -synuclein (p- α S) (015-25191 mouse monoclonal, 1:2000; Wako, Osaka, Japan), 3-repeat tau (RD3, 05-803 mouse monoclonal, 1:1000; Upstate Biotechnology, Buffalo, NY), 4-repeat tau (RD4, 05-804 mouse monoclonal, 1:200; Millipore, Burlington, MA), and phosphorylated TAR DNA-binding protein of 43 kDa (p-TDP-43) (TIP-PTD-M01 mouse monoclonal, 1:4000; Cosmo Bio, Tokyo, Japan). The sections were incubated with 0.3% H₂O₂ in methanol for 30 minutes to inactivate the intrinsic peroxidase. We performed pretreatments for antigen retrieval by 60-minute incubation in 90% formic acid when using anti-A β antibody, autoclave for 10 minutes in 10 mM sodium citrate buffer at 121°C, using AT8, anti-p α S, and anti-p-TDP-43 antibodies, and 10 mM sodium citrate buffer autoclave after 10-minute incubation with 90% formic acid when using RD3 and RD4. The specimens were incubated overnight at 4°C with primary antibodies. Immunoreaction products were detected by the polymer immunocomplex method using the Envision system (Dako) and visualized using 3, 3'-diaminobenzidine ([DAB]; Dojindo, Kumamoto, Japan). Nuclei were subsequently counterstained with hematoxylin.

Double-labeling immunofluorescence was performed using a combination of AT8 (1:200) and GFAP (Z0334 rabbit polyclonal, 1:500; Dako). Deparaffinized samples were treated with primary antibodies that were blocked with normal goat serum at 4°C overnight, and then reacted with a mixture of fluorophore-labeled secondary antibodies (Alexa Fluor 488 goat anti-mouse and Alexa Fluor 546 goat anti-rabbit, 1:200 each; Thermo Fisher Scientific, Waltham, MA) at room temperature in the dark for one hour. Sections were mounted with ProLong Gold Antifade

Mountant with DAPI (Thermo Fisher Scientific). Images were acquired using a Nikon A1 confocal microscope (Nikon, Tokyo, Japan).

Evaluation of Standard and ARTAG Pathology

Well-experienced neuropathologists assessed standard brain pathological diagnosis, CERAD, Thal phase, and Braak stage of neurofibrillary tangle (NFT). A semiquantitative evaluation of ARTAG pathology was performed by another neuropathologist in a blinded manner with regard to clinical and pathological diagnosis, similar to the previous investigation: 0, absent; 1, occasional and focal; 2, numerous focal or scattered widespread; 3, numerous widespread (1, 3, 11). We identified different types of ARTAGs according to their location: subpial, subependymal, GM, white matter (WM), and perivascular ARTAG, as previously described (1, 3, 11).

ARTAG severity was assessed in specimens including the middle frontal gyrus, basal ganglia, superior/middle temporal gyrus, amygdala, hippocampus/parahippocampal gyrus, inferior parietal lobule, midbrain, pons, medulla oblongata, and ON. Next, we scored each type of ARTAG depending on the affected areas as follows: Subpial ARTAG: all regions excluding the basal ganglia; Subependymal ARTAG: amygdala, hippocampus, and brainstem; GM/WM ARTAG: all regions; Perivascular ARTAG: all regions except the olfactory nerve.

We also assessed other neurodegenerative changes (senile plaques, NFT, Lewy bodies [LBs], and p-TDP-43 neuronal cytoplasmic inclusions [NCIs]) in the amygdala. The severity of senile plaques was assessed by CERAD, and semiquantitative evaluations with respect to the distribution of NFTs and Lewy bodies were performed. Distribution was rated as follows: 0, none/absent; 1, sparse/occasional; 2, moderate; 3, frequent/numerous. We defined a score of 2 or higher CERAD/NFTs/LBs as events. The p-TDP-43 NCIs were evaluated on the basis of their

1 presence as they showcased relatively low frequency.

3 **Statistical Methods**

4 Values between any 2 groups were assessed by a Mann-Whitney U test, Chi-squared test, and
5 Spearman-rank test. The Steel-Dwass test was used as a multiple comparison test. To investigate
6 whether senescent neuropathological changes are associated with ARTAG severity, binary
7 logistic regression models were used, and the predictor variables included age at death, sex, Thal
8 phase, and Braak stage of NFT. Multiple logistic regression analysis was performed to evaluate
9 the association between ARTAG and other neurodegenerative changes (senile plaques, NFT,
10 Lewy bodies, and p-TDP-43 NCIs) in the amygdala, adjusting for sex and age at death. JMP ver
11 15.2 (SAS Institute, Cary, NC) was used for all statistical analyses.

RESULTS

Overview of Autopsy Cases

Table 1 summarizes the total of 110 autopsy cases. The average age at death was 85.3 years and clinicopathological profiles included cognitively healthy patients ([HC], 47 cases), AD (41 cases), vascular dementia (VD, 8 cases), dementia with Lewy bodies ([DLB], 5 cases), senile dementia of the neurofibrillary tangle type ([SD-NFT], 4 cases), PSP (3 cases), and AGD (2 cases). In the case of comorbid disease, we sorted the cases according to the most prominent neuropathological changes. Subjects who showed no sign of cognitive disturbance during life were designated as HC. All HC were elderly people (61-102 years old) and presented at least minimal tauopathies, as reported in our previous studies (7). PART cases diagnosed as dementia while alive were classified as SD-NFT. ARTAGs were found in all PSP and AGD cases despite the small number of samples, which is consistent with previous studies (3, 4).

ARTAG Distribution and Morphological Characteristics

Most subpial, subependymal, and perivascular ARTAGs consisted of TSA in focal regions. In the cases burdened with heavy tau glial pathological changes, highly dense glial lesions were sequentially detected through 2 discrete locations, subpial and perivascular, or subependymal and perivascular areas (Fig. 1A-C). In contrast, GM ARTAG was usually identified as GFA and tended to be widely distributed without any signs of focal accumulation (Fig. 1D, E). Small clusters of ARTAG often appear in the vicinity of subpial/perivascular/WM ARTAGs. Many WM ARTAGs were composed of TSA (Fig. 1F), while GFA was recognized in cases of mild tau gliopathy. As previously described, these glial tauopathies consisted of 4 repeat-tau, whereby they did not show any signs of 3 repeat-tau components (1, 3) (Fig. 1G-I).

The amygdala is a common ARTAG hotspot in AD, HC, SD-NFT, VD, and DLB (Fig. 2; Supplemental Fig. 1). In HC, SD-NFT, and VD, all ARTAGs were found exclusively in the amygdala. AD ARTAG was also found to be heavily concentrated in the amygdala, while gray matter tau gliopathy was dispersed across the putamen and the middle frontal gyrus to a lesser degree. The Steel-Dwass test revealed significant differences in GM ARTAG of HC between the amygdala and all other brain regions except the parahippocampal gyrus, while in GM ARTAG of AD, there were no significant differences across the amygdala, putamen, and middle frontal gyrus (Supplementary Tables 1, 2).

DLB cases exhibited an ARTAG distribution pattern similar to that of HC. ARTAG of AGD cases mainly consisted of GM and WM glial tauopathy, which revealed a spreading pattern similar to that of AD. GM and WM tau glial pathology were also dominant in PSP and were strongly observed in the middle frontal gyrus, putamen, superior/middle temporal gyrus, and midbrain, which is the same distributional pattern of tufted astrocytes (Supplementary Fig. 1) (11). All ARTAG subtypes were found in the amygdala. Additionally, we investigated whether ARTAG could be associated with other proteinopathies in the amygdala. The multiple logistic regression analysis, adjusting for sex and age at death with respect to GM/WM ARTAG, showed significant negative effects on senile plaques (Supplementary Table 3). Subpial ARTAG significantly affected the presence of p-TDP-43 NCIs presence (OR: 1.08, 95% COI: 1.01-1.17, p value: 0.009). No subtype of ARTAG showed significant effect on NFTs and LBs.

Pathological Assessments of Astroglial Tauopathy in Olfactory Nerves

Tau astrogliopathy of the olfactory nerves was primarily observed in the subpial regions and was predominantly distributed in proximal regions (Fig. 3A, B). These pathological alterations were

milder than those in the amygdala. Cases of marked glial tauopathy contained white matter lesions along the olfactory nerve fibers (Fig. 3C). However, there was no obvious tau gliopathy in the gray matter, such as the anterior olfactory nucleus (Fig. 3D-F). Additional evaluations included 11 cases (6 AD, 4 HC, and 1 VD) that had severe astrocytic phosphorylated tau lesions of the olfactory nerve. Phosphorylated tau-positive glial lesions manifested mostly as 4-repeat tau, whereas these gliopathies were not composed of 3-repeat tau (Fig. 3G-I). Confocal double immunofluorescence of a combination of AT8 and anti-GFAP antibodies revealed colocalization of phosphorylated tau accumulation in astrocytes (Fig. 3J-L). Thus, tau gliopathies of the olfactory nerve were similar to the cytological changes in ARTAG observed across other regions.

Associations Between ARTAG and Different Variables Across AD and HC Individuals

Spearman rank correlation test for ARTAG severity and different variables revealed that age at death and NFT Braak stage are associated with all the subtypes of ARTAG the entire cohort (Supplementary Table 4). Moreover, all the subtypes were found to be negatively related to brain weight, except for the GM subtype. On the other hand, every subtype of ARTAG in HC correlated with age at death, NFT Braak stage, and CERAD. Subpial/GM/WM/perivascular glial pathology revealed a correlation with the Thal phase. Subpial/WM ARTAG in AD was associated with age at death, and WM glial tauopathy was related to the Thal phase. None of the AD subtypes showed a significant correlation with the NFT Braak stage.

A multiple logistic regression analysis was performed to evaluate the effect of variables (age at death, sex, Thal phase, NFT Braak stage) on ARTAG in the entire cohort (Table 2). As reported in our previous study, age at death significantly affected the presence of every ARTAG subtype. The NFT Braak stage also exhibited significant effects; however, the results were different from

those previous investigations (3, 4). These discrepancies may be due to the difference in the population sample. The present study was of general Japanese residents, and predominantly included AD and HC individuals whereas previous investigations were based on various diseased brain bank subjects. Therefore, we additionally performed a separate logistic regression analysis for the AD and HC groups (Tables 3, 4). The NFT Braak stage had a significant effect on the presence of every subtype of ARTAG in HC. The age at death variable also demonstrated a significant effect on every subtype of ARTAG in HC, except for subependymal glial tauopathy. In the AD group, we could not find any variables showing a significant effect on the existence of subependymal and perivascular ARTAGs. The age at death variable significantly influenced the appearance of all the types of ARTAG, including subpial, gray matter, and white matter ARTAG. Male gender had significant effects on the presence of all types, gray matter, and white matter ARTAG, whereas the Thal phase significantly affected the existence of all types and white matter ARTAGs. Contrary to expectations, the NFT Braak stage showed a significant effect on the lower odds ratio of all types of ARTAG, including the gray matter and white matter ARTAG in AD.

Clinical Characteristics of ARTAG Pathology

We compared the Mini-Mental State Examination (MMSE) scores of subcortical white matter ARTAG-positive (11 cases) and negative (28 cases) AD groups. These 2 groups demonstrated no significant differences in age, sex, brain weight, CERAD, and NFT Braak staging scores, while white matter ARTAG-positive AD exhibited a significantly higher Thal phase (4.91 vs 4.21, $p = 0.027$). This outcome was reconcilable with the result that the Thal phase had a significant effect on the presence of white matter ARTAG. It has been previously reported that a higher density of subcortical white matter ARTAG correlates with poor language function in AD (12). Therefore,

1 we evaluated the influence of white matter ARTAG on language dysfunction among the AD
2 group in our study. The language associated with the MMSE total score (sum of naming,
3 repeating, 3 step orders, reading and writing) was observed to be low in the white matter positive
4 ARTAG group (7.36 vs 7.67, p value = 0.070). However, the total MMSE scores in both groups
5 were very similar (26.00 vs 26.04, p value = 0.912).

6
7 ARTAG was seen in the amygdala of all types of neurodegenerative diseases. We explored
8 medical records to assess whether the severe burden of ARTAG led to a disturbance of the
9 amygdala, thus causing mood/psychotic symptoms. Severe amygdala ARTAG cases were
10 defined as those involving excessive amygdala lesions as compared to numerous focal or
11 scattered widespread ARTAG (score 2). The medical records of AD and HC were retrospectively
12 investigated (Supplementary Table 5). Episodes of mood/psychotic dysfunction, such as
13 irritability, depression, and pica behavior were reported in 5/17 severe amygdala ARTAGs in AD
14 patients, while delusions, depression, and hallucinations were identified in 10/24 AD patients
15 without severe amygdala ARTAG. The prevalence across the 2 groups was not significantly
16 different (p value = 0.422). In terms of HC, 1/13 severe ARTAG cases suffered from depression
17 and 4/34 non-severe cases exhibited mood/psychotic disturbances such as depressed mood,
18 alcohol dependence, and refusal to accept medical care. There was no significant difference
19 between the prevalence of the 2 classifications (p = 0.685).

20

DISCUSSION

This study revealed features of ARTAG pathology in AD patients and cognitively healthy elderly individuals affected by PART in a community-based clinicopathological cohort study. Most previous studies evaluating ARTAG were performed on diseased cerebrums collected from brain banks; therefore, there is insufficient knowledge about the non-diseased brain of the elderly (3, 4, 11, 12). We evaluated sequential autopsy cases in general Japanese residents and included a large number of patients of 2 representative senile neuropathological states, AD and PART, without dementia (41 and 47 cases, respectively). Thus, this is the first study to describe distinct ARTAG characteristics between AD and HC without bias. The amygdala is a common hotspot of all ARTAG subtypes, and both senile states show a similar correlation with age at death, as previously described (3–5). However, the gray matter was strongly affected in the amygdala, putamen and middle frontal gyrus in AD, while tau gliopathy of HC was more restricted to the amygdala. Our study also revealed that age at death and male sex influenced all types of ARTAG, and only WM ARTAG in AD was related to the Thal phase as confirmed by the previous study findings (3). In contrast, however, this was the first study that revealed the association of distinct factors, i.e. age at death and NFT Braak stage, with ARTAG in HC.

Previous assessments have revealed that the amygdala is a common hotspot of ARTAG in various neurodegenerative brain diseases (3, 4). Kovacs et al reported the sequential distribution pattern of each subtype of ARTAG and identified 2 pathways of gray matter ARTAG. In one, glial lesions start in the amygdala and spread toward other brain areas and in the other, striatal tau astrogliaopathies spread to other brain regions (5). In our study, involvement of the gray matter of the putamen was followed by the amygdala in AD; however, HC containing PART exhibited gray matter lesions that were more intense in the amygdala. While the putamen is a

1 hotspot of tufted astrocytes in PSP (11), GFA was predominant in the putamen of ARTAG in AD,
2 whereas tufted astrocytes were not.

3
4 This study revealed that the features associated with the presence of ARTAG differed between
5 AD and PART. Two factors, age at death and NFT Braak stage, were consistently significant
6 across each subtype of ARTAG in PART, while heterogeneous factors such as aging, sex, and
7 Thal phase related to the generation of different subtypes of ARTAG in AD. Interestingly, male
8 gender was found to influence the ARTAG formation in AD, which is consistent with previous
9 findings (3). A study by Arena et al recently assessed the detailed immunophenotypic
10 characteristics of chronic traumatic encephalopathy (CTE) in 14 cases (13). They revealed that
11 astroglial tau pathology in CTE consisted of 4-repeat tau-positive astrogliopathy, which is
12 indistinguishable from the TSA of ARTAG. The association between CTE and sex difference has
13 not been well investigated because female professionals that are involved in contact sports are
14 generally younger and not enough female brains with a history of repetitive head impacts have
15 been donated for study on a regular basis (14). This said, almost all pathologically proven CTE
16 patients have been male while only one patient was female. Therefore, there may be a common
17 underlying pathological mechanism behind these two tauopathies (14, 15).

18
19 Our results also demonstrated that white matter ARTAG was associated with the Thal phase,
20 which is also consistent with a previous report (3). Astrocytes surrounding senile plaques
21 manifest a hypertrophic state and rich GFAP expression (16, 17), and A β oligomers themselves
22 provoke astrocyte dysfunction of synaptogenic and synaptic protective capacity (18, 19). White
23 matter ARTAG might be affected by neocortical impairment due to senile plaques because tau
24 aggregation in white matter astroglia is distributed along axonal fibers.

1
2 The aging and Braak stages were extensively significant for ARTAG formation in the PART.
3 Senescent astrocytes profoundly modify the cerebral microenvironment by increasing GFAP,
4 vimentin, and senescence-associated secretory phenotype factors (20–22). A recent report
5 revealed that insoluble tau species were less toxic to neurons and astrocytes than soluble species;
6 and neural tau transfer to astrocytes prompted astrocytic tau aggregation (23, 24). Moreover,
7 astrocytes physiologically express very low levels of tau. Therefore, they may relate to cerebral
8 environmental alteration of neural tau increment, and aggregated tau in astrocytes of PART might
9 indicate a slow collapse of aging brain homeostasis (23, 25–27). In contrast, however, several
10 heterogeneous factors were associated with the existence of ARTAG in AD, and the pathological
11 mechanisms influenced by tau pathology might include more elements besides A β toxicity,
12 blood-brain barrier dysfunction, and chronic inflammation. On the other hand, the results of
13 logistic regression analysis at the entire population level differed from that of previous
14 investigations (3, 4). Discrepancies among the factors associated with ARTAG can be observed
15 between our study and the previous investigations (3, 4), as well as between the foregoing
16 studies (3, 4). This result may be due to the differences in population samples, which suggests
17 that ARTAG formation can be associated with heterogeneous pathological conditions.

18
19 To our knowledge, this is the first study to evaluate astroglial tauopathy of the olfactory nerve.
20 Astrocytic tau aggregation of the olfactory nerve was predominantly composed of subpial
21 injuries. Astroglial tauopathies of the olfactory nerve tract were observed in close proximity to
22 subpial tau aggregation in severe cases, where tau astrogliopathy was absent in the anterior
23 olfactory nuclei despite an abundance of NFT and NT. Almost all astrocytic phosphorylated-tau
24 components were 4-repeat tau in immunohistochemical studies, and confocal double

immunofluorescence staining revealed colocalization of phosphorylated-tau and GFAP. These results were consistent with previous research on ARTAG. As such, we assessed the tau astrogliopathies of the olfactory nerve encompassed within ARTAG (1, 3). The olfactory nerve is particularly vulnerable to numerous neurodegenerative diseases, such as AD and Parkinson disease (28, 29). Since olfactory dysfunction is a prodromal symptom of various neurodegeneration and the olfactory epithelium is exposed to the external environment, the olfactory nerve could represent an entry site for pathogens (28, 30). The ARTAG of the olfactory nerve was milder than that of the amygdala, and these tau aggregations tended to be distributed over the proximal part of the olfactory nerve. It is well-established that Lewy body pathology propagates from the peripheral olfactory nerve to the CNS, while ARTAG might initiate from the amygdala and subsequently spread to the olfactory nerve (31, 32). In our study, ARTAG was absent in the anterior olfactory nucleus despite the abundance of NFT. Astrocytes have diverse functions and do not contribute to the brain microenvironment consistently (33, 34). Astrocytes play multiple roles in maintaining homeostasis in respective brain areas, which might play a role in the vulnerability of individual cerebral areas. The divergent distribution of ARTAG might be a reflection of various astrocyte reactions to aging alternation and tau toxicity between distinct brain regions.

Many matters remain to be assessed regarding the relationship between ARTAG and clinical aspects. Some previous studies showed that the ARTAG frequency of atypical AD was equal to that of typical AD, or no clinical impact was observed regarding ARTAG (3, 35). Other investigators reported that the densities of subcortical white matter TSAs in AD were associated with language and spatial dysfunction, or that AD cases who were diagnosed with possible progressive primary aphasia frequently contained cortical/subcortical TSA (12, 36). We

1 categorized patients with AD based on the presence of subcortical white matter ARTAG and
2 compared their total MMSE scores related to language function. White matter ARTAG-positive
3 AD tended to score lower with regard to language function despite having similar total MMSE
4 scores, which is consistent with a previous report (12). Since we had to use brief
5 neuropsychological examinations such as MMSE, we could not detect significant difference
6 between the two. Astrocytes play important roles in myelin homeostasis by secreting
7 regenerative factors, cholesterol metabolism, and myelin turnover (37–40). Disturbances in
8 astrocytes as a result of tau toxicity may impede salient connections required for language.

9
10 A reduction in the number and morphological changes of astrocytes in patients with major
11 depressive disorder has previously been reported (41, 42). These investigations suggest that
12 astrocytes play an important role in neuropsychiatric disorders. Our retrospective review of
13 medical records failed to reveal a significant relationship between ARTAG and mood/psychotic
14 symptoms. Systematic quantitative scrutiny of mood/psychotic dysfunction was not part of the
15 Hisayama study, and this research relied on daily medical records. Therefore, further research is
16 needed to elucidate the effect of ARTAG on psychological disorders.

17
18 There are certain limitations of this study. Some cases with a severe burden of tauopathy were
19 problematic in assessing whether lesions originated from neurons or glial cells. Our examination
20 included only obvious astrocytic tauopathy. Therefore, this study may have underestimated the
21 burden of ARTAG. We assessed only 5 brain sites of subependymal lesions as all the specimens
22 did not include vicinities of the cerebral ventricle. Therefore, the analysis of ARTAG around the
23 lateral ventricle and third ventricle was insufficient. This research is based on autopsy
24 examinations in a Japanese population sample. Although autopsy rate in the sample was high, not

1 every deceased patient was examined. Thus, we cannot exclude a selection bias. In addition,
2 different lifestyles and genetic backgrounds may limit the generalizability of our findings to
3 other (in particular, Western) ethnicities. It was also difficult to perform neuropsychological tests
4 at the terminal phase of dementia, and MMSE data were acquired at the early time of dementia
5 onset. Furthermore, it was difficult to verify the presence of ARTAG is associated with cognitive
6 impairment.

7
8 In conclusion, we investigated ARTAG in residents of a Japanese community. The amygdala
9 represents a common hotspot of ARTAG in 2 alternative states of senescence, PART without
10 dementia and AD. However, gray matter ARTAG was prominent in the putamen and middle
11 frontal gyrus in AD following glial tauopathy in the amygdala. A multiple logistic regression
12 analysis revealed that age at death and NFT Braak staging had a significant effect on the
13 existence of ARTAG in HC, while male sex was found to have a significant effect on gray/white
14 matter ARTAG. Moreover, the Thal phase significantly affected white matter ARTAG in AD.
15 These results demonstrated the heterogeneous and complex pathomechanisms that were
16 associated with ARTAG formation in the context of AD and HC.

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5

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8

Figure legends

Figure 1. Representative histology of ARTAG. **(A-C)** Subependymal and perivascular ARTAG in the amygdala of a cognitive healthy PART subject. Immunohistochemical analysis of phosphorylated tau (AT8) revealed thorn-shaped astrocytes (TSA) with dense tau deposits in subependymal **(B)** and perivascular areas **(C)**. **(D-F)** Amygdala of the same case also exhibited gray matter and white matter ARTAG. Gray matter ARTAG mostly consisted of granular/fuzzy astrocytes **(E)** while most of white matter ARTAG consisted of TSA **(F)**. Representative subpial ARTAG in a subject with Alzheimer disease demonstrated their phosphorylated tau components **(G: AT8)**, which comprised 4-repeat tau **(I: RD4)**, but not 3-repeat tau **(H: RD3)**.

Figure 2. Mosaic plot of each ARTAG distribution subtype with respect to Alzheimer disease (AD) and cognitively healthy cases (HC). Asterisks denotes the sites exhibiting mild ARTAG with significant difference to that of amygdala by Steel-Dwass test ($p < 0.05$). MFG, middle frontal gyrus; STG/MTG, superior temporal gyrus and middle temporal gyrus; PVC, primary visual cortex; Hippo, hippocampus; Parahippo, parahippocampal gyrus; Amyg, amygdala; OlfN, olfactory nerve; Put, putamen; GP, globus pallidus; MB, midbrain; MO, medulla oblongata.

Figure 3. Representative images of astroglial tauopathy of olfactory nerves. A-C: Immunohistochemical study of phosphorylated tau (AT8) revealed thorn-shaped astrocytes (TSA) in subpial regions of olfactory nerves **(A, B)**; fewer white matter TSA were observed in the vicinity **(A, C)**. **(D-F)** Anterior olfactory nucleus frequently manifested neurofibrillary tangles; however, no obvious glial tauopathy was observed. **G-I:** The tau astrogliopathy of olfactory nerves is composed of 4-repeat tau **(I: RD4)**, but not 3-repeat tau **(H: RD3)**, similar to ARTAG in other brain sites. **(J-L)** Confocal images of the subpial region of the olfactory nerve

- 1 demonstrate that most of the AT8-positive structures (represented in red) were localized in
- 2 association with the GFAP-positive cellular components (represented in green).
- 3

Running head title: Progression of ARTAG at AD and PART

A Comparative Study of Site-Specific Distribution of Aging-Related Tau Astroglipathy and Its Risk Factors Between Alzheimer Disease and Cognitive Healthy Brains: The Hisayama Study

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5

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7

Abstract

Knowledge of aging-related tau astrogliopathy (ARTAG) in healthy elderly individuals remains incomplete and studies to date have not focused on the olfactory nerve, which is a vulnerable site of various neurodegenerative disease pathologies. We performed a semiquantitative evaluation of ARTAG in 110 autopsies in the Japanese general population (Hisayama study). Our analysis focused on Alzheimer disease (AD) and cognitive healthy cases (HC), including primary age-related tauopathy. Among the various diseased and non-diseased brains, ARTAG was frequently observed in the amygdala. The ARTAG of HC was exclusively limited to the amygdala whereas gray matter ARTAG in AD cases was more prominent in the putamen and middle frontal gyrus than in the amygdala. ARTAG of the olfactory nerve mainly consists of subpial pathology that was milder in the amygdala. A logistic regression analysis revealed that age at death and neurofibrillary tangle Braak stage significantly affected the ARTAG of HC. In AD, age at death and male gender had significant effects on ARTAG. In addition, the Thal phase significantly affected the presence of white matter ARTAG. In conclusion, our research revealed differences in the distribution of ARTAG and affected variables across AD and HC individuals.

Key words: Aging-related tau astrogliopathy, Alzheimer disease, Astrocyte, Olfactory nerve, Primary age-related tauopathy.

INTRODUCTION

Nonspecific glial tauopathies have long been recognized in a wide range of neurodegenerative diseases that are different from specific tau astrogliopathies, such as tufted astrocytes in progressive supranuclear palsy (PSP), astrocytic plaques in corticobasal degeneration, ramified astrocytes in Pick disease, and globular astrocytic inclusions in globular glial tauopathy (1, 2).

Nonspecific abnormal tau accumulation in astrocytes has also attracted attention in light of the growing body of evidence that astrocytes play a significant role in various central nervous system (CNS) diseases. In abnormal tau gliopathies, 2 morphologically distinct findings have been recognized: thorn-shaped astrocytes (TSA) exhibiting phosphorylated tau accumulation in perinuclear and proximal/distal protrusions, and granular or fuzzy astrocytes (GFA) involving fine punctate changes in the proximal and distal processes. Aging-related tau astrogliopathy (ARTAG) was suggested as a neuropathological term, encompassing a wide spectrum of tau astrogliopathies in 2016 (1).

Systematic neuropathological analysis of ARTAG in 628 brain bank cases disclosed that age at death, male gender, and frontotemporal lobar degeneration tauopathy are associated with ARTAG (3). Another brain bank-based evaluation of GFA revealed an association with primary tauopathies such as PSP and argyrophilic grain disease (AGD). However, a significant association with age at death was not detected in that study (4). The amygdala is considered to be the most frequently affected site of ARTAG but definitive relationships between ARTAG and neuronal tauopathies have not been demonstrated and the mechanisms of ARTAG formation remain unknown. The sequential pattern of ARTAG involvement indicates that tau astrogliopathy is mainly initiated in the amygdala and spreads to other brain regions whereas some gray matter (GM) ARTAG spreads from the striatum to other sites, including the amygdala. This implies that

1 ARTAG may exhibit discrete behaviors according to specific disease subtype (5).

2
3 ARTAG is found not only in numerous CNS diseases but is also found in mentally normal
4 elderly individuals and may be associated with primary age-related tauopathy (PART). As such,
5 it may reflect reduced brain metabolism during aging (1, 4). However, previous studies on
6 ARTAG were performed on brains of patients with CNS diseases collected in brain banks;
7 elderly individuals without cognitive disorders remain to be investigated. The Hisayama study is
8 a prospective cohort study of cerebral/cardiovascular diseases and dementia in general Japanese
9 residents. This study previously revealed trends of dementia prevalence and increasing tauopathy
10 and an association between insulin resistance and Alzheimer disease (AD) (6–8).

11
12 We sought to clarify the extent of ARTAG in the general elderly population, including in people
13 without cognitive diseases, based on the consecutive autopsies of the Hisayama study. We then
14 compared site-dependent progression of ARTAG in AD.

Materials and Methods

Subjects

A population-based prospective study (the Hisayama study) was conducted in 1961 in the town of Hisayama, a suburban community adjacent to Fukuoka City, a metropolitan area of Kyushu Island in southern Japan. The population of Hisayama is approximately 8,400 and has been stable for the past 50 years (9, 10). Comprehensive surveys of cognitive impairment in the elderly population of this town have been conducted since 1985. In the present study, we examined a series of 110 autopsies that were performed between November 11, 2017 and March 2, 2020 on residents of Hisayama (mean age at death: 85.2 ± 9.11 years; age range: 61–104 years). A total of 226 residents died during this period; of these, 138 underwent autopsy examination (the autopsy rate was 61.1%). Neuropathological evaluation was not performed in 9 autopsy cases, and 19 brain specimens were not viable for analysis mostly because of olfactory nerve impairment during autopsy. The profiles of the examined cases are summarized in Table 1. This study was approved by the Ethics Committee of the Faculty of Medicine, Kyushu University (#2020-167), and was carried out in accordance with the ethical standards described in the fifth revision of the Declaration of Helsinki, 2000.

Histopathology and Immunohistochemistry

Brains were weighed and fixed with 10% buffered formaldehyde for at least 2 weeks. The brain specimens investigated included the olfactory nerve, middle frontal gyrus, basal ganglia, superior and middle temporal gyri, amygdala, hippocampus, entorhinal cortex, and transentorhinal cortex (at the level of the lateral geniculate body), precentral gyrus, thalamus, inferior parietal lobule, anterior cingulate gyrus, midbrain, pons, and medulla oblongata. Sections were embedded in paraffin and routinely stained with hematoxylin and eosin and Klüver-Barrera staining.

Immunohistochemistry was performed using primary antibodies against phosphorylated tau (AT8, 90206 mouse monoclonal, 1:500; Innogenetics, Ghent, Belgium), amyloid beta (A β) (M0872 mouse monoclonal, 1:200; Dako, Glostrup, Denmark), phosphorylated α -synuclein (p- α S) (015-25191 mouse monoclonal, 1:2000; Wako, Osaka, Japan), 3-repeat tau (RD3, 05-803 mouse monoclonal, 1:1000; Upstate Biotechnology, Buffalo, NY), 4-repeat tau (RD4, 05-804 mouse monoclonal, 1:200; Millipore, Burlington, MA), and phosphorylated TAR DNA-binding protein of 43 kDa (p-TDP-43) (TIP-PTD-M01 mouse monoclonal, 1:4000; Cosmo Bio, Tokyo, Japan). The sections were incubated with 0.3% H₂O₂ in methanol for 30 minutes to inactivate the intrinsic peroxidase. We performed pretreatments for antigen retrieval by 60-minute incubation in 90% formic acid when using anti-A β antibody, autoclave for 10 minutes in 10 mM sodium citrate buffer at 121°C, using AT8, anti-p α S, and anti-p-TDP-43 antibodies, and 10 mM sodium citrate buffer autoclave after 10-minute incubation with 90% formic acid when using RD3 and RD4. The specimens were incubated overnight at 4°C with primary antibodies. Immunoreaction products were detected by the polymer immunocomplex method using the Envision system (Dako) and visualized using 3, 3'-diaminobenzidine ([DAB]; Dojindo, Kumamoto, Japan). Nuclei were subsequently counterstained with hematoxylin.

Double-labeling immunofluorescence was performed using a combination of AT8 (1:200) and GFAP (Z0334 rabbit polyclonal, 1:500; Dako). Deparaffinized samples were treated with primary antibodies that were blocked with normal goat serum at 4°C overnight, and then reacted with a mixture of fluorophore-labeled secondary antibodies (Alexa Fluor 488 goat anti-mouse and Alexa Fluor 546 goat anti-rabbit, 1:200 each; Thermo Fisher Scientific, Waltham, MA) at room temperature in the dark for one hour. Sections were mounted with ProLong Gold Antifade

Mountant with DAPI (Thermo Fisher Scientific). Images were acquired using a Nikon A1 confocal microscope (Nikon, Tokyo, Japan).

Evaluation of Standard and ARTAG Pathology

Well-experienced neuropathologists assessed standard brain pathological diagnosis, CERAD, Thal phase, and Braak stage of neurofibrillary tangle (NFT). A semiquantitative evaluation of ARTAG pathology was performed by another neuropathologist in a blinded manner with regard to clinical and pathological diagnosis, similar to the previous investigation: 0, absent; 1, occasional and focal; 2, numerous focal or scattered widespread; 3, numerous widespread (1, 3, 11). We identified different types of ARTAGs according to their location: subpial, subependymal, GM, white matter (WM), and perivascular ARTAG, as previously described (1, 3, 11).

ARTAG severity was assessed in specimens including the middle frontal gyrus, basal ganglia, superior/middle temporal gyrus, amygdala, hippocampus/parahippocampal gyrus, inferior parietal lobule, midbrain, pons, medulla oblongata, and ON. Next, we scored each type of ARTAG depending on the affected areas as follows: Subpial ARTAG: all regions excluding the basal ganglia; Subependymal ARTAG: amygdala, hippocampus, and brainstem; GM/WM ARTAG: all regions; Perivascular ARTAG: all regions except the olfactory nerve.

We also assessed other neurodegenerative changes (senile plaques, NFT, Lewy bodies [LBs], and p-TDP-43 neuronal cytoplasmic inclusions [NCIs]) in the amygdala. The severity of senile plaques was assessed by CERAD, and semiquantitative evaluations with respect to the distribution of NFTs and Lewy bodies were performed. Distribution was rated as follows: 0, none/absent; 1, sparse/occasional; 2, moderate; 3, frequent/numerous. We defined a score of 2 or higher CERAD/NFTs/LBs as events. The p-TDP-43 NCIs were evaluated on the basis of their

1 presence as they showcased relatively low frequency.

3 **Statistical Methods**

4 Values between any 2 groups were assessed by a Mann-Whitney U test, Chi-squared test, and
5 Spearman-rank test. The Steel-Dwass test was used as a multiple comparison test. To investigate
6 whether senescent neuropathological changes are associated with ARTAG severity, binary
7 logistic regression models were used, and the predictor variables included age at death, sex, Thal
8 phase, and Braak stage of NFT. Multiple logistic regression analysis was performed to evaluate
9 the association between ARTAG and other neurodegenerative changes (senile plaques, NFT,
10 Lewy bodies, and p-TDP-43 NCIs) in the amygdala, adjusting for sex and age at death. JMP ver
11 15.2 (SAS Institute, Cary, NC) was used for all statistical analyses.

RESULTS

Overview of Autopsy Cases

Table 1 summarizes the total of 110 autopsy cases. The average age at death was 85.3 years and clinicopathological profiles included cognitively healthy patients ([HC], 47 cases), AD (41 cases), vascular dementia (VD, 8 cases), dementia with Lewy bodies ([DLB], 5 cases), senile dementia of the neurofibrillary tangle type ([SD-NFT], 4 cases), PSP (3 cases), and AGD (2 cases). In the case of comorbid disease, we sorted the cases according to the most prominent neuropathological changes. Subjects who showed no sign of cognitive disturbance during life were designated as HC. All HC were elderly people (61-102 years old) and presented at least minimal tauopathies, as reported in our previous studies (7). PART cases diagnosed as dementia while alive were classified as SD-NFT. ARTAGs were found in all PSP and AGD cases despite the small number of samples, which is consistent with previous studies (3, 4).

ARTAG Distribution and Morphological Characteristics

Most subpial, subependymal, and perivascular ARTAGs consisted of TSA in focal regions. In the cases burdened with heavy tau glial pathological changes, highly dense glial lesions were sequentially detected through 2 discrete locations, subpial and perivascular, or subependymal and perivascular areas (Fig. 1A-C). In contrast, GM ARTAG was usually identified as GFA and tended to be widely distributed without any signs of focal accumulation (Fig. 1D, E). Small clusters of ARTAG often appear in the vicinity of subpial/perivascular/WM ARTAGs. Many WM ARTAGs were composed of TSA (Fig. 1F), while GFA was recognized in cases of mild tau gliopathy. As previously described, these glial tauopathies consisted of 4 repeat-tau, whereby they did not show any signs of 3 repeat-tau components (1, 3) (Fig. 1G-I).

The amygdala is a common ARTAG hotspot in AD, HC, SD-NFT, VD, and DLB (Fig. 2; Supplemental Fig. 1). In HC, SD-NFT, and VD, all ARTAGs were found exclusively in the amygdala. AD ARTAG was also found to be heavily concentrated in the amygdala, while gray matter tau gliopathy was dispersed across the putamen and the middle frontal gyrus to a lesser degree. The Steel-Dwass test revealed significant differences in GM ARTAG of HC between the amygdala and all other brain regions except the parahippocampal gyrus, while in GM ARTAG of AD, there were no significant differences across the amygdala, putamen, and middle frontal gyrus (Supplementary Tables 1, 2).

DLB cases exhibited an ARTAG distribution pattern similar to that of HC. ARTAG of AGD cases mainly consisted of GM and WM glial tauopathy, which revealed a spreading pattern similar to that of AD. GM and WM tau glial pathology were also dominant in PSP and were strongly observed in the middle frontal gyrus, putamen, superior/middle temporal gyrus, and midbrain, which is the same distributional pattern of tufted astrocytes (Supplementary Fig. 1) (11). All ARTAG subtypes were found in the amygdala. Additionally, we investigated whether ARTAG could be associated with other proteinopathies in the amygdala. The multiple logistic regression analysis, adjusting for sex and age at death with respect to GM/WM ARTAG, showed significant negative effects on senile plaques (Supplementary Table 3). Subpial ARTAG significantly affected the presence of p-TDP-43 NCIs presence (OR: 1.08, 95% COI: 1.01-1.17, p value: 0.009). No subtype of ARTAG showed significant effect on NFTs and LBs.

Pathological Assessments of Astroglial Tauopathy in Olfactory Nerves

Tau astrogliopathy of the olfactory nerves was primarily observed in the subpial regions and was predominantly distributed in proximal regions (Fig. 3A, B). These pathological alterations were

milder than those in the amygdala. Cases of marked glial tauopathy contained white matter lesions along the olfactory nerve fibers (Fig. 3C). However, there was no obvious tau gliopathy in the gray matter, such as the anterior olfactory nucleus (Fig. 3D-F). Additional evaluations included 11 cases (6 AD, 4 HC, and 1 VD) that had severe astrocytic phosphorylated tau lesions of the olfactory nerve. Phosphorylated tau-positive glial lesions manifested mostly as 4-repeat tau, whereas these gliopathies were not composed of 3-repeat tau (Fig. 3G-I). Confocal double immunofluorescence of a combination of AT8 and anti-GFAP antibodies revealed colocalization of phosphorylated tau accumulation in astrocytes (Fig. 3J-L). Thus, tau gliopathies of the olfactory nerve were similar to the cytological changes in ARTAG observed across other regions.

Associations Between ARTAG and Different Variables Across AD and HC Individuals

Spearman rank correlation test for ARTAG severity and different variables revealed that age at death and NFT Braak stage are associated with all the subtypes of ARTAG the entire cohort (Supplementary Table 4). Moreover, all the subtypes were found to be negatively related to brain weight, except for the GM subtype. On the other hand, every subtype of ARTAG in HC correlated with age at death, NFT Braak stage, and CERAD. Subpial/GM/WM/perivascular glial pathology revealed a correlation with the Thal phase. Subpial/WM ARTAG in AD was associated with age at death, and WM glial tauopathy was related to the Thal phase. None of the AD subtypes showed a significant correlation with the NFT Braak stage.

A multiple logistic regression analysis was performed to evaluate the effect of variables (age at death, sex, Thal phase, NFT Braak stage) on ARTAG in the entire cohort (Table 2). As reported in our previous study, age at death significantly affected the presence of every ARTAG subtype. The NFT Braak stage also exhibited significant effects; however, the results were different from

those previous investigations (3, 4). These discrepancies may be due to the difference in the population sample. The present study was of general Japanese residents, and predominantly included AD and HC individuals whereas previous investigations were based on various diseased brain bank subjects. Therefore, we additionally performed a separate logistic regression analysis for the AD and HC groups (Tables 3, 4). The NFT Braak stage had a significant effect on the presence of every subtype of ARTAG in HC. The age at death variable also demonstrated a significant effect on every subtype of ARTAG in HC, except for subependymal glial tauopathy. In the AD group, we could not find any variables showing a significant effect on the existence of subependymal and perivascular ARTAGs. The age at death variable significantly influenced the appearance of all the types of ARTAG, including subpial, gray matter, and white matter ARTAG. Male gender had significant effects on the presence of all types, gray matter, and white matter ARTAG, whereas the Thal phase significantly affected the existence of all types and white matter ARTAGs. Contrary to expectations, the NFT Braak stage showed a significant effect on the lower odds ratio of all types of ARTAG, including the gray matter and white matter ARTAG in AD.

Clinical Characteristics of ARTAG Pathology

We compared the Mini-Mental State Examination (MMSE) scores of subcortical white matter ARTAG-positive (11 cases) and negative (28 cases) AD groups. These 2 groups demonstrated no significant differences in age, sex, brain weight, CERAD, and NFT Braak staging scores, while white matter ARTAG-positive AD exhibited a significantly higher Thal phase (4.91 vs 4.21, $p = 0.027$). This outcome was reconcilable with the result that the Thal phase had a significant effect on the presence of white matter ARTAG. It has been previously reported that a higher density of subcortical white matter ARTAG correlates with poor language function in AD (12). Therefore,

1 we evaluated the influence of white matter ARTAG on language dysfunction among the AD
2 group in our study. The language associated with the MMSE total score (sum of naming,
3 repeating, 3 step orders, reading and writing) was observed to be low in the white matter positive
4 ARTAG group (7.36 vs 7.67, p value = 0.070). However, the total MMSE scores in both groups
5 were very similar (26.00 vs 26.04, p value = 0.912).

6
7 ARTAG was seen in the amygdala of all types of neurodegenerative diseases. We explored
8 medical records to assess whether the severe burden of ARTAG led to a disturbance of the
9 amygdala, thus causing mood/psychotic symptoms. Severe amygdala ARTAG cases were
10 defined as those involving excessive amygdala lesions as compared to numerous focal or
11 scattered widespread ARTAG (score 2). The medical records of AD and HC were retrospectively
12 investigated (Supplementary Table 5). Episodes of mood/psychotic dysfunction, such as
13 irritability, depression, and pica behavior were reported in 5/17 severe amygdala ARTAGs in AD
14 patients, while delusions, depression, and hallucinations were identified in 10/24 AD patients
15 without severe amygdala ARTAG. The prevalence across the 2 groups was not significantly
16 different (p value = 0.422). In terms of HC, 1/13 severe ARTAG cases suffered from depression
17 and 4/34 non-severe cases exhibited mood/psychotic disturbances such as depressed mood,
18 alcohol dependence, and refusal to accept medical care. There was no significant difference
19 between the prevalence of the 2 classifications (p = 0.685).

20

DISCUSSION

This study revealed features of ARTAG pathology in AD patients and cognitively healthy elderly individuals affected by PART in a community-based clinicopathological cohort study. Most previous studies evaluating ARTAG were performed on diseased cerebrums collected from brain banks; therefore, there is insufficient knowledge about the non-diseased brain of the elderly (3, 4, 11, 12). We evaluated sequential autopsy cases in general Japanese residents and included a large number of patients of 2 representative senile neuropathological states, AD and PART, without dementia (41 and 47 cases, respectively). Thus, this is the first study to describe distinct ARTAG characteristics between AD and HC without bias. The amygdala is a common hotspot of all ARTAG subtypes, and both senile states show a similar correlation with age at death, as previously described (3–5). However, the gray matter was strongly affected in the amygdala, putamen and middle frontal gyrus in AD, while tau gliopathy of HC was more restricted to the amygdala. Our study also revealed that age at death and male sex influenced all types of ARTAG, and only WM ARTAG in AD was related to the Thal phase as confirmed by the previous study findings (3). In contrast, however, this was the first study that revealed the association of distinct factors, i.e. age at death and NFT Braak stage, with ARTAG in HC.

Previous assessments have revealed that the amygdala is a common hotspot of ARTAG in various neurodegenerative brain diseases (3, 4). Kovacs et al reported the sequential distribution pattern of each subtype of ARTAG and identified 2 pathways of gray matter ARTAG. In one, glial lesions start in the amygdala and spread toward other brain areas and in the other, striatal tau astrogliaopathies spread to other brain regions (5). In our study, involvement of the gray matter of the putamen was followed by the amygdala in AD; however, HC containing PART exhibited gray matter lesions that were more intense in the amygdala. While the putamen is a

1 hotspot of tufted astrocytes in PSP (11), GFA was predominant in the putamen of ARTAG in AD,
2 whereas tufted astrocytes were not.

3
4 This study revealed that the features associated with the presence of ARTAG differed between
5 AD and PART. Two factors, age at death and NFT Braak stage, were consistently significant
6 across each subtype of ARTAG in PART, while heterogeneous factors such as aging, sex, and
7 Thal phase related to the generation of different subtypes of ARTAG in AD. Interestingly, male
8 gender was found to influence the ARTAG formation in AD, which is consistent with previous
9 findings (3). A study by Arena et al recently assessed the detailed immunophenotypic
10 characteristics of chronic traumatic encephalopathy (CTE) in 14 cases (13). They revealed that
11 astroglial tau pathology in CTE consisted of 4-repeat tau-positive astrogliopathy, which is
12 indistinguishable from the TSA of ARTAG. The association between CTE and sex difference has
13 not been well investigated because female professionals that are involved in contact sports are
14 generally younger and not enough female brains with a history of repetitive head impacts have
15 been donated for study on a regular basis (14). This said, almost all pathologically proven CTE
16 patients have been male while only one patient was female. Therefore, there may be a common
17 underlying pathological mechanism behind these two tauopathies (14, 15).

18
19 Our results also demonstrated that white matter ARTAG was associated with the Thal phase,
20 which is also consistent with a previous report (3). Astrocytes surrounding senile plaques
21 manifest a hypertrophic state and rich GFAP expression (16, 17), and A β oligomers themselves
22 provoke astrocyte dysfunction of synaptogenic and synaptic protective capacity (18, 19). White
23 matter ARTAG might be affected by neocortical impairment due to senile plaques because tau
24 aggregation in white matter astroglia is distributed along axonal fibers.

1
2 The aging and Braak stages were extensively significant for ARTAG formation in the PART.
3 Senescent astrocytes profoundly modify the cerebral microenvironment by increasing GFAP,
4 vimentin, and senescence-associated secretory phenotype factors (20–22). A recent report
5 revealed that insoluble tau species were less toxic to neurons and astrocytes than soluble species;
6 and neural tau transfer to astrocytes prompted astrocytic tau aggregation (23, 24). Moreover,
7 astrocytes physiologically express very low levels of tau. Therefore, they may relate to cerebral
8 environmental alteration of neural tau increment, and aggregated tau in astrocytes of PART might
9 indicate a slow collapse of aging brain homeostasis (23, 25–27). In contrast, however, several
10 heterogeneous factors were associated with the existence of ARTAG in AD, and the pathological
11 mechanisms influenced by tau pathology might include more elements besides A β toxicity,
12 blood-brain barrier dysfunction, and chronic inflammation. On the other hand, the results of
13 logistic regression analysis at the entire population level differed from that of previous
14 investigations (3, 4). Discrepancies among the factors associated with ARTAG can be observed
15 between our study and the previous investigations (3, 4), as well as between the foregoing
16 studies (3, 4). This result may be due to the differences in population samples, which suggests
17 that ARTAG formation can be associated with heterogeneous pathological conditions.

18
19 To our knowledge, this is the first study to evaluate astroglial tauopathy of the olfactory nerve.
20 Astrocytic tau aggregation of the olfactory nerve was predominantly composed of subpial
21 injuries. Astroglial tauopathies of the olfactory nerve tract were observed in close proximity to
22 subpial tau aggregation in severe cases, where tau astrogliopathy was absent in the anterior
23 olfactory nuclei despite an abundance of NFT and NT. Almost all astrocytic phosphorylated-tau
24 components were 4-repeat tau in immunohistochemical studies, and confocal double

immunofluorescence staining revealed colocalization of phosphorylated-tau and GFAP. These results were consistent with previous research on ARTAG. As such, we assessed the tau astrogliopathies of the olfactory nerve encompassed within ARTAG (1, 3). The olfactory nerve is particular vulnerable to numerous neurodegenerative diseases, such as AD and Parkinson disease (28, 29). Since olfactory dysfunction is a prodromal symptom of various neurodegeneration and the olfactory epithelium is exposed to the external environment, the olfactory nerve could represent an entry site for pathogens (28, 30). The ARTAG of the olfactory nerve was milder than that of the amygdala, and these tau aggregations tended to be distributed over the proximal part of the olfactory nerve. It is well-established that Lewy body pathology propagates from the peripheral olfactory nerve to the CNS, while ARTAG might initiate from the amygdala and subsequently spread to the olfactory nerve (31, 32). In our study, ARTAG was absent in the anterior olfactory nucleus despite the abundance of NFT. Astrocytes have diverse functions and do not contribute to the brain microenvironment consistently (33, 34). Astrocytes play multiple roles in maintaining homeostasis in respective brain areas, which might play a role in the vulnerability of individual cerebral areas. The divergent distribution of ARTAG might be a reflection of various astrocyte reactions to aging alternation and tau toxicity between distinct brain regions.

Many matters remain to be assessed regarding the relationship between ARTAG and clinical aspects. Some previous studies showed that the ARTAG frequency of atypical AD was equal to that of typical AD, or no clinical impact was observed regarding ARTAG (3, 35). Other investigators reported that the densities of subcortical white matter TSAs in AD were associated with language and spatial dysfunction, or that AD cases who were diagnosed with possible progressive primary aphasia frequently contained cortical/subcortical TSA (12, 36). We

1 categorized patients with AD based on the presence of subcortical white matter ARTAG and
2 compared their total MMSE scores related to language function. White matter ARTAG-positive
3 AD tended to score lower with regard to language function despite having similar total MMSE
4 scores, which is consistent with a previous report (12). Since we had to use brief
5 neuropsychological examinations such as MMSE, we could not detect significant difference
6 between the two. Astrocytes play important roles in myelin homeostasis by secreting
7 regenerative factors, cholesterol metabolism, and myelin turnover (37–40). Disturbances in
8 astrocytes as a result of tau toxicity may impede salient connections required for language.

9
10 A reduction in the number and morphological changes of astrocytes in patients with major
11 depressive disorder has previously been reported (41, 42). These investigations suggest that
12 astrocytes play an important role in neuropsychiatric disorders. Our retrospective review of
13 medical records failed to reveal a significant relationship between ARTAG and mood/psychotic
14 symptoms. Systematic quantitative scrutiny of mood/psychotic dysfunction was not part of the
15 Hisayama study, and this research relied on daily medical records. Therefore, further research is
16 needed to elucidate the effect of ARTAG on psychological disorders.

17
18 There are certain limitations of this study. Some cases with a severe burden of tauopathy were
19 problematic in assessing whether lesions originated from neurons or glial cells. Our examination
20 included only obvious astrocytic tauopathy. Therefore, this study may have underestimated the
21 burden of ARTAG. We assessed only 5 brain sites of subependymal lesions as all the specimens
22 did not include vicinities of the cerebral ventricle. Therefore, the analysis of ARTAG around the
23 lateral ventricle and third ventricle was insufficient. This research is based on autopsy
24 examinations in a Japanese population sample. Although autopsy rate in the sample was high, not

1 every deceased patient was examined. Thus, we cannot exclude a selection bias. In addition,
2 different lifestyles and genetic backgrounds may limit the generalizability of our findings to
3 other (in particular, Western) ethnicities. It was also difficult to perform neuropsychological tests
4 at the terminal phase of dementia, and MMSE data were acquired at the early time of dementia
5 onset. Furthermore, it was difficult to verify the presence of ARTAG is associated with cognitive
6 impairment.

7
8 In conclusion, we investigated ARTAG in residents of a Japanese community. The amygdala
9 represents a common hotspot of ARTAG in 2 alternative states of senescence, PART without
10 dementia and AD. However, gray matter ARTAG was prominent in the putamen and middle
11 frontal gyrus in AD following glial tauopathy in the amygdala. A multiple logistic regression
12 analysis revealed that age at death and NFT Braak staging had a significant effect on the
13 existence of ARTAG in HC, while male sex was found to have a significant effect on gray/white
14 matter ARTAG. Moreover, the Thal phase significantly affected white matter ARTAG in AD.
15 These results demonstrated the heterogeneous and complex pathomechanisms that were
16 associated with ARTAG formation in the context of AD and HC.

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5

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7

8

Figure legends

Figure 1. Representative histology of ARTAG. **(A-C)** Subependymal and perivascular ARTAG in the amygdala of a cognitive healthy PART subject. Immunohistochemical analysis of phosphorylated tau (AT8) revealed thorn-shaped astrocytes (TSA) with dense tau deposits in subependymal **(B)** and perivascular areas **(C)**. **(D-F)** Amygdala of the same case also exhibited gray matter and white matter ARTAG. Gray matter ARTAG mostly consisted of granular/fuzzy astrocytes **(E)** while most of white matter ARTAG consisted of TSA **(F)**. Representative subpial ARTAG in a subject with Alzheimer disease demonstrated their phosphorylated tau components **(G: AT8)**, which comprised 4-repeat tau **(I: RD4)**, but not 3-repeat tau **(H: RD3)**.

Figure 2. Mosaic plot of each ARTAG distribution subtype with respect to Alzheimer disease (AD) and cognitively healthy cases (HC). Asterisks denotes the sites exhibiting mild ARTAG with significant difference to that of amygdala by Steel-Dwass test ($p < 0.05$). MFG, middle frontal gyrus; STG/MTG, superior temporal gyrus and middle temporal gyrus; PVC, primary visual cortex; Hippo, hippocampus; Parahippo, parahippocampal gyrus; Amyg, amygdala; OlfN, olfactory nerve; Put, putamen; GP, globus pallidus; MB, midbrain; MO, medulla oblongata.

Figure 3. Representative images of astroglial tauopathy of olfactory nerves. A-C: Immunohistochemical study of phosphorylated tau (AT8) revealed thorn-shaped astrocytes (TSA) in subpial regions of olfactory nerves **(A, B)**; fewer white matter TSA were observed in the vicinity **(A, C)**. **(D-F)** Anterior olfactory nucleus frequently manifested neurofibrillary tangles; however, no obvious glial tauopathy was observed. **G-I:** The tau astrogliopathy of olfactory nerves is composed of 4-repeat tau **(I: RD4)**, but not 3-repeat tau **(H: RD3)**, similar to ARTAG in other brain sites. **(J-L)** Confocal images of the subpial region of the olfactory nerve

- 1 demonstrate that most of the AT8-positive structures (represented in red) were localized in
- 2 association with the GFAP-positive cellular components (represented in green).
- 3

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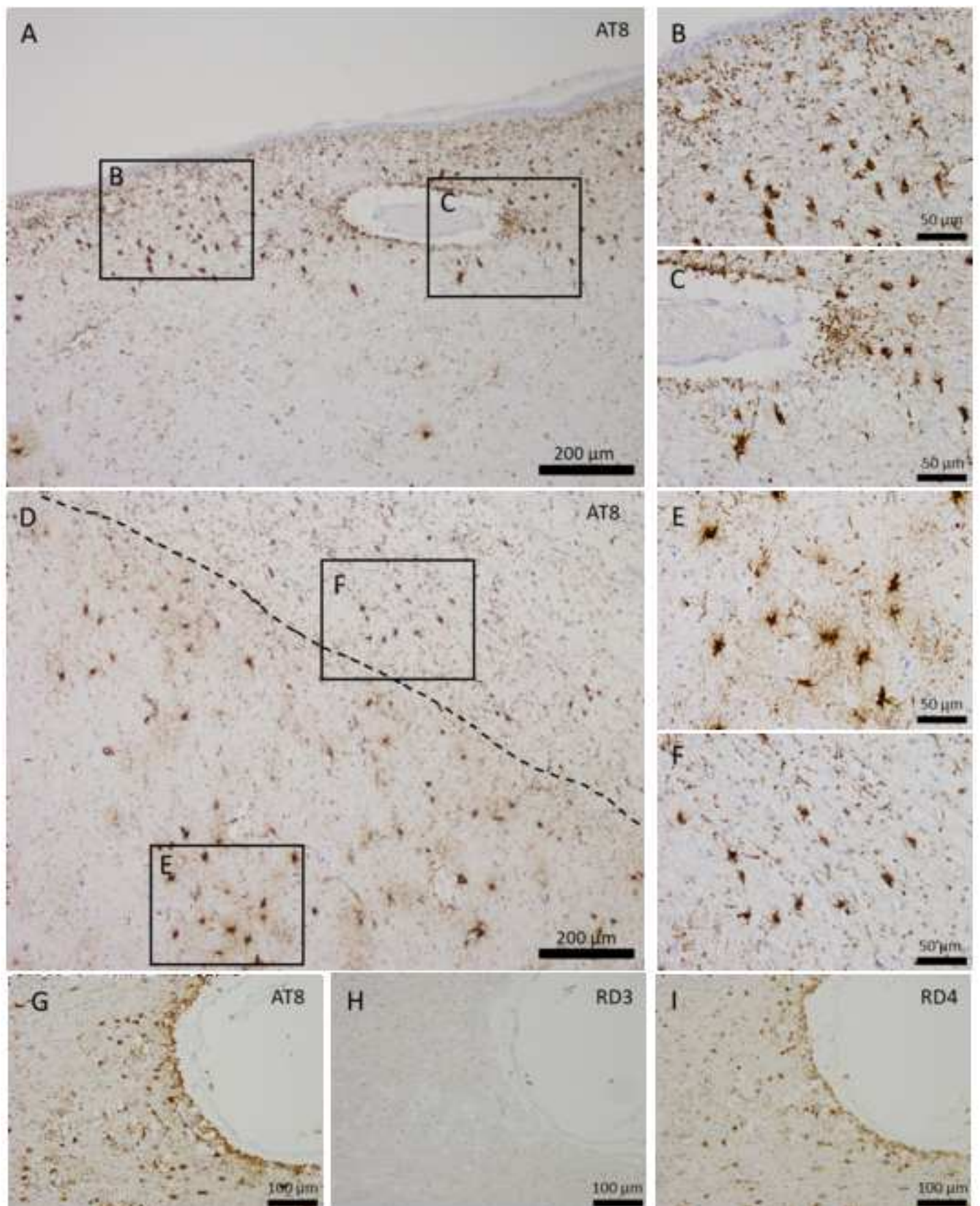


Figure. 2

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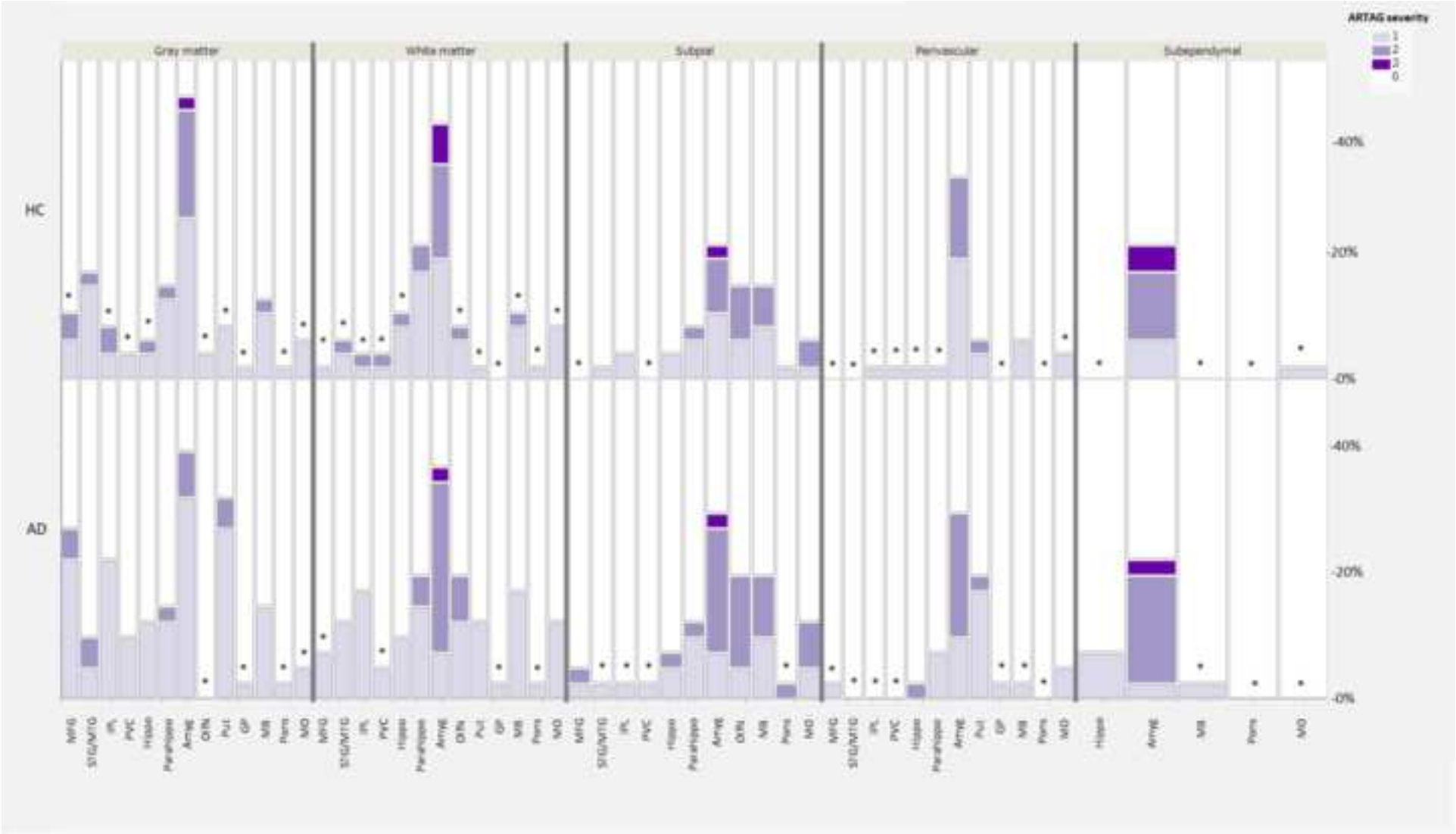


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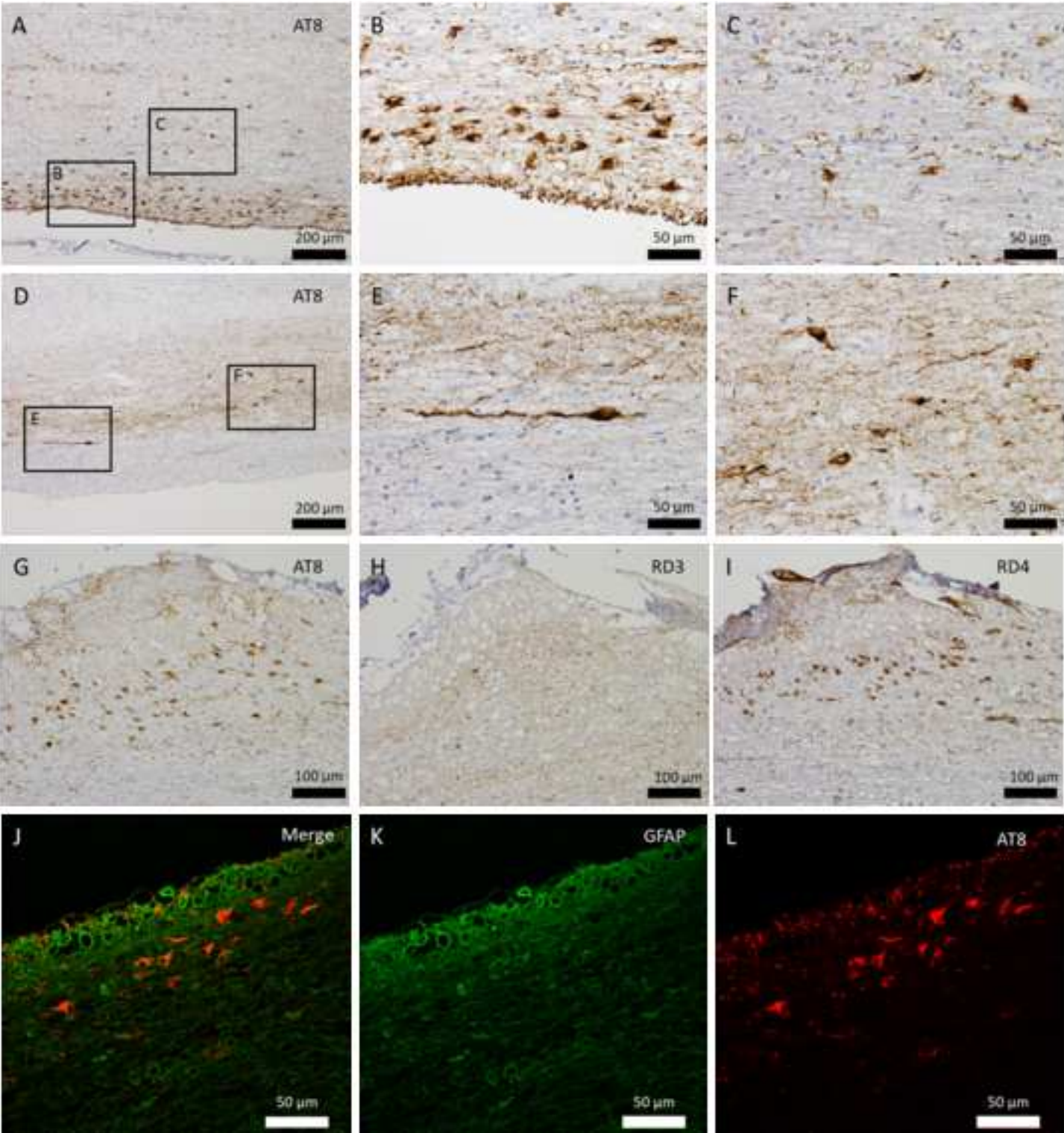


Table 1. Summary of All Examined Cases and Frequency of ARTAG

Clinicopathological Diagnosis	Number	Female	Mean Age at Death (±SD)	Brain Weight (±SD)	NFT Braak (mean)	Thal Phase (mean)	CERAD (mean)	AT ARTAG (%)	SP ARTAG (%)	SE ARTAG (%)	GM ARTAG (%)	WM ARTAG (%)	PV ARTAG (%)
HC	47	13 (27.7%)	80.7 (±9.83)	1250 (±114.1)	3.38	1.1	0.9	53	26	21	51	43	34
AD	41	25 (60.1%)	90.1 (±6.03)	1156 (±116.1)	5.20	4.4	3.0	80	56	29	68	56	39
VD	8	2 (25%)	85.8 (±7.30)	1238 (±165.1)	3.75	1.6	1.4	100	88	38	75	88	63
DLB	5	1 (20%)	83.0 (±5.24)	1358 (±153.9)	4.60	1.6	1.6	40	40	20	20	20	20
PSP	3	1 (33.3%)	82.3 (±6.66)	1157 (±210.1)	5.00	0.6	1.0	100	100	100	100	100	67
AGD	2	1 (50%)	88.0 (±1.41)	975 (±247.5)	4.00	1.5	1.0	100	100	0	100	100	0
SD-NFT	4	3 (75%)	93.3 (±11.95)	1120 (±191.3)	5.00	0.8	1.3	100	75	100	100	100	75

Abbreviations: HC, cognitive healthy case; AD, Alzheimer disease; VD, vascular dementia; DLB, dementia with Lewy body; PSP, progressive supranuclear palsy; AGD, argyrophilic grain dementia; SD-NFT, senile dementia of the neurofibrillary tangle type; AT, all type; SP, subpial; SE, subependymal; GM, gray matter; WM, white matter; PV, perivascular.

Table 2. Logistic Regression Models of the Effect of Clinical and Pathological Variables on the Presence of ARTAG in All Cases

		Univariate Logistic Regression Models			Multiple Logistic Regression Models		
		OR	95% CI	p value	OR	95% CI	p value
All type	Age at death	1.06	(1.05, 1.07)	<.0001	1.06	(1.05, 1.08)	<.0001
	Sex (Male)	1.03	(0.87, 1.21)	0.75	1.63	(1.36, 1.95)	<.0001
	Thal phase	1.00	(0.96, 1.05)	0.84	0.85	(0.81, 0.89)	<.0001
	NFT Braak stage	1.52	(1.40, 1.64)	<.0001	1.53	(1.39, 1.68)	<.0001
Subpial	Age at death	1.08	(1.05, 1.10)	<.0001	1.08	(1.05, 1.11)	<.0001
	Sex (Male)	0.87	(0.59, 1.27)	0.46	1.50	(0.99, 2.27)	0.058
	Thal phase	1.03	(0.94, 1.14)	0.49	0.87	(0.78, 0.97)	0.011
	NFT Braak stage	1.50	(1.26, 1.80)	<.0001	1.43	(1.15, 1.78)	0.0013
Subependymal	Age at death	1.06	(1.02, 1.10)	0.0067	1.06	(1.01, 1.11)	0.012
	Sex (Male)	0.97	(0.51, 1.86)	0.93	1.48	(0.73, 3.02)	0.28
	Thal phase	0.96	(0.82, 1.13)	0.62	0.80	(0.67, 0.97)	0.021
	NFT Braak stage	1.53	(1.11, 2.09)	0.0085	1.62	(1.10, 2.39)	0.016
Gray matter	Age at death	1.04	(1.03, 1.06)	<.0001	1.05	(1.03, 1.07)	<.0001
	Sex (Male)	1.26	(0.93, 1.70)	0.13	1.91	(1.37, 2.66)	0.0001
	Thal phase	0.99	(0.92, 1.06)	0.73	0.84	(0.77, 0.92)	<.0001
	NFT Braak stage	1.53	(1.33, 1.76)	<.0001	1.60	(1.36, 1.90)	<.0001
White matter	Age at death	1.06	(1.04, 1.08)	<.0001	1.07	(1.04, 1.09)	<.0001
	Sex (Male)	1.04	(0.77, 1.41)	0.80	1.67	(1.19, 2.34)	0.0029
	Thal phase	0.99	(0.92, 1.07)	0.80	0.83	(0.76, 0.90)	<.0001
	NFT Braak stage	1.56	(1.36, 1.81)	<.0001	1.61	(1.35, 1.93)	<.0001
Perivascular	Age at death	1.07	(1.04, 1.11)	<.0001	1.08	(1.04, 1.11)	<.0001
	Sex (Male)	0.81	(0.51, 1.28)	0.37	1.35	(0.82, 2.22)	0.24
	Thal phase	1.06	(0.95, 1.19)	0.31	0.91	(0.78, 1.04)	0.15
	NFT Braak stage	1.47	(1.18, 1.83)	0.0006	1.35	(1.04, 1.76)	0.023

Table 3. Logistic Regression Models of the Effects of Clinical and Pathological Variables on the Presence of ARTAG in AD Cases

		Univariate Logistic Regression Models				Multiple Logistic Regression Models			
		OR	95% CI		p value	OR	95% CI		p value
All type	Age at Death	1.05	(1.02,	1.07)	<0.0001	1.06	(1.04,	1.09)	<0.0001
	Sex (Male)	2.00	(1.52,	2.62)	<0.0001	2.16	(1.63,	2.87)	<0.0001
	Thal Phase	1.17	(0.99,	1.38)	0.053	1.33	(1.11,	1.60)	0.0013
	NFT Braak Stage	0.98	(0.77,	1.25)	0.86	0.75	(0.58,	0.98)	0.035
Subpial	Age at Death	1.07	(1.02,	1.13)	0.0073	1.08	(1.03,	1.15)	0.0028
	Sex (Male)	1.73	(0.94,	3.17)	0.077	1.74	(0.92,	3.30)	0.09
	Thal Phase	1.16	(0.8,	1.68)	0.42	1.29	(0.86,	1.93)	0.21
	NFT Braak Stage	1.24	(0.72,	2.16)	0.43	1.04	(0.56,	1.91)	0.91
Subependymal	Age at Death	1.05	(0.96,	1.15)	0.31	1.004	(0.95,	1.16)	0.35
	Sex (Male)	1.90	(0.62,	5.88)	0.26	1.60	(0.49,	5.23)	0.44
	Thal Phase	0.96	(0.52,	1.79)	0.9	0.84	(0.42,	1.71)	0.64
	NFT Braak Stage	1.95	(0.7,	5.46)	0.2	2.13	(0.62,	7.35)	0.22
Gray matter	Age at Death	1.05	(1.01,	1.09)	0.027	1.06	(1.02,	1.12)	0.0066
	Sex (Male)	2.30	(1.41,	3.74)	0.0008	2.67	(1.60,	4.44)	0.0001
	Thal Phase	1.10	(0.83,	1.46)	0.51	1.31	(0.95,	1.80)	0.086
	NFT Braak Stage	0.77	(0.5,	1.20)	0.24	0.58	(0.36,	0.93)	0.024
White matter	Age at Death	1.04	(1.00,	1.09)	0.048	1.06	(1.02,	1.11)	0.006
	Sex (Male)	2.11	(1.28,	3.50)	0.0036	2.51	(1.47,	4.27)	0.0006
	Thal Phase	1.32	(0.95,	1.82)	0.082	1.64	(1.15,	2.35)	0.0038
	NFT Braak Stage	0.73	(0.46,	1.15)	0.17	0.50	(0.31,	0.82)	0.0055
Perivascular	Age at Death	1.03	(0.97,	1.10)	0.37	1.03	(0.97,	1.11)	0.28
	Sex (Male)	1.73	(0.82,	3.67)	0.15	1.58	(0.73,	3.43)	0.25
	Thal Phase	1.23	(0.76,	1.99)	0.37	1.15	(0.69,	1.92)	0.6
	NFT Braak Stage	1.69	(0.85,	3.34)	0.13	1.46	(0.68,	3.13)	0.33

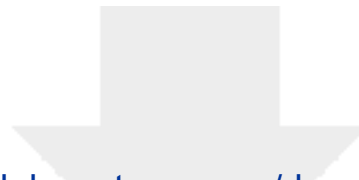
Table 4. ~~Logistic regression models~~ Logistic Regression Models of the Effects of Clinical and Pathological Variables on the Presence of ARTAG in HC.

Casesregarding effect of variables on the presence of ARTAG in HC

		Univariate Logistic Regression Models				Multiple Logistic Regression Models			
		OR	95% CI		p value	OR	95% CI		p value
All type	Age at Death	1.1	(1.08,	1.12)	< 0.0001	1.1	(1.06,	1.11)	< 0.0001
	Sex (Male)	0.59	(0.44,	0.8)	0.0008	1.2	(0.8,	1.68)	0.42
	Thal Phase	1.2	(1.06,	1.29)	0.0027	1.1	(0.98,	1.22)	0.1
	NFT Braak Stage	2.4	(2.03,	2.8)	< 0.0001	2.2	(1.79,	2.57)	< 0.0001
Subpial	Age at Death	1.1	(1.06,	1.15)	< 0.0001	1.1	(1.02,	1.13)	0.0058
	Sex (Male)	0.47	(0.24,	0.93)	0.035	0.78	(0.34,	1.79)	0.57
	Thal Phase	1.1	(0.878,	1.40)	0.39	1.0	(0.79,	1.38)	0.76
	NFT Braak Stage	2.8	(1.87,	4.26)	< 0.0001	2.7	(1.68,	4.33)	< 0.0001
Subependymal	Age at Death	1.1	(1,	1.15)	0.043	1.0	(0.95,	1.13)	0.46
	Sex (Male)	0.66	(0.19,	2.32)	0.52	0.98	(0.23,	4.11)	0.97
	Thal Phase	1.3	(0.89,	1.94)	0.19	1.3	(0.86,	2.04)	0.22
	NFT Braak Stage	2.3	(1.18,	4.48)	0.0046	2.3	(1.06,	5.13)	0.016
Gray matter	Age at Death	1.1	(1.06,	1.13)	< 0.0001	1.1	(1.05,	1.14)	< 0.0001
	Sex (Male)	0.70	(0.41,	1.20)	0.2	1.5	(0.75,	2.84)	0.26
	Thal Phase	1.2	(0.97,	1.37)	0.12	1.1	(0.89,	1.30)	0.48
	NFT Braak Stage	2.1	(1.64,	2.77)	< 0.0001	1.8	(1.36,	2.46)	< 0.0001
White matter	Age at Death	1.1	(1.07,	1.15)	< 0.0001	1.1	(1.05,	1.16)	< 0.0001
	Sex (Male)	0.68	(0.38,	1.21)	0.2	1.5	(0.73,	3.21)	0.24
	Thal Phase	1.1	(0.94,	1.38)	0.18	1.1	(0.87,	1.32)	0.53
	NFT Braak Stage	2.7	(1.95,	3.71)	< 0.0001	2.4	(1.67,	3.53)	< 0.0001
Perivascular	Age at Death	1.1	(1.06,	1.17)	< 0.0001	1.1	(1.02,	1.16)	0.0056
	Sex (Male)	0.39	(0.18,	0.85)	0.02	0.80	(0.31,	2.05)	0.64
	Thal Phase	1.3	(1.00,	1.66)	0.056	1.2	(0.91,	1.59)	0.21
	NFT Braak Stage	2.2	(1.46,	3.37)	< 0.0001	2.1	(1.26,	3.43)	0.0012

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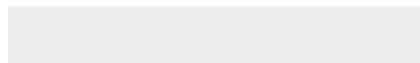
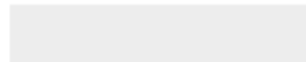
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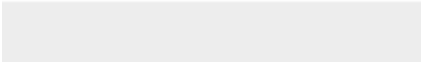
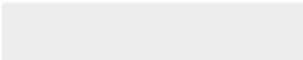




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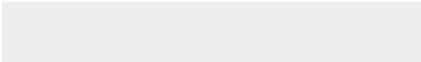
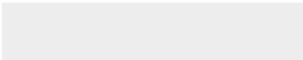




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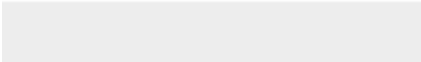
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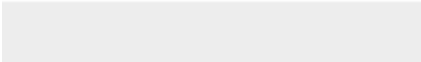
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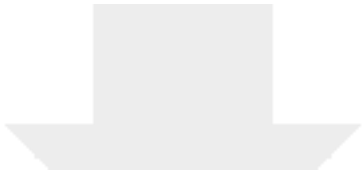




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