

# Preliminary design and dynamic analysis of constant tension mooring system on a 15 MW semi-submersible wind turbine for extreme conditions in shallow water

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1 **Preliminary design and dynamic analysis of constant tension mooring**  
2 **system on a 15MW semi-submersible wind turbine for extreme con-**  
3 **ditions in shallow water**

4

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9

10 **Abstract**

11 Floating offshore wind in China faces the challenge of shallow water depths and frequent typhoons,  
12 which are very different from the environmental conditions in European waters. The performance  
13 of the mooring system directly affects the overall cost of the floating offshore wind turbine and its  
14 survivability in extreme conditions. Under extreme conditions in shallow water, line dragging or  
15 breaking can frequently occur when the floating platform restrained by a conventional chain cate-  
16 nary mooring system drifts with waves. A constant tension mooring system concept is proposed to  
17 solve the design challenges of shallow water mooring systems in harsh conditions for floating wind  
18 turbines. The newly proposed mooring system consists of clump weight and winch wires, which  
19 release kinetic energy by increasing the platform's moving distance and ensuring that the instanta-  
20 neous maximum mooring force never exceeds the breaking strength of the chain. Based on the time-

21 domain potential flow theory, the coupling dynamic response of the UMaine VoltturnUS-S semi-  
22 submersible reference wind turbine moored by a constant tension mooring system is studied. The  
23 mooring line tension and the platform motion are analysed. By comparing with the traditional cate-  
24 nary mooring system, it is found that the constant tension mooring system can significantly reduce  
25 the length of the mooring line used and the maximum mooring line tension. It completely prevents  
26 the mooring chain from being tightened and the turbine from being damaged by excessive mooring  
27 loads, effectively improving the survivability of a floating offshore wind turbine in extreme condi-  
28 tions in shallow water areas.

29  
30 **Keywords: floating offshore wind turbine, extreme condition, shallow water, constant ten-**  
31 **sion mooring system**

## 32 **1 Introduction**

33 The floating offshore wind industry has made significant progress since the first MW-scale float-  
34 ing offshore wind turbine (FOWT) was grid-connected in Norway in 2009. Following a decade of  
35 testing, first in Europe and then Asia, the floating wind has passed the demonstration stage and  
36 entered the pre-commercial phase. As of 2022, the UK, Portugal, Japan, Norway, and China are the  
37 top five markets in total floating wind installations (Global Wind Energy Council, 2022). The com-  
38 missioning of FOWT prototypes has accelerated significantly in recent years. Europe and East Asia  
39 are the central regions for FOWT prototype validation. In Europe, the water depth can reach more  
40 than 70 m at 20 km offshore. In Asia, the water depth conditions in Japan and South Korea are more  
41 suitable for floating offshore wind, while most Chinese nearshore waters are at a depth of 35 m to

42 50 m. Typhoons and earthquakes are indeed one of the reasons for considering a floating type over  
43 a bottom fixed type in such water depth conditions.

44 Furthermore, another significant advantage is that floating foundations can be adapted to the soft  
45 seabed. Building fixed and floating turbines in this water depth range is complex, a unique problem  
46 for the Chinese offshore wind industry. For instance, the first phase (2026-2031), with a target of 9  
47 GW in Taiwan Province's round 3 wind procurement program, will prioritise projects at a water  
48 depth of less than 50 m. Moreover, the frequent occurrence of typhoons in the South and East China  
49 Seas is another significant difference between Chinese and European waters. The survivability of  
50 FOWT in extreme environmental conditions has become a considerable challenge.

51 In shallow water, due to the short suspension length, the catenary effect of the mooring chain is  
52 weak (Faltinsen, 1993). Shallow water mooring is more likely than deep water mooring to lose  
53 catenary shape and be stretched into a straight line, resulting in the potential for upward vertical  
54 force on the anchor. A long laid-down catenary mooring system in shallow water usually requires a  
55 large footprint. Besides, the difficulties of shallow water mooring also come from the strong non-  
56 linearity of external load and dynamic characteristics of the mooring system. The strong nonlinearity  
57 of the external load is manifested mainly in the wave load, where the shallow water waves will be  
58 deformed or even broken due to the friction and reflection of the seafloor, which has prominent  
59 nonlinear characteristics. In short, the mooring equipment in shallow water has strong nonlinear  
60 attributes due to the limitation of water depth for arrangement. By increasing the anchor radius and  
61 the unit density of a mooring line, the suspension length and the line weight in water increase to  
62 allow a sufficient restoring force when a FOWT is offset. However, this method requires the use of  
63 longer and heavier mooring chains, which are costly to manufacture. In addition, the low-frequency

64 and wave-frequency components of the FOWT platform motion in operation and parked status are  
65 clearly differentiated, which is also an essential basis for mooring stiffness optimisation.

66 First, a systematic comparative study of the characteristics of FOWT's mooring system in differ-  
67 ent water depths has been conducted. For the 5 MW OC4 DeepCwind FOWT, Huang and Yang  
68 (2021) considered three nominal sizes of studless mooring chain links with diameters of 95 mm,  
69 115 mm and 135 mm and analysed five mooring designs for different water depths (50 m, 60 m, 70  
70 m, 80 m, 100 m). Considering several sea states, long-term predictions for breaking strength, fatigue  
71 and stability are performed. The results show that the cost of the mooring system is lowest when the  
72 water depth is in the range of 60 m to 80 m. Such studies summarise the differences in the design  
73 of shallow and deep-water mooring systems.

74 Numerous studies have shown that a reasonable arrangement of clump weight or buoy is an eco-  
75 nomical and effective solution, which has been one of the focuses of mooring system design and  
76 optimisation in recent years. The study by Zhang et al. (2022) showed that the hydrodynamic char-  
77 acteristics of mooring accessories and their combination with mooring lines would significantly  
78 change the overall dynamic features of the FOWT and affect the safety and cost of the system. Fan  
79 et al. (2022) formed a flexible mooring system with load buffering characteristics by arranging  
80 buoys and weight at intervals on the mooring line to have a designable horizontal stiffness. The  
81 system's natural frequency is far from the wave frequency, significantly reducing the dynamic loads  
82 and the entire mooring system cost. Suzuki (2015) investigated the effect of water depth, weight  
83 and position of clump weight on the mooring spring constant under large drift force. It was found  
84 that optimal parameters exist to minimise the mooring spring constant. A mooring system with  
85 clump weight is desirable to restrain the motion of FOWT and minimise the mooring spring constant.

86 A shared anchor system may be a viable option and has been shown to reduce loads on the anchor.  
87 Pillai et al. (2022) evaluated single and shared anchor loads for different mooring configurations of  
88 the IEA 15 MW turbine and VoltturnUS-S reference platform. The simulations highlighted that in-  
89 creasing the mooring footprint in shallow waters can reduce loads by up to 56%, and peak loads can  
90 be reduced by 67% through anchor sharing. Anchor sharing also significantly alters the directional-  
91 ity of the applied loads.

92 A slanted taut mooring solution can be more expensive but suitable for shallow water environ-  
93 nments, and there is a lot of research related to this. Xu et al. (2021) compared seven mooring con-  
94 cepts, including catenary and taut mooring, in designing a 5 MW semi-submersible floating wind  
95 turbine at 50 m water depth. The concepts are composed of different mooring line materials, moor-  
96 ing components and anchors. The nonlinear tension-dependent stiffness of synthetic fibre rope is  
97 described with an improved numerical model based on experimental data. Performances of the  
98 seven mooring concepts are compared concerning mooring line characteristics, motion response  
99 amplitude operator, utilisation factor considering the ultimate limit state design and cost, etc. Hole  
100 (2018) analysed chain mooring systems following the catenary equations and taut mooring systems  
101 compiled from polyester ropes. It is found that to moor a floating wind turbine in 70 m depth water,  
102 a significant reduction in chain axial stiffness is necessary to meet the requirements for the ultimate  
103 limit state (ULS). McEvoy et al. (2021) presented an innovative fibre spring mooring solution for  
104 FOWT in shallow water sites, which combines a high modulus, non-stretch, lightweight rope with  
105 a compliant nonlinear polymer spring. It offers a complete semi-taut mooring system that can be  
106 connected directly between the platform and the seabed. Arnal et al. (2018) designed and compared  
107 conventional catenary mooring chain systems with taut synthetic fibre mooring systems at 65 m

108 water depth. The results showed that taut mooring configurations appear efficient when considering  
109 capital expenditure (CAPEX), environmental impact, and station-keeping performance.

110 Due to the water depth limitation, a taut mooring system requires a high stiffness of the mooring  
111 line and a demanding installation environment. Hence it is only suitable for floating structures with  
112 a small displacement. The existing shallow water mooring technology is challenging to balance the  
113 mooring effectiveness and the construction cost simultaneously.

114 In this study, to resolve the above defects of catenary and taut mooring systems, a constant tension  
115 mooring system (CTMS) with clump weight is designed and investigated for FOWT under extreme  
116 environmental conditions in shallow water. The newly proposed CTMS can release kinetic energy  
117 by increasing the moving distance of the platform to ensure that the instantaneous maximum moor-  
118 ing force never exceeds the breaking strength of the chain. The VoltturnUS-S steel semi-submersible  
119 platform developed by the University of Maine (UMaine) was designed to support the IEA-15-240-  
120 RWT and comprises a four-column, three-radial, and one central. The system has an assumed de-  
121 ployment depth of 200 m and is held onto the station by a three-line chain catenary mooring system.  
122 Based on this semi-submersible FOWT, this work studies the performance of a constant tension  
123 mooring system in extreme sea conditions at 50 m water depth and compares it with a conventional  
124 catenary mooring system at 50 m and 200 m water depth.

## 125 **2 Design and case study of the constant tension mooring system**

126 A floating platform is mainly subject to wind, current, and wave forces, where wind force, current  
127 force and the steady component of wave drift force can be assumed as a constant force on the plat-  
128 form when analysing the mooring system loads (Sigbjørnson, 1977), which can only be resisted and

129 not relieved by the mooring system when the shape of the floating platform is determined. The water  
130 particles in a wave move in an elliptical trajectory around the centre of the wave, and the floating  
131 platform follows the wave in its oscillatory motion. Different floating bodies have different ampli-  
132 tudes under wave action, but the basic characteristics of their motion are similar. Under the action  
133 of first-order wave frequency force, although the floating platform will follow the wave movement  
134 when viewed from a complete wave cycle, the floating platform will return to its original position.  
135 It can be found that the mooring system is difficult to resist the rapid increase of the first-order wave  
136 force. When the catenary effect or elasticity of the mooring line is exhausted, the kinetic energy can  
137 only be dissipated by the plastic deformation of the material itself, and the mooring chain will then  
138 be permanently damaged. Therefore, the kinetic energy can be released by increasing the movement  
139 distance of the floating platform. An effective mooring line release scheme can be created to keep  
140 the line in constant tension under severe sea conditions such as typhoons, thus ensuring the safety  
141 of the FOWT system.

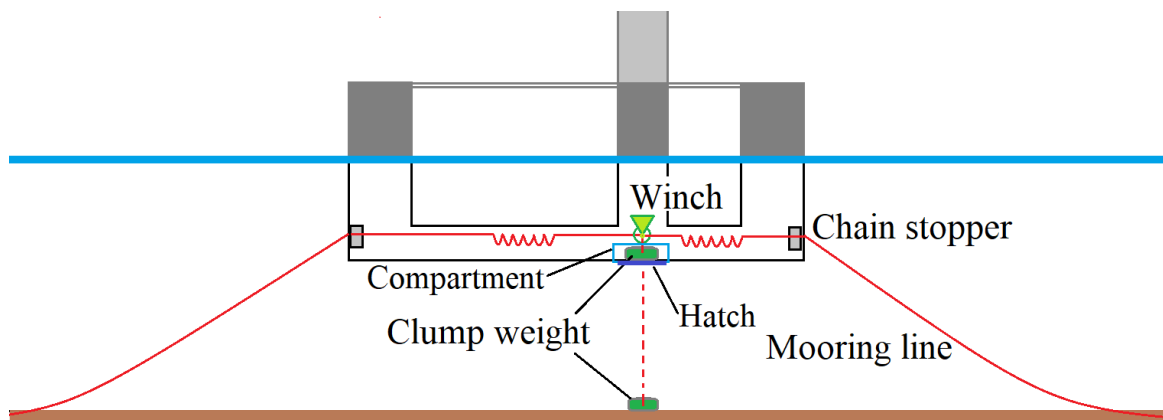
## 142 **2.1 Design Parameters**

143 As shown in Figure 1, the constant tension mooring system on the floating platform consists of  
144 traction wire ropes, a compartment for the clump weight to be placed, and chain stoppers to control  
145 the release of the clump weight. One end of the traction wire rope is connected to the mooring chain  
146 through the winch roller, and the other is connected to the clump weight. During normal operational  
147 conditions, the traction ropes and the clump weight are stored in the storage compartment, and the  
148 system achieves normal mooring function. In extreme conditions, the chain stopper releases the  
149 mooring chain, and the clump weight sinks to the bottom of the sea. Meanwhile, the tension loads

150 are relieved by the traction ropes. Additionally, this study does not consider the friction between the  
151 mooring chain and the platform.

152 During normal operational conditions, the constant tension mooring system works like the con-  
153 ventional catenary mooring system. In extreme sea conditions, under the action of a typhoon wave,  
154 the mooring tension gradually increases when the floating platform drastically pulls the mooring  
155 line to move with the wave. After the fairlead tension reaches the preset value, the mooring system  
156 automatically releases the clump weight stored on the platform, allowing the floating platform to  
157 move with the wave under a constant mooring force. After half a cycle of wave movement, the  
158 floating platform follows the wave and moves backwards. The mooring line changes from a ten-  
159 sioned state to a relaxed state, and the mooring tension becomes smaller. When the fairlead tension  
160 is less than the preset value, the mooring system automatically takes back part of the mooring line  
161 under the gravity of the clump weight so that the mooring line becomes shorter and maintains con-  
162 stant tension. In a nutshell, the wave oscillates back and forth, the mooring system is released and  
163 retracted at times, and the constant tension is always maintained.

164



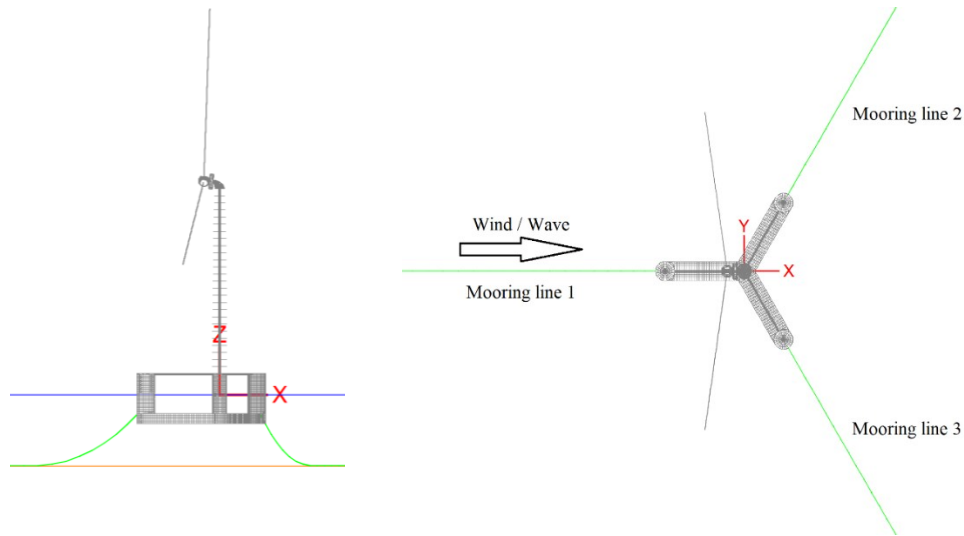
165

166 **Figure 1.** The layout of the constant tension mooring system

167

168 The general properties of the UMaine VoltturnUS-S semi-submersible platform (Figure 2) are  
169 provided in Table 1 (Gaertner et al., 2020). The system's dimension describes the volume encom-  
170 passed by the complete structure. The length and width define the distance of the system's outermost  
171 points along the  $x$ -axis and  $y$ -axis, respectively. The height determines the distance from the keel to  
172 the top of the rotor diameter along the  $z$ -axis. The UMaine VoltturnUS-S mooring system configura-  
173 tion consists of three chain catenary lines. Each line is connected at the fairlead to one of the plat-  
174 form's three outer columns at 14 m below the still water line (SWL). The lines span radially to  
175 anchors spaced equally at 120 degrees in the surge-sway plane. All lines use an R3 studless mooring  
176 chain with a nominal (bar) diameter of 185 mm. Minimum breaking strength (MBS) is the breaking  
177 strength guaranteed by the mooring component manufacturer. Mooring line drag and added mass  
178 coefficients in simulations were selected with reference to DNV-RP-C205 (Det Norske Veritas, 2010)  
179 and DNV-OS-E301 (Det Norske Veritas, 2010), as presented in Table 2. The size of the chain spec-  
180 ified for this design was representative of the largest mooring chain available when the original  
181 technical report was published. Because of chain size availability limitations and handling vessel  
182 capacity, fully designed mooring systems for a 15 MW floating offshore wind turbine could be quite  
183 different. Further advances in mooring system technology will likely be required to optimise a moor-  
184 ing system of this scale (Allen et al., 2020).

185



186

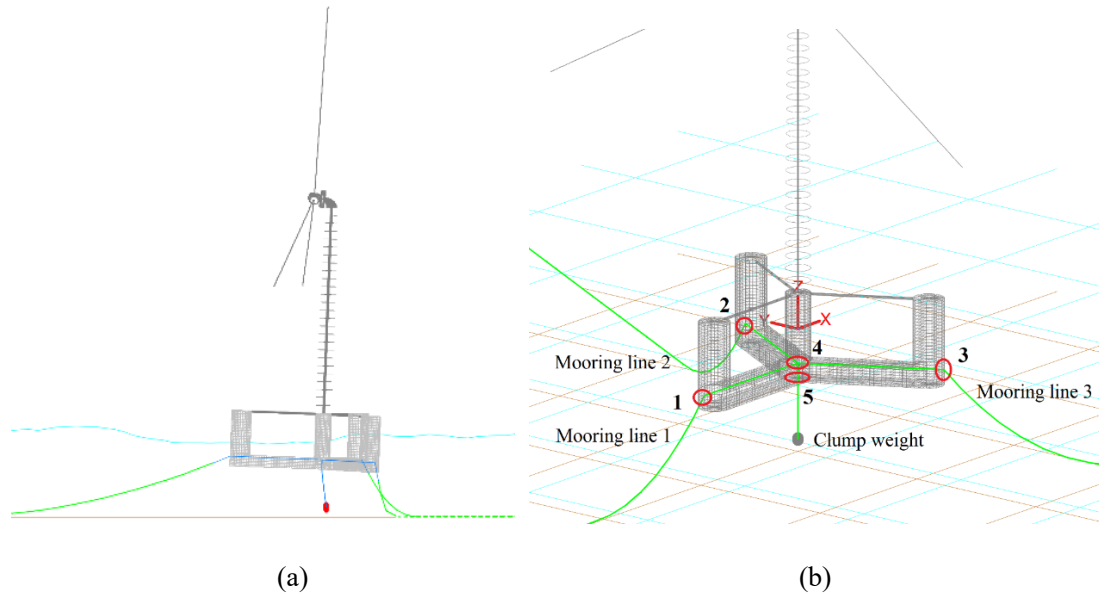
187 **Figure 2.** The IEA-15-240 RWT on the UMaine VoltturnUS-S reference platform in 50 m water depth  
 188 condition

189

190 The numerical model of the constant tension mooring system for the UMaine VoltturnUS-S semi-  
 191 submersible reference floating offshore wind turbine is shown in Figure 3. In the constant tension  
 192 mooring system, the mooring lines are connected to the clump weight through the central fairleads  
 193 so that the gravity of the clump and the mooring lines provide the system's restoring force. The  
 194 initial position of the clump weight and the fairleads relative to global axes are shown in Table 3.

195 The waterplane centroid of the semi-submersible platform is located at the global origin.

196



197

198

199 **Figure 3.** The general arrangement of the constant tension mooring system concept: (a) side view; (b)  
 200 the location of the five fairleads.

201

202 **Table 1.** Floating offshore wind turbine general system properties

Parameter	Units	Value
Dimension (length, width, height)	m	90.1, 102.1, 290.0
Cut-in, rated, cut-out wind speed	m/s	3, 10.59, 25
Hub Height	m	150
Draft, freeboard	m	20, 15
Total system mass	t	20093
Platform mass	t	17839
Platform steel mass	t	3914
Ballast mass (fixed/fluid)	t	2540/11300
Tower mass	t	1263
Rotor nacelle assembly (RNA) mass	t	991

Fairlead depth	m	14
Line minimum breaking strength (MBS)	kN	22286
Nominal chain diameter	mm	185
Dry line linear density	kg/m	685
Line axial stiffness	MN	3270

203

204 **Table 2.** Mooring line drag coefficients ( $C_d$ ) and added mass coefficients ( $C_a$ )

Normal $C_d$	Tangential $C_d$	Normal $C_a$	Tangential $C_a$
2	1.15	1	1

205

206 **Table 3.** Initial global coordinates of fairleads and clump weight

Component	X-coordinate (m)	Y-coordinate (m)	Z-coordinate (m)
Fairlead 1	58	0	-14
Fairlead 2	-29	-50.23	-14
Fairlead 3	-29	50.23	-14
Fairlead 4	0	0	-14
Fairlead 5	0	0	-20
Clump weight	0	0	-45

207

208 The configuration combination of CTMS and conventional mooring system is listed in Table 4.

209  $L$  is the mooring line length from the anchor to the fairlead on the outside of the platform in the

210 initial state, and  $m_w$  is the weight in water of the clump. In the hydrostatic condition, the weight in

211 water of the clump is shared by three mooring lines, so the pre-tension of each mooring line is about

212 one-third of  $m_w$ . According to the original design, i.e. the definition in IEA Wind TCP Task 37, the  
 213 unstretched mooring line length of a catenary system is 850 m at a water depth of 200 m. The results  
 214 of this original mooring system will be included in the comparative analysis below.

215

216 Table 4. Mooring configurations in numerical simulations

Mooring configuration	Parameter	Unit	Value
CTMS	Clump weight in water ( $m_w$ )	t	280, 300, 320, 340, 360, 380, 400
	Mooring line length ( $L$ )	m	150, 250, 350, 450, 550, 650
Conventional mooring system	Mooring line length ( $L$ )	m	150, 250, 350, 450, 550, 650, 750, 850

217

218 In order to compare the performance of CTMS with conventional catenary mooring systems, an  
 219 extreme wind speed model (EWM) of IEC design load case conditions with a 50-year return period  
 220 was selected (International Electrotechnical Commission, 2005), as shown in Table 5. The environ-  
 221 mental conditions associated with the design cases are representative of the U.S. East Coast, as  
 222 defined in Stewart et al. (2015) and Viselli et al. (2015). This extreme condition considers an aligned  
 223 wind and wave heading of  $0^\circ$  and a turbulent wind field generated according to the wind spectrum  
 224 of the Norwegian Petroleum Directorate (Norwegian Petroleum Directorate, 1995). The 1-hour  
 225 mean wind speed  $U_z$  is expressed as a function of the elevation  $z$  above the mean water level (MWL):

226

$$227 \quad U_z(z) = U_{\text{ref}} \left[ 1 + 0.0573 \sqrt{1 + 0.15 U_{\text{ref}} \ln \left( \frac{z}{10} \right)} \right] \quad (1)$$

228

229 where  $U_{ref}$  is the reference mean wind speed, namely the 1-hour mean speed at an elevation of 10  
 230 m above MWL. Under this extreme condition,  $z$  is taken as the hub height of 150 m, and the refer-  
 231 ence mean speed is 34.3 m/s, then the wind speed at hub height can be obtained. The JONSWAP  
 232 wave spectrum was used to generate irregular wave trains. In this study, the turbine has shut down,  
 233 and the blades have been pitching to an angle of  $90^\circ$ . The current velocity was not set in the simu-  
 234 lation since the IEC design load cases in the report of the University of Maine did not consider  
 235 currents.

236

237 **Table 5.** IEC 50-year return EWM load case

Hub height wind speed	Wind head- ing	Significant wave height	Peak pe- riod	Gamma shape factor	Wave heading	Turbine status
47.5 m/s	$0^\circ$	10.7 m	14.2 s	2.75	$0^\circ$	Parked

## 238 2.2 Numerical Modelling

239 A fully coupled dynamics analysis of the floating wind turbine system is performed using the  
 240 commercial software OrcaFlex 11.0a. The motion equation in the time domain is written as

241

$$242 \quad (\mathbf{m} + \mathbf{A}_\infty)\ddot{\mathbf{x}} + \mathbf{D}_1\dot{\mathbf{x}} + \mathbf{D}_2 f(\dot{\mathbf{x}}) + \mathbf{K}\mathbf{x} + \int_0^t \mathbf{h}(t - \tau)\dot{\mathbf{x}}(\tau)d\tau = \mathbf{q}(t, \mathbf{x}, \dot{\mathbf{x}}) \quad (2)$$

243

244 where  $\mathbf{m}$  is the body mass matrix;  $\mathbf{A}_\infty$  is the added mass at infinite frequency;  $\mathbf{D}_1$  is the linear damp-  
 245 ing matrix;  $\mathbf{D}_2$  is the quadratic damping matrix;  $\mathbf{K}$  is the hydrostatic stiffness matrix;  $\mathbf{q}$  is the exciting  
 246 force vector;  $\mathbf{x}$  is the position vector;  $f$  is the vector function where each element is given by

247  $f_i = \dot{x}_i |\dot{x}_i|$ ;  $h(t - \tau)$  is the retardation function (Faltinsen, 1993), which is computed by a transform  
248 of the frequency-dependent added-mass and damping.

249 The loading and response of FOWT due to surface water waves are calculated by potential flow  
250 theory. The fluid flow is assumed to be incompressible, inviscid and irrotational. The assumption is  
251 valid across a wide range of applications and can give a realistic model in most situations. The fluid  
252 velocity is given by  $\nabla\Phi$ , where the velocity potential,  $\Phi$ , satisfies Laplace's equation in the fluid  
253 domain

$$254 \quad \nabla^2\Phi(\mathbf{X},t) = 0 \quad (3)$$

255 Substituting into the Navier-Stokes equation and integrating yields the Bernoulli equation for the  
256 pressure

$$257 \quad p(\mathbf{X},t) = -\rho \left( \frac{\partial\Phi}{\partial t} + \frac{1}{2}(\nabla\Phi)^2 + gZ \right) \quad (4)$$

258 The potential-flow solution involves frequency-to-time-domain transforms. Potential-flow hydro-  
259 dynamic loads include linear hydrostatic restoring, the added mass and damping contributions from  
260 linear wave radiation, and the incident-wave excitation from first- and second-order diffraction.  
261 Frequency-dependent hydrodynamic coefficients are needed before running the time-domain poten-  
262 tial-flow solution. The diffraction analysis program Wadam (Sesam user manual, 2017) is used to  
263 generate the appropriate frequency-dependent hydrodynamic coefficients.

264 The radiation memory effect is calculated through direct time-domain convolution. Newman's  
265 approximation method (Newman, 1974) is used to calculate the wave drift loads. Newman's method  
266 requires far less data than the full quadratic transfer function (QTF) method since it only requires  
267 the diagonal QTF data that define the mean wave drift load. The Newman method is also much less  
268 computationally intensive. Hydrodynamic loads on the mooring lines and the viscous quadratic drag

269 on the semi-submersible platform are calculated by Morison's equation (Morison et al., 1950).

270 Aerodynamic loading on wind turbines is calculated using a blade element momentum (BEM)  
271 (Hansen, 2015) method adapted from AeroDyn (Jonkman et al., 2015). This theory combines mo-  
272 mentum theory and blade element theory. The general principle of the theory is that forces developed  
273 locally at the airfoil, based upon empirical lift and drag coefficients, are balanced with the change  
274 in momentum of the air flowing through the rotor disk. Each wind turbine blade is divided into blade  
275 elements. Aerodynamic airfoil coefficients (lift, drag and moment) are defined for each blade ele-  
276 ment. The BEM aerodynamic load module has certain limitations: blade cones or large deflections  
277 are not truly considered; the vortical wake structure must be preserved; the cone angle should be  
278 small.

279 The finite element method is applied to the mooring lines dynamics. A line is divided into a series  
280 of line segments which are then modelled by straight massless model segments with a node at each  
281 end. The model segments only model the axial and torsional properties of the line. The other prop-  
282 erties, such as mass and buoyancy, are all lumped onto the nodes. Wind turbine tower is modelled  
283 as beam elements based on small strain theory. Using generalised strain/stress measures allows the  
284 description of large cross-sectional deformations. All element formulations are based on the small  
285 strain approximation, which is reasonable for the application to slender marine structures. Further-  
286 more, the mass forces are described by the product  $\mathbf{m}\ddot{\mathbf{x}}$  where  $\mathbf{m}$  is the mass matrix.

287 As shown in Figure 3, all three mooring lines are connected to the clump weight below through  
288 fairleads #4 and #5 located in the centre of the platform. At each fairlead, the wire slides freely  
289 through these points as if passing via small frictionless pulleys mounted there. The wire tension on  
290 either side of each intermediate point is then applied to that point. If the point is offset on the floating

291 platform involved then this gives rise to an applied moment. The friction between the mooring line  
292 and the floating platform is neglected in the numerical simulation. In all cases, the volume of the  
293 clump weight was set to 50 m<sup>3</sup>. Clumps are simplified as point elements with only the three trans-  
294 lational degrees of freedom,  $X$ ,  $Y$  and  $Z$ . They do not rotate and have no rotational properties, e.g.,  
295 moments on them are ignored. The seabed friction coefficient of the clump weight is set to 0.5.

296 The system equation of motion is solved using the generalized- $\alpha$  integration scheme as described  
297 by Chung and Hulbert (1993) with a time step of 0.05 s. The duration of the main simulation stage  
298 for each case is 3 hours. Before the main stage is a build-up period of 1200 s, during which the sea  
299 conditions are slowly ramped up from zero to avoid sudden transients when starting a simulation.  
300 During the build-up stage, the rotor is already in shutdown, and the blades have pitched to an angle  
301 of 90°.

### 302 **3 Results and discussions**

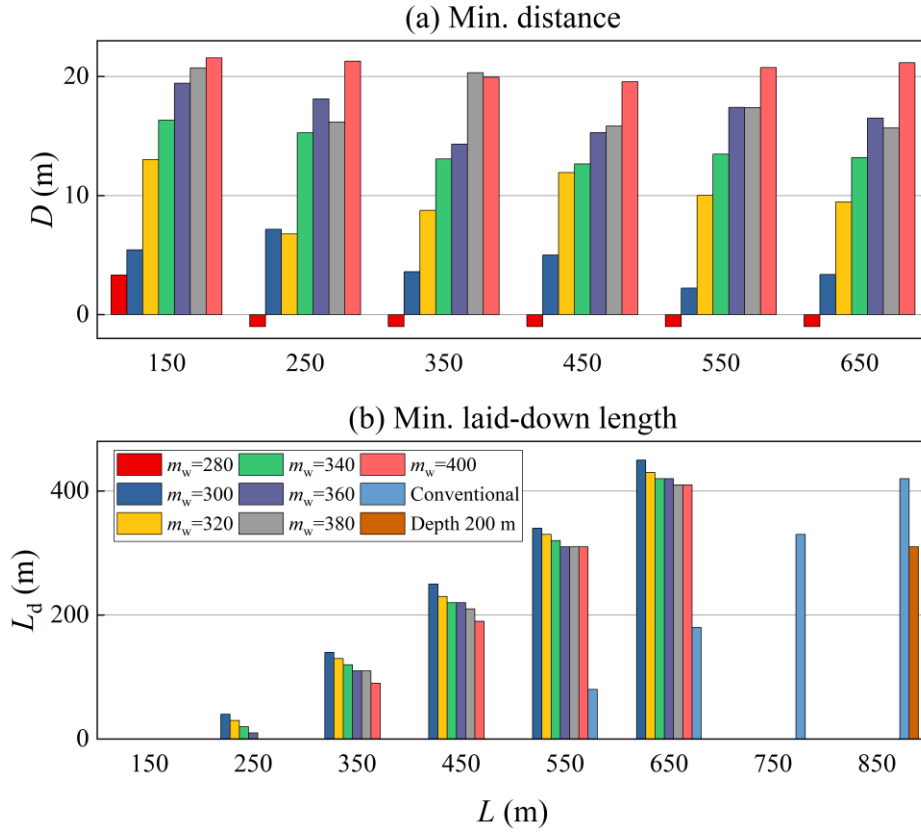
303 Under the environmental conditions given in Table 5, the FOWT was subjected to a mean hori-  
304 zontal environmental force of approximately 128.75 kN, with a mean wave drift force of 86.03 kN  
305 and a rotor aerodynamic force of 42.72 kN. In the dynamic simulation, if the tension caused by the  
306 clump weight to the Mooring line 1 is greater than the horizontal environmental force (including  
307 the rotor aerodynamic force and the second-order wave drift force), the clump weight will sink to  
308 the seabed. The seabed supports part of the clump gravity, and the mooring line carries the rest.  
309 Under the action of waves, the horizontal movement of the platform causes the lifting and sinking  
310 motions of the clump weight, thus providing the mooring restoring force. Among the three mooring  
311 lines, since the upwind Line 1 is aligned with the direction of the incoming wind and waves and is

312 subject to the highest tension, it is selected as an example for analysis later.

### 313 **3.1 Characteristics of the mooring systems**

314 From the previous analysis, it is clear that the mooring system must first resist the horizontal  
315 constant environmental forces. If the net weight of the clump is too large, it will increase the cost;  
316 and if it is too small, it will not be able to resist the rapid increase of the first-order wave force,  
317 causing the clump weight to collide with the platform. As shown in Figure 4, the minimum distance  
318 between the clump and the bottom of the platform is denoted by  $D$ . A large  $m_w$  generally leads to a  
319 considerable  $D$ . In contrast, a negative  $D$  means that the two have collided, indicating that the CTMS  
320 of this configuration cannot operate appropriately in the extreme sea condition, hence cases where  
321  $D$  is negative will be ignored in the subsequent analysis.  $L_d$  in Figure 4 is the minimum laid-down  
322 length of the Mooring line 1. It can be seen that  $L_d$  increases with the mooring line length. Besides,  
323 given the same mooring line length,  $L_d$  decreases with increasing  $m_w$ . These are probably due to the  
324 fact that the mooring line tension is approximately equal to the net weight of the clump. As the  
325 clump's net weight increases, the mooring line's original laid-down section will be pulled up to a  
326 suspended state. For CTMS, when the mooring line length reaches 650 m, the value of  $L_d$  is already  
327 at the level of the conventional mooring system with a mooring line length of 850 m. From the  
328 results of  $L_d$ , CTMS will be expected to use shorter mooring lines than conventional mooring sys-  
329 tems.

330



331

332 **Figure 4.** (a) Minimum distance between the clump and the bottom of the semi-submersible platform ( $D$ )  
 333 and (b) the minimum laid-down length of mooring lines ( $L_d$ ). "Depth 200 m" indicates a conventional  
 334 mooring system at a water depth of 200 m. The same applies below.  $L$  is the length of the mooring line  
 335 from the anchor to the fairlead on the outside of the platform in the initial state, and  $m_w$  is the weight in  
 336 water of the clump.

337

338 The anchor radius and horizontal pre-tension of the conventional mooring system are shown in  
 339 Table 6. The anchor radius is the distance from the anchor point to the centre of the platform. Given  
 340 a mooring line length of 850 m, the horizontal pre-tension at a water depth of 50 m is about one-  
 341 third of the one at 200 m. The horizontal pre-tension of the catenary mooring system decreases  
 342 significantly in shallow water, which may lead to a large  $x$ -offset of the floater. For a conventional  
 343 mooring system in 50 m water depth, when the mooring line length increases from 150 m to 850 m,  
 344 the corresponding horizontal pre-tension only more than doubled, indicating the higher cost of the

345 conventional mooring system in the shallow water environment.

346

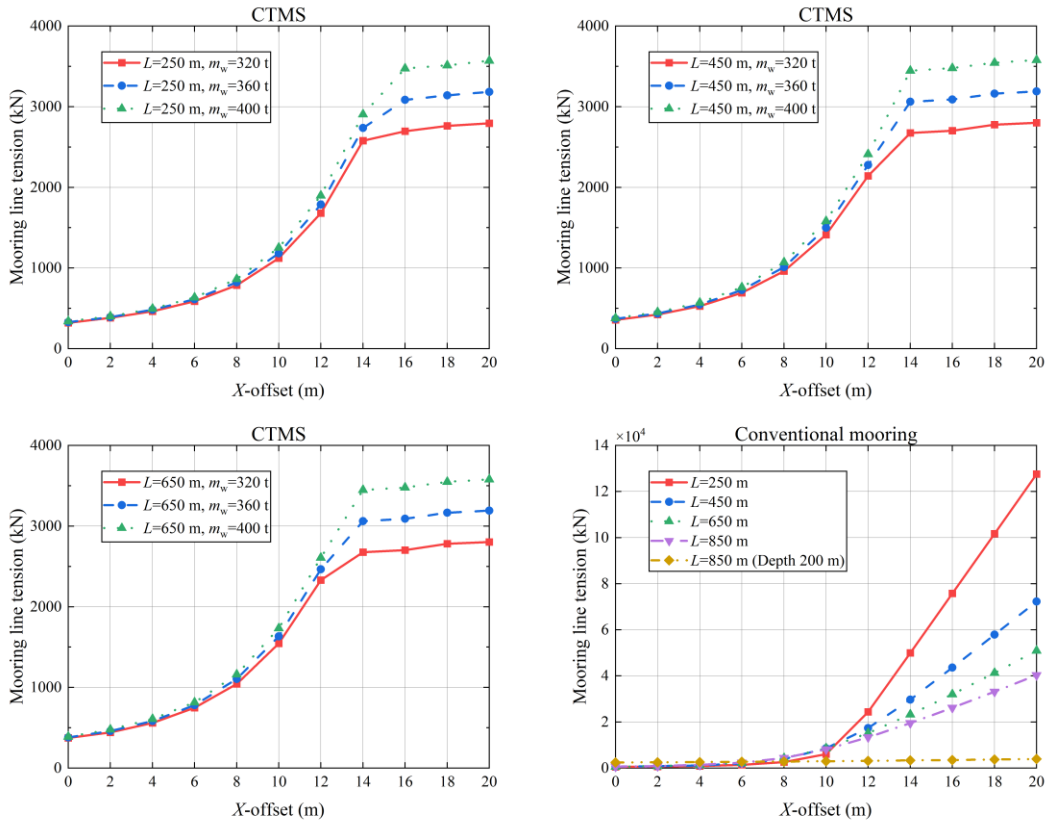
347 **Table 6.** Mooring line arrangement and horizontal pre-tension of conventional mooring systems

Mooring line length (m)	Anchor radius (m)	Horizontal pre-tension (kN)
150	199.65	235.04
250	299.49	329.01
350	399.23	380.33
450	499.04	412.11
550	598.89	436.19
650	698.78	454.84
750	798.69	469.34
850	898.62	480.48
850 (Depth 200 m)	861.15	1348.17

348

349 In the static calculations, the excursion-tension curve is obtained by setting the platform displace-  
350 ments at various  $X$ -offsets and holding platform DOFs constant, allowing the mooring lines to es-  
351 tablish an equilibrium position. The tension values of Mooring line 1 resulting from static offsets of  
352 the system in the surge direction are given in Figure 5. The mooring line tensions demonstrate the  
353 nonlinear restoring force associated with the catenary mooring system. For conventional mooring  
354 systems, the tension increases rapidly after the line is straightened. The shorter the mooring line  
355 length, the faster the tension rises with  $X$ -offset. In addition, the tension increases more rapidly for  
356 shallow water moorings than deep water moorings. For CTMS, before the clump weight is lifted

357 from the seabed, the mooring line tension increases gradually faster with increasing  $X$ -offset; when  
 358 the clump weight is completely off the seabed, the mooring line tension is entirely generated by the  
 359 gravity of the clump, and the tension increases slowly and stabilises at a maximum value.



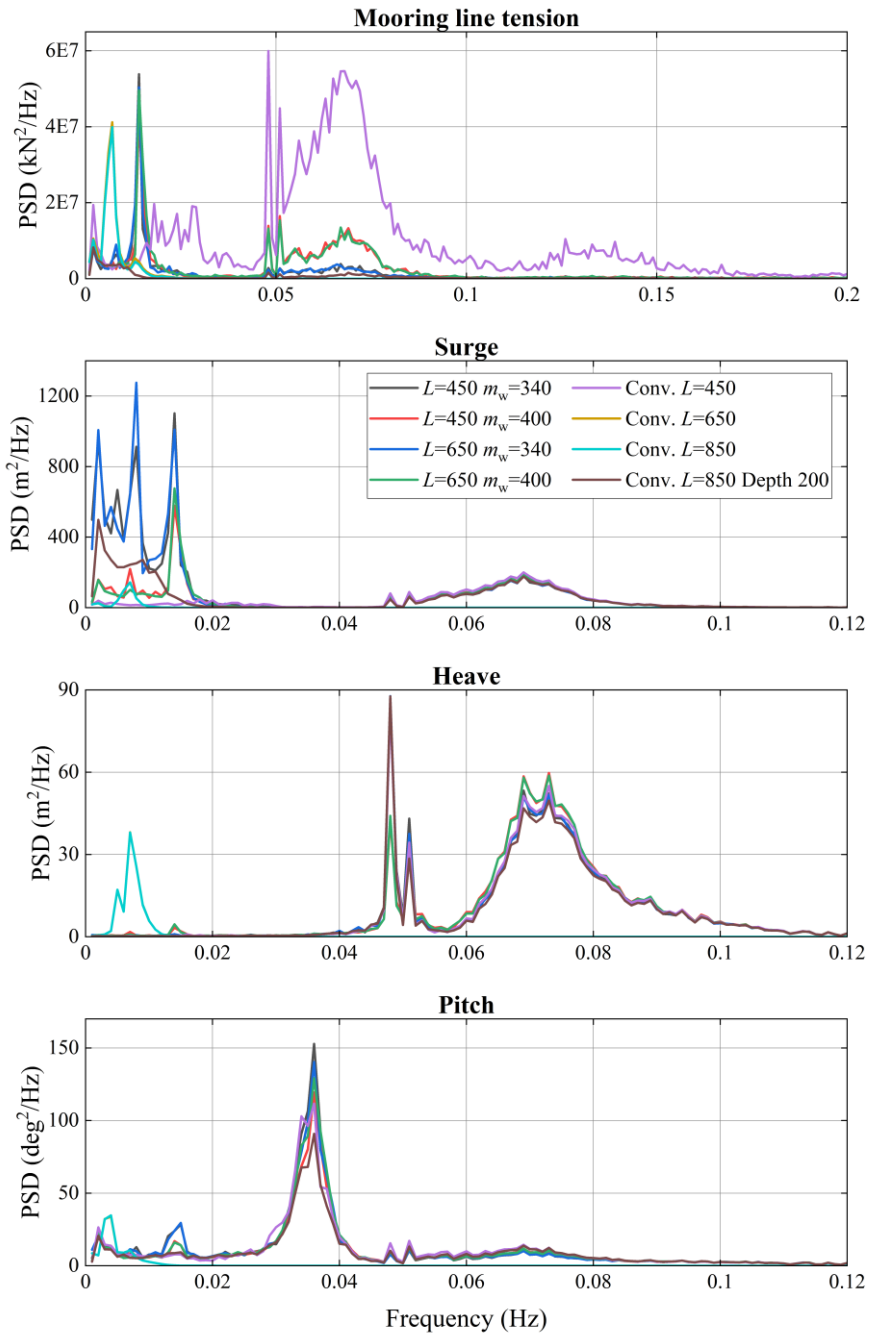
360

361 **Figure 5.** Excursion-tension curves

362 **3.2 Mooring line tensions**

363 All the tensions in the following statistics are of Mooring line 1 at Fairlead 1 (Figure 3). Figure 6  
 364 plots the one-sided power spectral density (PSD) for the results of CTMS and conventional (Conv.)  
 365 mooring systems in several cases. It can be found that the wave-frequency tension dominates the  
 366 total tension response of the short length conventional mooring system, while in the rest of the cases,  
 367 it is governed by the low-frequency component. The contribution of the low-frequency responses  
 368 to surge motion is more significant than that of the wave-frequency response component, and heave

369 motion response is governed by the wave-frequency response component in all cases except for the  
 370 conventional mooring system with a line length of 850 m at 50 m water depth. In contrast, the pitch  
 371 motion response spectrum of each configuration is less different.



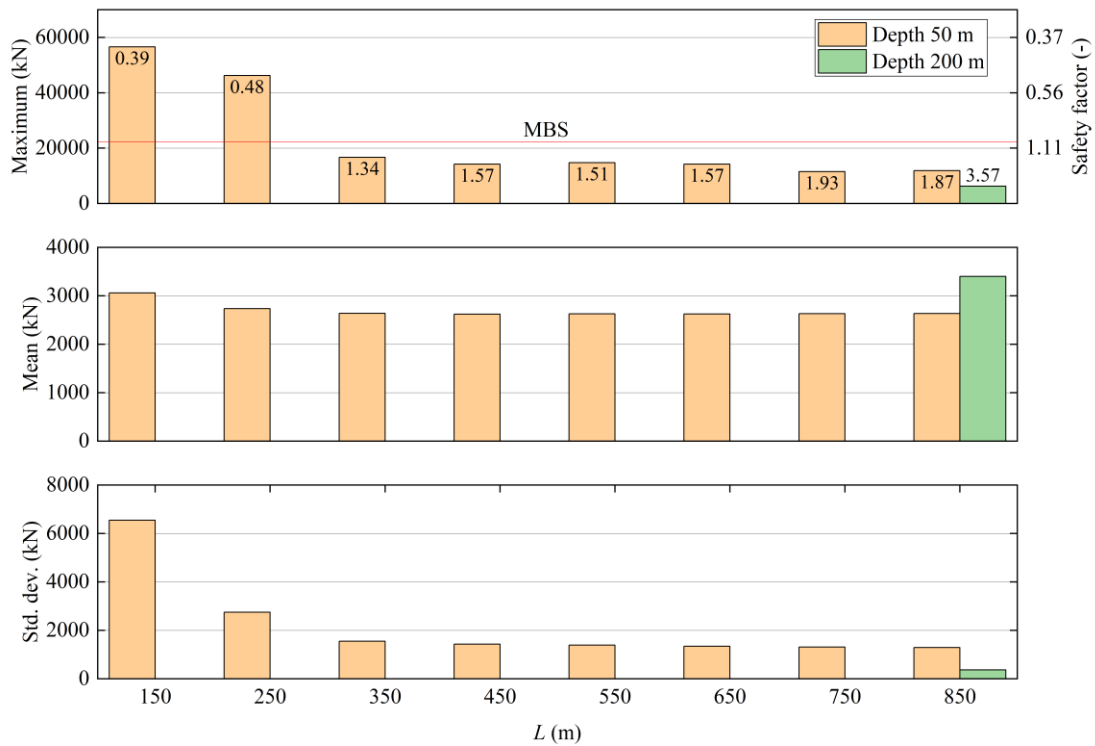
372  
 373 **Figure 6.** Spectral density for mooring line tension and platform motion in several cases

374

375 Figure 7 and Figure 8 show the fairlead tension statistics of the conventional mooring system and

376 CTMS, respectively. According to the American Petroleum Institute (2005) Recommended Practice  
 377 2SK, the maximum tension safety factor of the mooring line is 1.67 in the intact state dynamic  
 378 analysis. Nevertheless, for conventional mooring systems, as seen in Figure 7, cases with mooring  
 379 line lengths of 650 m and below cannot meet the API standard. The maximum tension of 150 m and  
 380 250 m lengths have significantly exceeded the mooring line's minimum breaking strength (MBS).  
 381 Moreover, for a conventional mooring system with  $L$  of 850 m, the maximum value and standard  
 382 deviation of tension at 50 m water depth are significantly increased compared to those at 200 m  
 383 water depth, while the mean tension is decreased.

384



385

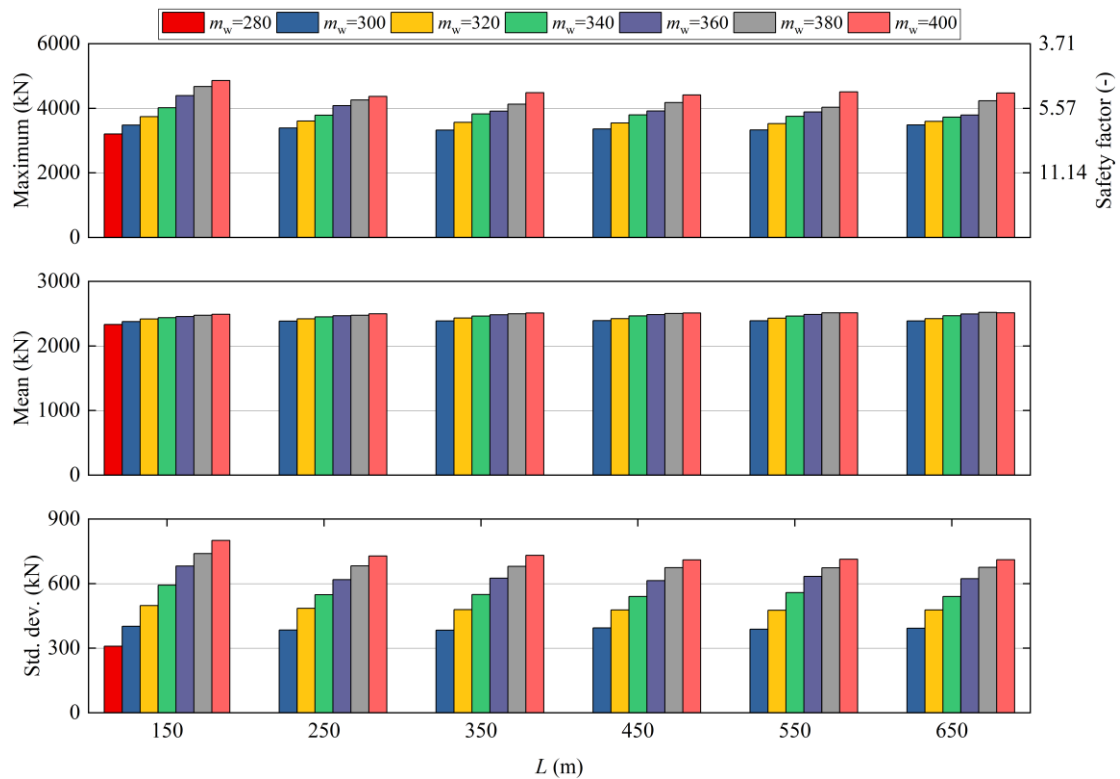
386 **Figure 7.** Statistics of conventional mooring system fairlead tension, the reference line is the minimum  
 387 breaking strength (MBS) of the mooring line, and the label at the top of the histogram is the safety factor.

388

389 During the dynamic simulation of CTMS, the gravity force, the inertial force, and the added mass  
 390 on the clump weight are shared by three mooring lines, while mooring line 1 shares some of them.

391 As shown in Figure 8, for CTMS, all the mooring configurations involved in the study have safety  
 392 factors much higher than the API standard requirements. For each value of  $L$ , the maximum value  
 393 and standard deviation of the CTMS tension are significantly lower than those of the conventional  
 394 mooring system. The maximum and standard deviation of CTMS tension increases with the increase  
 395 of  $m_w$ . Although the mean value also tends to increase, the range of change is small. Compared with  
 396 the conventional mooring system, the tension statistics result of CTMS does not change significantly  
 397 with the mooring line length  $L$ , especially when  $L$  increases from 150 m to 350 m. In the case of the  
 398 same  $m_w$ , increasing the mooring line length has little influence on the tension of CTMS, mainly  
 399 because the gravitational potential energy of clump weight plays a decisive role in the restoring  
 400 ability of CTMS, which makes the economy of CTMS more prominent.

401



402

403 **Figure 8.** Statistics of CTMS mooring line tension

404

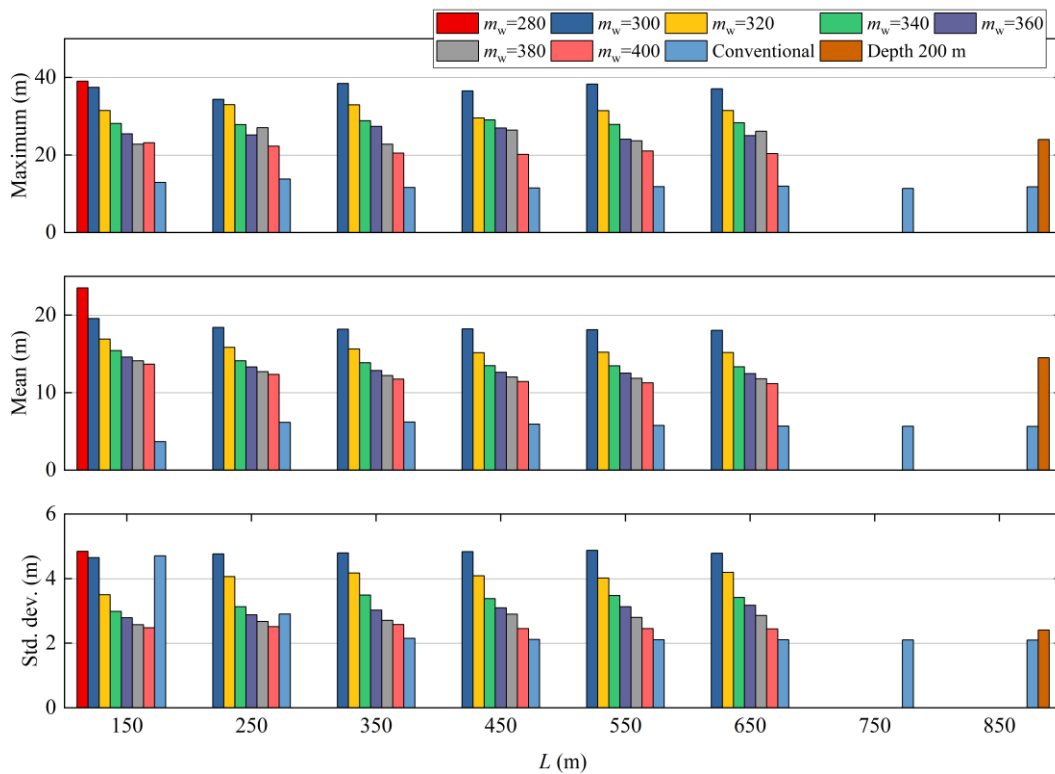
405 In the original design by the University of Maine, the water depth was 200 m. In this paper, this  
406 original mooring system is adjusted to 50 m water depth condition, and the performance is compared  
407 with CTMS. In the original design, the chain size was selected based on a desire to keep the system's  
408 peak surge-sway offset under 25 m during normal operating conditions to limit design constraints  
409 on a dynamic electrical umbilical (Allen et al., 2020). By using the same mooring line in CTMS, it  
410 is found that this chain size is significantly larger than that required for CTMS in terms of the tension  
411 safety factor. Therefore, a lower-size mooring chain in CTMS can be considered to save mooring  
412 costs.

### 413 **3.3 Motions of the floating offshore wind turbine**

414 Figure 9 shows the motion response statistics of the semi-submersible platform under extreme  
415 conditions. For CTMS, the platform surge motion varies relatively significantly with  $m_w$  for the  
416 same  $L$ , while the heave and pitch motions are relatively little affected by  $m_w$ . All three statistics of  
417 surge decrease as  $m_w$  increases, indicating that a larger  $m_w$  provides greater horizontal restoring  
418 force. Compared with the conventional mooring system, the surge statistics of CTMS are signifi-  
419 cantly larger, which suggests that CTMS reduces the force on the platform and mooring system by  
420 increasing the horizontal motion distance of the platform to consume the kinetic energy transferred  
421 to the platform by the waves. In practice, considering the significantly increased surge motion, the  
422 dynamic electrical umbilical design of FOWT should also be adjusted accordingly.

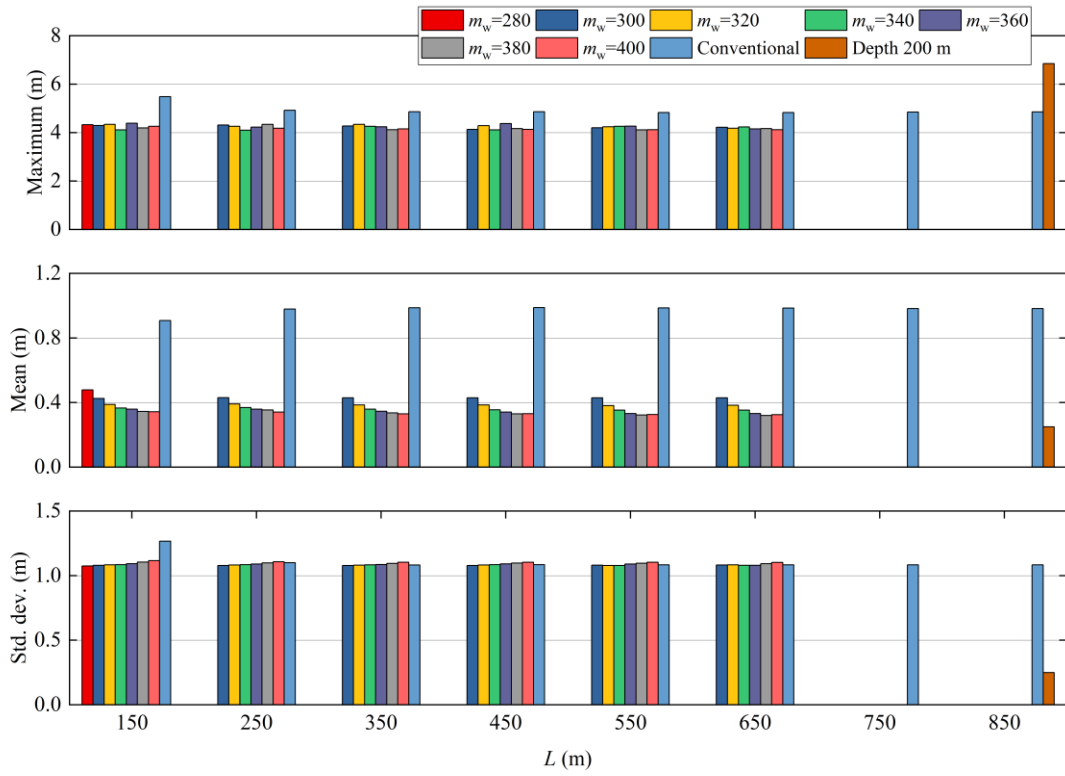
423 Furthermore, appropriate increases in the distance between FOWTs should also be considered to  
424 avoid collisions. The statistical results for the heave and the pitch of a CTMS-moored platform are  
425 not much different from those moored by a conventional mooring system, which shows that CTMS

426 consumes kinetic energy mainly by increasing the surge motion. Given the same  $m_w$ , the statistics  
 427 of the surge, heave, and pitch motions of CTMS have no evident trend with  $L$ . This indicates that  
 428 the main factor affecting the geometric stiffness of CTMS is not the mooring line length but the net  
 429 weight of the clump. The motion statistics of the conventional mooring system vary considerably  
 430 when  $L$  is small. In contrast, the change of the CTMS motion statistics is relatively flat, and CTMS  
 431 is more adaptable to shorter mooring line lengths than conventional mooring systems.  
 432



433  
 434  
 435

(a) Surge

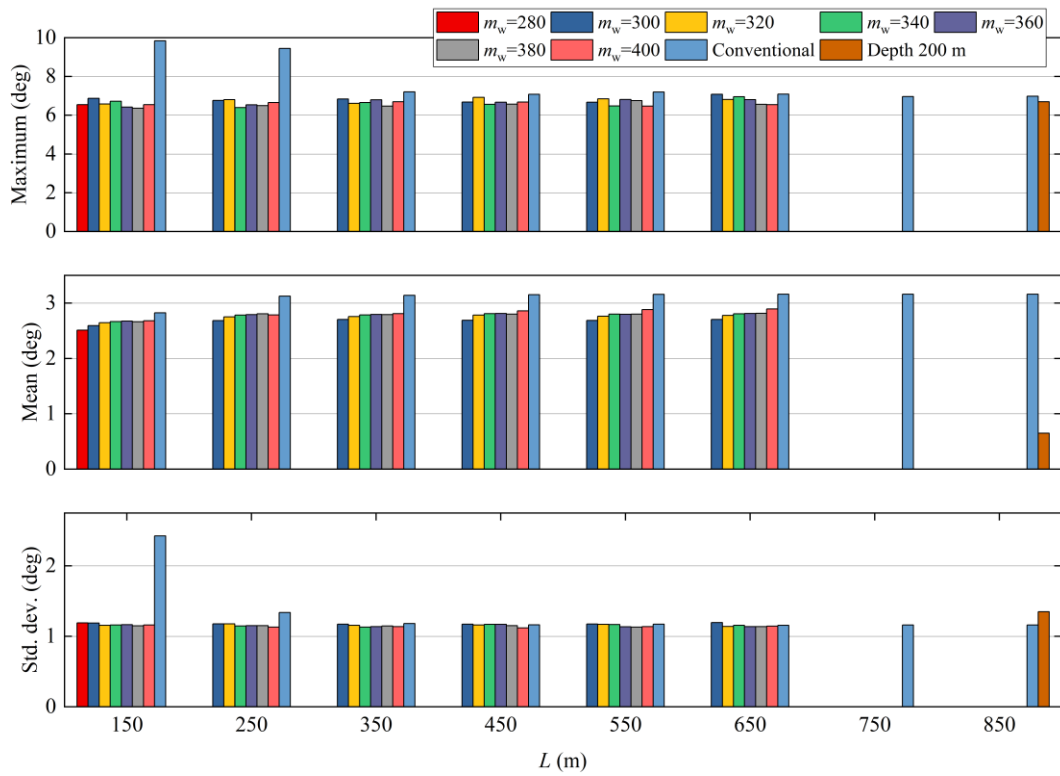


436

437

(b) Heave

438



439

440

(c) Pitch

441 **Figure 9.** Statistics of platform motion response

442

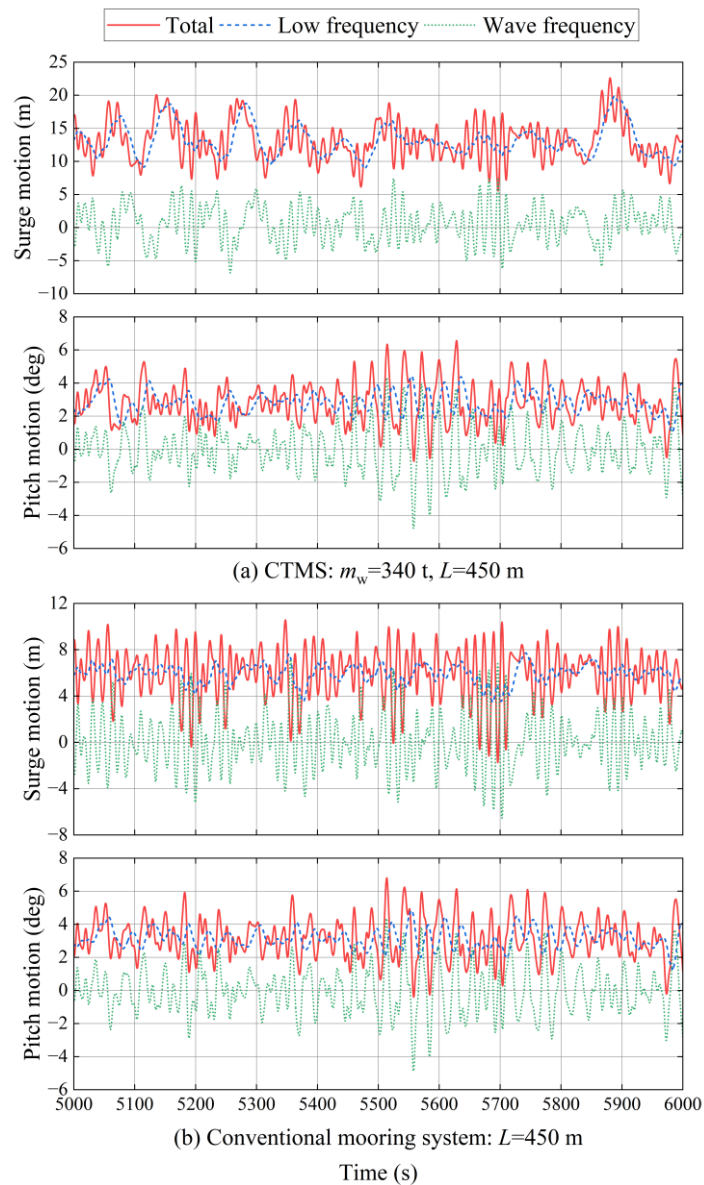
443 Besides, the total response is decomposed into low-frequency and wave-frequency components

444 for further comparison. An example of time series for surge and pitch motion in the case  $m_w=340$  t,

445  $L=450$  m, and their LF and WF components are shown in Figure 10. Since the mean value of the

446 WF response is around zero, only their standard deviation and the maximum value are compared in

447 Figure 11.



448

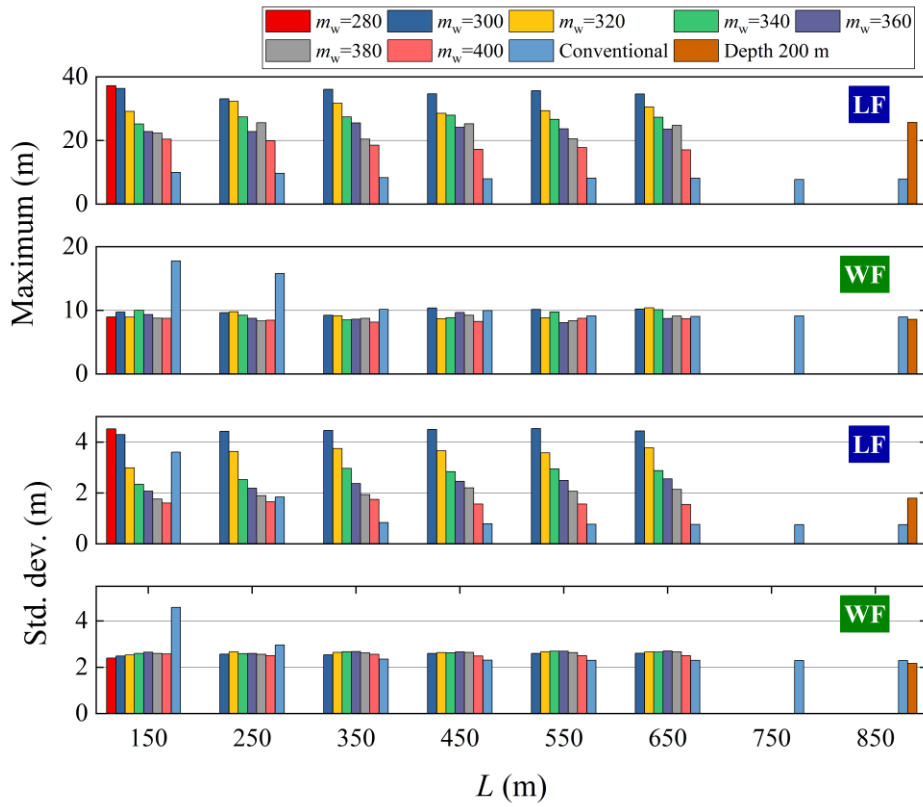
449 **Figure 10.** Time histories of surge and pitch motion decomposition: (a) CTMS:  $m_w=340$  t,  $L=450$  m; (b)

450 conventional mooring system:  $L=450$  m

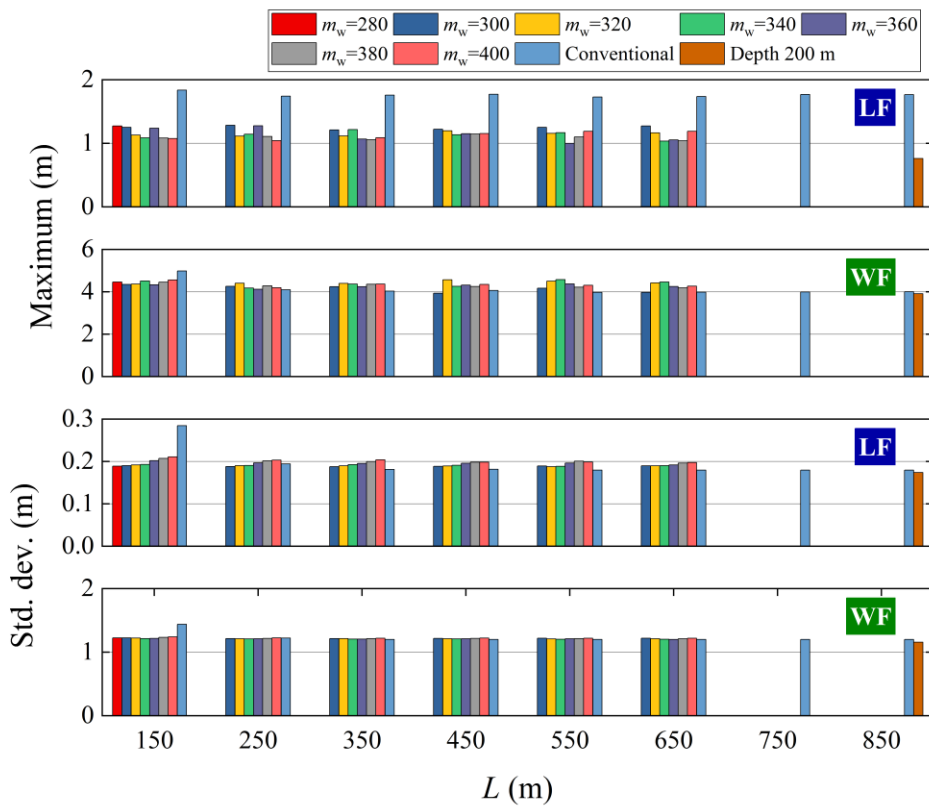
451

452 Further, Figure 11 shows the statistics for the low-frequency and wave-frequency components of  
453 the surge, heave, and pitch motions. The LF components dominate the maximum value and standard  
454 deviation of CTMS surge motion, and there is no significant trend in its WF components. As men-  
455 tioned earlier, the CTMS effectively resists the transiently increasing wave frequency forces. The  
456 maximum WF surge motion of the conventional mooring system has a more significant variation  
457 when  $L$  is small, and both the LF and WF components of its standard deviation have a more sub-  
458 stantial variation when  $L$  is small. The WF component influences the surge motion of the conven-  
459 tional mooring system more than the CTMS. The WF component dominates the maximum and  
460 standard deviation of heave motion for CTMS and conventional mooring systems. The maximum  
461 LF heave of the conventional mooring system is significantly larger than that of CTMS, and the  
462 maximum value and standard deviation of heave and pitch motion decomposition of the two moor-  
463 ing systems have no obvious variation pattern with  $m_w$  or  $L$ . The percentages of WF and LF com-  
464 ponents in the maximum pitch of the two types of mooring systems are not significantly different.  
465 In contrast, the standard deviation of the WF components is larger than that of the LF components.  
466 The pitch motion decomposition statistics of the conventional mooring system are more prominent  
467 than those of the CTMS when  $L$  is small.

468



(a) Surge

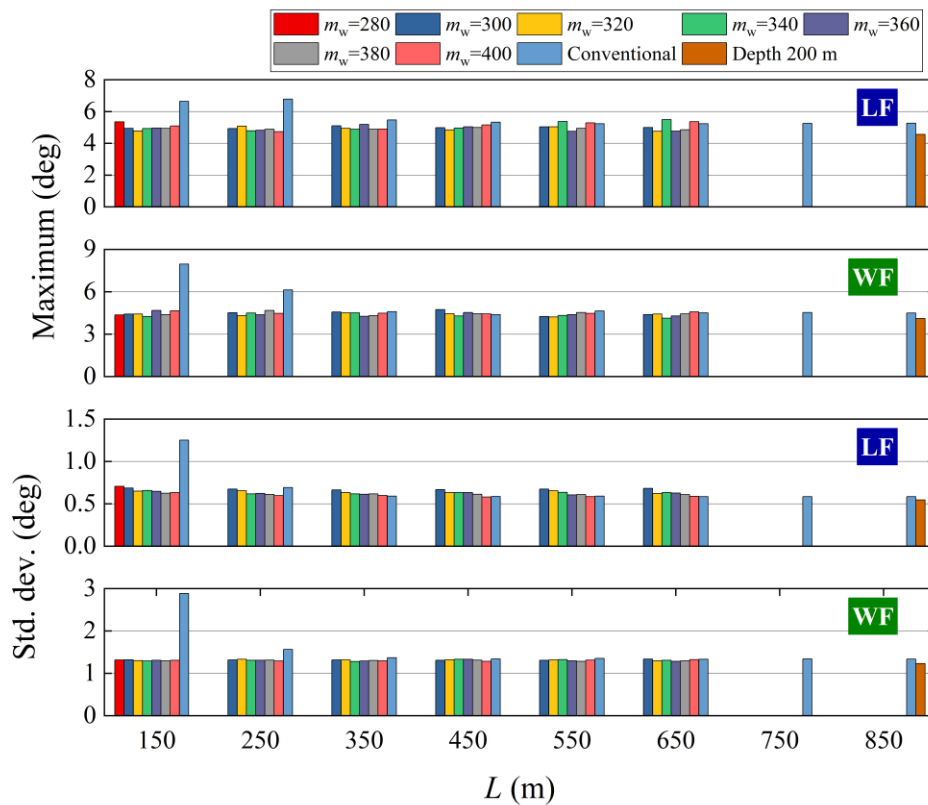


469

470

471

(b) Heave



473

474

(c) Pitch

475 **Figure 11.** Decomposition of motion responses into WF and LF components

476

477 From the above statistics result for fairlead tension and platform motion, the dynamic character-

478 istics of the conventional mooring system change dramatically as  $L$  increases from 150 m to 350 m,

479 while they change relatively smoothly as  $L$  increases from 350 m to 850 m. Performance of the

480 CTMS constantly changes little as  $L$  increases from 150 m to 650 m, while it mainly varies with  $m_w$ .

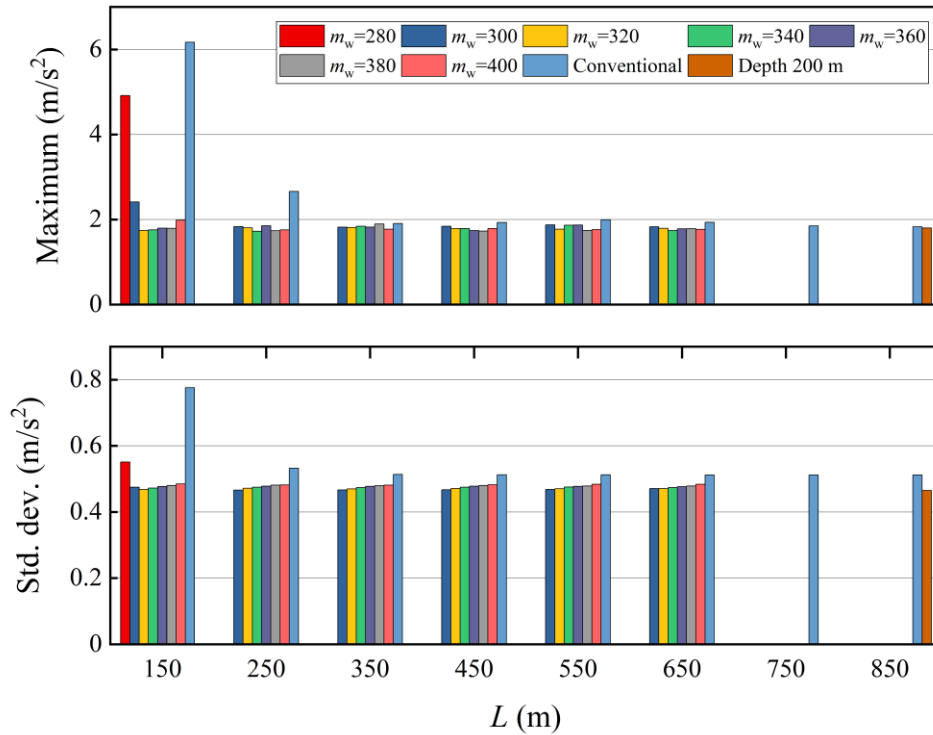
481 Therefore, CTMS can result in significant cost savings in mooring chain usage compared with con-

482 ventional mooring systems. Additionally, the statistical results for the horizontal acceleration of the

483 nacelle are shown in Figure 12. Since their mean values are all approximately equal to zero, only

484 the maximum values and standard deviations are included in the figure. The regularity is similar to

485 the previous statistics, except for the case where  $L$  is 150 m. The nacelle horizontal acceleration  
486 statistics of CTMS are little influenced by  $m_w$  and  $L$ . The statistics of the conventional mooring  
487 system are larger at  $L$  of 150 and 250 m, the results for the rest of the cases are essentially the same  
488 as those for the CTMS, and the variation is also small. Even though the mooring lines are frictionless  
489 at the fairleads, the free-sliding mooring lines of the CTMS do not affect the horizontal acceleration  
490 of the nacelle, thus leaving the nacelle load level largely unaffected. In Figures 11 and 12, a promi-  
491 nent peak is observed in the results of the conventional mooring system when  $L=150$  m. This is  
492 because the mooring lines are too short and thus can be pulled taut rapidly, which in turn imposes a  
493 snap load on the platform, resulting in a significant increase in the motion response of the platform.



494

495 **Figure 12.** Horizontal acceleration of the nacelle

496 **3.4 Discussions**

497 This paper conducts a comparative study of constant tension mooring systems, and the numerical  
 498 simulation results show the effectiveness of CTMS. The key findings in this study and the feasibility  
 499 of CTMS in practical applications are discussed as follows:

- 500 ● **Operation mechanism:** When the FOWT is under storm survival conditions, the winch  
 501 wire stored inside the platform will release the clump weight. The chain stopper will unfasten  
 502 the mooring chain and be able to slide freely. The gravity of the clump weight will provide  
 503 the restoring force of the mooring system.

- 504 ● **Minimum weight limit:** The weight in water of the clump should be greater than the con-  
505 stant horizontal force applied to the FOWT to avoid the clump weight being lifted continu-  
506 ously and colliding with the platform.
- 507 ● **Mooring system scale:** Compared to conventional catenary mooring systems, the CTMS  
508 significantly improves the tension safety factor in extreme conditions and therefore allows  
509 for smaller chain sizes. Thanks to the increased laid-down length, shorter mooring chains  
510 can be used, and the footprint of the mooring system can be reduced.
- 511 ● **Platform offset:** CTMS may cause an increase in platform peak offset relative to conven-  
512 tional catenary mooring systems. Consequently, the wind farm layout should be adjusted  
513 accordingly to maintain a sufficiently safe distance between turbines in extreme environ-  
514 mental conditions. Moreover, the design constraints on a dynamic electrical umbilical should  
515 be changed to accommodate the large excursions that may occur under extreme conditions.
- 516 ● **Specific design:** Carrying clump weight and winches and other equipment inside the plat-  
517 form may affect the mass distribution and the internal structure of the platform, so that the  
518 ballast and compartment design of the platform should be changed accordingly. Besides, the  
519 pull-back scheme after the release of clump weight needs further research and design.

## 520 **4 Conclusions**

521 This work investigates the mooring line tension and motion response of a 15 MW semi-submers-  
522 ible wind turbine equipped with a constant tension mooring system. A constant tension mooring  
523 system for shallow water environments is proposed to enhance the survivability of FOWT under  
524 extreme conditions in shallow and transition water depths. It enables the mooring line to maintain

525 constant tension under extreme conditions, ultimately preventing the mooring lines from being  
526 straightened or even depleted of their elasticity. It also prevents damage to the floating platform  
527 structure due to excessive mooring loads. Time-domain coupled dynamic simulations are performed  
528 to study the coupled dynamic response of the UMaine VoltturnUS-S semi-submersible reference  
529 FOWT and the constant tension mooring system under extreme conditions at 50 m water depth.

530 Compared with the conventional catenary mooring system, CTMS can significantly reduce the  
531 maximum mooring line tension by increasing the surge motion response of the platform. In IEC  
532 extreme wind speed conditions with a 50-year return period, in the case of using a studless R3 chain  
533 with a nominal (bar) diameter of 185 mm, the CTMS requires a minimum of 250 m to 350 m of  
534 mooring chain, which is more than 200 m less than conventional mooring systems, and the maxi-  
535 mum mooring line tension is about 4000 kN, which is more than 60% less than conventional moor-  
536 ing systems. Conventional mooring system needs a long mooring line length to meet the API's safety  
537 factor design criteria. In contrast, thanks to a longer minimum laid-down length of the mooring line,  
538 CTMS can achieve a safety factor much higher than the criteria with a shorter mooring chain. To  
539 prevent a collision between the clump weight and the bottom of the platform, the net weight of the  
540 clump should not be too small. The dynamic characteristics of the CTMS are mainly affected by the  
541 net weight of the clump rather than the mooring line length. Therefore, shorter mooring lines with  
542 smaller chain diameters can be considered to reduce the use of mooring materials. In addition, the  
543 CTMS has less influence on the heave and pitch motion response of the platform and the horizontal  
544 acceleration of the nacelle.

545 In conclusion, the constant tension mooring system is suitable for large-scale semi-submersible  
546 FOWT in shallow or transition water depths under extreme conditions. This mooring system can

547 improve the survivability of FOWT in extreme conditions and reduce the usage of mooring chains.  
548 The feasibility of the constant tension mooring system in practical engineering needs to be further  
549 verified.

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