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1 Nano-captured Water Affects the Wettability of
2 Cellulose Nanofiber Films

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18

19 **Highlights**

20 • Wettability of cellulose nanofiber (CNF) films depends on the thickness.

21 • CNF networks retain water even under ultra-high vacuum conditions of 10^{-5} Pa.

22 • Thick CNF films can retain water but thin ones cannot.

23 • Amount of water content within the CNF films affects their wettability.

24 • The minimum thickness at which CNF films could retain water is 68 nm.

25

26 **Abstract**

27 In this study, we report that the wettability of cellulose nanofiber films varies with their thickness, and
28 this variation is attributed to the amount of water captured within the hydrogel-like film. To investigate
29 this, we conducted water contact angle measurements on cellulose nanofiber films with different
30 thicknesses. The wettability of the films was found to change from hydrophilic (approximately 20°) to
31 that of the underlying silicon substrate (approximately 80°) by decreasing the mean film thickness
32 within a range of 150 nm or less. Transmission electron microscope observations revealed that even
33 under high-vacuum conditions ($< 10^{-5}$ Pa), water was captured in regions where the film was thick

34 enough to form a dense, three-dimensional network. However, in regions where the film was thin, the
35 network structure became sparse and two-dimensional, and no water was observed. We propose that the
36 wettability of cellulose nanofiber films changes based on the area ratio of water captured in thick 3D
37 network regions, the underlying substrate, and the cellulose nanofiber. Furthermore, we quantitatively
38 evaluated the relationship between the area ratio and the wetting state of the composite surface based on
39 the Cassie-Baxter equation, then determined the minimum thickness at which water is retained within
40 the cellulose fiber network.

41

42 **Keywords**

43 Cellulose nanofibers, Hydrogel, Wettability, Transmission electron microscopy, the Cassie-Baxter
44 equation

45

46 **1. Introduction**

47 Wetting is a familiar phenomenon commonly observed in both industry and our daily life [1–4], and is
48 of great significance both in fundamental scientific research and in a wide range of engineering
49 applications, such as anti-fogging [5,6], anti-fouling surfaces [7], phase-change heat transfer [8] and
50 water/oil separation [9,10]. Thus, scientific effort has been devoted to controlling wettability [11], using
51 approaches that include chemical modification, fabrication of micro- and nanostructures, lubricant
52 infusion, and film coating. Among these approaches, the use of nanomaterials and their composite films
53 is particularly promising. For example, depositing hydrophilic nanoparticles on the target surfaces or
54 films (or mixing the nanoparticles with them) has become a common method to adjust their wettability
55 [12–18]. The mechanism by which this influences the wettability is a combination of an increase in
56 surface roughness by the exposure to the nanoparticles and the creation of a hydrophilic–hydrophobic
57 hybrid surface.

58 In addition to nanoparticles (which are zero-dimensional materials), various types of one-dimensional
59 (1D) nanomaterial (such as nanotubes, nanorods, and nanowires) and two-dimensional (2D)
60 nanomaterials (such as nanosheets and nanoribbons) exist. Recent studies have reported that these
61 nanomaterials can produce films with unique properties. For example, a film composed of pristine
62 carbon nanotubes was shown to change its wettability from superhydrophobic to superhydrophilic upon
63 temperature change [19], which may be due to the conversion of electrical behavior from
64 semiconducting to metallic [20]. Thus, developing and characterizing new films that take advantage of
65 nanomaterials for further control of wetting phenomena are highly desirable.

66 Among the various nanomaterials available, we chose to focus on wettability control using nanofibers.
67 Some studies have focused on films and membranes using nanofibers [21–23]. In general, nanofiber

68 films are prepared by electrospinning, in which they are generated by applying a high voltage to a
69 polymer solution in a spinning nozzle [24]. Several ways exist to alter the wettability of nanofiber
70 membranes. For example, Arslan et al. introduced perfluoro alkoxy silanes into electrospun cellulose
71 acetate nanofibers to convert them to hydrophobic, and then applied them to nanofiber mats for oil/water
72 separation [25]. Huang et al. fabricated a super-amphiphobic membrane with anti-surfactant-wetting
73 characteristics by coaxial electrospinning and improved the performance of membrane distillation [26].
74 In addition to such chemical surface treatment, a change in surface structure can also shift the wettability
75 of a nanofiber mat. For example, Benito et al. controlled the wettability of carbon nanofiber layers on a
76 nickel foil by varying the surface roughness, porosity, and layer thickness [27].

77 We suggest that cellulose nanofibers (CNFs) could be an effective candidate for controlling wettability
78 in a simpler way than previously described techniques. Cellulose nanofibers, which are the most
79 abundant polymeric 1D materials on earth, have recently attracted increasing attention as
80 environmentally friendly biodegradable nanomaterials [28–30]. They are hydrophilic because of
81 hydroxyl groups along their molecular chain [31] thus, films composed of their three-dimensional (3D)
82 networks behave as a hydrogel by capturing water in nanoscale spaces [32,33]. In addition to their
83 inherent hydrophilic properties, their surface properties (such as their wettability) can be modified by
84 introducing functional groups to their molecular chain [34–36]. Thus, there are some studies on
85 composite and coating membranes utilizing CNF [37,38]. For example, Cai et al. manufactured an
86 excellent water/oil separation membrane by alternately spraying chitosan solution and CNF dispersion
87 onto a laboratory filter membrane, utilizing spray-assisted layer-by-layer (LBL) assembly technology
88 [39]. Jamaluddin et al. demonstrated that incorporating CNFs, which were first acylated and then
89 propionylated, into poly(lactic acid) (PLA) resulted in the successful creation of a composite film with
90 enhanced wettability [40]. However, although some studies have investigated the wettability of CNF-

91 based composite or coated films, the characterization of the wetting properties of pure CNF films, which
92 is most fundamental and important for the future application of CNFs, has rarely been studied. In this
93 study, we investigated the wettability of pure CNF films deposited on silicon substrates and found that
94 their wettability deteriorates and approaches that of the underneath silicon substrate when the mean film
95 thickness is less than about 150 nm. Furthermore, using transmission electron microscopy (TEM), we
96 revealed that CNF films consisted of water-captured (i.e. hydrogel) regions and non-water-captured
97 regions. On the basis of these results, we discuss the mechanism of wettability variation of CNF films
98 with thickness.

99

100 2. Experiment

101 2.1 Materials

102 CNFs were purchased from Cellulose Lab (Canada.). The crystallinity of the CNFs was estimated to be
103 56.3% (see Supplementary Material, Note 1). Silicon wafer was purchased from SUMITOMO SHOJI
104 CHEMICALS CO., LTD. (Japan). Acetone (99.7 %) was purchased from KISHIDA CHEMICAL
105 Co.,Ltd. (Japan).

106

107 2.2 Fabrication of CNF films

108 The following procedure was used to form CNF films with different thicknesses on silicon substrates
109 (Fig. 1(a)). First, freeze-dried unmodified cellulose nanofibers were added to pure water to prepare CNF
110 dispersion solutions of different concentrations. The dispersion solutions were sonicated using an
111 ultrasonic disperser (UP400S, Hielscher, Germany) for 1 hour. Next, the silicon wafer was cleaned with
112 acetone, rinsed with pure water, and then dried with nitrogen gas to remove any contaminants. Pure
113 water was prepared using a water purifier (RFP742HA, Advantec, Japan). The wafer was cut into 4 cm
114 × 4 cm size and placed on hydrophobic Teflon sheets larger than the pieces. To create CNF films on
115 silicon substrates, CNF dispersion solution (1 mL) was dropped onto the wafer and dried at 90°C for 1.5
116 hours. By adjusting the concentration and amount of the solution, samples with film thicknesses ranging
117 from 9 to 650 nm were prepared. All processes were conducted in a cleanroom where temperature (296
118 K) and humidity (55%) were controlled.

119

120 2.3 Measurement of contact angles

121 The wettability of the CNF films was characterized by measuring the contact angle of a 1 μ L water
122 droplet on the surface. The contact angles were measured from the cross-sectional image of the droplets
123 captured using a CCD camera. It should be noted that the droplets were put on the center area of the
124 films, namely, a macroscopically uniform surface, to avoid the coffee-ring effect of the CNFs.

125 Moreover, we found that wicking occurs after droplet deposition due to the surface roughness and
126 finishes within five seconds at most. Thus, to eliminate the influence of this transient phenomenon,
127 contact angle measurements were conducted after the wicking had finished, specifically 10 seconds
128 following the deposition of the droplet. Furthermore, the droplet size was set at 1 μ L for the following
129 reasons. Yan et al. reported that contact angle measurements using small droplets of 2 μ L with a
130 goniometer were consistent with the values reported by a microgoniometer [41]. It is also widely known
131 that when the radius of the liquid-gas interface of a droplet is smaller than the capillary length (which is
132 about 2.7 mm for water and air), the droplet becomes distorted due to the influence of gravity [42].
133 Moreover, the base area of the droplet must be substantially larger than the scale of chemical and
134 structural heterogeneity on the surface. Based on these references, we chose the 1 μ L droplet in our
135 contact angle measurements. In addition, all processes were conducted in a cleanroom where
136 temperature (296 K) and humidity (55%) were controlled. We note that although our contact angle
137 measurements are limited in a standard environment, their dependence on conditions such as humidity
138 and temperature should be noted. Further characterization of the effect of specific conditions on the
139 wettability is beyond the scope of this article and will be explored in future works.

140

141 2.4 Measurement of thickness of CNF films

142 The mean thickness of the CNF films was evaluated by averaging the profiles obtained by a stylus
143 profiling system (DektakXT, Bruker, USA). Notably, because the large tip radius of the stylus head
144 (12.5 μm) results in an overestimation of the lateral profile of the spike-like geometry, such regions
145 were excluded from the averaging as errors.

146

147 2.5 Measurement of thickness distribution in CNF films

148 Furthermore, to obtain a thickness distribution of CNF films, coherence scanning interferometry (CSI;
149 VS1330, HITACHI, Japan) was used. Samples for CSI measurements were prepared as follows. Glass
150 slides were used as substrates instead of silicon substrates because they are the only applicable material
151 for film-thickness measurements using CSI because of their high transparency. First, a 1 cm \times 1 cm
152 Teflon sheet with an 8-mm-diameter hole at the center was put onto a glass slide. Next, 80 μL of CNF
153 dispersion solution was dropped into the central hole. Then, the film was formed by drying at 90°C for
154 1.5 hours. This procedure was repeated for different glass slides using different concentrations of
155 dispersion solution to create samples with different thicknesses. Then, we were able to obtain their mean
156 thickness and 3D height distribution (histogram) over an area of 1024 μm \times 1024 μm using CSI. It was
157 assumed that the CNF thickness distribution on the glass slides was the same as that on the silicon
158 substrates. In addition, alongside the acquisition of the film thickness distribution, the specific surface
159 area was obtained.

160

161 2.6 TEM observation of CNF films

162 We also observed a CNF film using TEM. First, a droplet of 0.25-wt% CNF dispersion solution was
163 placed onto a TEM grid (Cu-2000HS, Gilder Grids Ltd., UK) and vacuumed for 10 hours at 10^{-3} Pa. The
164 sample was then fixed to a TEM holder and observed with JEM-2100Plus (JEOL Ltd., Japan) at an
165 acceleration voltage of 200 kV. The TEM chamber pressure was approximately 10^{-5} Pa. In addition, to
166 confirm the purity of CNFs, elemental analysis was performed using Energy-dispersive X-ray
167 Spectroscopy (EDS) (See Supplementary Material, Note 2).

168
169

3. Results and Discussion

170

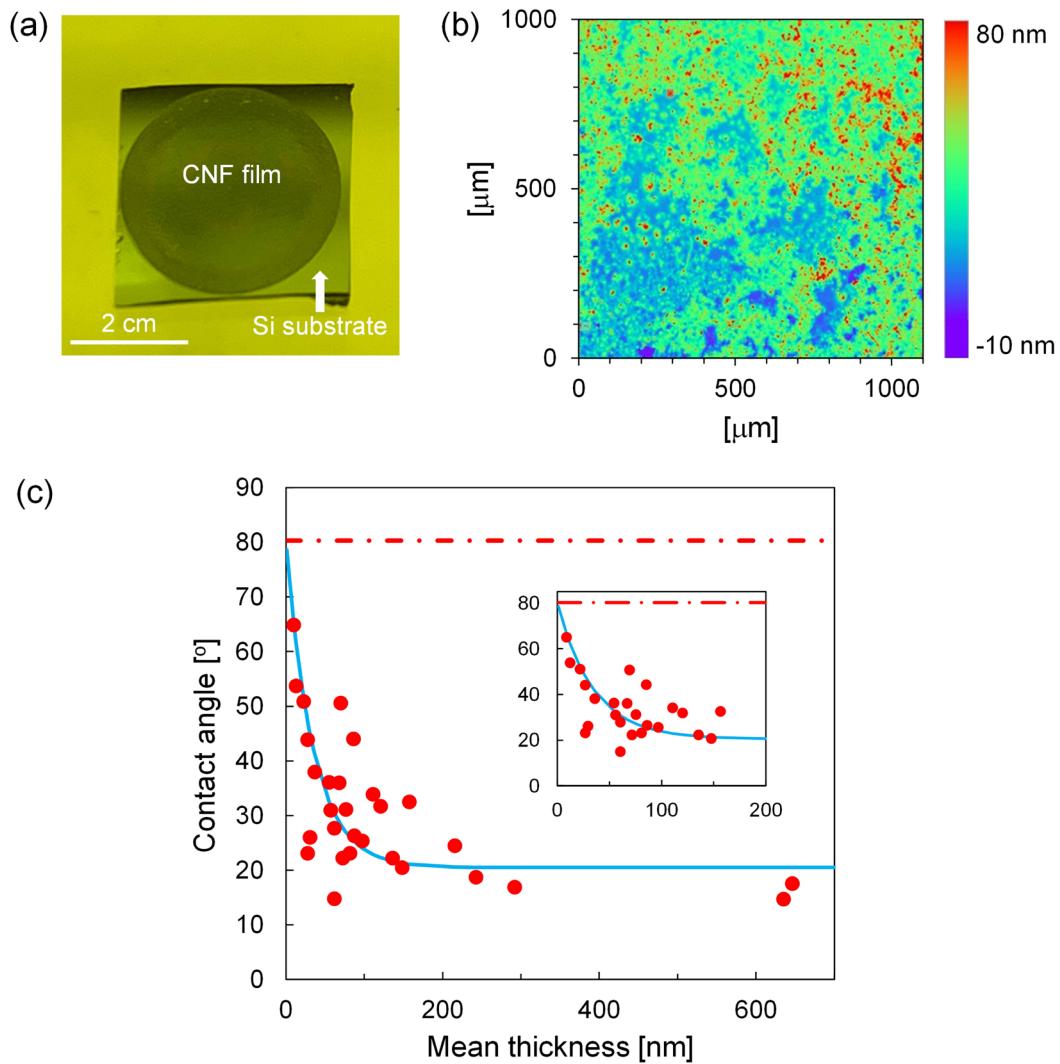
3.1 Wetting behavior of CNF Films as a function of film thickness

171

In this study, we investigated the relationship between the wettability and thickness of CNF films
172 created on silicon substrates (Fig. 1(a)). Since the film exhibits a nanoscale distribution as shown in Fig.
173 1(b), we adopted the mean thickness. Fig. 1(c) illustrates the relationship between the mean thicknesses
174 and apparent contact angles of CNF films. The contact angle of the pristine silicon substrate was $80.3 \pm$
175 3.2° , which was consistent with the value previously reported [43]. As the graph demonstrates, the CNF
176 films consistently exhibited lower contact angles than that of the silicon substrate. When the mean film
177 thickness was over 150 nm, the contact angle remained almost constant at approximately 20° . By
178 contrast, when the thickness was below 150 nm, the contact angle varied between 15° and 65° ,
179 approaching the contact angle of the underlying silicon substrate as the thickness decreased. These
180 findings challenge the conventional understanding that the wettability of a film is uniquely determined
181 by its surface properties and is independent of its thickness. Moreover, based on the relationship
182 between the mean film thicknesses and contact angles, the following empirical formula was derived:
183 $\theta = 59.8e^{-0.03T} + 20.5$. Here, θ [deg.] is a contact angle, T [nm] represents the mean film thickness,

184 and the R-squared value was 0.79. The convergent value of the contact angle was 20.5° , which
185 corresponds to the average value of the contact angle for CNF films with a thickness greater than 150
186 nm.

187



188

189 **Fig. 1.** (a) Photograph of a CNF film formed on a silicon substrate. (b) Thickness distribution of a CNF
190 film with a mean thickness of 37.8 nm measured by CSI. (c) Relationship between the mean thicknesses
191 and contact angles of CNF films. The red dashed lines show the water contact angle on the pristine

192 silicon substrate. The blue solid lines represent an empirical formula: $\theta = 59.8e^{-0.03T} + 20.5$. An
193 enlarged view of the region of low mean thickness is shown as an inset.

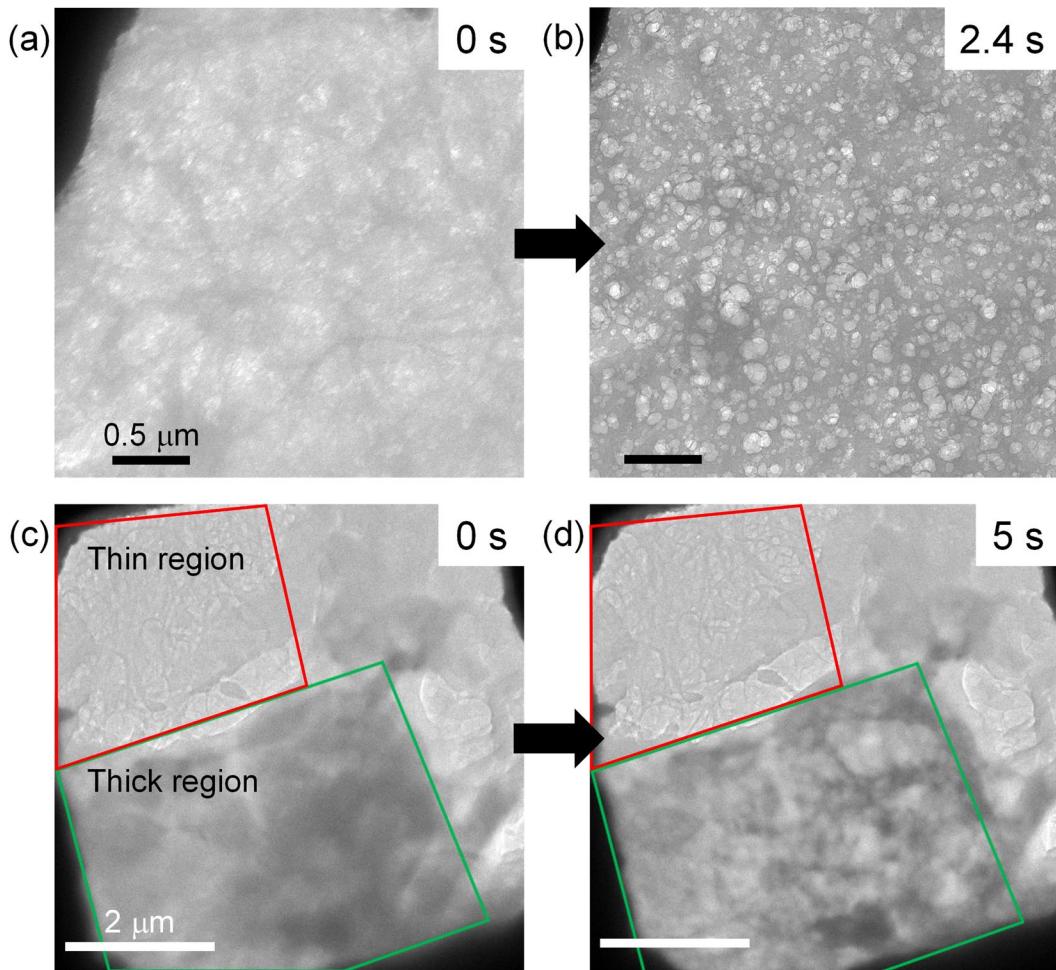
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195

196 3.2 TEM and STEM observation of CNF films

197 To investigate the cause of the contact-angle change, the detailed structures of CNF films were observed
198 by TEM. As shown in Fig. 2(a), the entire region of the films appeared hazy, and individual CNFs were
199 not clearly observed at 0 s; however, with observation time, the image became clearer (Fig. 2(b)).
200 Furthermore, the CNF networks gradually expanded from 0 to 2.4 s. These changes were induced by the
201 irradiation of the electron beam. The electron beam causes the radiolysis of water, leading to the
202 decomposition of water into hydrogen, oxygen, and radicals [44]. Nanobubbles composed of these
203 products have been reported to form during TEM observation [45,46]. Therefore, we speculate that the
204 expansion of the network structures with time is a result of bubble nucleation induced by the radiolysis
205 of the nano-captured water in the CNF network. It is worth clarifying that the water was exposed to a 10⁻
206 ⁵ Pa environment for more than 20 minutes before Fig. 2 was taken, and bubbles did not form before
207 electron beam irradiation. Moreover, Grogan et al. reported that the temperature rise of water under a
208 typical TEM experiment is less than 4 K [47]. Therefore, the heating due to the electron beam is unlikely
209 to cause the boiling. It was noted that bubble nucleation due to the radiolysis has been frequently
210 observed in TEM observation. For example, Hirokawa et al. have observed radiolysis-oriented bubbles
211 in 10 nm thick water [48,49]. Therefore, these results support the conclusion that bubbles were nucleated
212 because of radiolysis.

213



214

215 **Fig. 2.** (a, b) Time series of radiolysis of water captured in CNF networks observed by TEM. (c, d) Time
 216 series of a CNF film composed of thin and thick regions. The regions in the red and green squares
 217 correspond to the thin and thick regions, respectively.

218

219

220 To investigate the relationship between the film thickness and the water-retention capacity, we observed
 221 a CNF film region where thin (red square) and thick (green square) regions coexisted (Fig. 2(c, d)). The
 222 area enclosed by the green square was considered to represent a thicker film for the following two
 223 reasons. First, when focusing on the area within the red square, the green region appeared blurred,

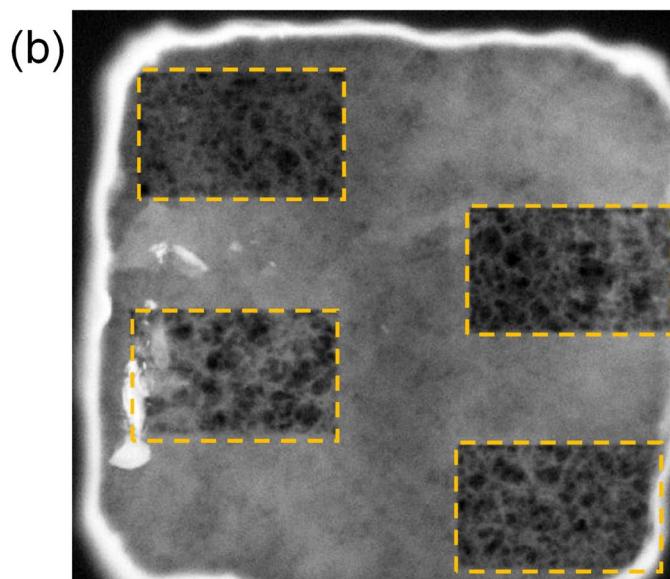
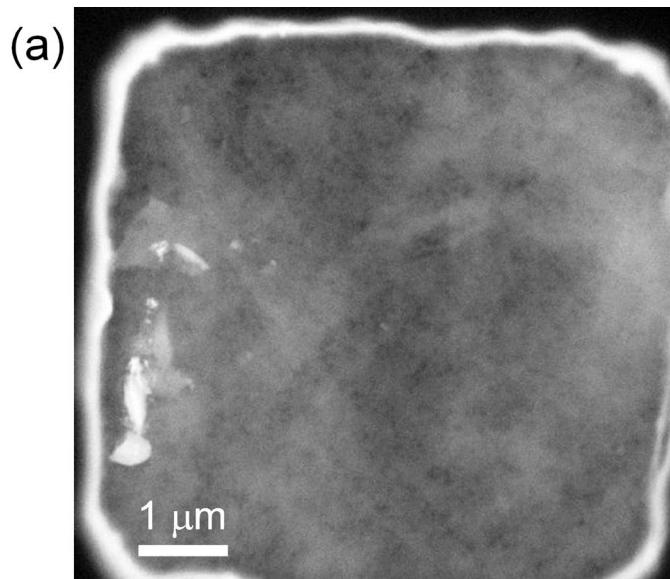
224 indicating different heights for their two regions. Second, the contrast in the green square was darker
225 than the red region, indicating that the amount of the transmitted electron beam was lower than that in
226 the red square because of the high molecular density. Consequently, the CNF film in the green frame
227 was thicker than the one in the red square. Furthermore, when electron beams continued to irradiate the
228 entire area, radiolysis of water occurred in the green region, but there was no observable change in the
229 red frame. These results suggest that a certain thickness is necessary to retain water in the hydrogel film.
230 This may be because, when the film is too thin, the network structure fails to form a 3D configuration,
231 thereby preventing the creation of nanoscale spaces essential for water retention. Fig. S5 (see
232 Supplementary Material, Note 3) also shows that radiolysis did not happen when the CNFs were
233 sparsely distributed in the sample. Incidentally, the TEM images were captured after exposing CNFs to
234 the electron beam and allowing more than 30 s to pass without any noticeable changes. This confirmed
235 that the CNFs themselves were not damaged under our observation condition. Additionally, from the
236 TEM images in Fig. S5, 30 fibers were randomly selected, and their diameters were measured. Their
237 diameters ranged from 5.4 to 67.4 nm, with an average of 37.2 nm.

238

239 CNF films were observed using both TEM mode and scanning transmission electron microscope
240 (STEM) mode. In TEM mode, the electron beam uniformly irradiates the field of view; by contrast, in
241 STEM mode, the electron beam is converged to a focal point and scans in lines over samples to take
242 scanning images. Fig. 3 shows STEM images of the CNF film before and after the specific areas marked
243 by the yellow dashed frames are irradiated by the focused electron beam. We note that, because we used
244 the annular dark-field (ADF)-STEM mode, which creates images by collecting scattered electrons, an
245 area with brighter contrast corresponds to a higher density area. In contrast with Fig. 3(a), which was
246 imaged before the high-intensity electron beam scanning, the three-dimensional structures of the CNFs

247 became clear only in the beam-irradiated area (Fig. 3(b)). This suggested that water existed in the hazy
248 region and disappeared owing to the radiolysis of water caused by intensive scanning, which was
249 consistent with the results shown in Fig. 2. Furthermore, after 30 s, the region within the dashed lines
250 did not become hazy again, which indicated that water, firmly captured in the gel structure through
251 hydrogen bonding, is in a unique state distinct from the bulk, having lost its fluidity. Similar ultra-
252 stability of water in nanoscale spaces has also been reported inside carbon nanotubes [50,51].

253



257

258 **Fig. 3.** ADF-STEM images of a water-retaining CNF film taken (a) before and (b) after radiolysis within

259 the yellow dashed frames.

260

261 3.3 Wettability transition model of CNF films

262 On the basis of the above observations, we explain why the contact angle of thin CNF films varies and

263 approaches that of the underneath substrate as the thickness decreases. Because CNF films exhibited

261 porous characteristics, a droplet placed on a surface gradually soaked into the film over time (as shown
262 in Supplementary Material, Note 4). Through this soaking process, the bottom of the droplet can be in
263 contact with three types of materials: the CNFs, water present within the fiber network, and the
264 underlying substrate. Therefore, the contact angle is determined by the ratio of the areas of the three
265 materials with which the droplet is in contact.

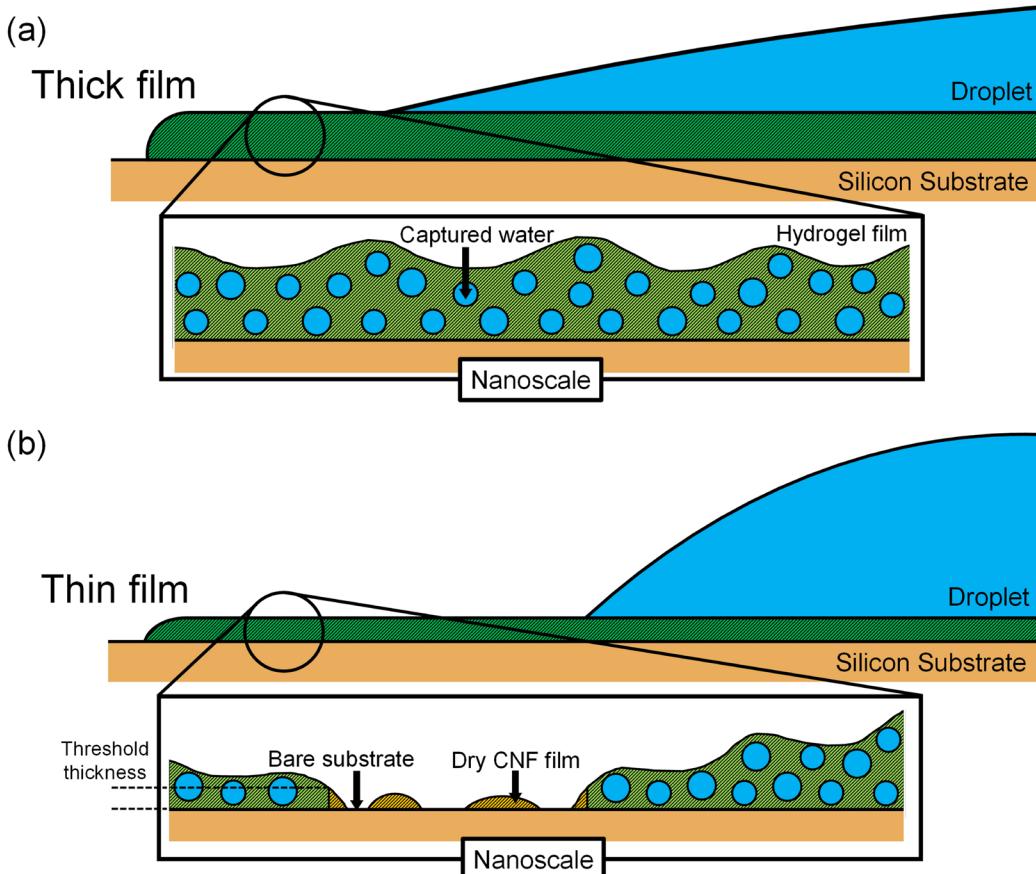
266

267 As shown in Fig. 1(c), when the mean thickness of the CNF film exceeded approximately 150 nm, the
268 contact angle consistently remained around 20° regardless of the thickness. TEM observations revealed
269 that in locally thick regions of the CNF film (corresponding to Fig. 2(a, b), the green frame of Fig. 2(c-
270 d) and Fig. 3), the fibers were densely intertwined to form a 3D network structure capable of gelation by
271 retaining water in nanoscale spaces. Thus, when the mean film thickness exceeds 150 nm, the entire film
272 can be assumed to become thick regions (water-captured regions) as illustrated in Fig. 4(a). In such a
273 case, the film adopts a gel-like structure, preventing wetting of the underlying silicon substrate due to its
274 thickness. Therefore, the water droplet can be in contact with only two materials: CNFs and water
275 captured in the fiber-network structure. Moreover, the contact angle of CNF films is determined by the
276 area ratio of these materials. Assuming that their ratio is uniform all over the area, the contact angles
277 should be constant on the thick gel-like film regardless of the film thickness.

278

279 On the other hand, when the mean thickness of the CNF film was lower than 150 nm or less, the contact
280 angles tended to increase with the decrease in mean thickness, approaching that of the underlying
281 substrate. As shown in Fig. 2(c-d), the CNF films were composed of thick regions (water-captured
282 regions) and thin regions (non-captured regions) because of the nanoscale thickness distribution as

283 shown in Fig. 1(b). In the locally thin regions, the CNF network was sparse and did not retain water (see
284 Supplementary Material, Note 3), leading to the water droplet wetting the underlying substrate. In other
285 words, the thin regions are regarded as the composite surface of the pristine CNFs and the underlying
286 substrate. Consequently, the film with a mean thickness of less than 150 nm becomes three composite
287 surfaces consisting of CNFs, the silicon substrate, and retained water inside the CNF networks. As mean
288 thickness decreases, the area of the thick regions (hydrogel regions) should decrease while the thin
289 regions (non-captured regions) increase, approaching the wettability of the underlying substrate surface.
290 Additionally, because individual fiber diameters vary within a range of 5.4 to 67.4 nm, even films with
291 the same mean thickness may or may not form dense 3D networks. This variability in network formation
292 is likely the reason for the dispersion of measured contact angles when the mean film thickness is less
293 than 150 nm.



294

295 **Fig. 4.** Internal and surface structure of a (a) thick and (b) thin CNF film. An enlarged schematic
 296 diagram at the nanoscale is presented within the rectangular frame. CNFs are indicated by the black
 297 hatched pattern. The green region within the inset represents the hydrogel regions, while the orange
 298 regions correspond to the thin CNF film. The blue circle indicates water trapped within the thick film.

299

300 In this study, we disregarded the effect of differences in CNF's crystallinity on wettability for the
 301 following reasons. Generally, the interaction between CNFs and water is localized on the surfaces of the
 302 crystalline regions and the amorphous regions, which means that crystallinity could potentially influence
 303 wettability. According to the FTIR analysis (refer to Supplementary Material, Note 1), differences in
 304 crystallinity were observed between the thick and thin CNF films. However, during contact angle

305 measurements, water infiltration into the amorphous regions causes molecular reorientation, resulting in
306 uniform crystallinity regardless of the film thickness. Therefore, the impact of crystallinity differences
307 on surface wettability is assumed to be negligible in our experiments.

308

309 Moreover, we also disregarded the effects of time, humidity, and temperature on the wettability of CNF
310 films for the two main reasons. First, the time-dependence induced by wicking and evaporation was
311 eliminated by measuring contact angles after spreading was complete (refer to Supplementary Material,
312 Note 5). Second, by standardizing the ambient conditions for these measurements, the effects of
313 humidity and temperature on wettability become negligible (refer to Supplementary Material, Notes 6
314 and 7). In addition, the wettability can also be altered by the adsorption of volatile organic compounds
315 (VOCs) in the ambient air due to the presence of substantial quantities of hydroxy groups. Yan et al.
316 observed that CuO surfaces became hydrophobic after being exposed to the atmosphere, which was
317 attributed to the adsorption of volatile organic compounds (VOCs) from the ambient air [41]. Their
318 adsorption is inevitable because VOCs exist ubiquitously, but the timescale for the change in contact
319 angle due to the adsorption is on the order of days [52]. Therefore, to minimize the effect of VOCs
320 adsorption, all processes from sample preparation to contact angle measurement were carried out within
321 a cleanroom environment, and the contact angle measurements were conducted immediately after the
322 film formation.

323

324

325 3.4 Quantification of the area ratio

326 To quantitatively investigate the area ratio of the substances in contact with the droplet, the Cassie-
327 Baxter equation was employed. In general, the Cassie-Baxter equation is considered applicable to
328 Young's contact angle, which is shown on ideally uniform surfaces. However, in most cases, solid
329 surfaces are not ideally uniform but rather exhibit heterogeneity. As a result, obtaining Young's contact
330 angle under practical conditions proves to be challenging. Although the apparent contact angle does not
331 fully reflect surface wettability, the application of the Cassie-Baxter equation is widely accepted as
332 sufficiently feasible in many studies [53,54]. Second, the roughness factor of a CNF film, defined as the
333 ratio of the actual area of a rough surface to the geometrically projected area, was calculated using CSI.
334 It was found to be consistently around 1.01, regardless of film thickness. It is evident that the CNF film
335 surface is extremely uniform and its roughness effect on the wettability is negligible. Therefore, in this
336 study, it was assumed to be appropriate to use the Cassie-Baxter equation to represent the wetting
337 characteristics of CNF films. The three types of materials contributing to the film's wetting are the
338 silicon substrate, CNF fibers, and water trapped within the fiber network. The contact angle θ of the
339 droplet on CNF films can be expressed as follows:

340
$$\cos \theta = A_{\text{Si}} \cos \theta_{\text{Si}} + A_{\text{CNF}} \cos \theta_{\text{CNF}} + A_{\text{water}} \cos \theta_{\text{water}} \quad (1)$$

341
$$A_{\text{Si}} + A_{\text{CNF}} + A_{\text{water}} = 1 \quad (2)$$

342 A_{Si} , A_{CNF} and A_{water} and θ_{Si} , θ_{CNF} and θ_{water} represent the area ratios and contact angles of the
343 silicon substrate, CNFs, and water, respectively. θ_{Si} was determined to be $80.3 \pm 3.2^\circ$ from the
344 experiment, and θ_{water} was assumed to be 0° . A_{CNF} can also be expressed as $A_{\text{CNF}} = A_{\text{CNFthk}} +$
345 A_{CNFthn} , where A_{CNFthk} represents the ratio of CNFs in thick hydrogel films that can capture water
346 inside, while A_{CNFthn} is the ratio for thin films that cannot. First, in the case that the mean film

347 thickness was much greater than 150 nm, A_{Si} and A_{CNFthn} could be assumed to be zero. Also, the
348 ratio between A_{CNFthk} and A_{water} was determined to be $A_{\text{CNFthk}}:A_{\text{water}} = 0.68:0.32$ from the
349 atomic force microscopy (AFM) image of a binarized thick CNF film (see Supplementary Material,
350 Note 8). Thus, by solving eq. (1) from these results, θ_{CNF} was determined to be 24.9° .

351

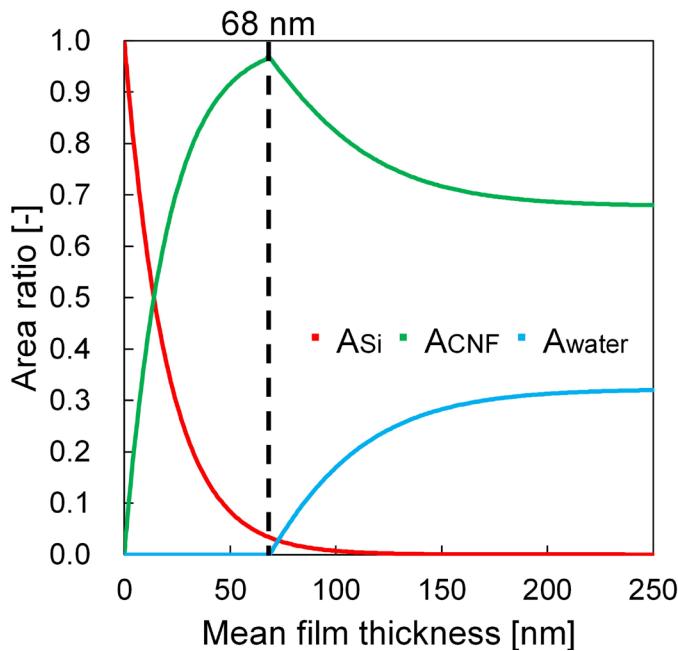
352 Next, we consider the area ratios of A_{Si} , A_{CNF} , and A_{water} when the CNF film is thin. To
353 experimentally determine the area ratio of the underneath substrate (A_{Si}), the area of the exposed
354 substrate was directly calculated by analyzing the local thickness distribution (histogram) of CNF films
355 formed on a glass slide obtained by CSI (see Supplementary Material, Note 9). However, nanoscale
356 voids in the films could not be measured by CSI due to the diffraction limit of the light (approximately 1
357 μm). To address this issue, the area ratio of the nanosopically exposed substrate was measured using
358 AFM. Fig. S10 (see Supplementary Material, Note 10) shows the relationship between the mean film
359 thickness and the substrate-exposed ratio calculated from the results of CSI and AFM. Based on this
360 data, an empirical formula was obtained as $A_{\text{Si}} = e^{-0.05T}$, where R-squared value was 0.93.

361

362 Fig. 5 illustrates the area ratio of the material in contact with the bottom of a droplet as a function of the
363 mean film thickness, which is determined using eq. (1), (2), and the equation of $A_{\text{Si}} = e^{-0.05T}$. It was
364 observed that A_{water} reached zero at a thickness of 68 nm, suggesting that this value was the threshold
365 where CNF films begin to locally transform into the hydrogel state. Consequently, A_{water} was assumed
366 to be zero for thicknesses below 68 nm. This threshold thickness is reasonable because at least
367 approximately twice the average diameter of individual fibers (37.2 nm) is needed to form three-

368 dimensional CNF networks capable of retaining water. Furthermore, an increase in A_{water} started at the
369 threshold mean thickness of 68 nm and became approximately constant for mean thicknesses above
370 around 150 nm, resulting in the value measured on a thick CNF film by AFM (0.32). On the other hand,
371 as the mean thickness of films increased, A_{Si} decreased and converged to zero beyond a thickness of
372 150 nm. Conversely, A_{CNF} started to increase and reached its maximum at 68 nm, then gradually
373 decreased and converged to the value of 0.68, as measured by AFM.

374



375

376 **Fig. 5.** The area ratio of the bottom of the water droplet contacting three different substances (the silicon
377 substrate, CNFs, and captured water). The solid lines in red, green, and blue respectively represent the
378 area ratios of the silicon substrate, CNFs, and water in contact. The dashed line indicates the threshold
379 mean thickness at which water is retained in CNF films.

380

381

382 According to Fig. 5, we describe how the three different area ratios (A_{Si} , A_{CNF} , and A_{water}) change
383 with the mean film thickness. First, in the range of mean thickness from 0 to 68 nm, A_{Si} decreased as
384 the thickness increased. This is due to the denser spacing between fibers as observed by TEM (Fig. 2(a-
385 b) and Fig. 3), leading to a reduction in the exposed substrate. In contrast, A_{CNF} increased with
386 increasing thickness, resulting in a transition towards hydrophilicity. Moreover, the films could not
387 achieve the threshold thickness required for water retention, thus $A_{\text{water}} = 0$ holds. Secondly, within
388 the mean thickness range of 68 to 150 nm, A_{CNF} started to decrease as the mean thickness increased.
389 This decrease is attributed to a rise in A_{water} due to the film's hydrogelation. Meanwhile, A_{Si}
390 continued to decrease and eventually reached zero, as also shown in Fig. S10. Lastly, when the mean
391 film thickness exceeds 150 nm, both A_{CNF} and A_{water} converged to constant values: 0.68 and 0.32,
392 respectively. This stabilization explains why the contact angles on the thick CNF film remained nearly
393 constant.

394

395 **Conclusion**

396 In this study, we investigated the wettability of CNF films deposited on silicon substrates. The results
397 showed that the wettability of the film approached that of the underlying substrate as the mean film
398 thickness decreased to below 150 nm. Our TEM observations also revealed that the CNFs formed dense
399 3D networks in thicker regions in which they retained water, whereas in thinner regions, the fiber
400 density was low and the network structure was 2D. Thus, nanoscopically thin CNF films are expected to
401 have both regions where water is captured and non-captured. From these results, we proposed a
402 mechanism of the contact-angle dependence on the film thickness whereby the surface covered by a thin
403 CNF film becomes a hybrid of the silicon substrate, CNFs, and water trapped in the gel region. Then,
404 using the Cassie-Baxter equation, we quantitatively discussed the area ratio at the interfaces in contact
405 with the bottom of the droplet contacts. Finally, the threshold thickness needed for local gelation was
406 determined to be 68 nm. These findings will extend our fundamental knowledge about wetting behavior
407 on CNF films and will be valuable for offering insights into potential applications like surface
408 modification and functional coatings.

409

410 **Declaration of Competing Interest**

411 The authors declare that they have no known competing financial interests or personal relationships that
412 could have appeared to influence the work reported in this paper.

413

414 **CRedit authorship contribution statement**

415 **Masumi Misaka**: Methodology, Formal analysis, Investigation, Writing – original draft, Visualization.
416 **Hideaki Teshima**: Methodology, Validation, Resources, Writing – review & editing, Visualization,
417 Funding acquisition. **Sota Hirokawa**: Investigation, Validation, Writing – review & editing. **Qin-Yi Li**:
418 Resources, Writing – review & editing, Funding acquisition. **Koji Takahashi**: Conceptualization,
419 Writing – review & editing, Supervision, Funding acquisition.

420

421 **Data availability**

422 Data will be made available on request.

423

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427 analysis were performed using SmartLab SE and FT/IR-6800, respectively, at the Center of Advanced
428 Instrumental Analysis, Kyushu University.

429

430 **Appendix A. Supplementary Material**

431 Supplementary Material to this article can be found online at xxxxx

432

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603
604 **List of figure captions**

605 Fig. 1. (a) Photograph of a CNF film formed on a silicon substrate. (b) Thickness distribution of a CNF
606 film with a mean thickness of 37.8 nm measured by CSI. (c) Relationship between the mean thicknesses

607 and contact angles of CNF films. The red dashed lines show the water contact angle on the pristine
608 silicon substrate. The blue solid lines represent an empirical formula: $\theta = 59.8e^{-0.03T} + 20.5$. An enlarged
609 view of the region of low mean thickness is shown as an inset.

610

611 Fig. 2. (a, b) Time series of radiolysis of water captured in CNF networks observed by TEM. (c, d) Time
612 series of a CNF film composed of thin and thick regions. The regions in the red and green squares
613 correspond to the thin and thick regions, respectively.

614

615 Fig. 3. ADF-STEM images of a water-retaining CNF film taken (a) before and (b) after radiolysis within
616 the yellow dashed frames.

617

618 Fig. 4. Internal and surface structure of a (a) thick and (b) thin CNF film. An enlarged schematic
619 diagram at the nanoscale is presented within the rectangular frame. CNFs are indicated by the black
620 hatch pattern. The green region within the inset represents the hydrogel regions, while the orange
621 regions correspond to the thin CNF film. The blue circle indicates water trapped within the thick film.

622

623 Fig. 5. The area ratio of the bottom of the water droplet contacting three different substances (the silicon
624 substrate, CNFs, and captured water). The solid lines in red, green, and blue respectively represent the
625 area ratios of the silicon substrate, CNFs, and water in contact. The dashed line indicates the threshold
626 mean thickness at which water is retained in CNF films.