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## Space Optimization for Utilization of Plasmonic Effect on a P3HT-Gold Nanoparticle Photoelectrode

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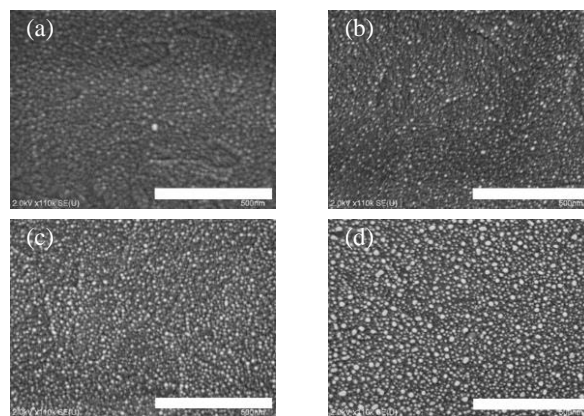
Non-capped gold nanoparticles (AuNPs) with the diameter of 9-13 nm were electrochemically deposited on the ITO electrode, and poly(3-hexylthiophene-2,5-diyl) (P3HT) was spin-coated on the AuNP-deposited electrode. The degree of photocurrent enhancement exceeded unity when the coverages of AuNPs were below 14%, but were lower above 14%. The plasmonic effect was verified to be the major contribution at lower coverages, while the quenching effects became predominant at higher coverages. The spatial optimization (1-2 nm) between AuNP and P3HT was crucial and has been successful at 18%.

Organic thin film solar cells<sup>1,2</sup> are promising for the next generation solar cells, because they have potential advantages of lightness, flexibility, processability, wide-band light collection, and so on. However, improvement of the photocurrent generation efficiency is still a challenge to realize practical applications. As to the photoactive layer of the organic solar cells, the thicker film is better for collecting light, but becomes inferior due to increasing the resistance. In order to improve the effect of photoexcitation of organic layer in the organic solar cells, the use of localized surface plasmon resonance (LSPR)<sup>3-5</sup> of noble metal nanostructures is one of the most promising approaches recently. In fact, the locally-enhanced electromagnetic field due to LSPR has been used to improve the efficiency of photoluminescence<sup>6-11</sup>, photoelectric conversion,<sup>12-26</sup> and photocatalysis<sup>27-29</sup> of dye molecules, organic semiconductor polymers, and so on. However, there still remain ambiguity on the correlations among the size and the deposition density of metal nanoparticles, and distance between molecule and metal nanoparticle. Especially, the quenching effect by metal nanoparticles, owing to energy and/or electron transfer<sup>30-33</sup> from excited molecules to metal nanoparticles,<sup>34-38</sup> has a serious problem on the intensity of photocurrent based on electron-transfer from the excited molecule to an electron acceptor present in the bulk. It is well known that the efficiencies of Förster resonance energy transfer (FRET) and nanosurface energy transfer (NSET) vary inversely with the 6th ( $d^{-6}$ )<sup>31,36-38</sup> and 4th ( $d^{-4}$ )<sup>32-35,37,38</sup> power of spatial distance, respectively. In the case of Dexter-type energy transfer, electron transfer occurs at very close distance ( $\sim 1$  nm) because this energy transfer requires overlapping the wavefunctions for electron exchange.<sup>30</sup> Accordingly, the quenching efficiency profoundly depends on the distance between metal nanoparticle and molecule. Thus, it is quite important to precisely control the distance between metal nanoparticle and the molecule for optimizing the efficiency of photoelectric conversion.<sup>18,19,23,24</sup>

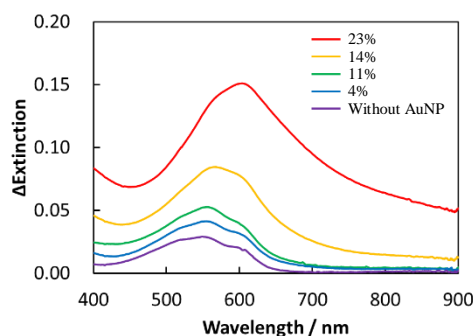
We previously reported the dependence of the coverage of citrate-capped gold nanoparticle (cit-AuNP) on the photocurrent enhancement from electropolymerized polythiophene films, and showed that there was a maximum region (coverage 14%) of deposited AuNPs ( $\sim 19$  nm diameter) on the photocurrent enhancement due to the incorporation of AuNPs<sup>20</sup>, while 5% for non-capped (bare) AuNPs ( $\sim 16$  nm) that were electrochemically deposited on the ITO electrode.<sup>21</sup> Those results show that there is optimal coverage depending on the diameter and the distance between AuNP and polythiophene layer. However, the effects of both the coverage and the spatial distance on the photocurrent enhancement have not been verified.

In this paper, we fabricated the photoactive electrodes consisting of non-capped AuNPs by electrodeposition method<sup>21</sup> and poly(3-hexylthiophene-2,5-diyl) (P3HT) layer by spin-coating method, in order to verify the optimum coverage region, and to precisely adjust the interdistance of AuNP-P3HT. The use of non-capped AuNPs was indispensable to clarify the effects of spatial distance.

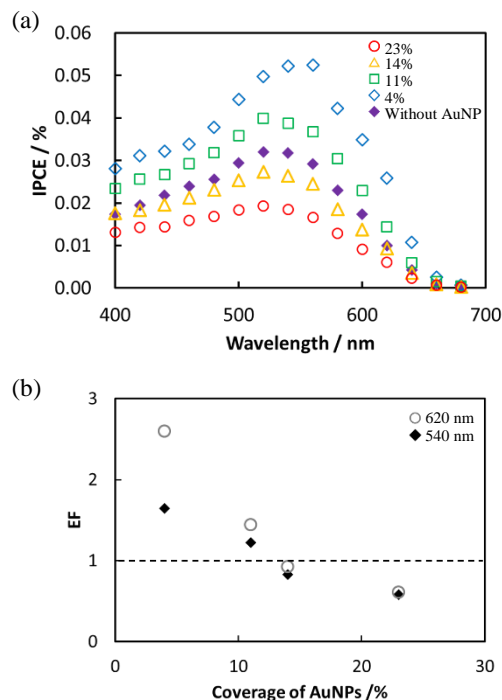
Non-capped AuNPs were electrodeposited on an indium tin oxide (ITO) electrode as follows.<sup>21</sup> At first, the ITO electrode was washed with water, ethanol, and acetone



**Figure 1.** SEM images of the ITO/AuNP electrodes. The diameters of AuNP were 9-13 nm and the coverages of AuNP were (a) 4% (0.025 mg mL<sup>-1</sup>), (b) 10% (0.050 mg mL<sup>-1</sup>), (c) 18% (0.100 mg mL<sup>-1</sup>), and (d) 26% (0.200 mg mL<sup>-1</sup>). The scale bar is 500 nm.



**Figure 2.** Differential extinction spectra of ITO/AuNP/P3HT electrodes (subtracting the absorption of ITO electrode). Blue, green, yellow, and red lines correspond to the coverage at 4, 11, 14, and 23%, respectively. Purple line is an ITO/P3HT electrode (0%).



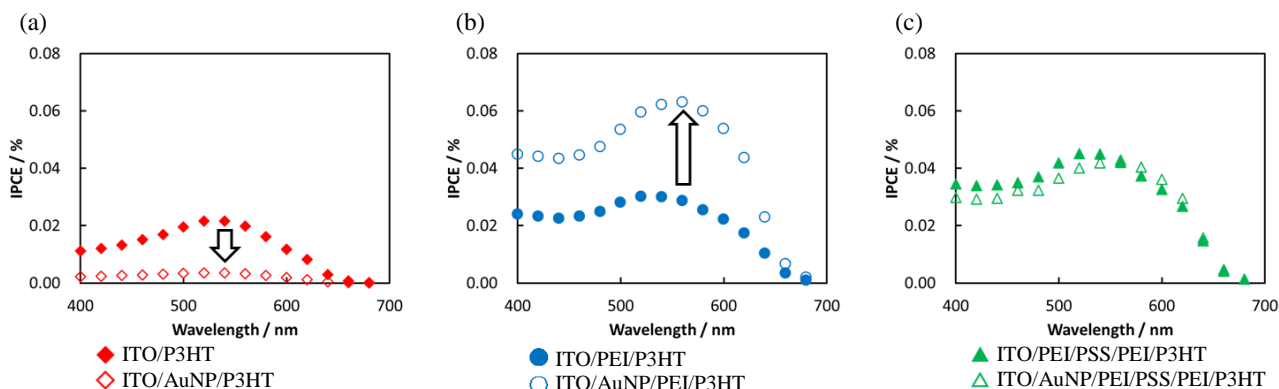
**Figure 3.** (a) IPCE spectra of the ITO/AuNP/P3HT electrodes in the region from 400 to 700 nm and (b) EF values at 540 and 620 nm plotted against the coverages.

1 by sonication for each 10 min, followed by ozone cleaning  
2 for 15 min. After that, the ITO electrode was immersed into  
3 an aqueous solution of  $\text{HAuCl}_4$  with different concentrations  
4 (0.025, 0.050, 0.100, and 0.200  $\text{mg mL}^{-1}$ ) and the  
5 electrodeposition of AuNPs was carried out by serving an  
6 applied potential of -1.4 V vs. Ag/AgCl for 25 s, and  
7 subsequent repetition for 5 times. Reference and counter  
8 electrodes were Ag/AgCl (sat. KCl) and platinum wire,  
9 respectively. Then, the electrodeposited electrodes were  
10 annealed at 418 K for 3 h, giving the AuNP-deposited  
11 electrode denoted as ITO/AuNP. Subsequently, 50  $\mu\text{L}$  of  
12 chloroform solution containing P3HT (1.0  $\text{mg mL}^{-1}$ ) was  
13 spin-coated on ITO/AuNP at 1,500 rpm and then 2,000 rpm  
14 for 10 s. Finally, the electrode was annealed at 418 K for 30  
15 min, giving the P3HT-modified electrode, denoted as  
16 ITO/AuNP/P3HT. While, the distance between AuNP and  
17 P3HT was changed by incorporating the interlayers of  
18 polyethylenimine (PEI) alone and/or PEI and  
19 poly(styrenesulfonate) (PSS).<sup>39</sup> Namely, the ITO/AuNP  
20 electrode with the coverage at 18%, was immersed into an  
21 aqueous solution of PEI (45  $\text{mg mL}^{-1}$ , 0.2 M NaCl) at 303 K  
22 for 15 min followed by rinsing with water for 15 min  
23 (ITO/AuNP/PEI), or further immersed into an aqueous  
24 solution of PSS (45  $\text{mg mL}^{-1}$ , 0.2 M NaCl) at 303 K for 15  
25 min, and then into the aqueous solution of PEI, with  
26 intermediate water rinsing, giving the modified electrode as:  
27 ITO/AuNP/PEI/PSS/PEI. As control samples, the P3HT  
28 layers were fabricated by spin-coating on ITO, ITO/PEI,  
29 and ITO/PEI/PSS/PEI, respectively.

30 Extinction and photoemission spectra of the electrodes  
31 were measured with a UV-vis-NIR spectrophotometer (UV-  
32 3150, Shimadzu) and a JASCO FP6500 spectrometer with  
33 an attachment for the measurements of solid sample (FDA-  
34 430 type; JASCO FP6000 series), respectively. Scanning  
35 electron microscopic (SEM) images were measured with  
36 Hitachi High-Tech SU8000, and the coverages of AuNP  
37 were evaluated from SEM images. Photocurrent  
38 measurements were carried out in an air-saturated aqueous

39 solution containing  $\text{Na}_2\text{SO}_4$  (0.10 M), methyl viologen (10  
40 mM) using a three-electrode photoelectrochemical cell; the  
41 three electrodes were the modified one (working), Ag/AgCl  
42 (sat. KCl; reference), and platinum wire (counter). The  
43 monochromatic light from a Xe lamp irradiated to the  
44 modified electrode, and the resultant photocurrents were  
45 measured with a Huso HECS-318C potentiostat. All  
46 photocurrents were measured at 0 V (vs. Ag/AgCl).

47 It is very important to reveal detailed conditions of  
48 AuNP introduction, such as the AuNP coverage and the  
49 interdistance of AuNP-P3HT, in an organic thin film  
50 photoelectrode so as to make the most of the effects of  
51 LSPR. Thus, we have first changed the deposition density  
52 of AuNPs by changing the concentration of the aqueous  
53 solution of  $\text{HAuCl}_4$ . Figure 1 shows the SEM images of  
54 four kinds of ITO/AuNP electrodes with different degree of  
55 electrodeposition, where the coverages of AuNP were 4, 10,  
56 18, and 26% with the concentrations of 0.025, 0.050,  
57 0.100, and 0.200  $\text{mg mL}^{-1}$ , respectively. In all cases, the  
58 diameters of AuNPs fell in 9–13 nm. Differential extinction  
59 spectra of ITO/AuNP electrode (subtracting the extinction  
60 of ITO electrode) (Fig. S1) also showed the increase of  
61 deposition density with increasing the concentration of  
62  $\text{HAuCl}_4$  as were verified with the increase of the plasmon  
63 bands of AuNPs (550–600 nm). Similarly, differential  
64 extinction spectra of ITO/AuNP/P3HT (Fig. 2) electrodes  
65 showed the superimposition of the absorption of P3HT and



**Figure 4.** IPCE spectra of modified electrodes with (coverage 18%) and without AuNP.: (a) ITO/AuNP/P3HT and ITO/P3HT, (b) ITO/AuNP/PEI/P3HT and ITO/PEI/P3HT, (c) ITO/AuNP/PEI/PSS/PEI/P3HT and ITO/PEI/PSS/PEI/P3HT.

1 AuNPs, suggesting the almost same thicknesses of  
2 superimposed P3HT films.

3 IPCE spectra of the ITO/AuNP/P3HT electrodes,  
4 together with that of ITO/P3HT electrode are shown in Fig.  
5 3a. In all cases, cathodic photocurrents were observed in  
6 the region from 400 to 700 nm based on excitation of P3HT.  
7 When the coverages of AuNP were 4% and 11%, IPCE  
8 values were larger than that of ITO/P3HT, while the values  
9 were smaller in the cases of 14 and 23%. Here, we  
10 evaluated the degree of photocurrent enhancement as an  
11 enhancement factor (EF), by dividing IPCE of the  
12 ITO/AuNP/P3HT electrode with that of the ITO/P3HT  
13 electrode, and the results are shown in Figure 3b. It was  
14 found that the EF values were larger for lower coverages of  
15 AuNP (1.7 at 540 nm (absorption of P3HT) and 2.6 at 620  
16 nm (absorption of AuNP), at the coverage of 4%). However,  
17 the EF values were below 1.0 in the coverages 14 and 23%.  
18 In order to verify the mechanisms of enhancement and  
19 reduction of EFs, we have investigated the effects of  
20 deposition density on photoluminescence from  
21 ITO/AuNP/P3HT (Figure S2). It is clear that the order of  
22 relative intensities of photoluminescence among the five  
23 samples were roughly consistent with that in the case of  
24 IPCE values (Figure 3a). If the enhancement of  
25 photoluminescence was caused by plasmon-exciton  
26 coupling<sup>7,11</sup>, the photocurrent, which was generated by  
27 electron-transfer from excited P3HT to viologen, must not  
28 be enhanced.<sup>22,25</sup> However, the photocurrents at the  
29 coverages of 4 and 11% were enhanced. The results  
30 strongly suggest that the enhancement of both photocurrent  
31 and photoluminescence at the above lower coverages was  
32 predominantly based on enhanced photoexcitation of P3HT  
33 by LSPR, and the reduction of circuit resistance due to  
34 incorporation of AuNPs was the minor contribution, if any.  
35 These enhancement effects are caused not by scattering  
36 effect but by near-field effect because, in general, small  
37 AuNPs (< 20 nm) hardly scatter the light.<sup>40</sup> In the case of  
38 higher coverages (14 and 23%), on the other hand, both  
39 photocurrents (Figure 3) and photoluminescence intensities  
40 (Figure S2) decreased similarly. These results can be

41 explained by considering that the quenching effects of  
42 AuNP exceeded the enhancement effect by LSPR because  
43 of increasing the degree of close contact between AuNP and  
44 P3HT. In other words, the quenching efficiencies of FRET  
45 and NSET from excited P3HT to AuNP becomes larger with  
46 increasing the coverage of AuNPs.<sup>11,38</sup>

47 We previously reported that EF depended on the  
48 coverage of cit-AuNPs with the diameter of ~19 nm<sup>20</sup> and  
49 non-capped AuNPs with that of ~16 nm<sup>21</sup>, and the  
50 maximum EF values were observed at the coverages of 14  
51 and 5%, respectively. The existence of maximum regions in  
52 the EF values can be explained by the result of trade-off  
53 between the forward plasmonic effects and the backward  
54 quenching effects. In the present case of smaller AuNPs  
55 (9~13 nm), on the other hand, the peak of EF was not  
56 observed and EF decreased monotonically with increasing  
57 the coverage of AuNP (Figure 3b). Since the number  
58 density of AuNP is higher for smaller diameter of AuNP at  
59 the same coverage, the use of smaller AuNPs must quench  
60 the excited P3HT more effectively under the same coverage.  
61 Thus, the maximum EF value is shifted to lower coverage  
62 and the peak was not observed in this study.

63 Next, we investigated the effects of interdistance of  
64 AuNP-P3HT. Previous reports suggested that the efficiency  
65 of quenching effects of non-capped AuNP is higher than  
66 that of cit-capped AuNP, because P3HT can directly contact  
67 with the surface of AuNP in the former. Thus, it is  
68 fundamentally important to minimize the quenching effects,  
69 while maximizing the effects of molecular excitation by  
70 LSPR.

71 In order to optimize the interdistance by overcoming  
72 the above contradictory effects, we have changed the  
73 interdistance by incorporating the polymer layers between  
74 P3HT and AuNP, using alternate layer-by-layer deposition  
75 technique of polyions.<sup>39</sup> As a result, we have fabricated the  
76 samples of ITO/AuNP/P3HT, ITO/AuNP/PEI/P3HT and  
77 ITO/AuNP/PEI/PSS/PEI/P3HT, together with the  
78 corresponding control samples without AuNP, where the  
79 coverages of AuNPs were almost constant (~18%) in all  
80 samples. IPCE spectra of those electrode with and without

polyion layers are shown in Figure. 4. The ITO/AuNP/P3HT electrode showed lower IPCE values than the ITO/P3HT one (Figure. 4a), indicating the higher quenching effects at the coverage of 18%. On the other hand, the ITO/AuNP/PEI/P3HT electrode exhibited considerably larger IPCE values as compared with the ITO/PEI/P3HT one (Fig. 4b), where the thickness of the PEI layer is estimated to be 1-2 nm (estimated from AFM: Figure S3). These results indicated that the incorporation of the PEI layer as a spacer remarkably inhibits the quenching effects of photoexcited P3HT by AuNP, while the enhancement effect based on LSPR of AuNPs can still function to the photoinduced electron-transfer leading photocurrent generation. However, the incorporation of much thicker polyion layer (PEI/PSS/PEI: 3-4 nm, See supporting information) resulted in no appreciable increase nor decrease of IPCE value as compared the electrode without AuNPs (Figure 4c). These results suggest that there is an optimum spatial distance between non-capped AuNP and P3HT for photocurrent enhancement.

In conclusion, we have carefully investigated the effects of the AuNP coverage and the distance between AuNP and P3HT on photocurrent generation. The photocurrent of the ITO/AuNP/P3HT electrode showed the EF value of 2.6 at lower coverage based on plasmonic effects, while the EF value decreased with increasing the coverage due to the increased quenching effect. In order to avoid such quenching effects, 1-2 nm) of AuNP-P3HT interdistance was quite effective even at a higher coverage (18%), by retarding the quenching effect, while utilizing the plasmonic enhancement effect. Accordingly, we have first verified the optimum adjustment of interdistane of AuNP-P3HT, in order to make the most of plasmonic effect.

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**NOTE**

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Graphical Abstract	
Textual Information	
A brief abstract (required)	Gold nanoparticles (AuNPs) were electrochemically deposited on the ITO electrode, and then poly(3-hexylthiophene-2,5-diyl) was coated. The degree of photocurrent enhancement exceeded unity when the coverages of AuNPs were below 14%, but was below unity above 14%. The quenching effects became predominant at higher coverages. The spatial optimization (1-2 nm) between AuNP and P3HT was crucial and has been successful at 18%.
Title(required)	Space Optimization for Utilization of Plasmonic Effect on a P3HT-Gold Nanoparticle Photoelectrode
Authors' Names(required)	Takuya Ishida, Miho Katagishi, Yukina Takahashi, and Sunao Yamada
Graphical Information	