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# Nano-captured Water Affects the Wettability of Cellulose Nanofiber Films

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## 19 **Highlights**

- 20 ● Wettability of cellulose nanofiber (CNF) films depends on the thickness.
- 21 ● CNF networks retain water even under ultra-high vacuum conditions of  $10^{-5}$  Pa.
- 22 ● Thick CNF films can retain water but thin ones cannot.
- 23 ● Amount of water content within the CNF films affects their wettability.
- 24 ● The minimum thickness at which CNF films could retain water is 68 nm.

25

## 26 **Abstract**

27 In this study, we report that the wettability of cellulose nanofiber films varies with their thickness, and  
28 this variation is attributed to the amount of water captured within the hydrogel-like film. To investigate  
29 this, we conducted water contact angle measurements on cellulose nanofiber films with different  
30 thicknesses. The wettability of the films was found to change from hydrophilic (approximately  $20^\circ$ ) to  
31 that of the underlying silicon substrate (approximately  $80^\circ$ ) by decreasing the mean film thickness  
32 within a range of 150 nm or less. Transmission electron microscope observations revealed that even  
33 under high-vacuum conditions ( $< 10^{-5}$  Pa), water was captured in regions where the film was thick

34 enough to form a dense, three-dimensional network. However, in regions where the film was thin, the  
35 network structure became sparse and two-dimensional, and no water was observed. We propose that the  
36 wettability of cellulose nanofiber films changes based on the area ratio of water captured in thick 3D  
37 network regions, the underlying substrate, and the cellulose nanofiber. Furthermore, we quantitatively  
38 evaluated the relationship between the area ratio and the wetting state of the composite surface based on  
39 the Cassie-Baxter equation, then determined the minimum thickness at which water is retained within  
40 the cellulose fiber network.

41

## 42 **Keywords**

43 Cellulose nanofibers, Hydrogel, Wettability, Transmission electron microscopy, the Cassie-Baxter  
44 equation

45

## 46    **1. Introduction**

47    Wetting is a familiar phenomenon commonly observed in both industry and our daily life [1–4], and is  
48    of great significance both in fundamental scientific research and in a wide range of engineering  
49    applications, such as anti-fogging [5,6], anti-fouling surfaces [7], phase-change heat transfer [8] and  
50    water/oil separation [9,10]. Thus, scientific effort has been devoted to controlling wettability [11], using  
51    approaches that include chemical modification, fabrication of micro- and nanostructures, lubricant  
52    infusion, and film coating. Among these approaches, the use of nanomaterials and their composite films  
53    is particularly promising. For example, depositing hydrophilic nanoparticles on the target surfaces or  
54    films (or mixing the nanoparticles with them) has become a common method to adjust their wettability  
55    [12–18]. The mechanism by which this influences the wettability is a combination of an increase in  
56    surface roughness by the exposure to the nanoparticles and the creation of a hydrophilic–hydrophobic  
57    hybrid surface.

58    In addition to nanoparticles (which are zero-dimensional materials), various types of one-dimensional  
59    (1D) nanomaterial (such as nanotubes, nanorods, and nanowires) and two-dimensional (2D)  
60    nanomaterials (such as nanosheets and nanoribbons) exist. Recent studies have reported that these  
61    nanomaterials can produce films with unique properties. For example, a film composed of pristine  
62    carbon nanotubes was shown to change its wettability from superhydrophobic to superhydrophilic upon  
63    temperature change [19], which may be due to the conversion of electrical behavior from  
64    semiconducting to metallic [20]. Thus, developing and characterizing new films that take advantage of  
65    nanomaterials for further control of wetting phenomena are highly desirable.

66    Among the various nanomaterials available, we chose to focus on wettability control using nanofibers.  
67    Some studies have focused on films and membranes using nanofibers [21–23]. In general, nanofiber

68 films are prepared by electrospinning, in which they are generated by applying a high voltage to a  
69 polymer solution in a spinning nozzle [24]. Several ways exist to alter the wettability of nanofiber  
70 membranes. For example, Arslan et al. introduced perfluoro alkoxysilanes into electrospun cellulose  
71 acetate nanofibers to convert them to hydrophobic, and then applied them to nanofiber mats for oil/water  
72 separation [25]. Huang et al. fabricated a super-amphiphobic membrane with anti-surfactant-wetting  
73 characteristics by coaxial electrospinning and improved the performance of membrane distillation [26].  
74 In addition to such chemical surface treatment, a change in surface structure can also shift the wettability  
75 of a nanofiber mat. For example, Benito et al. controlled the wettability of carbon nanofiber layers on a  
76 nickel foil by varying the surface roughness, porosity, and layer thickness [27].

77 We suggest that cellulose nanofibers (CNFs) could be an effective candidate for controlling wettability  
78 in a simpler way than previously described techniques. Cellulose nanofibers, which are the most  
79 abundant polymeric 1D materials on earth, have recently attracted increasing attention as  
80 environmentally friendly biodegradable nanomaterials [28–30]. They are hydrophilic because of  
81 hydroxyl groups along their molecular chain [31] thus, films composed of their three-dimensional (3D)  
82 networks behave as a hydrogel by capturing water in nanoscale spaces [32,33]. In addition to their  
83 inherent hydrophilic properties, their surface properties (such as their wettability) can be modified by  
84 introducing functional groups to their molecular chain [34–36]. Thus, there are some studies on  
85 composite and coating membranes utilizing CNF [37,38]. For example, Cai et al. manufactured an  
86 excellent water/oil separation membrane by alternately spraying chitosan solution and CNF dispersion  
87 onto a laboratory filter membrane, utilizing spray-assisted layer-by-layer (LBL) assembly technology  
88 [39]. Jamaluddin et al. demonstrated that incorporating CNFs, which were first acylated and then  
89 propionylated, into poly(lactic acid) (PLA) resulted in the successful creation of a composite film with  
90 enhanced wettability [40]. However, although some studies have investigated the wettability of CNF-

91 based composite or coated films, the characterization of the wetting properties of pure CNF films, which  
92 is most fundamental and important for the future application of CNFs, has rarely been studied. In this  
93 study, we investigated the wettability of pure CNF films deposited on silicon substrates and found that  
94 their wettability deteriorates and approaches that of the underneath silicon substrate when the mean film  
95 thickness is less than about 150 nm. Furthermore, using transmission electron microscopy (TEM), we  
96 revealed that CNF films consisted of water-captured (i.e. hydrogel) regions and non-water-captured  
97 regions. On the basis of these results, we discuss the mechanism of wettability variation of CNF films  
98 with thickness.

99

## 100 2. Experiment

### 101 2.1 Materials

102 CNFs were purchased from Cellulose Lab (Canada.). The crystallinity of the CNFs was estimated to be  
103 56.3% (see Supplementary Material, Note 1). Silicon wafer was purchased from SUMITOMO SHOJI  
104 CHEMICALS CO., LTD. (Japan). Acetone (99.7 %) was purchased from KISHIDA CHEMICAL  
105 Co.,Ltd. (Japan).

106

### 107 2.2 Fabrication of CNF films

108 The following procedure was used to form CNF films with different thicknesses on silicon substrates  
109 (Fig. 1(a)). First, freeze-dried unmodified cellulose nanofibers were added to pure water to prepare CNF  
110 dispersion solutions of different concentrations. The dispersion solutions were sonicated using an  
111 ultrasonic disperser (UP400S, Hielscher, Germany) for 1 hour. Next, the silicon wafer was cleaned with  
112 acetone, rinsed with pure water, and then dried with nitrogen gas to remove any contaminants. Pure  
113 water was prepared using a water purifier (RFP742HA, Advantec, Japan). The wafer was cut into 4 cm  
114 × 4 cm size and placed on hydrophobic Teflon sheets larger than the pieces. To create CNF films on  
115 silicon substrates, CNF dispersion solution (1 mL) was dropped onto the wafer and dried at 90°C for 1.5  
116 hours. By adjusting the concentration and amount of the solution, samples with film thicknesses ranging  
117 from 9 to 650 nm were prepared. All processes were conducted in a cleanroom where temperature (296  
118 K) and humidity (55%) were controlled.

119



### 120 2.3 Measurement of contact angles

121 The wettability of the CNF films was characterized by measuring the contact angle of a 1  $\mu\text{L}$  water  
122 droplet on the surface. The contact angles were measured from the cross-sectional image of the droplets  
123 captured using a CCD camera. It should be noted that the droplets were put on the center area of the  
124 films, namely, a macroscopically uniform surface, to avoid the coffee-ring effect of the CNFs.  
125 Moreover, we found that wicking occurs after droplet deposition due to the surface roughness and  
126 finishes within five seconds at most. Thus, to eliminate the influence of this transient phenomenon,  
127 contact angle measurements were conducted after the wicking had finished, specifically 10 seconds  
128 following the deposition of the droplet. Furthermore, the droplet size was set at 1  $\mu\text{L}$  for the following  
129 reasons. Yan et al. reported that contact angle measurements using small droplets of 2  $\mu\text{L}$  with a  
130 goniometer were consistent with the values reported by a microgoniometer [41]. It is also widely known  
131 that when the radius of the liquid-gas interface of a droplet is smaller than the capillary length (which is  
132 about 2.7 mm for water and air), the droplet becomes distorted due to the influence of gravity [42].  
133 Moreover, the base area of the droplet must be substantially larger than the scale of chemical and  
134 structural heterogeneity on the surface. Based on these references, we chose the 1  $\mu\text{L}$  droplet in our  
135 contact angle measurements. In addition, all processes were conducted in a cleanroom where  
136 temperature (296 K) and humidity (55%) were controlled. We note that although our contact angle  
137 measurements are limited in a standard environment, their dependence on conditions such as humidity  
138 and temperature should be noted. Further characterization of the effect of specific conditions on the  
139 wettability is beyond the scope of this article and will be explored in future works.

140

### 141 2.4 Measurement of thickness of CNF films

142 The mean thickness of the CNF films was evaluated by averaging the profiles obtained by a stylus  
143 profiling system (DektakXT, Bruker, USA). Notably, because the large tip radius of the stylus head  
144 (12.5  $\mu\text{m}$ ) results in an overestimation of the lateral profile of the spike-like geometry, such regions  
145 were excluded from the averaging as errors.

146

## 147 2.5 Measurement of thickness distribution in CNF films

148 Furthermore, to obtain a thickness distribution of CNF films, coherence scanning interferometry (CSI;  
149 VS1330, HITACHI, Japan) was used. Samples for CSI measurements were prepared as follows. Glass  
150 slides were used as substrates instead of silicon substrates because they are the only applicable material  
151 for film-thickness measurements using CSI because of their high transparency. First, a 1 cm  $\times$  1 cm  
152 Teflon sheet with an 8-mm-diameter hole at the center was put onto a glass slide. Next, 80  $\mu\text{L}$  of CNF  
153 dispersion solution was dropped into the central hole. Then, the film was formed by drying at 90°C for  
154 1.5 hours. This procedure was repeated for different glass slides using different concentrations of  
155 dispersion solution to create samples with different thicknesses. Then, we were able to obtain their mean  
156 thickness and 3D height distribution (histogram) over an area of 1024  $\mu\text{m}$   $\times$  1024  $\mu\text{m}$  using CSI. It was  
157 assumed that the CNF thickness distribution on the glass slides was the same as that on the silicon  
158 substrates. In addition, alongside the acquisition of the film thickness distribution, the specific surface  
159 area was obtained.

160

## 161 2.6 TEM observation of CNF films

162 We also observed a CNF film using TEM. First, a droplet of 0.25-wt% CNF dispersion solution was  
163 placed onto a TEM grid (Cu-2000HS, Gilder Grids Ltd., UK) and vacuumed for 10 hours at  $10^{-3}$  Pa. The  
164 sample was then fixed to a TEM holder and observed with JEM-2100Plus (JEOL Ltd., Japan) at an  
165 acceleration voltage of 200 kV. The TEM chamber pressure was approximately  $10^{-5}$  Pa. In addition, to  
166 confirm the purity of CNFs, elemental analysis was performed using Energy-dispersive X-ray  
167 Spectroscopy (EDS) (See Supplementary Material, Note 2).

168

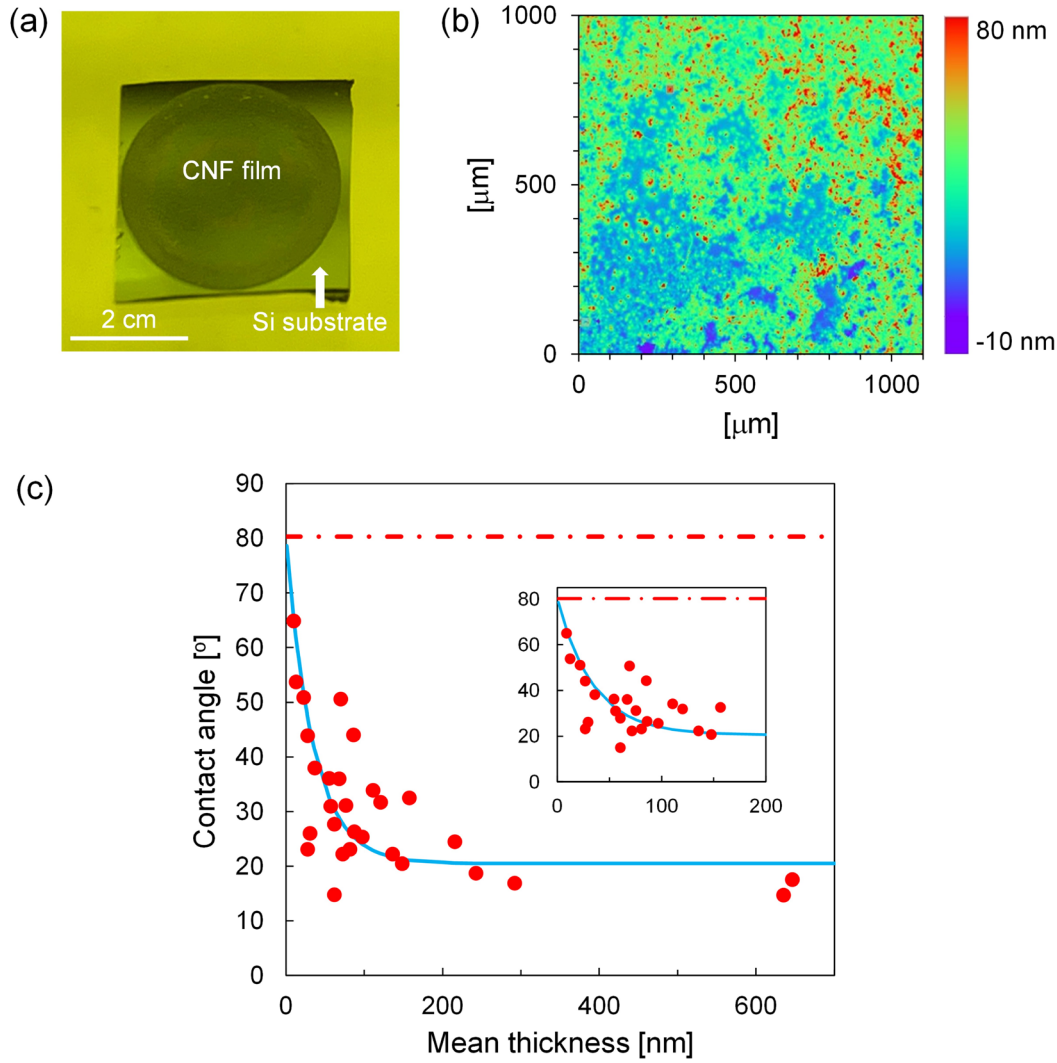
### 169 **3. Results and Discussion**

#### 170 **3.1 Wetting behavior of CNF Films as a function of film thickness**

171 In this study, we investigated the relationship between the wettability and thickness of CNF films  
172 created on silicon substrates (Fig. 1(a)). Since the film exhibits a nanoscale distribution as shown in Fig.  
173 1(b), we adopted the mean thickness. Fig. 1(c) illustrates the relationship between the mean thicknesses  
174 and apparent contact angles of CNF films. The contact angle of the pristine silicon substrate was  $80.3 \pm$   
175  $3.2^\circ$ , which was consistent with the value previously reported [43]. As the graph demonstrates, the CNF  
176 films consistently exhibited lower contact angles than that of the silicon substrate. When the mean film  
177 thickness was over 150 nm, the contact angle remained almost constant at approximately  $20^\circ$ . By  
178 contrast, when the thickness was below 150 nm, the contact angle varied between  $15^\circ$  and  $65^\circ$ ,  
179 approaching the contact angle of the underlying silicon substrate as the thickness decreased. These  
180 findings challenge the conventional understanding that the wettability of a film is uniquely determined  
181 by its surface properties and is independent of its thickness. Moreover, based on the relationship  
182 between the mean film thicknesses and contact angles, the following empirical formula was derived:  
183  $\theta = 59.8e^{-0.03T} + 20.5$ . Here,  $\theta$  [deg.] is a contact angle,  $T$  [nm] represents the mean film thickness,

184 and the R-squared value was 0.79. The convergent value of the contact angle was  $20.5^\circ$ , which  
 185 corresponds to the average value of the contact angle for CNF films with a thickness greater than 150  
 186 nm.

187



188

189 **Fig. 1.** (a) Photograph of a CNF film formed on a silicon substrate. (b) Thickness distribution of a CNF  
 190 film with a mean thickness of 37.8 nm measured by CSI. (c) Relationship between the mean thicknesses  
 191 and contact angles of CNF films. The red dashed lines show the water contact angle on the pristine

192 silicon substrate. The blue solid lines represent an empirical formula:  $\theta = 59.8e^{-0.03T} + 20.5$ . An  
193 enlarged view of the region of low mean thickness is shown as an inset.

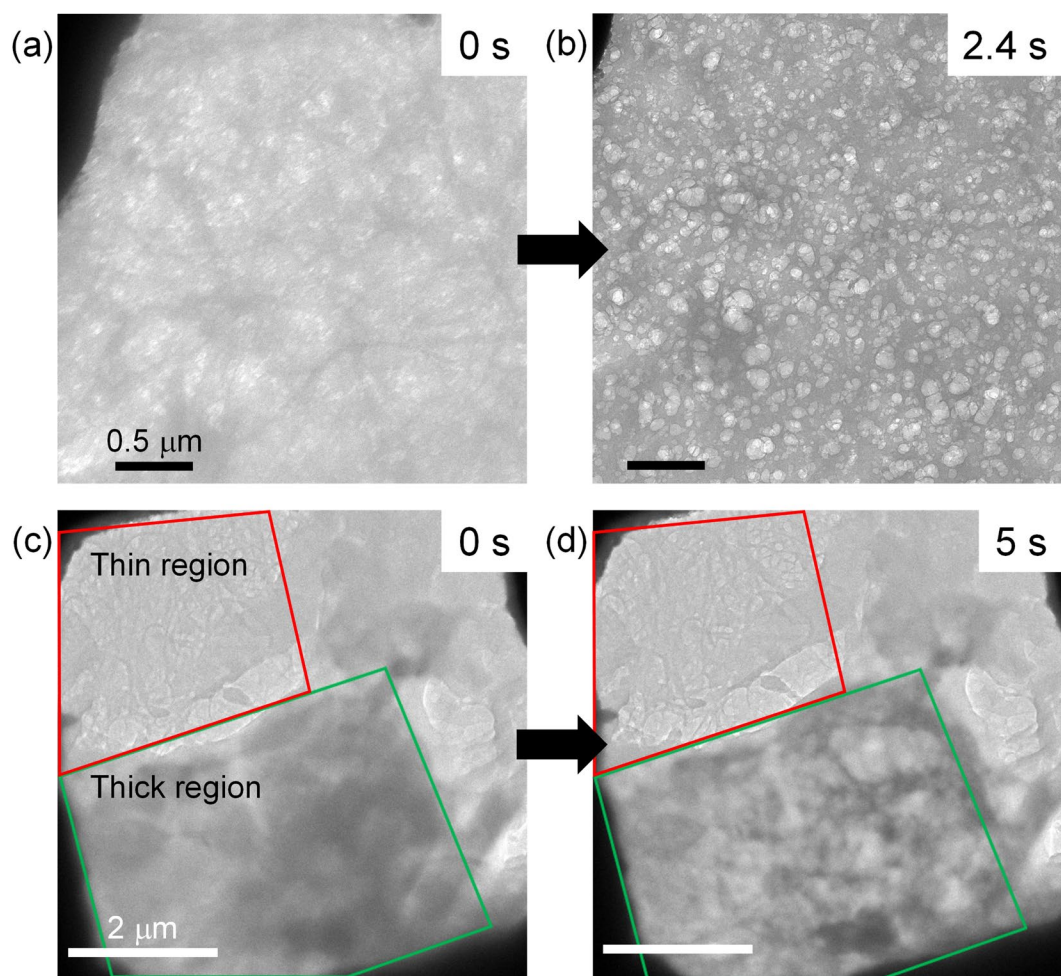
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195

### 196 3.2 TEM and STEM observation of CNF films

197 To investigate the cause of the contact-angle change, the detailed structures of CNF films were observed  
198 by TEM. As shown in Fig. 2(a), the entire region of the films appeared hazy, and individual CNFs were  
199 not clearly observed at 0 s; however, with observation time, the image became clearer (Fig. 2(b)).  
200 Furthermore, the CNF networks gradually expanded from 0 to 2.4 s. These changes were induced by the  
201 irradiation of the electron beam. The electron beam causes the radiolysis of water, leading to the  
202 decomposition of water into hydrogen, oxygen, and radicals [44]. Nanobubbles composed of these  
203 products have been reported to form during TEM observation [45,46]. Therefore, we speculate that the  
204 expansion of the network structures with time is a result of bubble nucleation induced by the radiolysis  
205 of the nano-captured water in the CNF network. It is worth clarifying that the water was exposed to a  $10^{-5}$   
206 Pa environment for more than 20 minutes before Fig. 2 was taken, and bubbles did not form before  
207 electron beam irradiation. Moreover, Grogan et al. reported that the temperature rise of water under a  
208 typical TEM experiment is less than 4 K [47]. Therefore, the heating due to the electron beam is unlikely  
209 to cause the boiling. It was noted that bubble nucleation due to the radiolysis has been frequently  
210 observed in TEM observation. For example, Hirokawa et al. have observed radiolysis-oriented bubbles  
211 in 10 nm thick water [48,49]. Therefore, these results support the conclusion that bubbles were nucleated  
212 because of radiolysis.

213



**Fig. 2.** (a, b) Time series of radiolysis of water captured in CNF networks observed by TEM. (c, d) Time series of a CNF film composed of thin and thick regions. The regions in the red and green squares correspond to the thin and thick regions, respectively.

To investigate the relationship between the film thickness and the water-retention capacity, we observed a CNF film region where thin (red square) and thick (green square) regions coexisted (Fig. 2(c, d)). The area enclosed by the green square was considered to represent a thicker film for the following two reasons. First, when focusing on the area within the red square, the green region appeared blurred,

224 indicating different heights for their two regions. Second, the contrast in the green square was darker  
225 than the red region, indicating that the amount of the transmitted electron beam was lower than that in  
226 the red square because of the high molecular density. Consequently, the CNF film in the green frame  
227 was thicker than the one in the red square. Furthermore, when electron beams continued to irradiate the  
228 entire area, radiolysis of water occurred in the green region, but there was no observable change in the  
229 red frame. These results suggest that a certain thickness is necessary to retain water in the hydrogel film.  
230 This may be because, when the film is too thin, the network structure fails to form a 3D configuration,  
231 thereby preventing the creation of nanoscale spaces essential for water retention. Fig. S5 (see  
232 Supplementary Material, Note 3) also shows that radiolysis did not happen when the CNFs were  
233 sparsely distributed in the sample. Incidentally, the TEM images were captured after exposing CNFs to  
234 the electron beam and allowing more than 30 s to pass without any noticeable changes. This confirmed  
235 that the CNFs themselves were not damaged under our observation condition. Additionally, from the  
236 TEM images in Fig. S5, 30 fibers were randomly selected, and their diameters were measured. Their  
237 diameters ranged from 5.4 to 67.4 nm, with an average of 37.2 nm.

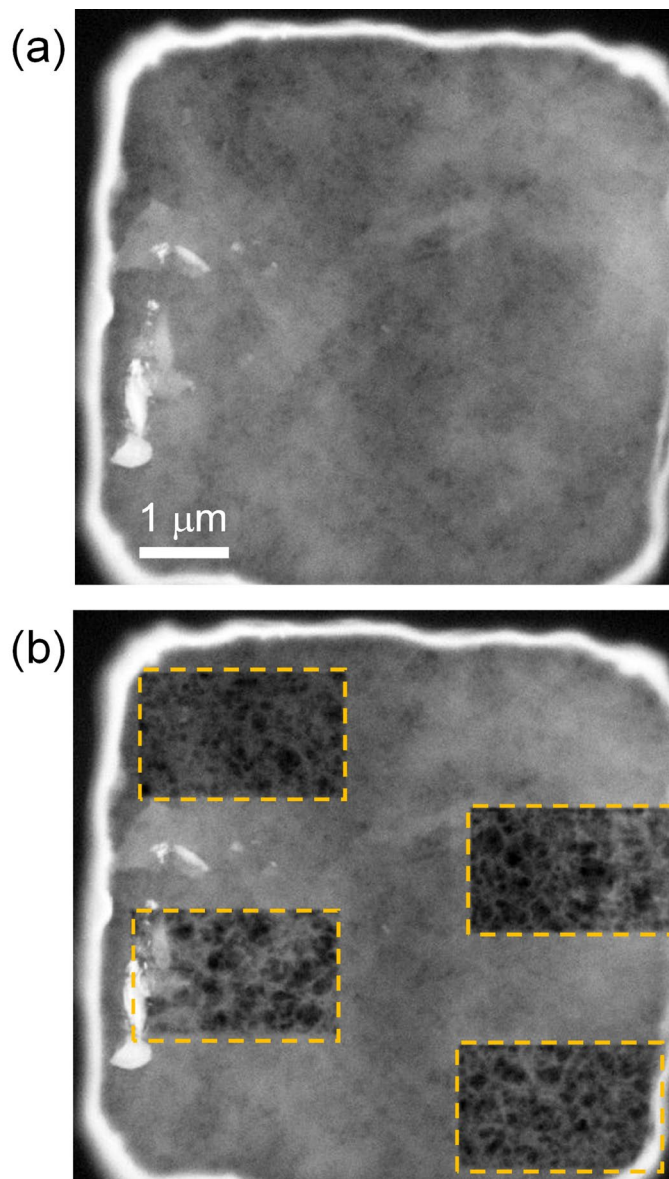
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239 CNF films were observed using both TEM mode and scanning transmission electron microscope  
240 (STEM) mode. In TEM mode, the electron beam uniformly irradiates the field of view; by contrast, in  
241 STEM mode, the electron beam is converged to a focal point and scans in lines over samples to take  
242 scanning images. Fig. 3 shows STEM images of the CNF film before and after the specific areas marked  
243 by the yellow dashed frames are irradiated by the focused electron beam. We note that, because we used  
244 the annular dark-field (ADF)-STEM mode, which creates images by collecting scattered electrons, an  
245 area with brighter contrast corresponds to a higher density area. In contrast with Fig. 3(a), which was  
246 imaged before the high-intensity electron beam scanning, the three-dimensional structures of the CNFs

247 became clear only in the beam-irradiated area (Fig. 3(b)). This suggested that water existed in the hazy  
248 region and disappeared owing to the radiolysis of water caused by intensive scanning, which was  
249 consistent with the results shown in Fig. 2. Furthermore, after 30 s, the region within the dashed lines  
250 did not become hazy again, which indicated that water, firmly captured in the gel structure through  
251 hydrogen bonding, is in a unique state distinct from the bulk, having lost its fluidity. Similar ultra-  
252 stability of water in nanoscale spaces has also been reported inside carbon nanotubes [50,51].

253





**Fig. 3.** ADF-STEM images of a water-retaining CNF film taken (a) before and (b) after radiolysis within the yellow dashed frames.

### 3.3 Wettability transition model of CNF films

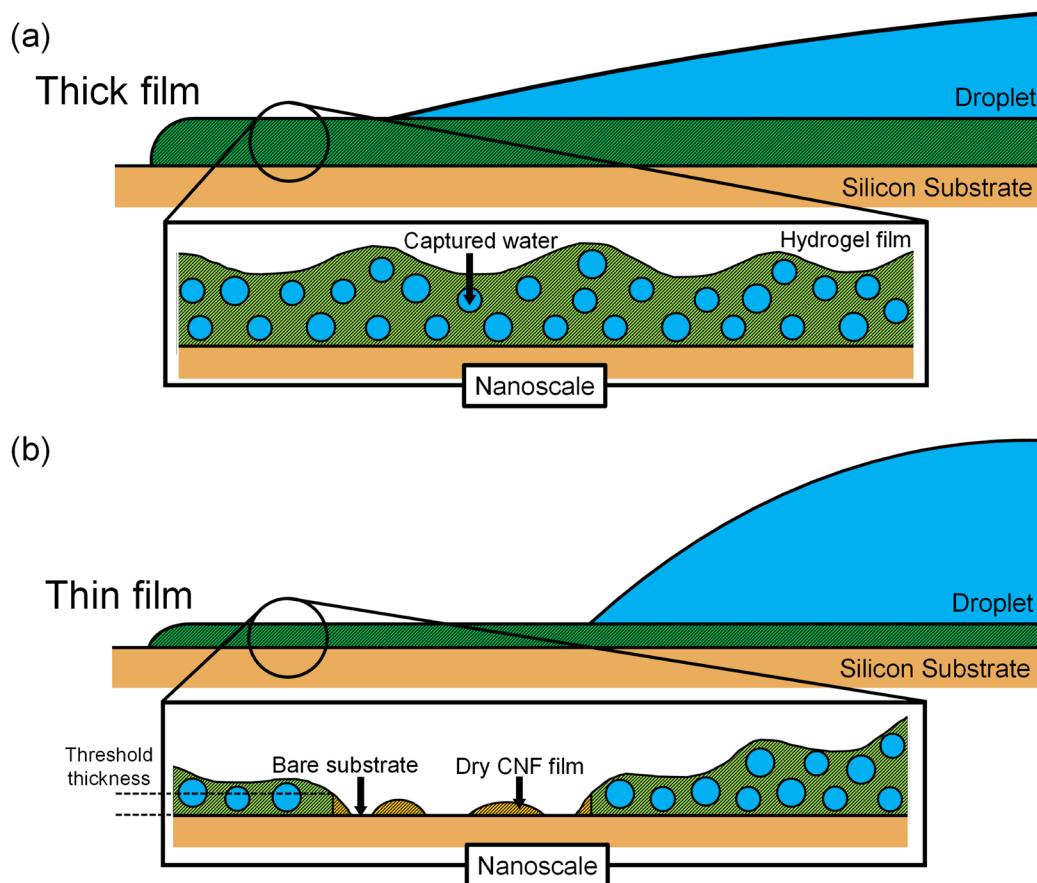
On the basis of the above observations, we explain why the contact angle of thin CNF films varies and approaches that of the underneath substrate as the thickness decreases. Because CNF films exhibited

porous characteristics, a droplet placed on a surface gradually soaked into the film over time (as shown in Supplementary Material, Note 4). Through this soaking process, the bottom of the droplet can be in contact with three types of materials: the CNFs, water present within the fiber network, and the underlying substrate. Therefore, the contact angle is determined by the ratio of the areas of the three materials with which the droplet is in contact.

As shown in Fig. 1(c), when the mean thickness of the CNF film exceeded approximately 150 nm, the contact angle consistently remained around  $20^\circ$  regardless of the thickness. TEM observations revealed that in locally thick regions of the CNF film (corresponding to Fig. 2(a, b), the green frame of Fig. 2(c-d) and Fig. 3), the fibers were densely intertwined to form a 3D network structure capable of gelation by retaining water in nanoscale spaces. Thus, when the mean film thickness exceeds 150 nm, the entire film can be assumed to become thick regions (water-captured regions) as illustrated in Fig. 4(a). In such a case, the film adopts a gel-like structure, preventing wetting of the underlying silicon substrate due to its thickness. Therefore, the water droplet can be in contact with only two materials: CNFs and water captured in the fiber-network structure. Moreover, the contact angle of CNF films is determined by the area ratio of these materials. Assuming that their ratio is uniform all over the area, the contact angles should be constant on the thick gel-like film regardless of the film thickness.

On the other hand, when the mean thickness of the CNF film was lower than 150 nm or less, the contact angles tended to increase with the decrease in mean thickness, approaching that of the underlying substrate. As shown in Fig. 2(c-d), the CNF films were composed of thick regions (water-captured regions) and thin regions (non-captured regions) because of the nanoscale thickness distribution as

283 shown in Fig. 1(b). In the locally thin regions, the CNF network was sparse and did not retain water (see  
284 Supplementary Material, Note 3), leading to the water droplet wetting the underlying substrate. In other  
285 words, the thin regions are regarded as the composite surface of the pristine CNFs and the underlying  
286 substrate. Consequently, the film with a mean thickness of less than 150 nm becomes three composite  
287 surfaces consisting of CNFs, the silicon substrate, and retained water inside the CNF networks. As mean  
288 thickness decreases, the area of the thick regions (hydrogel regions) should decrease while the thin  
289 regions (non-captured regions) increase, approaching the wettability of the underlying substrate surface.  
290 Additionally, because individual fiber diameters vary within a range of 5.4 to 67.4 nm, even films with  
291 the same mean thickness may or may not form dense 3D networks. This variability in network formation  
292 is likely the reason for the dispersion of measured contact angles when the mean film thickness is less  
293 than 150 nm.



**Fig. 4.** Internal and surface structure of a (a) thick and (b) thin CNF film. An enlarged schematic diagram at the nanoscale is presented within the rectangular frame. CNFs are indicated by the black hatch pattern. The green region within the inset represents the hydrogel regions, while the orange regions correspond to the thin CNF film. The blue circle indicates water trapped within the thick film.

In this study, we disregarded the effect of differences in CNF's crystallinity on wettability for the following reasons. Generally, the interaction between CNFs and water is localized on the surfaces of the crystalline regions and the amorphous regions, which means that crystallinity could potentially influence wettability. According to the FTIR analysis (refer to Supplementary Material, Note 1), differences in crystallinity were observed between the thick and thin CNF films. However, during contact angle

305 measurements, water infiltration into the amorphous regions causes molecular reorientation, resulting in  
306 uniform crystallinity regardless of the film thickness. Therefore, the impact of crystallinity differences  
307 on surface wettability is assumed to be negligible in our experiments.

308

309 Moreover, we also disregarded the effects of time, humidity, and temperature on the wettability of CNF  
310 films for the two main reasons. First, the time-dependence induced by wicking and evaporation was  
311 eliminated by measuring contact angles after spreading was complete (refer to Supplementary Material,  
312 Note 5). Second, by standardizing the ambient conditions for these measurements, the effects of  
313 humidity and temperature on wettability become negligible (refer to Supplementary Material, Notes 6  
314 and 7). In addition, the wettability can also be altered by the adsorption of volatile organic compounds  
315 (VOCs) in the ambient air due to the presence of substantial quantities of hydroxy groups. Yan et al.  
316 observed that CuO surfaces became hydrophobic after being exposed to the atmosphere, which was  
317 attributed to the adsorption of volatile organic compounds (VOCs) from the ambient air [41]. Their  
318 adsorption is inevitable because VOCs exist ubiquitously, but the timescale for the change in contact  
319 angle due to the adsorption is on the order of days [52]. Therefore, to minimize the effect of VOCs  
320 adsorption, all processes from sample preparation to contact angle measurement were carried out within  
321 a cleanroom environment, and the contact angle measurements were conducted immediately after the  
322 film formation.

323

324

### 3.4 Quantification of the area ratio

To quantitatively investigate the area ratio of the substances in contact with the droplet, the Cassie-Baxter equation was employed. In general, the Cassie-Baxter equation is considered applicable to Young's contact angle, which is shown on ideally uniform surfaces. However, in most cases, solid surfaces are not ideally uniform but rather exhibit heterogeneity. As a result, obtaining Young's contact angle under practical conditions proves to be challenging. Although the apparent contact angle does not fully reflect surface wettability, the application of the Cassie-Baxter equation is widely accepted as sufficiently feasible in many studies [53,54]. Second, the roughness factor of a CNF film, defined as the ratio of the actual area of a rough surface to the geometrically projected area, was calculated using CSI. It was found to be consistently around 1.01, regardless of film thickness. It is evident that the CNF film surface is extremely uniform and its roughness effect on the wettability is negligible. Therefore, in this study, it was assumed to be appropriate to use the Cassie-Baxter equation to represent the wetting characteristics of CNF films. The three types of materials contributing to the film's wetting are the silicon substrate, CNF fibers, and water trapped within the fiber network. The contact angle  $\theta$  of the droplet on CNF films can be expressed as follows:

$$\cos \theta = A_{\text{Si}} \cos \theta_{\text{Si}} + A_{\text{CNF}} \cos \theta_{\text{CNF}} + A_{\text{water}} \cos \theta_{\text{water}} \quad (1)$$

$$A_{\text{Si}} + A_{\text{CNF}} + A_{\text{water}} = 1 \quad (2)$$

$A_{\text{Si}}$ ,  $A_{\text{CNF}}$  and  $A_{\text{water}}$  and  $\theta_{\text{Si}}$ ,  $\theta_{\text{CNF}}$  and  $\theta_{\text{water}}$  represent the area ratios and contact angles of the silicon substrate, CNFs, and water, respectively.  $\theta_{\text{Si}}$  was determined to be  $80.3 \pm 3.2^\circ$  from the experiment, and  $\theta_{\text{water}}$  was assumed to be  $0^\circ$ .  $A_{\text{CNF}}$  can also be expressed as  $A_{\text{CNF}} = A_{\text{CNFthk}} + A_{\text{CNFthin}}$ , where  $A_{\text{CNFthk}}$  represents the ratio of CNFs in thick hydrogel films that can capture water inside, while  $A_{\text{CNFthin}}$  is the ratio for thin films that cannot. First, in the case that the mean film

347 thickness was much greater than 150 nm,  $A_{Si}$  and  $A_{CNF_{thn}}$  could be assumed to be zero. Also, the  
348 ratio between  $A_{CNF_{thk}}$  and  $A_{water}$  was determined to be  $A_{CNF_{thk}}:A_{water} = 0.68:0.32$  from the  
349 atomic force microscopy (AFM) image of a binarized thick CNF film (see Supplementary Material,  
350 Note 8). Thus, by solving eq. (1) from these results,  $\theta_{CNF}$  was determined to be  $24.9^\circ$ .

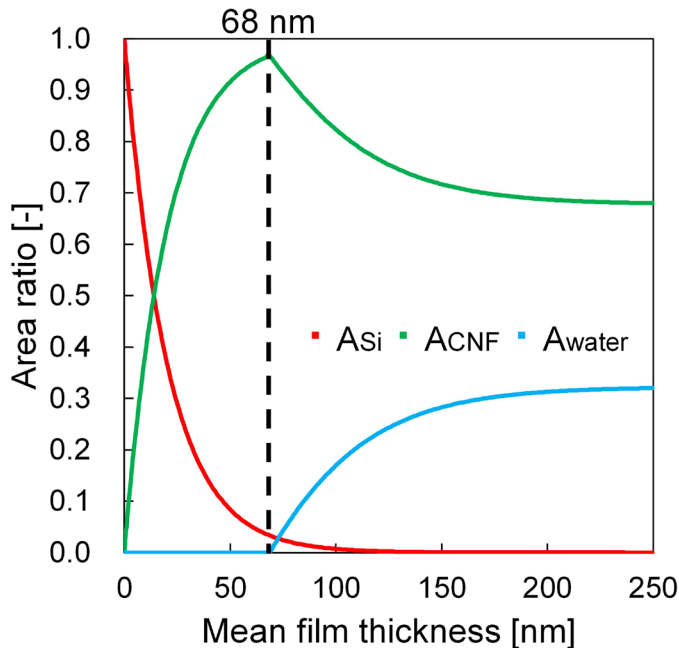
351

352 Next, we consider the area ratios of  $A_{Si}$ ,  $A_{CNF}$ , and  $A_{water}$  when the CNF film is thin. To  
353 experimentally determine the area ratio of the underneath substrate ( $A_{Si}$ ), the area of the exposed  
354 substrate was directly calculated by analyzing the local thickness distribution (histogram) of CNF films  
355 formed on a glass slide obtained by CSI (see Supplementary Material, Note 9). However, nanoscale  
356 voids in the films could not be measured by CSI due to the diffraction limit of the light (approximately 1  
357  $\mu m$ ). To address this issue, the area ratio of the nanoscopically exposed substrate was measured using  
358 AFM. Fig. S10 (see Supplementary Material, Note 10) shows the relationship between the mean film  
359 thickness and the substrate-exposed ratio calculated from the results of CSI and AFM. Based on this  
360 data, an empirical formula was obtained as  $A_{Si} = e^{-0.05T}$ , where R-squared value was 0.93.

361

362 Fig. 5 illustrates the area ratio of the material in contact with the bottom of a droplet as a function of the  
363 mean film thickness, which is determined using eq. (1), (2), and the equation of  $A_{Si} = e^{-0.05T}$ . It was  
364 observed that  $A_{water}$  reached zero at a thickness of 68 nm, suggesting that this value was the threshold  
365 where CNF films begin to locally transform into the hydrogel state. Consequently,  $A_{water}$  was assumed  
366 to be zero for thicknesses below 68 nm. This threshold thickness is reasonable because at least  
367 approximately twice the average diameter of individual fibers (37.2 nm) is needed to form three-

dimensional CNF networks capable of retaining water. Furthermore, an increase in  $A_{\text{water}}$  started at the threshold mean thickness of 68 nm and became approximately constant for mean thicknesses above around 150 nm, resulting in the value measured on a thick CNF film by AFM (0.32). On the other hand, as the mean thickness of films increased,  $A_{\text{Si}}$  decreased and converged to zero beyond a thickness of 150 nm. Conversely,  $A_{\text{CNF}}$  started to increase and reached its maximum at 68 nm, then gradually decreased and converged to the value of 0.68, as measured by AFM.



**Fig. 5.** The area ratio of the bottom of the water droplet contacting three different substances (the silicon substrate, CNFs, and captured water). The solid lines in red, green, and blue respectively represent the area ratios of the silicon substrate, CNFs, and water in contact. The dashed line indicates the threshold mean thickness at which water is retained in CNF films.



382 According to Fig. 5, we describe how the three different area ratios ( $A_{\text{Si}}$ ,  $A_{\text{CNF}}$ , and  $A_{\text{water}}$ ) change  
383 with the mean film thickness. First, in the range of mean thickness from 0 to 68 nm,  $A_{\text{Si}}$  decreased as  
384 the thickness increased. This is due to the denser spacing between fibers as observed by TEM (Fig. 2(a-  
385 b) and Fig. 3), leading to a reduction in the exposed substrate. In contrast,  $A_{\text{CNF}}$  increased with  
386 increasing thickness, resulting in a transition towards hydrophilicity. Moreover, the films could not  
387 achieve the threshold thickness required for water retention, thus  $A_{\text{water}} = 0$  holds. Secondly, within  
388 the mean thickness range of 68 to 150 nm,  $A_{\text{CNF}}$  started to decrease as the mean thickness increased.  
389 This decrease is attributed to a rise in  $A_{\text{water}}$  due to the film's hydrogelation. Meanwhile,  $A_{\text{Si}}$   
390 continued to decrease and eventually reached zero, as also shown in Fig. S10. Lastly, when the mean  
391 film thickness exceeds 150 nm, both  $A_{\text{CNF}}$  and  $A_{\text{water}}$  converged to constant values: 0.68 and 0.32,  
392 respectively. This stabilization explains why the contact angles on the thick CNF film remained nearly  
393 constant.

394

## 395    **Conclusion**

396    In this study, we investigated the wettability of CNF films deposited on silicon substrates. The results  
397    showed that the wettability of the film approached that of the underlying substrate as the mean film  
398    thickness decreased to below 150 nm. Our TEM observations also revealed that the CNFs formed dense  
399    3D networks in thicker regions in which they retained water, whereas in thinner regions, the fiber  
400    density was low and the network structure was 2D. Thus, nanoscopically thin CNF films are expected to  
401    have both regions where water is captured and non-captured. From these results, we proposed a  
402    mechanism of the contact-angle dependence on the film thickness whereby the surface covered by a thin  
403    CNF film becomes a hybrid of the silicon substrate, CNFs, and water trapped in the gel region. Then,  
404    using the Cassie-Baxter equation, we quantitatively discussed the area ratio at the interfaces in contact  
405    with the bottom of the droplet contacts. Finally, the threshold thickness needed for local gelation was  
406    determined to be 68 nm. These findings will extend our fundamental knowledge about wetting behavior  
407    on CNF films and will be valuable for offering insights into potential applications like surface  
408    modification and functional coatings.

409

410 **Declaration of Competing Interest**

411 The authors declare that they have no known competing financial interests or personal relationships that  
412 could have appeared to influence the work reported in this paper.

413

414 **CRedit authorship contribution statement**

415 **Masumi Misaka:** Methodology, Formal analysis, Investigation, Writing – original draft, Visualization.

416 **Hideaki Teshima:** Methodology, Validation, Resources, Writing – review & editing, Visualization,

417 Funding acquisition. **Sota Hirokawa:** Investigation, Validation, Writing – review & editing. **Qin-Yi Li:**

418 Resources, Writing – review & editing, Funding acquisition. **Koji Takahashi:** Conceptualization,

419 Writing – review & editing, Supervision, Funding acquisition.

420

421 **Data availability**

422 Data will be made available on request.

423

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analysis were performed using SmartLab SE and FT/IR-6800, respectively, at the Center of Advanced Instrumental Analysis, Kyushu University.

## Appendix A. Supplementary Material

Supplementary Material to this article can be found online at xxxxx

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## List of figure captions

Fig. 1. (a) Photograph of a CNF film formed on a silicon substrate. (b) Thickness distribution of a CNF film with a mean thickness of 37.8 nm measured by CSI. (c) Relationship between the mean thicknesses

607 and contact angles of CNF films. The red dashed lines show the water contact angle on the pristine  
608 silicon substrate. The blue solid lines represent an empirical formula:  $\theta = 59.8e^{-0.03T} + 20.5$ . An enlarged  
609 view of the region of low mean thickness is shown as an inset.

610

611 Fig. 2. (a, b) Time series of radiolysis of water captured in CNF networks observed by TEM. (c, d) Time  
612 series of a CNF film composed of thin and thick regions. The regions in the red and green squares  
613 correspond to the thin and thick regions, respectively.

614

615 Fig. 3. ADF-STEM images of a water-retaining CNF film taken (a) before and (b) after radiolysis within  
616 the yellow dashed frames.

617

618 Fig. 4. Internal and surface structure of a (a) thick and (b) thin CNF film. An enlarged schematic  
619 diagram at the nanoscale is presented within the rectangular frame. CNFs are indicated by the black  
620 hatch pattern. The green region within the inset represents the hydrogel regions, while the orange  
621 regions correspond to the thin CNF film. The blue circle indicates water trapped within the thick film.

622

623 Fig. 5. The area ratio of the bottom of the water droplet contacting three different substances (the silicon  
624 substrate, CNFs, and captured water). The solid lines in red, green, and blue respectively represent the  
625 area ratios of the silicon substrate, CNFs, and water in contact. The dashed line indicates the threshold  
626 mean thickness at which water is retained in CNF films.