国際シンポジウム「大学における研究データ管理の 意義と支援人材育成」

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Data sharing policies

Slide: Christine Borgman

National Science Foundation

National Institutes of Health

Japan Science and Technology Agency 🥳



- U.S. Federal research policy
- European Union
- Research Councils of the UK NIH
- Australian Research Council
- And others





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Reasons to share datasets

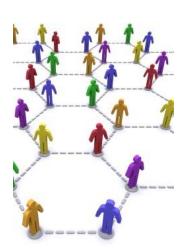
- Enhance research integrity
 - Reproducibility
 - Transparency
- Facilitate new science
 - Answer new questions
 - Integrate with other datasets
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Who might reuse datasets?

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- The researcher who produced the data
- Other members of the research team
- Other researchers
 - In the same discipline
 - In other disciplines
- Others outside the academy



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Aspiration (FAIR) vs. reality

- Findable, Accessible, Interoperable, Reusable
- Reality:
 - Lack of planning
 - Lack documentation (records, standards)
 - Lack of understanding of data reusers' needs
 - Lack of support
 - Lack of infrastructure
- Challenges start early on in research cycle
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Knowledge Infrastructures (KI) project

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- What new infrastructures, divisions of labor, knowledge, and expertise are required for data-intensive science?
- How are the infrastructures of multi-disciplinary, data-intensive scientific endeavors established and how are they dismantled?
- How do data management, curation, sharing, and reuse practices vary among research areas?





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Knowledge Infrastructures (KI) project

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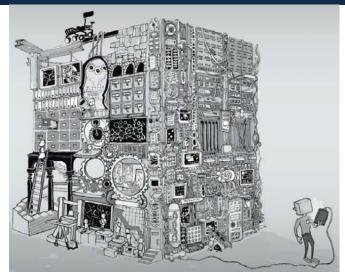
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Infrastructures are complex



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https://knowledgeinfrastructures.gseis.ucla.edu/ The Power of Information

Research methods

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- Ethnography
 - Observing on site (offices, laboratories, meetings) and online
 - Embedded for weeks or months at a time
- Interviews
 - Questions based on research themes
 - Compare multiple sites over time
- Document analysis
 - Public and private documents and artifacts
 - Official and unofficial versions of scientific practice



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KI research sites

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Domain	Focus	Topic
Astronomy sky surveys	Place: sky and universe	Survey of night sky
Deep subseafloor biosphere	Place: under ocean floor	Microbial life and environment
Biomedical collaboration	Problem: data sharing and reuse in an interdisciplinary context	Genomics of four model organisms
Computational science	Problem: Data analysis at scale	Computing in physical and life sciences
Astronomy phenomena	Place: sky and universe	Orbits, black holes, gravity

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Sloan Digital Sky Survey (SDSS-I/II)

- Ground-based telescope
- Decades-long project
 - Planning 1990s
 - Data collection phases I and II from 2000-2008
 - Subsequent projects continue today





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Sloan Digital Sky Survey (SDSS-I/II)

- 160+ terabytes data total
- Open data
- As 2008 approached:
 - Potential loss of funding
 - Potential loss of expertise







Library infrastructure and data reuse

- What constitutes the infrastructure upon which digital services are built for research data curation?
- What decisions do stakeholders make when building these services?
- What factors shape these decisions?
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Library infrastructure and data reuse

- Darch, P. T., Sands, A. E., Borgman, C. L. (2020). Library Cultures of Data Curation: Adventures in Astronomy. Journal of the Association for Information Science and Technology. doi: 10.1002/asi.24345.
- Darch, P. T., Sands, A. E., Borgman, C. L. (2021). Do the Stars Align?: Stakeholders and Strategies in Libraries' Curation of an Astronomy Dataset. Journal of the Association for Information Science and Technology. doi: 10.1002/asi.24392.
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SDSS Data Transfer Process

- SDSS stakeholders partnered with two university libraries
- SDSS stakeholders' goal was a dataset available:
 - Over the long-term
 - To astronomers
 - To produce new science and to reproduce existing science

(Interviews and observation primarily carried out by Dr Ashley Sands)





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SDSS Data Transfer Process

- The two libraries diverged in terms of:
 - The materials they curated
 - The services they implemented to support access and use
 - The reuse possibilities afforded by their activities
- Institutional factors account for divergence



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SDSS Data Transfer Process

	Blue University Library	Red University Library
Data archive server (DAS)	Х	X
Catalog archive server (CAS)	Х	X
Administrative archive	Х	-
Help desk	Х	-
Raw data	-	X
Software	-	X

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SDSS Data Transfer Process

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Blue University: Administrative Archive

- Traditional university library
 - Concerned with visibility
 - Special Collections Unit
 - Service orientation



- Library suggested inclusion of Administrative Archive
- · Reuse potential for historians and sociologists
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Blue University: Administrative Archive

- Strategic objectives
 - Articulate a new purpose for existing infrastructure
- · Mission, values, and internal culture
 - Help users understand data
 - Archive university records and preserve faculty papers
- Organizational structure
 - Fit into existing, rigid structure
- Infrastructure and capacity
 - Leverage extant Special Collections Unit infrastructure
 - Leverage extant history of science expertise
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Red University: Data Archive Server

- Transfer handled by autonomous unit in Red University library
 - Institute for Innovation in Digital Curation (IIDC), pseudonym
 - Largely dependent on soft money
 - · Research & development orientation
 - Guardianship of Data (GD) project
 - Agile culture of software development
- Data Archive Server (DAS)
 - Included at suggestion of IIDC during negotiation phase
 - Prioritized by IIDC during implementation phase
 - Enhanced reuse potential for reproducibility





Red University: Data Archive Server

- Strategic objectives
 - Reinforce work of GD project
- Mission, values, and internal culture
 - Boost research and development mission of the IIDC
- Organizational structure
 - Could be prioritized at discretion of the team (Agile structure)
- Infrastructure and capacity
 - Leverage staff expertise and GD infrastructure for an "easy win"



Conclusions

- Institutional factors intersected to produce outcomes
 - Strategic objectives
 - Mission, values, and internal culture
 - Organizational structure
 - Infrastructure and capacity
- Variations in factors produced variations in terms of:
 - The scholarly purposes for which the data could be used
 - The scholarly communities who found the dataset useful
- Divergent pathways led to different prospects for data reuse
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