

Fully coupled aero-hydrodynamic modelling of floating offshore wind turbines in nonlinear waves using a direct time-domain approach

Deng, Sijia

State Key Laboratory of Coastal and Offshore Engineering, Dalian University of Technology

Liu, Yingyi

Research Institute for Applied Mechanics, Kyushu University

Ning, Dezhi

State Key Laboratory of Coastal and Offshore Engineering, Dalian University of Technology

<https://hdl.handle.net/2324/6796349>

出版情報 : Renewable Energy. 216, pp.119016-, 2023-11. Elsevier

バージョン :

権利関係 :



20 hydrodynamic wave excitation load, free-decay response, the load-displacement relationship of the
21 mooring line, the steady-state response of the wind turbine, and fully coupled aero-hydrodynamic inter-
22 action. It is found that the nonlinear effect mainly influences the surge motion. The coupled configuration
23 has little effect on the linear wave force and platform displacement but notably impacts the nonlinear
24 wave force and displacement. The FOWT motion is found to be critical in changing the wave field, the
25 maximum wave elevation position around the platform, and the nonlinear wave force acting on the float-
26 ing platform. The results illustrate that the traditional indirect time-domain method using the Quadratic
27 Transfer Function (QTF) based on assumed response cannot appropriately evaluate the nonlinear wave
28 force of a FOWT in coupled motions. The results also reveal that nonlinear wave hydrodynamics is
29 necessary to understand the motion response of a fully coupled FOWT.

30 **Keywords:** Floating offshore wind turbine; Fully coupled numerical simulation; Higher-order boundary
31 element method

32

33 1. Introduction

34 The world is facing an unprecedented challenge to energy security and the vital goal of
35 achieving carbon neutrality and averting global warming. A permanent fix for the three related
36 problems of energy security, climate change, and affordability is a determined and accelerated
37 effort to transition energy from fossil fuels to renewables. Wind power generation is one of the
38 mature renewable energy technologies that will contribute to the estimated 837 GW of electrical
39 power worldwide in 2022, showing year-over-year growth of 12%, with a total of 94 GW of
40 wind installed around the world in which 21 GW is offshore [1]. Offshore wind turbines are
41 constructed to extract wind power along the coast and in the oceans. Unlike their land-based
42 counterpart, floating wind turbines are located in the deep sea, bringing more challenges to cost
43 management. However, the economic advantage of offshore wind turbines is apparent in terms
44 of more stable year-round wind speed, a higher proportion of high-quality wind energy, greater
45 turbine power, less wind farm development space limitations, and less visual pollution, etc.
46 [2,3].

47 Offshore wind energy is converted into electricity by wind turbines installed in coastal wa-
48 ters of either bottom-fixed type in shallow sea areas [4] or floating-substructure type anchored
49 to the seabed in deep sea areas [5]. With the continuous development of wind power technology,
50 using only the coastal wind resource cannot meet the needs of industrial and household con-
51 sumption. About 80% of offshore wind resources are concentrated in waters deeper than 60 m
52 [6], where the installation of conventional bottom-fixed concepts becomes uneconomical, fa-
53 vouring the deployment of floating (buoyant) foundations [5]. Therefore, the future develop-
54 ment of offshore wind turbines will be gradually expanded from shallow to deep seas.

55 However, designing a floating offshore wind turbine (FOWT) system is quite challenging
56 due to the complicated structure, the complex environmental loading, and the coupled effects
57 between the wind turbine, the platform and the mooring system [7]. Numerical simulations are
58 widely adopted in designing a FOWT due to their highly efficient and low-cost merits in con-
59 trast to experiments and field tests. A FOWT can be roughly divided into three parts based on
60 the structural and functional features, i.e., turbine, floating platform, mooring system, etc. The

61 motion and the force on each part of a FOWT are interlinked. Firstly, the aerodynamic force on
62 the rotor passes onto the floating support platform via the tower, affecting the dynamic re-
63 sponses of the platform. Secondly, the mooring lines provide restoring forces to the floating
64 platform to restrict its movement. Eventually, the motion of the platform influences the aero-
65 dynamic performance of the turbine rotor by changing the relative flow velocity experienced
66 by the rotor blades [8]. Hence, a fully coupled aero-hydrodynamic model is preferable to a
67 reliable numerical prediction of dynamic response in view of the strongly coupled effects.

68 To date, OpenFAST[®] is the most commonly used aero-hydro-elastic coupled program for
69 numerical simulations of a wind turbine. It is mainly composed of an aerodynamic module
70 (Aerodyn[®]), a wind module (InflowWind[®]), a control module (ServoDyn[®]), a hydrodynamics
71 module (HydroDyn[®]), and other sub-modules [9,10]. The AeroDyn[®] module is based on the
72 blade element momentum theory (BEM) and the generalised wake model (GDW) to predict
73 aerodynamic loads. HydroDyn[®] module allows multiple approaches to calculate the hydrody-
74 namic loads on a structure, including the potential-flow theory solution, the strip-theory solu-
75 tion, or a combination of the two [11]. In addition, many investigations on fully-coupled anal-
76 yses have been conducted using OpenFAST[®]. Yang et al. [12] developed the F2A[®] coupled
77 framework to analyse floating offshore wind turbines using FAST[®] and AQWA[™]. Roddier [13]
78 integrated FAST[®] with a hydrodynamic analysis tool named TimeFloat[®] for fully coupled mod-
79 elling of WindFloat[™]. Kvittem et al. [14] incorporated AeroDyn[®] with the nonlinear FEM
80 software SIMO[™]/RIFLEX[™] to establish a fully coupled model of a 5 MW semisubmersible
81 wind turbine. Shim [15] incorporated FAST[®] with a hydrodynamic analysis tool CHARM3D
82 to investigate coupled dynamic responses of a 1.5 MW wind turbine supported by a TLP.
83 Karimirad et al. [16] used the SIMO[™] [17], REFLEX[™] [18], HAWC2[™] [19], and FAST[®]
84 codes to carry out dynamic response analyses.

85 In addition to OpenFAST[®], there exist other commercial software or in-house codes to
86 analyse floating wind turbines. Cunff et al. [20, 21] developed a fully-coupled aero-hydro-
87 servo-elastic simulation tool named DeepLines[®] for FOWTs. Aerodynamic loads are predicted
88 by the BEMT with the correction of a dynamic stall model, and the blade and tower structures

89 are modelled by the finite element method (FEM). The diffraction and radiation problems of
90 the floating platform are solved by DiodoreTM, a commercial hydrodynamic analysis software.
91 Liu et al. [22,23] developed an indirect time-domain method to model the dynamics of a float-
92 ing truss-structure wind turbine with multiple rotors mounted on the platform's deck. A hybrid
93 panel-stick model is constructed in its hydrodynamic part, incorporating the potential flow the-
94 ory to evaluate the wave inertia force and a Morison strip method to evaluate the wave drag
95 force. Chen et al. [24] developed an aero-hydro-servo-elastic coupled tool (DARwind[©]) based
96 on Kane's kinetic equation and BEMT. The hydrodynamic load is evaluated by the second-
97 order frequency domain potential flow theory. The DARwind[©] tool is validated extensively
98 against experimental results. Frank [25] developed a model named SLOW[©] using an iterative
99 response calculation with a reduced-order frequency-domain method. It has heave plate drag
100 coefficients, which are parameterised functions of literature data. In addition to these tools,
101 BladedTM and HAWC2TM are well-known commercial software for designing wind turbines.
102 Both employ BEMT to evaluate aerodynamic loads and the multi-body dynamic (MBD)
103 method for structural modelling, having high efficiency in examining aero-elastic effects [26-
104 29]. In the aforementioned tools, the hydrodynamic analysis usually applies the indirect time-
105 domain method, using Fourier's transform to convert hydrodynamic force coefficients pre-cal-
106 culated in the frequency domain to the time domain. For a moving body, the quadratic transfer
107 function (QTF) can be induced by not only the waves but also the first-order motion response.
108 Based on the Cummins method, the second-order excitation force in the time domain is con-
109 ventionally evaluated by the Fourier transform of the QTF. This will request that QTF be cal-
110 culated according to the transient motion response at each time step to solve the motion re-
111 sponse at the next time step, and so on. Since the computation burden in such a manner is almost
112 unbearable, the common way that people are doing instead is to use a fixed-body QTF through-
113 out all time steps so that the QTF needs to be calculated only once. It will lead to inaccuracy of
114 the time-domain simulation. In contrast, a direct time domain is free of such a problem as there
115 is no need to calculate the QTF.

116 On the other hand, in the drive for greater accuracy of floating wind energy, high-fidelity

117 models play an essential role in developing FOWTs. Tran [33] has performed a series of un-
118 steady aerodynamic simulations for turbine blades by considering the platform motion with
119 periodical surge, pitch, or yaw with a dynamic moving grid technique. Besides the oscillating
120 regularity of thrust and power, the flow interaction phenomena between the turbine blades with
121 oscillating motions and the generated blade-tip vortices are found. Wu [34] developed a CFD
122 model for unsteady and nonlinear aerodynamic simulations of a rotor under floating platform-
123 induced motions with an arbitrary mesh interface (AMI). Liu [35] established a fully coupled
124 CFD analysis tool for FOWTs based on the open-source CFD package OpenFOAM® and stud-
125 ied the dynamics of the OC4 DeepCWind semisubmersible FOWT. CFD simulations achieve
126 accurate results and enable detailed quantitative flow field analysis. Cheng [8] developed the
127 FOWT-UALM-SJTU® solver based on OpenFOAM®, incorporating an unsteady actuator line
128 model (UALM) to simulate the aerodynamics of a wind turbine and a two-phase CFD solver
129 naoe-FOAM-SJTU® to solve the hydrodynamics of the floating platform. In the coupled model,
130 the three-dimensional Reynolds-Averaged Navier-Stokes (RANS) equations are solved with
131 the turbulence model $k-\omega$ SST, and the Pressure-Implicit with Splitting of Operations (PISO)
132 algorithm is applied to solve the pressure-velocity coupled equations. In general, CFD methods
133 have great accuracy but huge simulation cost, which is inappropriate for dealing with many
134 engineering cases.

135 Existing hydrodynamic methodologies applied to FOWTs mainly consist of CFD and po-
136 tential-flow-based methods. Potential-flow-based methods have several variants. A direct time-
137 domain method does not need to have problematic QTF values as the input because the hydro-
138 dynamic forces are directly calculated at each time step. For this reason, a time-domain method
139 is more suitable for predicting the response of the floating system. In view of this point, a direct
140 nonlinear time-domain method is preferable to act as the base for the aero-hydrodynamic cou-
141 pled in modelling a FOWT.

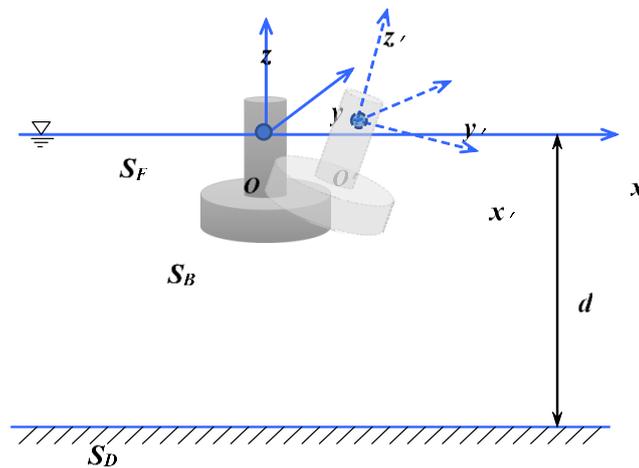
142 The primary purpose of the present study is to develop a new aero-hydrodynamic coupled
143 methodology that directly considers nonlinear waves in the time domain. The theory and nu-

144 merical methods are introduced in Section 2. Validations of the sub-modules and the fully cou-
 145 pled model are given in Section 3 against experimental results and the published data. Moreover,
 146 a numerical simulation of the 5 MW DeepCwind semisubmersible FOWT is presented, demon-
 147 strating the model's superiority in capturing the platform's nonlinear effects. The coupled effect
 148 of FOWT considering nonlinear hydrodynamic load is investigated and discussed in Section 4.
 149 Conclusions are drawn in Section 5 based on the previous analysis.

150 2. Mathematical formulation and numerical methodology

151 2.1 Hydrodynamic method

152 Based on the nonlinear potential flow theory, a second-order 3D time-domain HOBEM is
 153 applied to investigate the hydrodynamic performance of a FOWT system. Fig. 1 defines the
 154 global and the local coordinate systems in the computation. The first is space-fixed, denoted as
 155 $Oxyz$, the origin of which is located on the still water surface, while the other is body-fixed,
 156 denoted as $O'x'y'z'$ (fixed on the platform mass centre). At the initial static equilibrium position,
 157 the two systems coincide.



158

159 **Fig.1.** Definition sketch of coordinate systems and the computation domain

160 The fluid is assumed incompressible and inviscid. The wave field around the floating foun-
 161 dation can be described by a complex spatial potential $\varphi(x, y, z, t)$, which satisfies the Laplace
 162 equation. The spatial potential φ can be divided as a known incident potential φ_i and an un-
 163 known scattered potential φ_s [36]. Following the perturbation expansion technique up to the
 164 second order, the scattered potential satisfies the Laplace equation:

$$\nabla^2 \varphi_s^{(m)}(x, y, z, t) = 0, \quad (1)$$

165 where the superscript m (1 or 2) denotes the order of the perturbation expansion. The imperme-
 166 ability conditions at the bottom S_D and the solid body surface S_B read

$$\frac{\partial \phi_s^{(m)}}{\partial n} = -\frac{\partial \phi_i^{(m)}}{\partial n}, \text{ on } S_D \text{ and } S_B \quad (2)$$

167 where $\frac{\partial}{\partial n}$ denotes the normal derivative on the solid surface. To analyse the wave motion in a
 168 finite domain, a sponge layer is introduced to absorb the reflected waves from the platform [37].
 169 Using Taylor's expansion, the kinematic and dynamic boundary conditions on the free surface
 170 S_F can be expressed as

$$\frac{\partial \eta_s^{(m)}}{\partial t} = \frac{\varphi_s^{(m)}}{\partial z} - v_{(r)} \eta_s^{(m)} - f_m' \quad (m = 1 \text{ or } 2), \quad (3)$$

$$\frac{\partial \phi_s^{(m)}}{\partial t} + g \eta_s^{(m)} + v_{(r)} \phi_s^{(m)} - f_m'' = \begin{cases} \frac{\partial \phi^{(1)}}{\partial n} & (m = 1) \\ -\left(\eta^{(1)} \frac{\partial \phi^{(1)}}{\partial n} + \frac{\partial \phi^{(2)}}{\partial n} \right) & (m = 2) \end{cases}, \quad (4)$$

171 where η_s denotes the scattered wave elevation around the platform, $v_{(r)}$ is the damping coeffi-
 172 cient of the sponge layer. The damping coefficient $v_{(r)}$ is expressed by

$$v(r) = \begin{cases} \alpha_0 \omega \left(\frac{r - r_0}{\beta_0 \lambda} \right)^2 & r_0 \leq r \leq r_i = r_0 + \beta_0 \lambda. \\ 0 & r < r_0 \end{cases}. \quad (5)$$

173 Green's second identity is applied to the boundary value problem, i.e., Eq. (1) ~ (4), by
 174 using the Rankine source and its image with respect to the seabed as the Green function [36]

$$G(p_s, q_f) = -\frac{1}{4\pi} \left(\frac{1}{R_0} + \frac{1}{R_z} \right), \quad (6)$$

175 where $p_s = (x_1, y_1, z_1)$ and $q_f = (x, y, z)$ are the source and the field point, respectively, and

$$R_0 = \sqrt{(x - x_1)^2 + (y - y_1)^2 + (z - z_1)^2}, \quad (7)$$

$$R_z = \sqrt{(x - x_1)^2 + (y - y_1)^2 + (z + z_1 + 2h)^2}, \quad (8)$$

176 As such, an integral equation for the scattered wave potential can be obtained as

$$\alpha \varphi_s(p_s) = \iint_S \left[\varphi_s(q_f) \frac{\partial G(q_f, p_s)}{\partial n} - G(q_f, p_s) \frac{\partial \varphi_s(q_f)}{\partial n} \right] dS, \quad (9)$$

177 where the boundary surface S includes the mean free surface (S_F) and the solid surface (S_D),
 178 and α is the solid angle coefficient. The boundary integral equation, Eq. (9), is discretised by a
 179 higher-order boundary element method and solved by the fourth-order Adams-Bashforth pre-
 180 dictor-corrector method. The readers are referred to Jin et al. [39] for the detailed procedure.

181 Wave force and moment on the platform are then evaluated by integrating the dynamic
 182 pressure over the wet surface of the platform:

$$F = \iint_{S_{\text{platform}}} p n dS, \quad (10)$$

$$M = \iint_{S_{\text{platform}}} p [(z - z_0)n_x - (x - x_0)n_z] dS, \quad (11)$$

183 where $n = (n_x, n_y, n_z)$, and $F = (F_x, F_y, F_z)$. (x_0, y_0, z_0) is the rotational centre defined to be
 184 the platform mass centre. The subscript "platform" denotes the wet surface of the floating foun-
 185 dation.

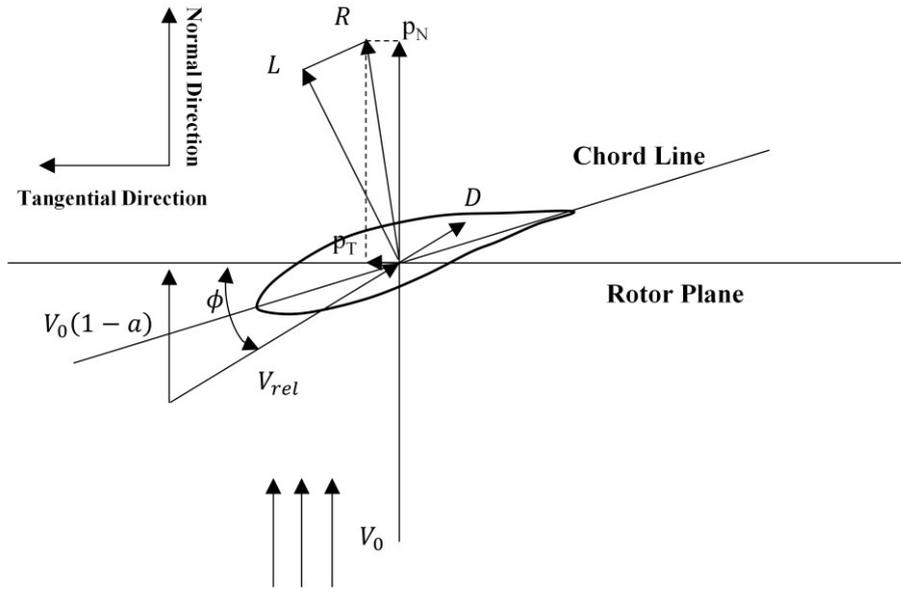
186 *2.2 Aerodynamic method*

187 The BEMT assumes that the blades can be divided into small annular elements operating
 188 aerodynamically as two-dimensional airfoils [40] to conduct aerodynamics calculation of the
 189 rotor, as shown in Fig. 2. Given the lift and drag coefficients of all the airfoils, the lift and drag
 190 forces acting on the blade at spanwise location r are evaluated by

$$L = \frac{1}{2} \rho_a V_{rel}^2 c C_l, \quad (12)$$

$$D = \frac{1}{2} \rho_a V_{rel}^2 c C_d, \quad (13)$$

191 where ρ_a is the air density, c the chord length of the blade element, L and D respectively the lift
 192 and the drag force of the sectional airfoil, C_l and C_d respectively the lift and the drag coeffi-
 193 cients, V_{rel} the absolute wind speed.



194

195

Fig. 2. Illustration of wind velocities and local loads at the spanwise location r

196 By expressing the lift and the drag force in the axial and the tangential directions through a
 197 coordinate transformation, it is known that

$$p_N = L \cos \phi + D \sin \phi, \quad (14)$$

$$p_T = L \sin \phi - D \cos \phi, \quad (15)$$

$$\tan \phi = \frac{(1-a)V_0}{(1+a')\omega r}, \quad (16)$$

198 where ϕ is the wind inflow angle, a the axial induction factor, a' the tangential induction factor,
 199 ω the rotor rotational speed, and r the local radius of the blade element.

200 The axial thrust force F_T and the torque M_T can be derived from the two-dimensional blade

201 element theory:

$$F_T = \int_r B p_T dr = \int_r \frac{1}{2} \rho_a B V_{rel}^2 c (C_l \sin \phi - C_d \cos \phi) dr, \quad (17)$$

$$M_T = \int_r r B p_T dr = \int_r \frac{1}{2} \rho_a B V_{rel}^2 c (C_l \sin \phi - C_d \cos \phi) r dr, \quad (18)$$

202 where B is the number of blades. By resolving the distribution of the normal and the tangential
 203 loads along the span of blades, the total thrust force and the aerodynamic torque are obtained
 204 based on the linear variation assumption of the load distribution between neighbouring blade
 205 elements [41]. In addition, to consider the influence of vortex shedding from the blade tips into
 206 the wake on the induced velocity field [40], the most widely acknowledged Prandtl's model for
 207 tip-loss correction [42] is adopted in this study.

208 Aerodynamic force at the tower is simplified as a lumped force and moment that act at the
 209 centre of the resulting wind load of the platform. The lumped force is denoted as follows:

$$F_H = \frac{1}{2} \pi \rho_a C_D A U_{rel(z^*)}^2, \quad (19)$$

210 where C_D is the drag coefficient on the tower; $U_{rel(z^*)}$ is the relative velocity between the wind
 211 and the tower measured at the elevation z^* ; A is the tower's projected area above the mean water
 212 level. Force on the tower could be represented in Eq. (3) as follows:

$$F_H = \int_{z=bottom}^{z=top} dF(z) dz = \frac{1}{2} \pi \rho_a C_D \int_{z=bottom}^{z=top} D(z) U_{rel}^2(z) dz. \quad (20)$$

213 **2.3 Baseline control system**

214 The NREL 5-MW baseline wind turbine is set in a conventional variable-speed, variable
 215 blade-pitch configuration. In such wind turbines, the traditional approach for controlling power-
 216 production operation relies on the design of two basic control systems: a generator-torque con-
 217 troller and a full-span rotor-collective blade-pitch controller.

218 Under low wind speed conditions, variable-speed wind turbines operate at maximum effi-
 219 ciency around the optimum tip speed ratio with a fixed pitch angle. The generator torque is
 220 simulated as a function of the generator speed.

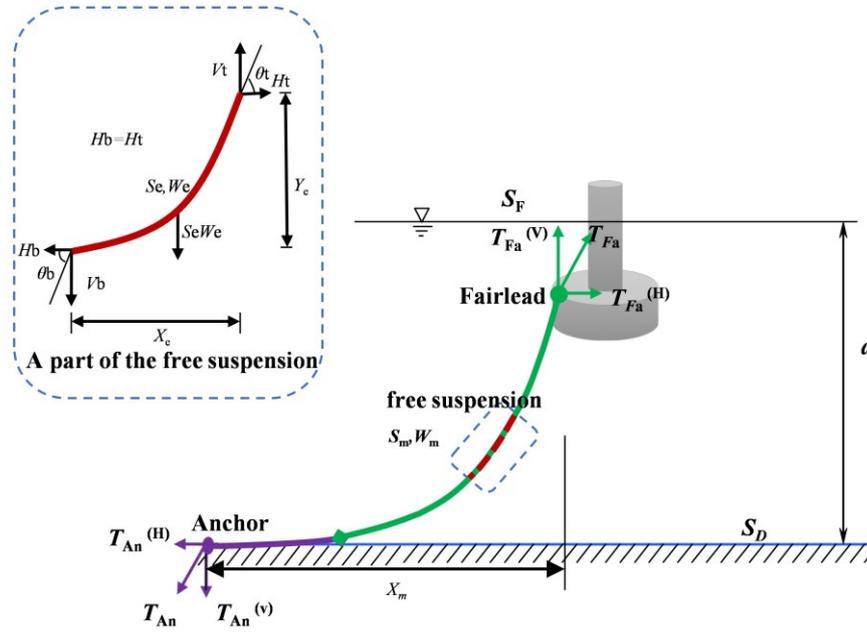
221 Under high wind speed conditions, variable blade-pitch configuration [43] takes over. Be-
 222 cause the goal of the blade-pitch control system is to regulate the generator speed, the single
 223 DoF of the angular rotation of the shaft is used. To compute the required control gains, the
 224 simple free-body diagram of the drivetrain $\Delta\theta$ follows the equation:

$$\Delta\theta = K_P N_{Gear} \Delta\Omega + K_I \int_0^t N_{Gear} \Delta\Omega dt + K_D N_{Gear} \Delta\dot{\Omega}, \quad (21)$$

225 where K_P , K_I , and K_D are the blade-pitch controller proportional, integral, and derivative gains,
 226 respectively; N_{Gear} is the high-speed to low-speed gearbox ratio; $\Delta\Omega$ is the small perturbation
 227 of low-speed shaft rotational speed about the rated speed; $\Delta\dot{\Omega}$ is the first-time derivative of $\Delta\Omega$.

228 2.4 Mooring system

229 A mooring chain consists of fairlead, line, and anchor. The following basic assumptions are
 230 pre-defined in the construction of the mooring system model: (1) the horizontal, rigid, and fric-
 231 tionless seabed surface, (2) the prolonged movement of each part of the anchor chain in the
 232 water, (3) the ignored inertia force of the anchor chain, (4) the elongation caused by the initial
 233 tension initial length of the mooring line and chain line, and (5) the unmoveable anchor point.



234

235 **Fig. 3.** Schematic diagram of the dynamic model of a mooring line

236 Since the relationship between force and displacement is nonlinear, the anchor chain force
 237 must be solved by an iterative method. The catenary equation [44] is used to find the relation-
 238 ship between the anchor chain force and the displacement. For any freely suspended part, the
 239 horizontal projection and vertical projection, as shown in Fig. 3, can be expressed as

$$Y_e = \left(\frac{H_t}{W_e}\right) \left(\cosh\left(\frac{1}{\sinh(\tan \theta_t)}\right) - \cosh\left(\frac{1}{\sinh(\tan \theta_b)}\right) \right), \quad (21)$$

$$X_e = \left(\frac{H_t}{W_e}\right) \left(\frac{H_t}{W \sinh(\tan \theta_t)} - \frac{1}{\sinh(\tan \theta_b)} \right), \quad (23)$$

$$\tan \theta_b = \frac{V_t - W_e S_e}{H_t}, \quad (24)$$

$$V_t = H_t \tan \theta_t. \quad (25)$$

240 where X_e and Y_e are the horizontal and the vertical component of element length; θ_t is the an-
 241 gle between the end of the element and the horizontal plane; θ_b is the angle between the begin-
 242 ning of the element and the horizontal plane; V_b and V_t are the horizontal and the vertical com-
 243 ponent of the element tension; H_b and H_t are the horizontal and the vertical component of the
 244 element end tension; W_e is the weight of the element; S_e is the element length. If H_t , θ_t , and
 245 W_e are known, then

$$\tan \theta_b = \sinh \left(\frac{1}{\cosh \left(\cosh \left(\frac{1}{\sinh(\tan \theta_t)} \right) - \left(\frac{Y_e W_e}{H_t} \right) \right)} \right), \quad (26)$$

$$S_e = \frac{H_t (\tan \theta_t - \tan \theta_b)}{W_e}. \quad (27)$$

246 The horizontal projection X of the anchor chain can be calculated by Eq. (23). For a specific
 247 section, it is supposed that the initial tension of the section is T_0 and the corresponding initial
 248 length is S_0 . When the tension is T , the length of the element can be expressed as

$$S_e = S_0 \left(1 + \frac{(T - T_0)}{EA} \right), \quad (28)$$

249 where E and A are Young's Modulus and effective area, respectively. The total weight of the

250 chain remains unchanged, and the weight per unit length in water can be calculated as:

$$W_e = \frac{S_0 W_0}{S_e}, \quad (29)$$

251 where W_0 is the initial weight per unit length of the anchor chain in water. W_m is the weight of
 252 the free suspension of the mooring line. S_e is the length of the free suspension of the mooring
 253 line. T_{Fa} is the fairlead tension. $T_{Fa}^{(v)}$ and $T_{Fa}^{(H)}$ are the horizontal and the vertical compo-
 254 nent of T_{Fa} . T_{An} is the anchor tension. $T_{An}^{(v)}$ and $T_{An}^{(H)}$ are the horizontal and the verti-
 255 cal component of T_{An} . The horizontal distance between the fairlead and the anchor is referred
 256 to as X_m . S_m is the distance along the line from the fairlead to the equivalent point on the
 257 unstretched mooring line where the point on the stretched mooring line first touches the seabed.

258 2.5 Coupled framework

259 In order to conduct the fully coupled simulation, the three parts consisting of the hydrody-
 260 namic module, the mooring module, and the aerodynamic module, are combined logically to
 261 develop a coupled framework presented in Fig. 4.

262 The position and velocity of the platform calculated in the hydrodynamic module are used
 263 to determine the motion response of the wind turbine. The aerodynamic load at the tower base-
 264 ment and the lumped force acting on the tower calculated by the aerodynamic module are added
 265 to the right-hand side of the motion equation as external forces. The motion equation of the
 266 platform includes a linear equation and a nonlinear equation:

$$[M]\ddot{\xi}^{(1)} + [B]\dot{\xi}^{(1)2} + [C]\xi^{(1)} = F_D^{(1)} + F_M^{(1)} + F_T^{(1)} + F_H^{(1)}, \quad (30)$$

$$[M]\ddot{\xi}^{(2)} + [B]\dot{\xi}^{(2)2} + [C]\xi^{(2)} = F_D^{(2)} + F_I^{(2)} + F_Q^{(2)} + F_W^{(2)} + F_M^{(2)} \\ + F_T^{(2)} + F_H^{(1)} + \begin{Bmatrix} 0 \\ m_r^{(2)} \end{Bmatrix}, \quad (31)$$

$$\xi^{\text{total}} = \xi^{(1)} + \xi^{(2)}, \quad (32)$$

$$F^{\text{total}} = F_D^{(1)} + F_D^{(2)} + F_I^{(2)} + F_Q^{(2)} + F_W^{(2)}, \quad (33)$$

267 where $\xi^{(1)}$ and $\xi^{(2)}$ are the first-order and the second-order displacements. $[M]$, $[B]$ and

268 [C] denote the rigid body mass matrix, viscous damping matrix, and restoring force matrix,
269 respectively. $F_D^{(1)}$ is the first-order hydrodynamic force. $F_M^{(1)}$ is the mooring load induced by
270 the first-order platform displacement. $F_T^{(1)}$ and $F_H^{(1)}$ are the aerodynamic force on the rotor and
271 the tower when the platform responds to the first-order displacement, respectively. $F_D^{(2)}$ is the
272 second-order hydrodynamic force. $F_I^{(2)}$ is the contribution from the second-order potential. The
273 sum of $F_Q^{(2)}$ is the second-order force contribution term of the object movement. $F_M^{(2)}$ is the
274 mooring tension induced by the second-order platform displacement, and $F_T^{(2)}$ and $F_H^{(2)}$ are the
275 aerodynamic force on the rotor and the tower when the platform responds to the second-order
276 displacement. $\begin{Bmatrix} 0 \\ m_r^{(2)} \end{Bmatrix}$ is generated by the interaction between the first rotational displacements
277 [31]. The total displacement $\xi^{(\text{total})}$ is the sum of $\xi^{(1)}$ and $\xi^{(2)}$ as Eq. (32). All the variables
278 in Eq. (31) to (33) are vectors. The total hydrodynamic load is $F^{(\text{total})}$. The subscript of F and
279 ξ represents the direction of the surge (1), sway (2), heave (3), roll (4), pitch (5), and yaw (6) in
280 the following figures.

281 Eqs. (30) and (31) illustrate that the solutions of all the forces and the motion are coupled
282 with each other. The aerodynamic module is used to obtain the load on the tower according to
283 the response of the platform at the current time t_n by overlapping the coordinates of the tower.
284 The tension of the mooring system can be obtained from the mooring module according to the
285 platform response at the current time t_n by matching boundary conditions at fairleads. It is
286 noted that there are two kinds of platform responses, the linear motion response and the non-
287 linear response. The linear response is calculated considering only the first wave force. The
288 nonlinear response is calculated by considering the second wave force as well. The aerody-
289 namic load and the mooring load can be calculated knowing the nonlinear platform displace-
290 ment. The results are transformed into forces acting on the centre of mass of the platform by
291 appropriately changing the unified coordinate system. Eqs. (21) and (22) are used to predict the
292 next time step ($t_{n+1} = t_n + \Delta t$) of the platform response. These processes present are repeated
293 until the simulation ends successfully.

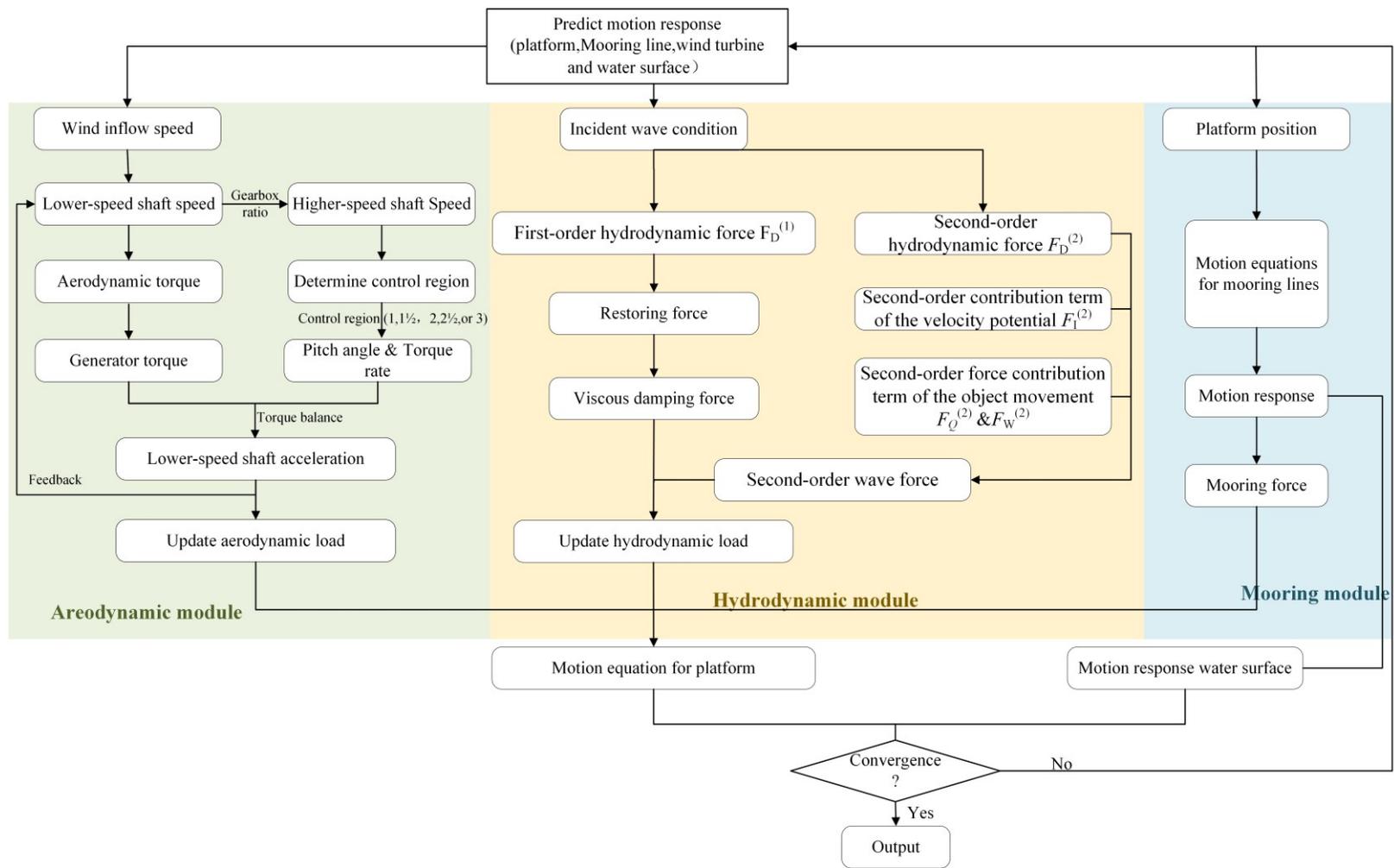


Fig. 4. Flow chart of the coupled dynamic analysis

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296 3. Validation of the coupled numerical method

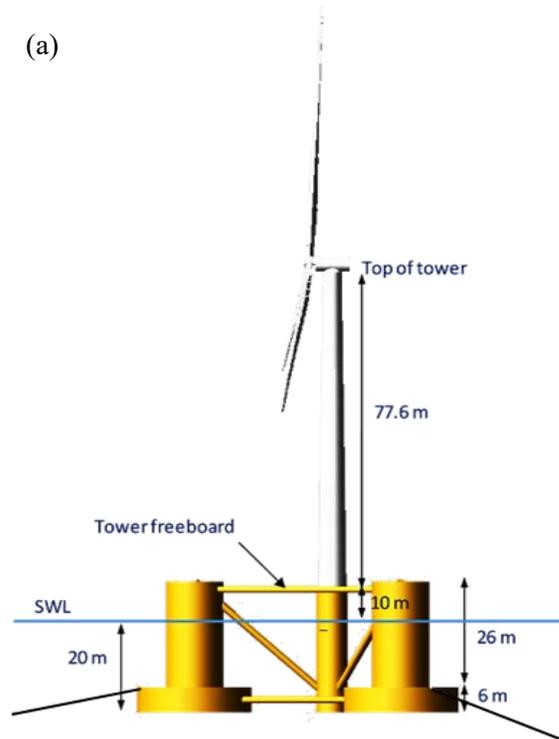
297 The NREL's 5-MW baseline wind turbine [45], supported by the OC4-DeepCwind semi-
298 submersible platform, is chosen to demonstrate the accuracy and reliability of the developed
299 method. The benchmark model in OpenFAST is specified in Robertson et al. [46]. The semi-
300 submersible consists of one central column (CC 1) and three side columns (SC 1~3). Heave
301 plates are attached at the bottom of the side columns to reduce the heave motion of the semi-
302 submersible. Braces are used to connect the columns. The angle between two adjacent mooring
303 lines is 120°. The wind and waves propagate in the direction parallel to the mooring line 2.
304 Detailed properties are listed in Table 1. The experiment data are from the 1:50 scale model test
305 conducted at Maritime Research Institute Netherlands (MARIN) in Wageningen, Netherlands
306 [48, 49, 50].

307 This platform is used in the following simulation except in Subsection 3.1.2. The fully cou-
308 pled model contains the hydrodynamic model, mooring system, and aerodynamic model. Indi-
309 vidual verifications for each part are presented in Subsection 3.1-3.3.

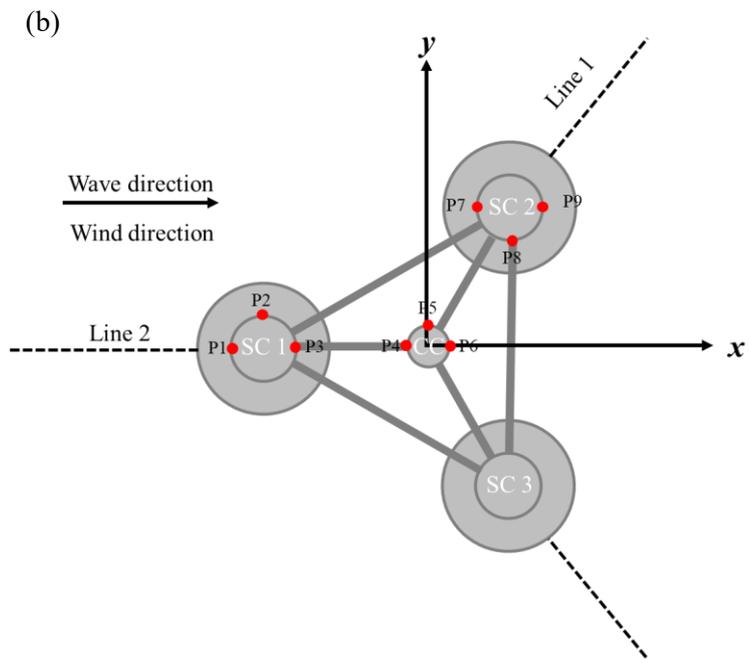
310 **Table 1.** Main specifications of the OC4-DeepCwind semisubmersible FOWT [47]

Designation	Units	Value
Platform draft	m	20
Floater steel mass	kg	3.852×10^6
Total mass (Including WT)	kg	1.407×10^6
COG (x, y, z)	m, m, m	(0,0,-9.89)
Platform roll inertia about COG	kg.m ²	1.270×10^{10}
Platform pitch inertia about COG	kg.m ²	1.270×10^{10}
Platform yaw inertia about COG	kg.m ²	1.229×10^{10}

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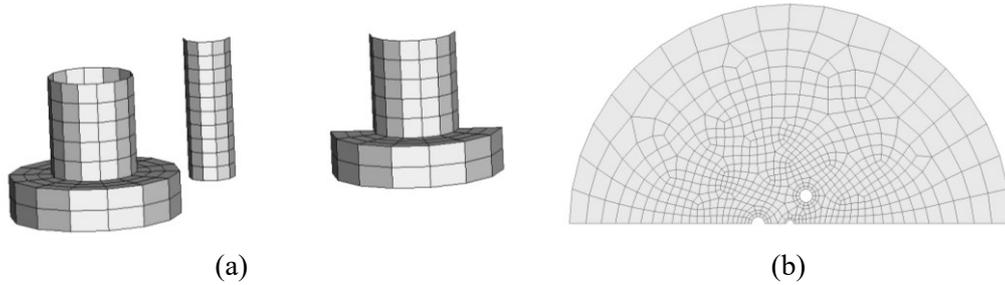
314

Fig. 6. DeepCwind floating wind turbine system (a) side view [47], (b) top view.

315

Spatial and temporal convergence tests of the platform are conducted. Using the symmetry
 316 property, there are 599 elements in the free surface grid and 307 elements in the object surface

317 grid. The radius of the discretised free surface is 200m, with innermost elements size of 8.5m²
 318 and outermost elements size of 1000m². The average number of elements on the main column
 319 and an offset column are 165 and 120, respectively. The time step is $T/100$, where T is the wave
 320 period. The specific schematic diagram of the grid is shown in Fig. 5.



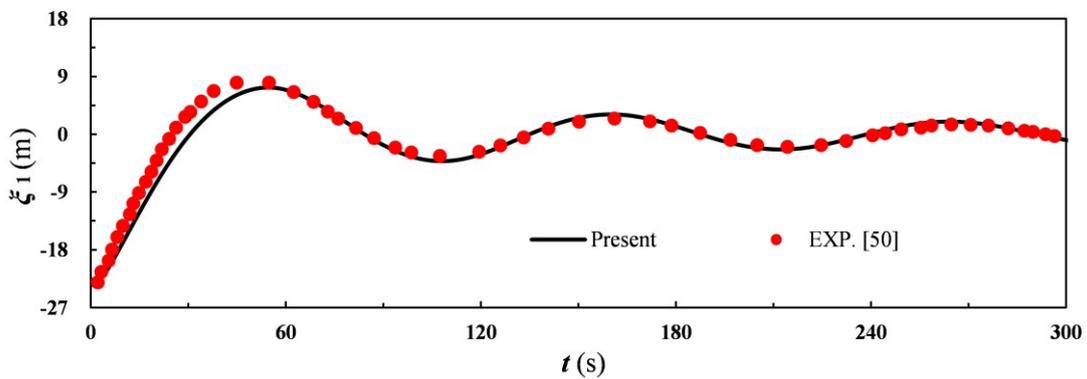
321 **Fig. 5.** Schematic diagram of (a) the platform and (b) the free surface grid.

322

323 3.1 Validation of the hydrodynamic module

324 3.1.1 Free decay

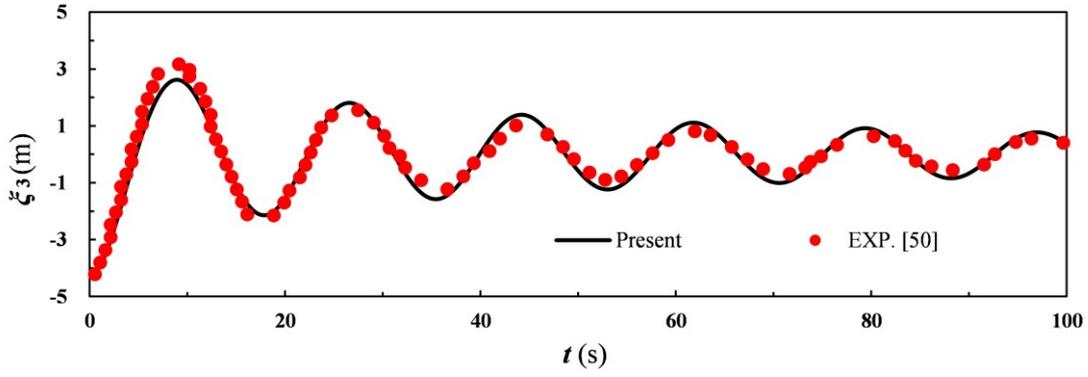
325 Numerical validations on the hydrodynamics of the OC4 DeepCwind semisubmersible
 326 platform are performed before conducting comparative studies using fully coupled aero-hydro-
 327 dynamic analysis on the entire configuration. The free decay response of the platform is con-
 328 sidered first, thanks to the experimental data [50]. In the free decay analysis, the platform's
 329 initial position is set following the experimental conditions and then released to obtain free
 330 motions.



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332

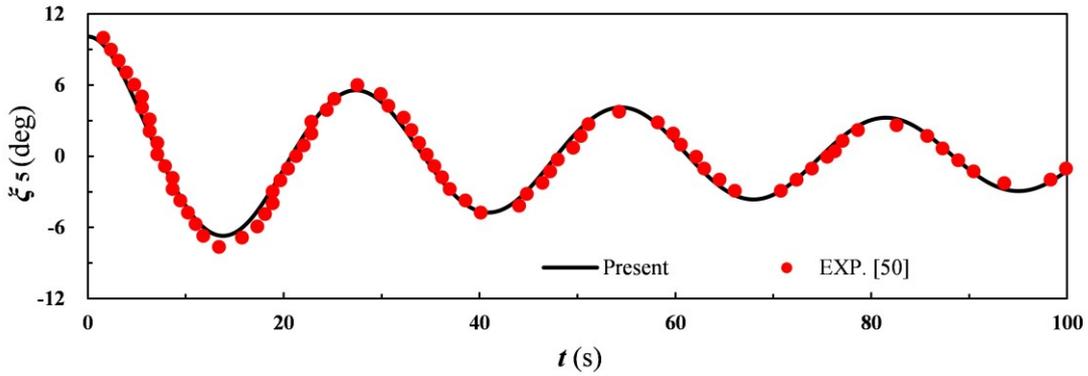
(a)



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(b)



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(c)

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Fig. 7. Comparisons of free-decay responses in (a) surge, (b) heave, and (c) pitch.

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There exist neither wind nor waves. It is assumed that the air damping can be neglected in the free decay analysis. In the test, the floating system is subjected to recovery forces provided by water and mooring cables, while the motions in all 6 DoFs are not restrained. Starting from the released position, the platform motion decays gradually until the mooring system reaches equilibrium. The results are compared with the experimental data [50], as shown in Fig. 7. In general, good agreements are found in the surge, heave and pitch motions.

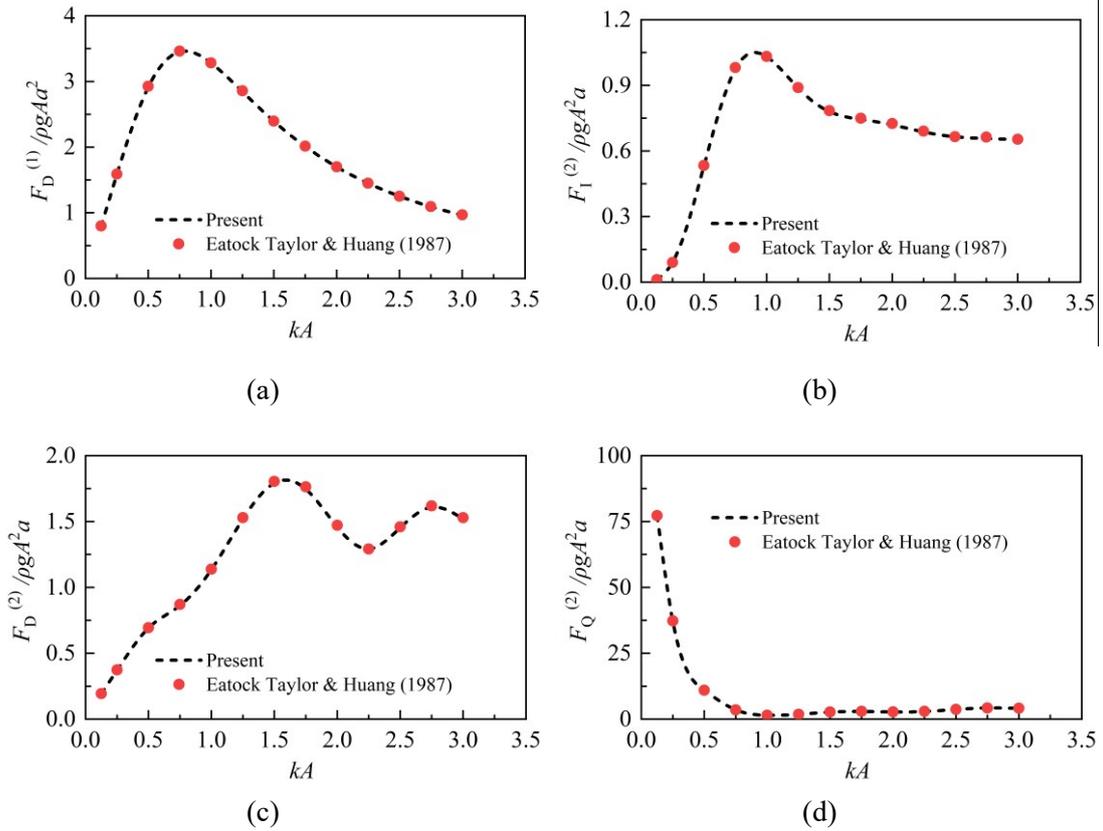
Table 2. Comparison of the natural periods (unit: s)

DoF	Experiment [50]	Present
Surge	107	107
Sway	112	112
Heave	17.5	17.5

Roll	26.9	27
Pitch	26.8	26.8
Yaw	82.3	82

345

346 **3.1.2 Nonlinear wave force on a vertical cylinder**



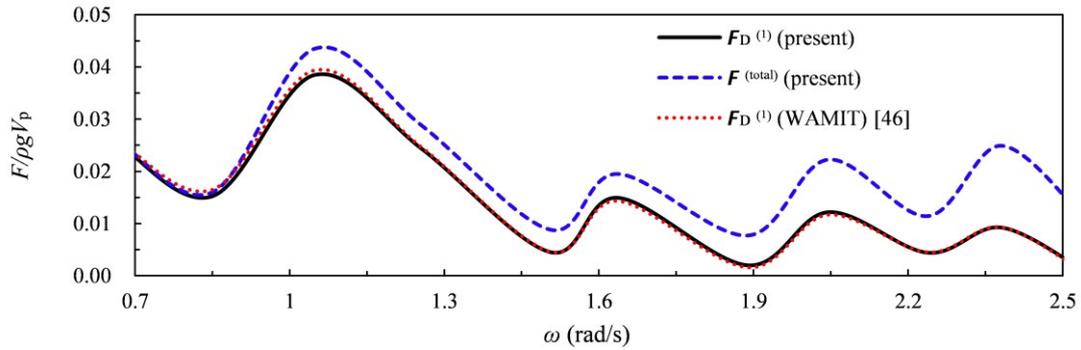
347 **Fig. 8.** Comparison of the wave force acting upon a bottom-fixed vertical cylinder: (a) the first-
348 order amplitude, (b) mean drift force, (c) the sum-frequency amplitude contributed by the quadratic
349 of first-order quantities, (d) the sum-frequency amplitude contributed by the second-order potential

350 A bottom-fixed vertical cylinder is selected to validate the second-order module. Incident
351 regular waves consisting of first- and second-order components are considered. The numerical
352 results are compared with the semi-analytical solution in Eatock Taylor and Huang [36]. The
353 radius of the vertical cylinder is $a = 1.0$ m, the water depth $d = 1.0$ m, the regular wave am-
354 plitude $A = 1.0$ m, and the wave number $k = 0.125 \sim 3.0$ m^{-1} . An xz -plane symmetry is adopted

355 in the calculation. Fig. 8 (a)-Fig. 8 (d) compare the first-order and the second-order wave forces
 356 in the horizontal direction. The force amplitudes are normalised by $\rho g A a^2$. The comparison
 357 shows a good agreement, justifying the accuracy of the nonlinear numerical model.

358 3.1.3 Wave force on OC4-DeepCwind semi-submersible FOWT

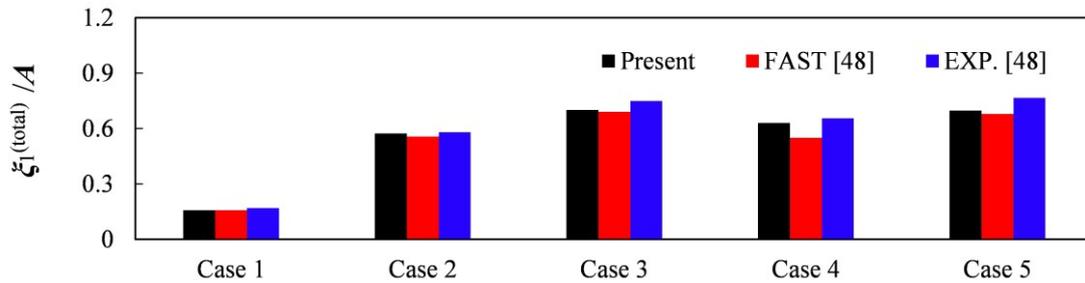
359 The semisubmersible is analysed in its undisplaced position under a finite water depth (200
 360 m). The first- and second-order wave excitation loads are calculated using the direct time-do-
 361 main simulation method described in Section 2.1. Results are presented in Fig. 9. For all these
 362 wave conditions, the first-order wave force in the positive x -direction agrees well with the re-
 363 sults obtained from NREL using WAMIT [46]. Moreover, the second-order wave force obtained
 364 simultaneously can supplement the published data.



365

366 **Fig. 9.** Hydrodynamic wave excitation per unit amplitude on the OC4 semisubmersible

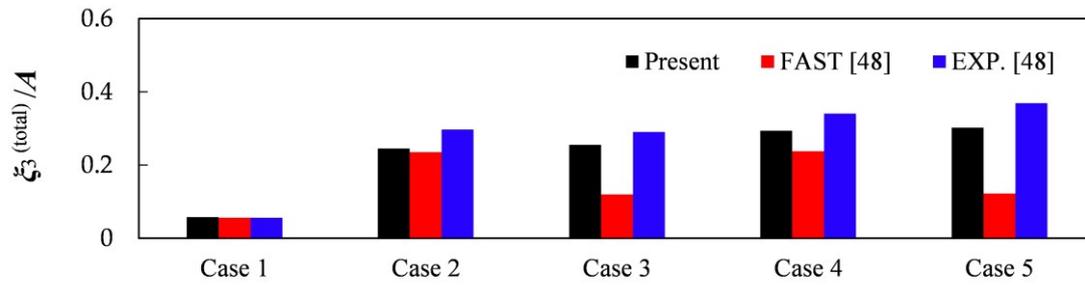
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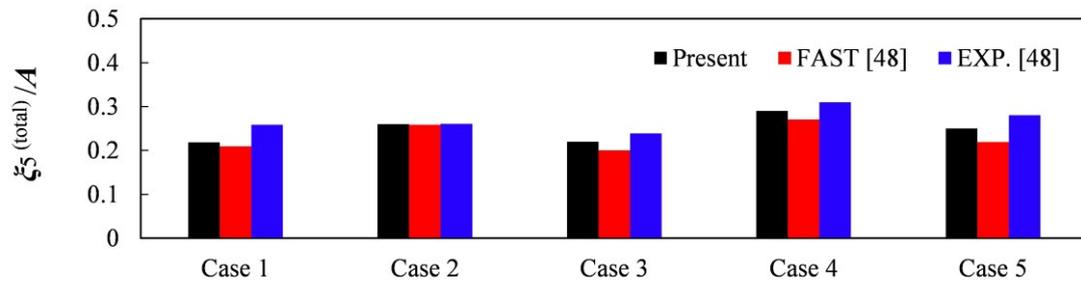
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(a)



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371
372

(b)



373
374

(c)

Fig. 10. Comparisons of RAOs of the platform: (a) surge RAO, (b) heave RAO, (c) pitch RAO

376

Table 2. Regular wave amplitudes and periods

	Case 1	Case 2	Case 3	Case 4	Case 5
A (m)	0.96	3.79	3.57	5.15	5.37
T (s)	7.5	12.1	14.3	12.1	14.3

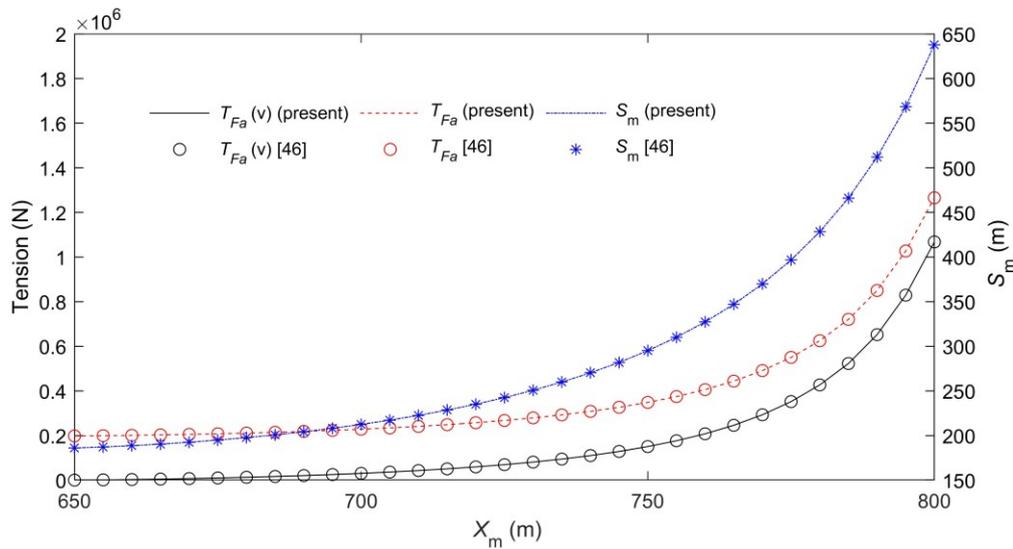
377 In addition, the motion RAOs of the semisubmersible platform to regular waves in the ab-
378 sence of wind is investigated. Five different regular waves are considered based on Coulling et
379 al. [48]. The amplitudes and periods are given in Table 2. All waves propagate in the positive
380 x -direction.

381 The present results and the experiment results are nearly in accordance. It is noted that the
382 heave response of the platform simulated in the current model is in better agreement with the
383 experimental data in Fig. 10. The FAST simulation slightly underpredicts the heave response
384 in almost all cases. The discrepancy is likely a result of the over-predict damping in the case of
385 large amplitude heave scenarios [48].

386 **3.2 Mooring system**

387 The load-displacement relationship of an individual mooring line is given by the mooring
 388 system model. The quasi-static response of a separate mooring line as a function of the hori-
 389 zontal displacement from the fairlead to the anchor is shown in Fig. 11. In the OC4 design, the
 390 vertical distance between the fairlead and the anchor is fixed at 186 m. For detail, the readers
 391 are referred to Section 2.4 for the physical variables in Fig. 11. It is found that the differences
 392 between the results obtained from the present simulations and those calculated by NREL [46]
 393 are negligible.

394 -



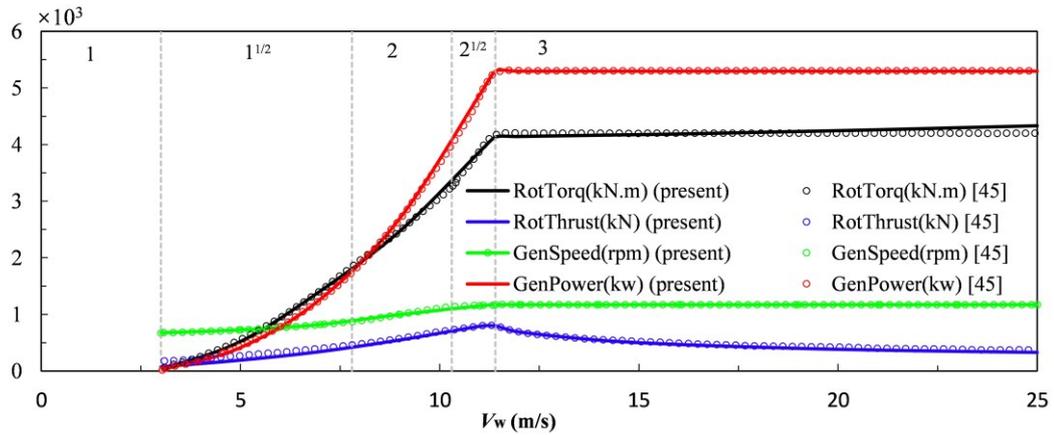
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396

397 **Fig. 11.** Load-displacement relationships of a separate mooring line

398 **3.3 Wind turbine**

399 The simulations of the rotor are conducted at various uniform wind speeds from the cut-in
 400 (3m/s) to the rated wind speed (25m/s). The simulation results under each wind speed are rec-
 401 orded for enough long time to ensure that all initial transient behaviour has faded out. Fig. 12
 402 displays the steady-state response of the 5-MW wind turbine. There are five control regions: 1,
 403 1½, 2, 2½, and 3.



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Fig. 12. Steady-state responses of the rotor

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Region 1 is the control region before cutting in the wind speed, during which the generator torque is 0 and no power is obtained from the wind; in this process, the wind is used to accelerate the rotor before running the rotor. Region 1 $\frac{1}{2}$ is a linear transition region between Region 1 and Region 2, applied to limit the lower operating generator speed. In Region 2, an optimum-mode variable-speed controller is used. Region 2 $\frac{1}{2}$ is the linear transition between Region 2 and Region 3, and the torque slope equals the inducer slope. At rated power, Region 2 is usually required $\frac{1}{2}$ to limit tip speed. The total pitch angle command of the full-span rotor blade is calculated in Region 3, and the speed error between the filtered generator speed and the rated generator speed is controlled by the gain preset proportional-integral (PI) [45]. For the above-rated wind speed conditions, variable blade-pitch configuration [43] takes over in Region 3. In this model, the blade pitch control system applied a simple single DoF model of the angular rotation of the shaft to adjust the generator speed and decrease the thrust on the turbine further. As shown in Figure 12, the characteristics of the rotor change a lot when the blade pitch control method is adopted. Meanwhile, the current results are almost consistent with the NREL study [46].

421

3.4 Fully coupled aero-hydrodynamic simulation

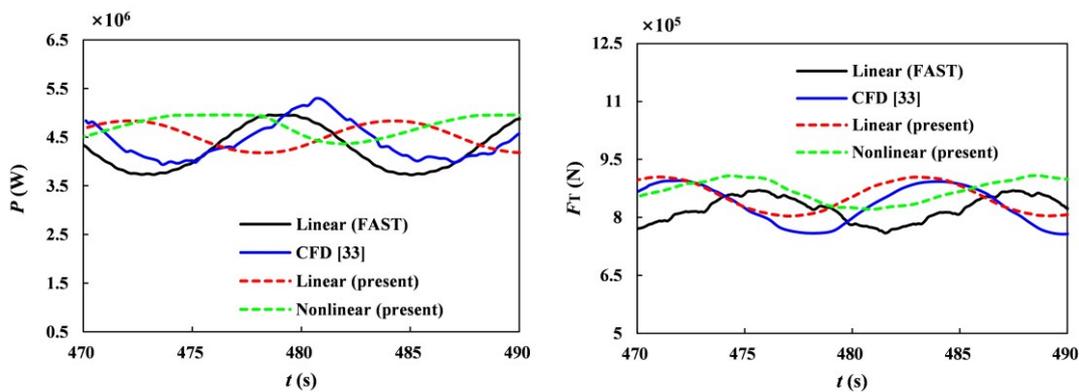
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The fully coupled model is validated by the published numerical data [33] through the case study of a 5 MW wind turbine supported by the DeepCwind semisubmersible platform. The

424 fully coupled aero-hydrodynamic simulation for the full-scale DeepCWind OC4 model is con-
 425 ducted under steady wind and regular wave conditions. In this case, the wind speed (V), the
 426 wave amplitude (A), and the wave period (T) are assumed to be 11 m/s, 3.79 m, and 12.1 s,
 427 respectively. Because the published CFD data is conducted without PI control, PI control is also
 428 not adopted in this simulation. Besides, some assumptions are made in all of the subsequent
 429 computations to perform consistent and objective validations: (1) the ignored effect on struc-
 430 tural deformation, (2) the constant rotating speed of the blade and the blade pitch angle, and (3)
 431 quadratic viscous damping for simplifying the calculation of slender members.

432 Fig.13 compares the rotor thrust force and the aerodynamic power. It is found that no matter
 433 which method is used, the rotor thrust force varies from 780 kN to 950 kN with an average
 434 value of 865 kN. The aerodynamic power ranges from 5.5 MW to 3.5 MW, with an average
 435 value of 4.5 MW. Note that the phases are quite different due to the variation of the view wind
 436 speed changed by the platform motion, as explained in Fig.15.



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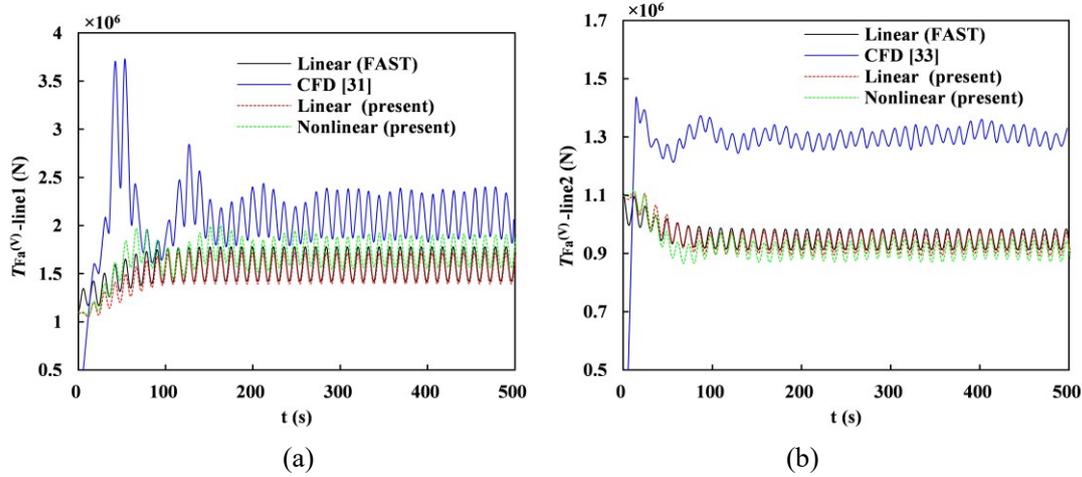
(a)

(b)

439 **Fig.13.** Validation of the aerodynamic module: (a) rotor thrust and (b) aerodynamic power

440 Fig. 14 compares the mooring line tensions of Line 1 (at lee side) and Line 2 (at weather
 441 side). The average values of the CFD results are higher than the others, probably because the
 442 CFD results use a different initial mooring tension. It is found that the tension of Line 2 attached
 443 to the weather side of the platform is more likely to be influenced than Line 1 attached to the

444 lee side. Besides, involving the second-order wave loads slightly increases the average value of
 445 the lee-side line but reduces that of the weather-side line.



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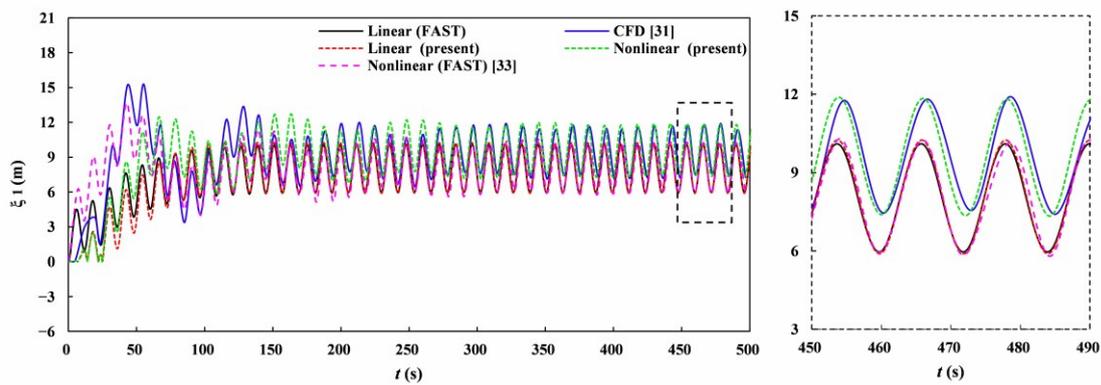
448 **Fig.14.** Validation of the mooring system module: the line tensions in (a) Line 1, (b) Line 2.

449 Fig.15 validates the platform motion responses in a combined wind and wave condition.
 450 Due to the symmetry of the platform and the external loads, the responses in sway, roll, and
 451 yaw are not shown here since they are negligible. In general, the results from all the methods
 452 have similar trends in the three primary DoFs. Nevertheless, there are some noticeable differ-
 453 ences. In surge, as given in Fig.15(a), although the motion amplitudes (ranging from 2.06 m to
 454 2.21 m, see Table 3) and the phases ($T/12$) are almost the same, the mean positions of the plat-
 455 form calculated by the CFD method [33] and the nonlinear time-domain method are further
 456 away from those calculated by FAST (linear and nonlinear) or the present linear time-domain
 457 method. Besides, the nonlinear results [33] calculated by FAST and the nonlinear results in the
 458 present model differ greatly, which indicates that using the QTF based on the zero response
 459 assumption in the indirect time-domain method is not accurate enough for a moving floating
 460 structure. A direct time-domain method is necessary to model a moving floating wind turbine
 461 in nonlinear wave conditions. In heave, as given in Fig.15(b), the mean displacements and the
 462 phases of the platform are nearly identical except for the motion amplitude. The motion ampli-
 463 tude calculated by the CFD method is smaller than the others because it considers viscous
 464 damping more rigorously, while the others use empirical values. In pitch, as given in Fig.15(c),

465 the mean displacements and the motion amplitudes are similar. However, there are small shifts
 466 in the phases due to the accumulation of slight phase differences from the initial moment. A
 467 buffering function is employed in the present method to suppress the large instantaneous motion
 468 at the initial moment.

469 **Table. 3.** Statistics of the steady-state platform surge response (unit: m)

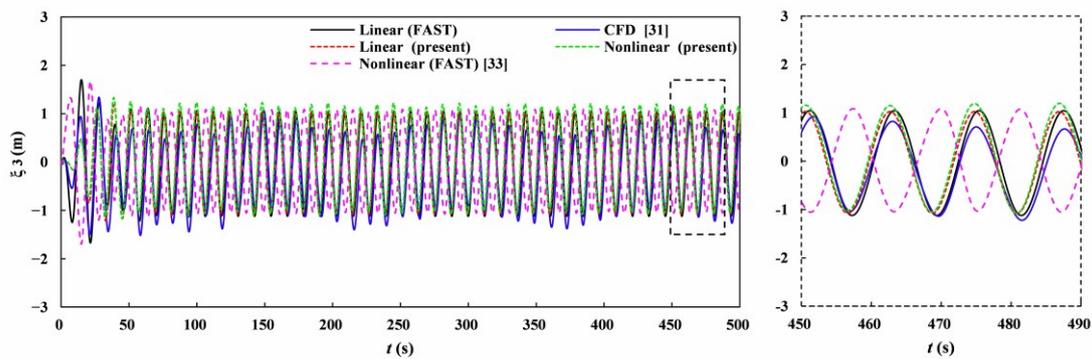
Method	Max	Min	Mean	Amplitude
Linear (FAST)	10.2474	5.8895	8.0684	2.1789
Linear (present)	10.2700	5.8970	8.0835	2.1865
CFD [33]	11.3842	7.2632	9.3237	2.0605
Nonlinear (present)	11.9050	7.4786	9.6918	2.2132
Nonlinear (FAST) [33]	10.2560	5.7473	8.00165	2.25135



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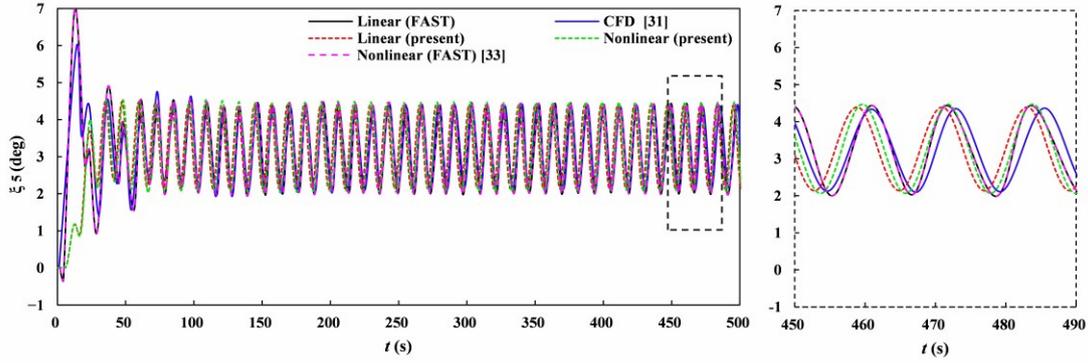
(a)



472

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(b)



474

475

(c)

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Fig.15. Validation of the coupled dynamic module: the platform motion responses in (a) surge, (b)

477

heave, and (c) pitch

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4. Coupled and nonlinear effects on FOWT

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To investigate the coupled nonlinear effects on FOWT, three cases are compared under the action of linear or nonlinear wave force in this section, (1) a 5 MW NREL baseline wind turbine atop a moored OC4 DeepCwind semisubmersible platform, (2) a moored bare DeepCwind platform, and (3) a freely-floating bare DeepCwind platform. These cases hereafter are referred to as LC1, LC2, and LC3, respectively.

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Table. 4. Configuration for each case

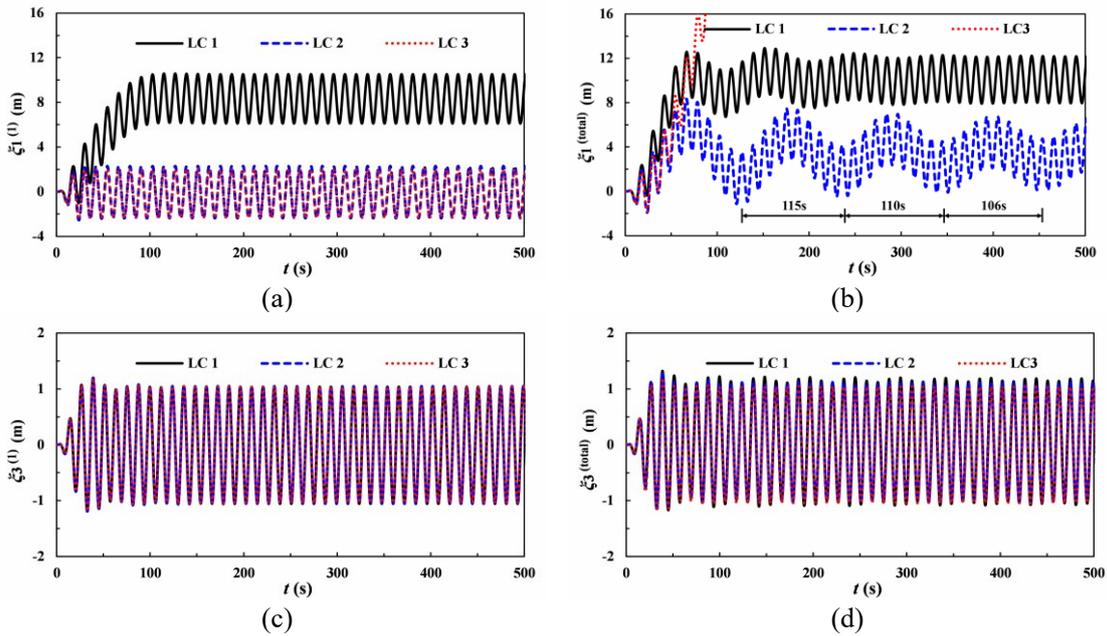
Load case	Wind condition	Wave condition	Mooring system condition
LC1	uniform wind $V= 11$ m/s	regular wave $A= 3.79$ m; $T= 12.1$ s	three mooring lines
LC2	without wind	regular wave $A= 3.79$ m; $T= 12.1$ s	three mooring lines
LC3	without wind	regular wave $A= 3.79$ m; $T= 12.1$ s	freely-floating, no mooring

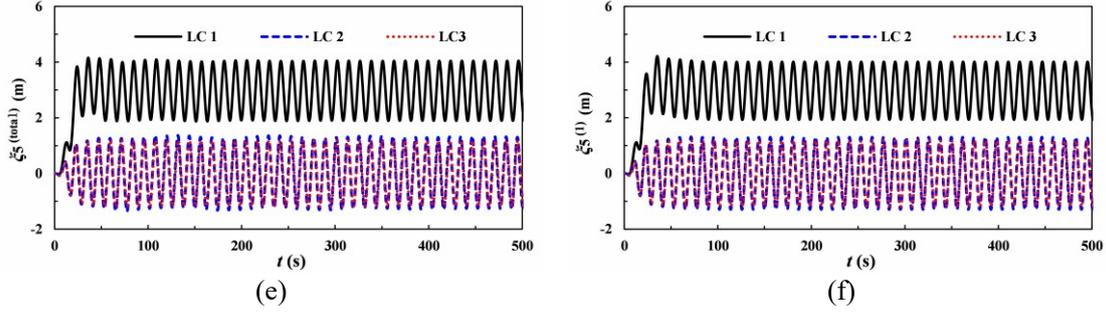
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Fig.16 depicts the platform motion responses to different load conditions. It is found that the mean displacements of surge and pitch motions in LC1 are significantly larger than LC2

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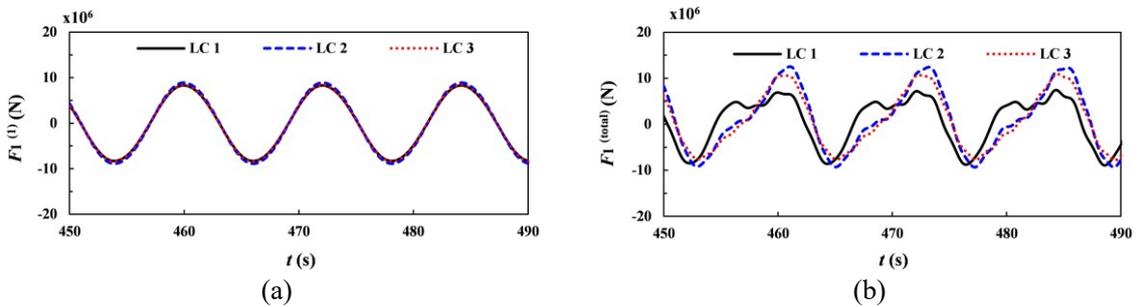
487 and LC3 due to the additional aerodynamic thrust on the wind turbine. In contrast, the heave
488 motion is not affected by the aerodynamic thrust. It is found that, under the action of the non-
489 linear wave force up to the second-order, the platform surge response can be decomposed into
490 two parts, i.e., a wave-frequency sinusoidal motion and a low-frequency motion. The wave-
491 frequency motion has the same period as the linear incident wave, indicating that it is mainly
492 caused by the linear wave force. Besides, compared to Figure 16(a), Figure 16(b) exhibits a
493 slow drift motion whose period is close to the platform's surge natural period as given in Table
494 2, implying that the existence of the second-order wave force excites the low-frequency motion.
495 Without the restraining from the mooring system, the platform gradually drifts away in LC3
496 due to the wave drift loads in Fig.16(b). In the presence of mooring, although the amplitude of
497 the linear motion at the wave frequency does not change, the amplitude of the slow drift motion
498 decreases with time in LC1 and LC2. Moreover, the amplitude and the phase of the slow drift
499 motion are less in LC1 than in LC2 since the drift displacement of the platform induced by the
500 wind force in LC1 further increases the mooring stiffness [46].

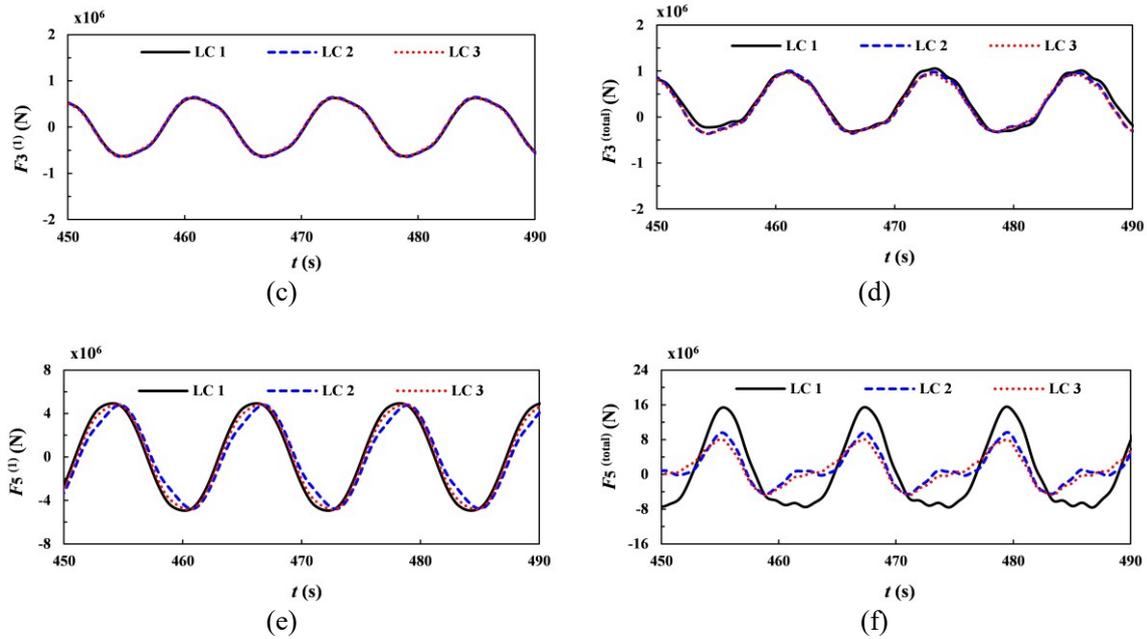




501 **Fig.16.** Platform motion responses to different load conditions: (a) surge response to linear wave force,
 502 (b) surge response to nonlinear wave force, (c) heave response to linear wave force, (d) heave response
 503 to nonlinear wave force, (e) pitch response to linear wave force, and (f) pitch response to nonlinear wave
 504 force.

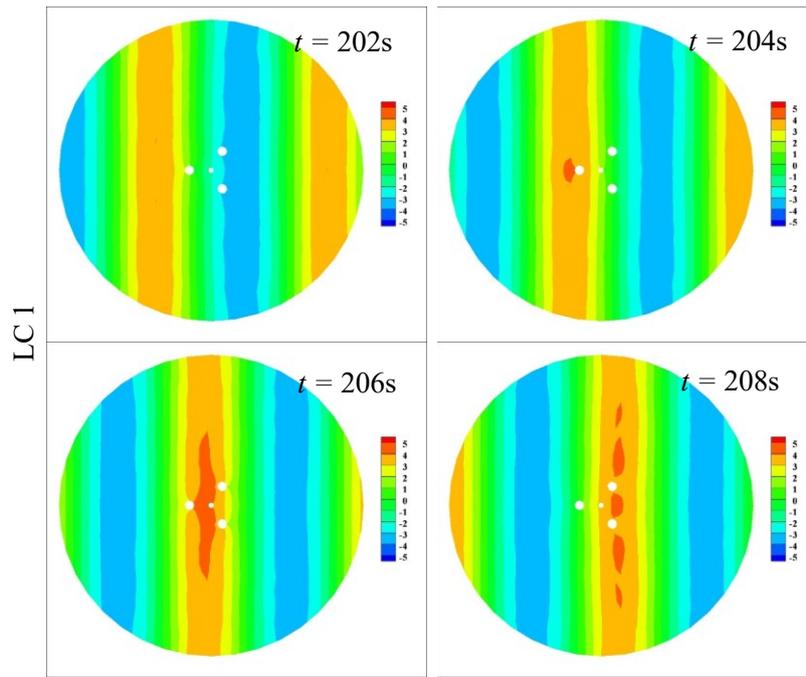
505 Fig. 17 shows the linear and nonlinear wave forces on the platform. The linear components
 506 are generally the same in all cases. However, there is a significant discrepancy considering the
 507 nonlinearity. The total wave force along the x -axis, $F_1^{(total)}$, exhibits an apparent secondary load
 508 cycle [53] in LC1, and the maximum wave force in LC1 is less than LC2 and LC3. The total
 509 wave moment with respect to the y -axis, $F_5^{(total)}$, which also exhibits a secondary load cycle in
 510 LC1, has a larger peak value in LC1 than in the other two cases. The reason is that the aerody-
 511 namic thrust in LC1 enlarges the platform pitch motion and, associatively, the surge motion,
 512 which significantly alters the wave force on the platform. Moreover, the results indicate that
 513 the coupled motion does not influence the linear wave force much, but rather the nonlinear
 514 wave force.





515 **Fig.17.** Comparison of (a) linear wave force along the x -axis, (b) nonlinear wave force along the x -axis,
 516 (c) linear wave force along the z -axis, (d) nonlinear wave force along the z -axis, (e) linear wave moment
 517 with respect to the y -axis, and (f) nonlinear wave moment with respect to the y -axis

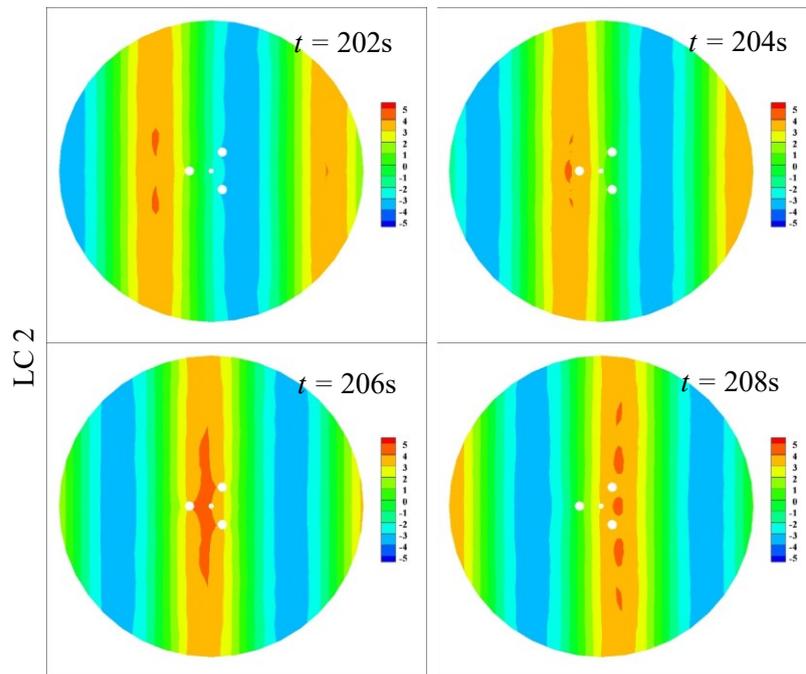
518 As explained in Eq. (11), wave forces upon a floating structure are directly influenced by
 519 the wave runups. A further comparison is thus made on the surrounding wave elevation. Fig.18
 520 provides instantaneous plots of waves passing through the platform, where the platform is set
 521 to be motion-fixed in LC3. The time increment between successive images is $\Delta t = 2$ s. A group
 522 of 4 plots in each case corresponds to approximately a time period of $T/2$. The incident waves
 523 propagate rightwards from the left side of each plot. With the arrival of a wave crest, the water
 524 is driven up to the weather-side surface of the left column. A notable parenthesis-shaped water
 525 mound occurs in the middle of the platform immediately following the passage of the wave
 526 crest. Then, the waves propagate in the downstream direction, and the wave trough creates a
 527 similar effect on the lee-side surface of the columns.



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(a)

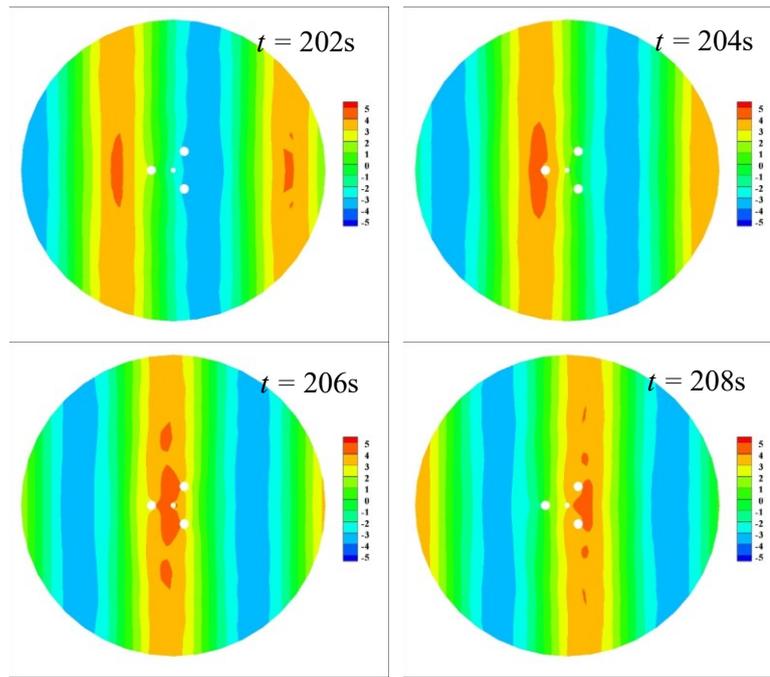
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(b)

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(c)

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Fig.18. Wave elevation in (a) LC 1, (b) LC 2, (c) LC 3 (fixed).

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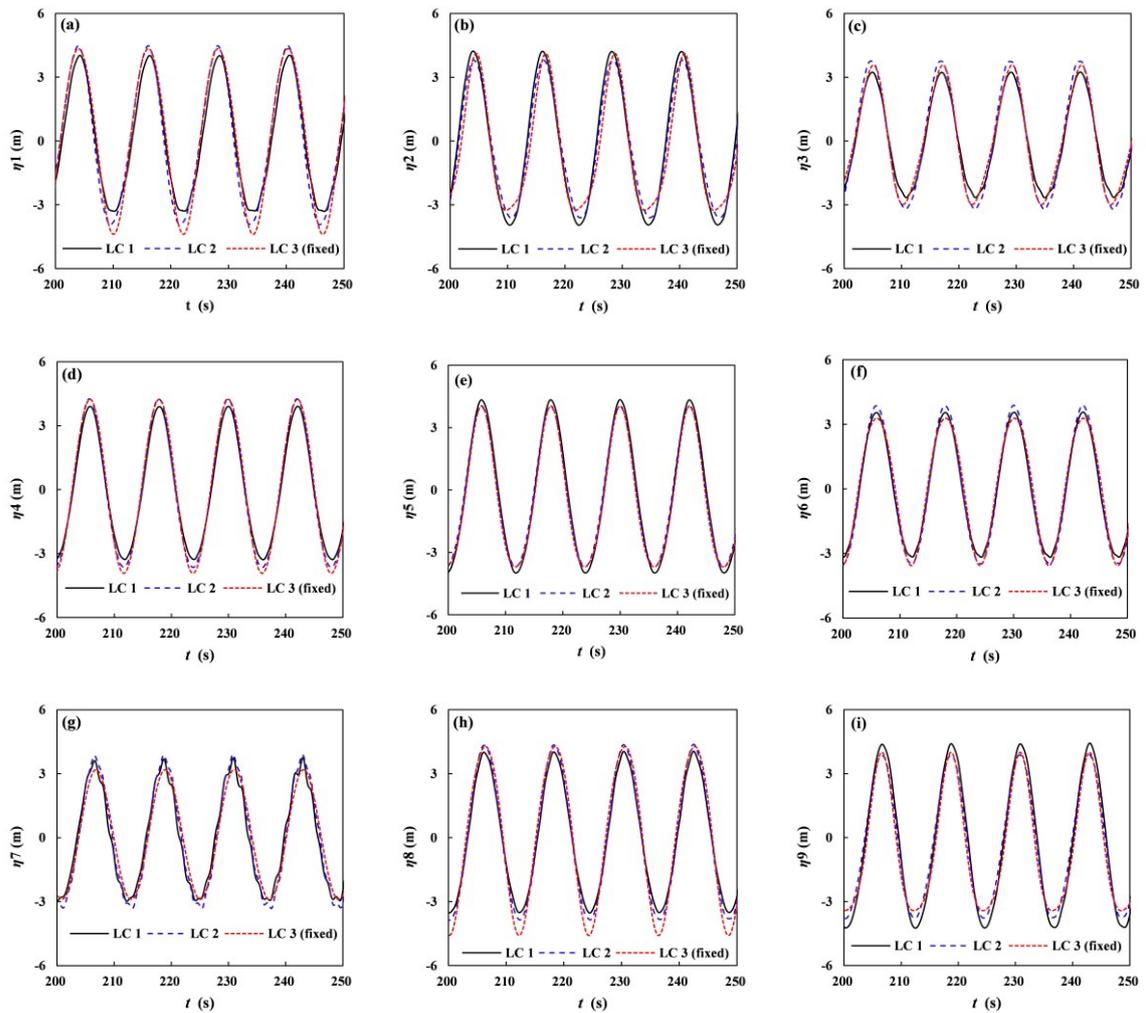
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Wave elevations at some critical locations around the platform, P_i , are further plotted in Fig. 19. The spatial coordinates of P_i are listed in Table 5. $P1\sim3$, $P4\sim6$ and $P7\sim9$ are set around the left-hand side column SC1, the central column CC1, and the upper right-hand side column SC2 (see Fig.6 for the layout), respectively. When the wave passes through SC1 and CC1, the maximum wave elevation exists on the shoulder of the column ($P2$ & $P5$) in LC1 and on the weather side of the column ($P1$ & $P4$) in LC2 and LC3. On the contrary, when the wave passes through SC2, the maximum wave elevation exists on the shoulder of SC2 ($P8$) in LC1 and on the lee side of SC1 ($P9$) in LC2 and LC3. To explain the fluid mechanism clearly, the platform is set to be motion-fixed in LC3. It is found that the wave elevations are all different in the three load cases, which means that the wave elevation depends on the motion of the platform. Therefore, there is a strong interaction effect between the wave field and the structure motion. The structure motion may change the wave field in real time, which can not be taken into account in the indirect time-domain method. For this reason, a direct time-domain method is necessary for understanding the motion response of a fully coupled FOWT in nonlinear waves.

Table 5. The coordinates of the points

Axis	P1	P2	P3	P4	P5	P6	P7	P8	P9
x	-34.87	-28.87	-22.87	-3.25	0.00	3.25	20.44	14.44	8.44
y	0.00	5.85	0.00	0.00	3.25	0.00	25.00	19.00	25.00



550 **Fig.19.** Wave elevation at some points around the platform surface: (a) P1, (b) P2, (c) P3, (d) P4, (e)

551 P5, (f) P6, (g) P7, (h) P8, and (i) P9

552 **5. Conclusion**

553 In this study, a direct time-domain method is proposed to calculate the wave force acting
554 on the floating foundation of a floating wind turbine in nonlinear waves. In the numerical
555 method, the body surface and the free water surface are discretised by quadratic elements. The
556 direct time-domain method is then incorporated with the blade element method and the catenary
557 theory to model a full FOWT configuration consisting of a wind turbine atop a floating platform
558 restrained by mooring lines. Each part of the coupled model is validated against experimental
559 or numerical results published in the literature. These validations include (1) linear and nonlin-
560 ear wave excitation loads, (2) free-decay motion, (3) load-displacement relationship, and (4)
561 steady-state responses of the wind turbine rotor. After that, validation is conducted on the fully
562 coupled aerodynamic-hydrodynamic model against FAST and CFD, justifying the accuracy and
563 effectiveness of the present method. These results illustrate that the traditional indirect time-
564 domain method using the QTF based on the zero-response assumption cannot appropriately
565 evaluate the nonlinear wave force on a FOWT in coupled motions.

566 Furthermore, the coupled nonlinear effect on the OC4 DeepCwind semisubmersible FOWT
567 in the combined wind and wave conditions is investigated. It is found that the nonlinear wave
568 force mainly influences the surge motion, leading to a wave-frequency sinusoidal motion driven
569 by the linear wave force and a low-frequency slow drift motion driven by the wave drift loads.
570 Further analysis of the wave field and the maximum wave elevation distribution around the
571 platform shows that the FOWT motion is vital. For this reason, a direct time-domain method is
572 necessary for understanding the motion response of a fully coupled FOWT in nonlinear waves.

573 **Acknowledgement**

574 The corresponding author greatly thanks the financial support from National Natural Science
575 Foundation of China (Grant No. U22A20242) and Liaoning Revitalization Talents Program
576 (Grant No. XLYC2002033)

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