

## Mechanism analysis on the mitigation of harbor resonance by periodic undulating topography

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2 pography

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13  
14 **Abstract:**

15 Gao et al. (2021. Investigation on the effects of Bragg reflection on harbor oscillations.  
16 Coastal Engineering, 170: 103977) attempted to utilize Bragg reflection to alleviate harbor reso-  
17 nance, and discovered its significant effectiveness for the first time. The Bragg reflection occurred  
18 over periodic topographies deployed outside and parallel to the harbor entrance. However, the in-  
19 herent mitigating mechanisms were not revealed. In this paper, the Bragg reflection over a patch  
20 of sinusoidal bars and its coupling effects with the harbor are studied using a Boussinesq-type  
21 model. Different from the previous study, the sinusoidal bars with various numbers and amplitudes  
22 are arranged in the manner of an arc layout around rather than parallel to the entrance. A physical  
23 process decomposition method is proposed to reveal the inherent mitigation mechanism. Moreover,  
24 the condition under which harbor resonance is not excited by incident waves (hereinafter referred  
25 to as "harbor non-resonance condition") is also investigated, and the similarities/differences of  
26 mitigation mechanisms between the harbor resonance and non-resonance conditions are discussed.  
27 Finally, for the harbor resonance condition, the effects of the number and the amplitude of sinus-

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1 oidal bars on the best mitigation effect and optimal bar wavelength, which can attain the best mit-  
2 igation effect, are systematically analyzed.

3  
4 **Keywords:**

5 Harbor resonance; Long-period waves; Bragg reflection; Sinusoidal bars; Numerical simulation;  
6 FUNWAVE 2.0 model

7  
8 **1. Introduction**

9 Harbor resonance (also termed *seiches* or *harbor oscillations*) refers to the long-period reso-  
10 nance phenomenon of the water body inside the bay or the harbor (intuitively reflected in the trap-  
11 ping and sharp amplification of long-period wave energy). It may be excited by various external  
12 forces, such as shear flows (Fabrikant, 1995), seisms (Zheng et al., 2021; Zheng et al., 2022), in-  
13 fragravity waves (Gao et al., 2016; Mahmoudof et al., 2021; Mahmoudof and Siadatmousavi,  
14 2020; Priya et al., 2023), tsunami waves (Gao et al., 2021a; Kulikov et al., 1996; Ma et al., 2021;  
15 Rupali et al., 2020), wave groups (Gao et al., 2020; Mei and Agnon, 1989), atmospheric fluctua-  
16 tions (De Jong and Battjes, 2004; Sun and Niu, 2021), the landsilde-generated impact waves  
17 (Dong et al., 2010a) and the local water surface disturbance (Gao et al., 2023; Gao et al., 2018b).  
18 Significant motions of the ships moored in the harbor may be caused by the harbor resonance  
19 phenomenon, which would disturb the operation of docks, and even produce excessive mooring  
20 forces and eventually break mooring lines (Gulshan et al., 2020; Kumar et al., 2016; Sakakibara  
21 and Kubo, 2008). Harbor resonance could also result in remarkable overtopping and inundation  
22 near quays (Maravelakis et al., 2021). The related studies on harbor resonance at the early stage  
23 and in the last three decades have been well summarized by Miles (1974) and Rabinovich (2009),  
24 respectively.

25 This phenomenon has been a concern for the coastal engineering community since the 1940s  
26 (Knapp and Vanoni, 1945). To understand the generation mechanism and the resonance character-  
27 istics, numerous analytical investigations on the resonance of the harbor with relatively simple  
28 plane shapes and topographies have been performed (e.g., Liu (1986); Wang et al. (2015); Wang  
29 et al. (2014)). A few physical experiments have been conducted to investigate the generation  
30 mechanisms or the resonance characteristics (e.g., Dong et al. (2020); Lee (1971); Ma et al. (2021);

1 Sammartino et al. (2014)). Meanwhile, various numerical models have also been established to  
2 study this hydrodynamic phenomenon, among which Boussinesq-type equation models are the  
3 most widely used (e.g., Gao et al. (2017); Gao et al. (2019); Kaur et al. (2022); Malej et al. (2021);  
4 Shao et al. (2023); Zheng et al. (2021)). Besides, various mild-slope equation models have also  
5 been applied to study harbor resonance (e.g., Chen et al. (2006); Dong et al. (2023); Zhao et al.  
6 (2014)). However, compared to the Boussinesq-type models, the mild-slope equation models are  
7 still not ideal in simulating this phenomenon due to nonlinear wave-wave interaction in shallow  
8 water (Dong et al., 2022).

9 How to effectively alleviate this adverse hydrodynamic phenomenon has always been prob-  
10 lematic in coastal engineering. Heretofore, the overwhelming majority of investigations on miti-  
11 gating harbor resonance were implemented from the following three aspects. The first aspect is to  
12 change the plane layout of the harbor. A significant change in the harbor layout may remarkably  
13 vary the eigen-periods of the harbor and lead to a substantial difference between the eigen-periods  
14 of the reconstructed/expanded harbor and the periods of the original offshore incident waves that  
15 can excite harbor resonance. For the built harbors, the change of their plane layouts is realized by  
16 deploying new breakwaters (Lee and Xing, 2009; Maa et al., 2011). The second aspect is to reduce  
17 the reflectivity of the wharf to the long-period waves. The essence of the harbor resonance phe-  
18 nomenon lies in that the long-period waves form standing waves inside the harbor, and one of the  
19 nodal lines is just located nearby the harbor entrance. Therefore, if the reflectivity of long-period  
20 waves on the wharf could be reduced, their amplification inside the harbor can be effectively sup-  
21 pressed (Gonzalez-Escriva et al., 2020; Isaacson and Qu, 1990; Zhao et al., 2022). The third aspect  
22 is to adopt permeable breakwaters. By arranging breakwaters with a certain degree of permeability,  
23 the long-period wave energy can be released into the open sea via the harbor entrance and the  
24 breakwater body (Yu and Chwang, 1994).

25 However, for a built harbor, all of the above-mentioned measures require a large-scale recon-  
26 struction or expansion of the harbor, such as creating new breakwaters to significantly change the  
27 plane layout, transforming the wharf into a structural form to better absorb long-period waves, or  
28 transforming the impermeable breakwater into a permeable breakwater. The cost of all these  
29 measures is exceptionally high and even infeasible (Lee and Xing, 2009; Leys et al., 2018).

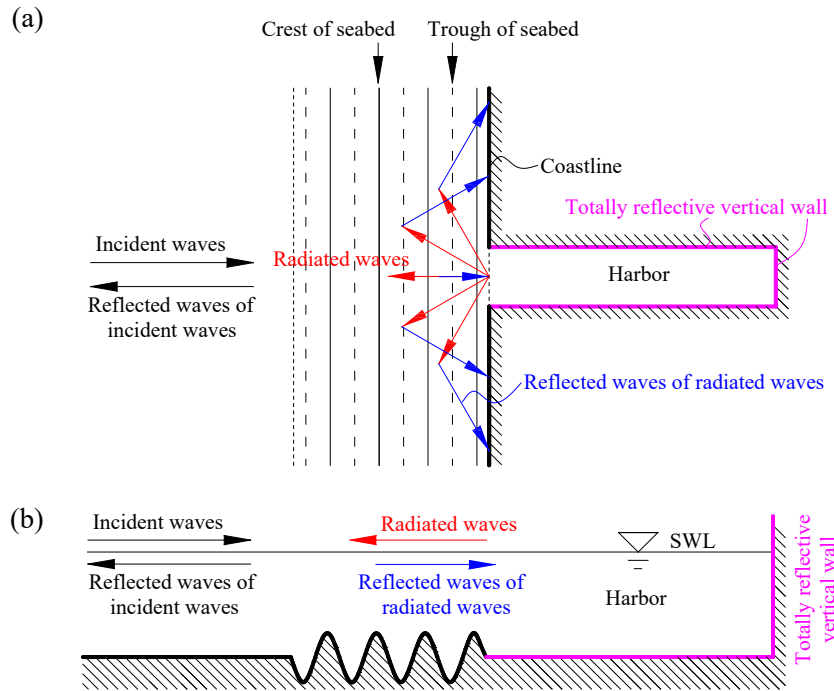
30 The propagation and scatter of water waves over periodic undulating topographies have been

1 studied in the last few decades, among which the most attractive phenomenon is the well-known  
2 Bragg resonant reflection (e.g., Alam et al. (2010); Davies (1982); Kar et al. (2020); Liu (2023);  
3 Liu et al. (2022c); Peng et al. (2022); Xu et al. (2023)). When the surface wavelength is about  
4 twice the topographic wavelength, the incoming waves would be significantly reflected by the  
5 periodic bottom, and only a small part of the wave energy can be transmitted to the coastline. It is  
6 shown in Bragg reflection that periodic topographies offshore may significantly inhibit the propa-  
7 gation of the incoming energetic waves toward the shoreline and secure the coast from attack (Fan  
8 et al., 2021; Guo et al., 2021; Liu et al., 2022a; Liu et al., 2022b; Ni and Teng, 2021a; Ning et al.,  
9 2022; Pan et al., 2022; Xie, 2022; Xie and Liu, 2023).

10 Because periodic undulating topographies are commonly observed near harbors (e.g., Araújo  
11 et al. (2004); Dodd et al. (2003); Russell (1982)), Gao et al. (2021b) adopted a fully nonlinear  
12 Boussinesq model to explore and confirm the possibility of utilizing the Bragg reflection phe-  
13 nomenon to alleviate harbor resonance for the first time. In the paper, an elongated harbor was  
14 considered, and sinusoidal bars with different numbers and amplitudes were arranged outside the  
15 harbor and parallel to the harbor entrance. Compared with the previous three mitigation measures,  
16 this potential new method has two significant advantages. First, for the built harbor, it does not  
17 require modifying the existing plane layout and structural form. Second, the principle of alleviat-  
18 ing harbor resonance by significantly preventing long-period wave energy from penetrating the  
19 harbor has universal applicability.

20 Although the feasibility of alleviating harbor resonance by utilizing the Bragg reflection has  
21 been proved by Gao et al. (2021b), the inherent mitigation mechanism was not fully revealed  
22 therein. Instead, only a possible reason was proposed, which is described as follows (refer to Fig.  
23 1). The offshore incident waves propagate normally over the sinusoidal bars. It is the perfect con-  
24 dition to produce Bragg resonant reflection, which would cause minimal incident waves that can  
25 pass through the bars and enter the harbor. On the contrary, the radiated waves are mostly cylin-  
26 drical concerning the harbor entrance. They propagate along various directions and are not in the  
27 optimal condition for Bragg resonant reflection. In addition, the overwhelming majority of the  
28 reflected waves of the radiated waves by the periodic undulating topographies do not propagate  
29 directly back into the harbor but attack the surrounding coastline. Therefore, as a whole, the alle-  
30 viating effect of the bars resulting from the remarkable reflection of the incident waves is stronger

1 than its aggravating effect caused by the reflection of the radiated waves back into the harbor.  
 2



3  
 4 **Fig. 1.** Schematic diagram for the wave propagation process to explain the possible mitigation  
 5 mechanism proposed by Gao et al. (2021b): (a) the top view, (b) the front view of the cross-section  
 6 along the central axis of the harbor

7  
 8 Based on the knowledge of the Bragg reflection and the wave radiation and diffraction, it can  
 9 be judged that the possible reason mentioned above is reasonable. However, there are still some  
 10 questions to be answered, that is,

11 **Question (1):** Is the possible reason mentioned above the only mechanism for periodic undulating  
 12 topographies to effectively mitigate harbor resonance?

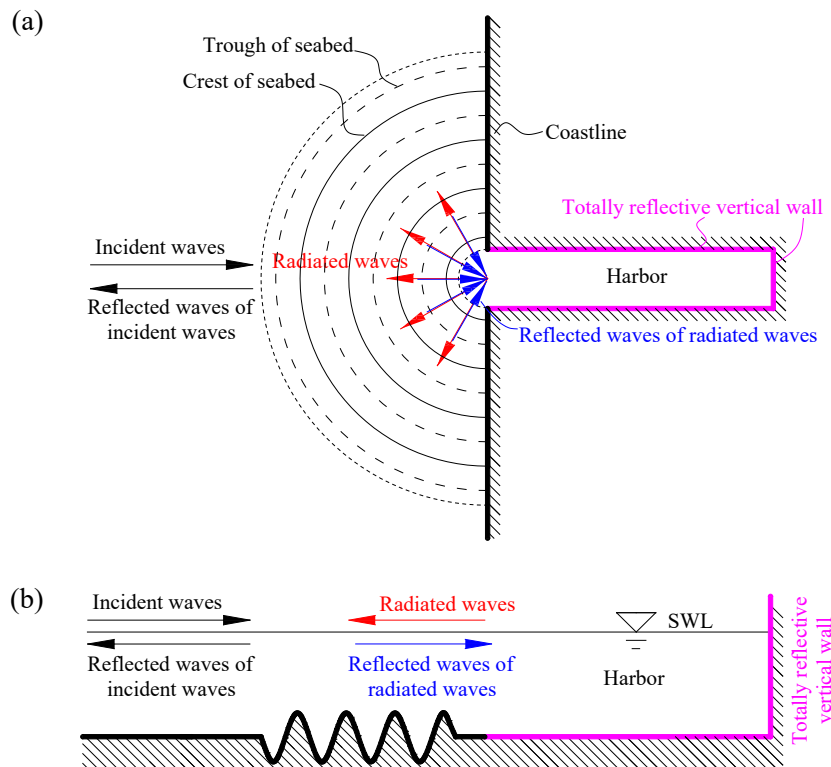
13 **Question (2):** If the answer to Question (1) is no, is the possible reason the most essential mitiga-  
 14 tion mechanism? If not, what is the most critical mechanism?

15 **Question (3):** In Gao et al. (2021b), only the influences of Bragg reflection on the harbor reso-  
 16 nance condition were investigated. How Bragg reflection affects the harbor non-resonance condi-  
 17 tion is still unknown. For the harbor non-resonance condition, if Bragg reflection could also effec-  
 18 tively alleviate the long waves inside the harbor, what are the similarities and differences of the  
 19 influence characteristics of Bragg reflection on the long waves inside the harbor under both the

1 harbor resonance and non-resonance conditions? Further, what are the internal mechanisms for the  
2 different influence characteristics if they exist?

3 From the perspective of taking Bragg reflection as a potential measure to alleviate harbor  
4 resonance, the answers to Questions (1) and (2) are vitally important because they would fully  
5 reveal the coupling mechanisms between harbor resonance and the periodic topography outside  
6 and provide a solid theoretical basis for possible practical engineering applications in the future.  
7 As for Question (3), although the harbor non-resonance condition does not pose a threat to the  
8 efficiency of loading/unloading operation and the safe of the mooring ship inside the harbor, find-  
9 ing out its answer will help us to understand the coupling mechanism between the harbor and the  
10 periodic topography more comprehensively.

11



12

13 **Fig. 2.** Schematic diagram of the wave propagation process for the interaction between the harbor  
14 and a patch of arc-shaped sinusoidal bars outside: (a) the top view, (b) the front view of the  
15 cross-section along the central axis of the harbor

16

17 To answer Question (1), this paper will also study the interactions between the incident long  
18 monochromatic waves, a patch of sinusoidal bars, and a harbor. However, different from Gao et al.

1 (2021b), the sinusoidal bars with various numbers and amplitudes will be arranged outside the  
2 harbor in a manner of the arc layout around the entrance (see Fig. 2). For this case, although the  
3 radiated waves are still mostly cylindrical concerning the entrance, they would always propagate  
4 perpendicularly with the bars in all directions, which conform to the optimal condition for Bragg  
5 reflection. Furthermore, most of the reflected waves of the radiated waves by the bars would  
6 propagate directly back into the harbor. These two factors can significantly hinder the leakage of  
7 long-period wave energy into the open sea. If the arc-shaped bars could still alleviate harbor reso-  
8 nance effectively, it would mean that there exist other unknown mitigation mechanisms.

9 Furthermore, from the perspective of practical engineering, the arc-shaped bars may have an  
10 advantage over the parallel bars. Considering that the incident waves outside harbors usually come  
11 from various directions, the arc-shaped bars can play an equally good blockage effect for them.  
12 Then they may effectively mitigate the harbor resonance excited by the incident waves in any di-  
13 rection.

14 To answer Question (2), an ingenious physical process decomposition method will be pro-  
15 posed (explained in detail in Section 3). To answer Question (3), the harbor resonance and the  
16 non-resonance conditions will be considered in the present study. Moreover, if the capability of  
17 the arc-shaped bars to alleviate harbor resonance was proved in this article, one more question  
18 would need to be further answered, that is:

19 **Question (4):** How are the best mitigation effect and its corresponding optimal bar wavelength  
20 influenced by the bar parameter variations (including the amplitude and the number)?

21 The remainder of the article will focus on solving the above four questions and is organized  
22 as follows. Section 2 introduces the numerical model adopted, and its capability to reproduce the  
23 Bragg reflection triggered by incident waves with various directions is examined by an existing  
24 analytical solution. Section 3 illustrates the specific principle of the physical process decomposi-  
25 tion method. Section 4 describes the incident wave parameters and the numerical wave tank in  
26 detail. The research results and explanations are presented in Section 5. Finally, conclusions are  
27 drawn in Section 6.

## 28 **2. Numerical model**

### 29 **2.1. Numerical model description**

1 All simulations in this paper are performed by adopting a widely-used Boussinesq model,  
 2 FUNWAVE 2.0, developed by Kirby et al. (2003). The fully nonlinear Boussinesq equations of  
 3 Wei et al. (1995) are discretized and solved by the finite difference scheme, and the control equa-  
 4 tions are formulated as

$$5 \quad \eta_t + \nabla \cdot \mathbf{M} = 0, \quad (1)$$

6 and

$$7 \quad \mathbf{u}_{at} + (\mathbf{u}_a \cdot \nabla) \mathbf{u}_a + g \nabla \eta + \mathbf{V}_1 + \mathbf{V}_2 = 0, \quad (2)$$

8 in which

$$9 \quad \mathbf{M} = (h + \eta) \mathbf{u}_a + (h + \eta) \cdot \left[ \frac{z_a^2}{2} - \frac{1}{6} (h^2 - h\eta + \eta^2) \right] \nabla (\nabla \cdot \mathbf{u}_a) + \quad (3)$$

$$(h + \eta) \left[ z_a + \frac{1}{2} (h - \eta) \right] \nabla [\nabla \cdot (h \mathbf{u}_a)],$$

$$10 \quad \mathbf{V}_1 = \frac{z_a^2}{2} \nabla (\nabla \cdot \mathbf{u}_{at}) + z_a \nabla [\nabla \cdot (h \mathbf{u}_{at})] - \nabla \left[ \frac{1}{2} \eta^2 \nabla \cdot \mathbf{u}_{at} + \eta \nabla \cdot (h \mathbf{u}_{at}) \right], \quad (4)$$

$$11 \quad \mathbf{V}_2 = \nabla \left[ (z_a - \eta) (\mathbf{u}_a \cdot \nabla) (\nabla \cdot (h \mathbf{u}_a)) \right] + \frac{1}{2} (z_a^2 - \eta^2) (\mathbf{u}_a \cdot \nabla) (\nabla \cdot \mathbf{u}_a) + \quad (5)$$

$$\frac{1}{2} \nabla \left[ (\nabla \cdot (h \mathbf{u}_a) + \eta \nabla \cdot \mathbf{u}_a)^2 \right].$$

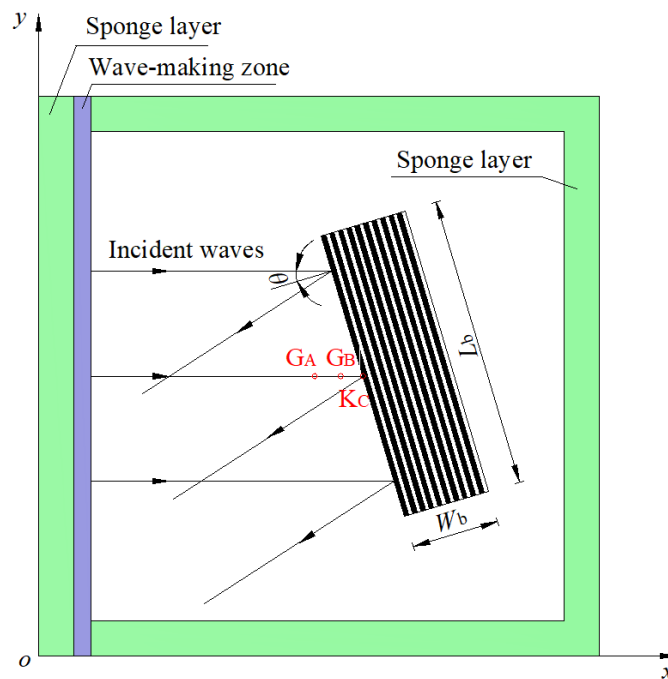
12  $t$ ,  $\eta$ ,  $h$ , and  $g$  refer to the time, the wave elevation, the water depth, and the gravitational accelera-  
 13 tion, respectively.  $\nabla = (\partial/\partial x, \partial/\partial y)$  and  $\mathbf{u}_a$  refer to the vector of horizontal gradient and that of hori-  
 14 zontal velocity at  $z_a = \alpha h$ , respectively. In the present study,  $\alpha$  is set to  $-0.531$  to achieve the best  
 15 dispersion performance of the control equations (Wei et al., 1995).

16 The numerical model adopts the one-way wave-making technique proposed by Chawla and  
 17 Kirby (2000) to produce various desired waves (including monochromatic and random waves).  
 18 The so-called "one-way" wave generation means that the generated waves propagate only to one  
 19 side of the wave-making zone. This technique achieves the one-way wave generation by adding a  
 20 symmetric source term and an anti-symmetric one concerning the centerline of the wave-making  
 21 zone to the continuity equation and momentum equation. The characteristic of the one-way wave  
 22 generation in the numerical model provides an essential basis for the physical process decomposi-  
 23 tion method described in Section 3. The "sponge layer" following Wei et al. (1995) is adopted and  
 24 deployed at the boundaries of the computational domain to absorb outgoing waves effectively.  
 25 With considerable ameliorations in dispersive and nonlinear performances, the FUNWAVE 2.0

1 model is accurate and robust in simulating the propagation of waves from medium to shallow wa-  
2 ter depth (Bruno et al., 2009; Gao et al., 2018a).

### 3 2.2. Numerical model verification

4 There is no direct experimental or analytical study on the coupling between periodic undu-  
5 lating topographies and harbors. However, because the complete physical process shown in Fig. 2  
6 mainly includes two main sub-processes (i.e., the interaction between incident waves and the si-  
7 nusoidal bars and the interaction between waves and the harbor), the model's capability can be  
8 verified by separately reproducing Bragg reflection and harbor resonance. For producing harbor  
9 resonance, its ability has been thoroughly examined in literature (e.g., Dong et al. (2010b); Ma et  
10 al. (2020)). Hence, only the performance of the numerical model in producing Bragg reflection is  
11 tested here. In fact, the capacity of the numerical model to reproduce the Bragg resonance reflec-  
12 tion has been partially validated in Gao et al. (2021b). However, only the condition that the direc-  
13 tion of the incident waves is normal to the sinusoidal bars was examined therein. This subsection  
14 is an extension and supplement to the model verification implemented by Gao et al. (2021b).



16  
17 **Fig. 3.** Top view of the numerical wave tank (NWT) for the interactions between incident waves  
18 and a patch of parallel sinusoidal bars with various relative angles.

1 The analytical solution or experimental data for Bragg reflection over arc-shaped/circular pe-  
 2 riodic topographies have not yet been reported. However, the arc-shaped periodic topography can  
 3 be regarded as a synthetic topography consisting of infinitely short straight segments with various  
 4 direction angles. Therefore, an equivalent testing scheme is to simulate the Bragg reflection over  
 5 the parallel periodic bottom subjected to oblique incident waves, for which an analytical study has  
 6 been carried out by Mei et al. (1988).

7 The sketch for the interactions between the incident waves and a patch of parallel sinusoidal  
 8 bars with various angles is illustrated in Fig. 3. The angle between the propagation direction of the  
 9 incident waves and the normal direction of the bars is defined as  $\theta$ . Based on the perturbation the-  
 10 ory, the analytical solution for the reflection coefficient  $R$  has been derived by Mei et al. (1988)  
 11 and is formulated as

$$12 \quad R(\theta) = \frac{\Omega_0 i \sinh(PW_b) \cos 2\theta}{\cos \theta [iPC_g \cosh(PW_b) + \Omega \cos \theta \sinh(PW_b)]} \quad (6)$$

13 when the detuning frequency  $\Omega$  is smaller than the cutoff frequency  $\Omega_0 \left| \frac{\cos 2\theta}{\cos^2 \theta} \right|$ . In the above  
 14 equation,  $\Omega_0$  is expressed as

$$15 \quad \Omega_0 = \frac{\omega k D}{2 \sinh(2kh_0)}, \quad (7)$$

16 in which  $\omega$  and  $k$  respectively denote the angular frequency and the wavenumber of the incident  
 17 waves,  $D$  denotes the bar amplitude,  $i$  denotes the imaginary unit,  $C_g$  denotes the group velocity of  
 18 incident waves,  $h_0$  denotes the average constant water depth,  $W_b$  is the width of the patch, and  $P$   
 19 is formulated as

$$20 \quad P = \frac{\Omega_0 \cos \theta}{C_g} \left[ \left( \frac{\cos 2\theta}{\cos^2 \theta} \right)^2 - \left( \frac{\Omega}{\Omega_0} \right)^2 \right]^{1/2}. \quad (8)$$

21 In this section, only the so-called "perfect tuning" resonance condition is considered, and the  
 22 detuning frequency  $\Omega$  of the incident waves under this condition is always equal to zero. The fol-  
 23 lowing geometric relationship needs to be satisfied to achieve the perfect tuning resonance, i.e.,

$$24 \quad k = k_b / (2 \cos \theta), \quad (9)$$

25 where  $k_b$  denotes the wavenumber of the sinusoidal bars.

26 A series of cases are simulated using the numerical model. The dimensions of the domain  
 27 shown in Fig. 3 are 26 m  $\times$  26 m. The wave-making zone with a width of 0.25 times the incident

wavelength is arranged inside the domain to generate the incident monochromatic waves. The sponge layers with a width of 2 m are deployed at all four boundaries of the domain to dissipate the outgoing waves effectively. In all cases, the direction of the incident waves keeps unchanged and always follows the positive direction of the  $x$ -axis. At the same time, their wavenumber,  $k$ , changes from case to case to meet the perfect tuning resonance condition expressed by Eq. (9). The patch of bars is rotated around the central point of its left boundary (i.e., point  $K_C$ ) so that the normal direction of the bars is gradually changed. Different from  $k$ , the bar wavenumber,  $k_b$ , keeps a constant value. The geometric parameters for the patch of bars and the water depth are set according to Mei et al. (1988). Their specific values are listed in Table 1, where  $S=2\pi/k_b$  and  $N$  denote the wavelength and the number of bars, respectively.

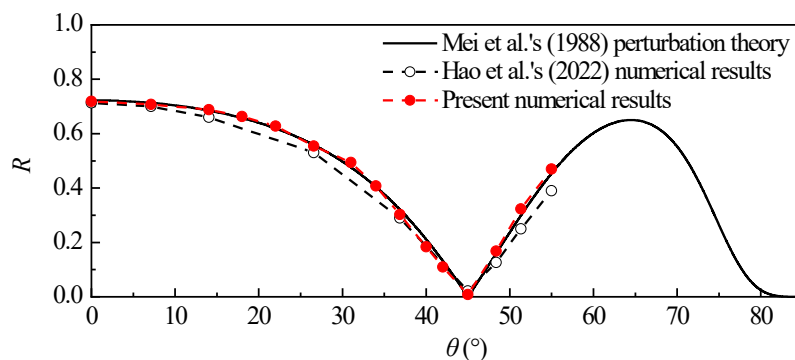
**Table 1.** Specific values for the geometric parameters of the bar patch, the water depth, and the coordinates of  $G_A$ ,  $G_B$ , and  $K_C$ .

$S$ (m)	$D$ (m)	$N$	$L_b$ (m)	$W_b$ (m)	$\theta$ (°)	$h_0$ (m)	Coordinates (m, m)		
							$G_A$	$G_B$	$K_C$
0.5	0.0247	10	16	5	0-55	0.154	(12.15, 13)	(12.35, 13)	(13, 13)

The analytical solution of Mei et al. (1988) aims at a patch of parallel sinusoidal bars with an infinite length. However, considering that the space of the computational domain is limited, the length of the patch,  $L_b$ , is set to a limited value of 16 m. In all cases, the incident wave steepness  $ka$  keeps 0.05, where  $a$  is the amplitude of the incident waves. Two wave gauges (i.e.,  $G_A$  and  $G_B$ ) are deployed near the left boundary of the patch to record the time histories of the wave elevations. Based on their records and the wave analysis technique proposed by Goda and Suzuki (1976), the reflection coefficient of the bars can be evaluated. The coordinates of the two gauges and the point  $K_C$  are presented in Table 1. The uniform grid size of  $\Delta x=\Delta y=0.025$  m and the time step of  $\Delta t=0.01$  s are adopted. In each case, the total time of 40 wave periods is simulated. The time histories of the wave elevations in the last 20 wave periods are used to calculate the reflection coefficient.

Fig. 4 compares the present model's reflection coefficients and Mei et al.'s (1988) theoretical predictions. Moreover, the reflection coefficients obtained by a High-Order Spectral (HOS) numerical model in Hao et al. (2022) are presented. Overall, the present numerical results coincide

1 quite well with both the theoretical prediction and the HOS-based numerical data. In addition,  
 2 compared to the HOS-based results, the ones obtained by the present model seem closer to the  
 3 analytical solution.



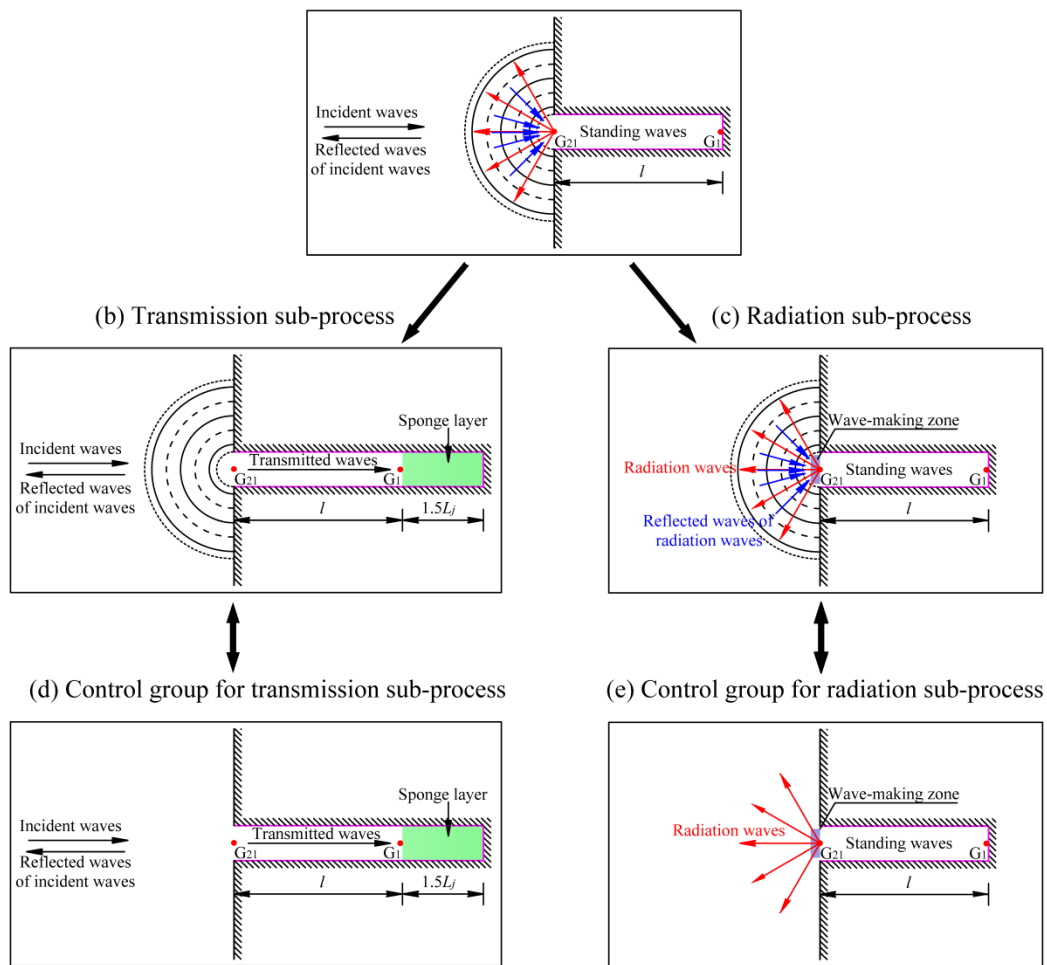
5  
 6 **Fig. 4.** Comparisons of the present simulated reflection coefficients with both the theoretical solu-  
 7 tion of Mei et al. (1988) and the High-Order Spectral (HOS) based numerical results of Hao et al.  
 8 (2022).

### 10 3. Physical process decomposition method

11 To deeply reveal the influence mechanism of the periodic undulating topography on the wave  
 12 condition inside the harbor under actions of the incident long waves, an ingenious physical process  
 13 decomposition method is proposed in this section. Its schematic diagram is illustrated in Fig. 5. In  
 14 theory, the actual influences of the periodic topography on the harbor depend on the relative in-  
 15 fluence strength of the former on the following two sub-processes: (1) the transmission  
 16 sub-process and (2) the radiation sub-process. Hence, the complete physical process shown in Fig.  
 17 5a is decomposed into these two sub-processes.

18 The effect of the periodic topography on the transmission sub-process is first investigated  
 19 (see Fig. 5b and d). Suppose Bragg resonant reflection occurs over the periodic topography. In  
 20 such a case, most of the incident wave energy from the open sea can be reflected, and only a tiny  
 21 proportion of the incident wave energy can propagate into the harbor. Under this condition, the  
 22 periodic topography outside the harbor could significantly reduce the wave energy inside the har-  
 23 bor. The critical issue here lies in how to quantitatively determine the attenuation rate of the waves  
 24 inside the harbor caused by the transmission blockage described above. If the incident monochro-  
 25 matic waves with a specific frequency had a minimal amplitude, they would be amplified by the

1 harbor with a particular amplification factor. In other words, the harbor is like a linear signal ampli-  
 2 fier for the incident waves with the same frequency but different amplitudes. The signal ampli-  
 3 fier will magnify the incident waves with an identical amplification factor as long as their ampli-  
 4 tudes are small. Hence, the attenuation rate of the waves inside the harbor in the complete physical  
 5 process caused by the bars is equal to that of the transmitted waves. Via designing a transmission  
 6 group shown in Fig. 5b and a corresponding control group in Fig. 5d where the bar does not exist,  
 7 the attenuation rate of the transmitted waves (denoted by  $K_T$  hereinafter) can be easily calculated.  
 8



9  
 10 **Fig. 5.** Schematic diagram for the physical process decomposition method. The meanings of all  
 11 the symbols and variables in the figure will be explained in Section 4.

12  
 13 Then, the influence of the periodic topography on the radiation sub-process is studied (see  
 14 Fig. 5c and e). Due to the fully reflective backwall, standing waves are always formed inside the  
 15 harbor. These standing waves release their energy into the open sea in the form of radiation waves

1 via the harbor entrance. Bragg resonant reflection over the arc-shaped bars can also significantly  
2 hinder the release of wave energy into the open sea, which would cause the significant growth of  
3 the wave energy inside the harbor. To quantitatively estimate its growth rate, the incident mono-  
4 chromatic waves are no longer generated in the open sea. Instead, a wave-making zone with a  
5 width equal to the width of the harbor is arranged just outside the entrance. Because the one-way  
6 wave-making technique is adopted (the waves made by the wave-making zone propagate only  
7 along the positive direction of the  $x$ -axis), the standing waves are formed inside the harbor. The  
8 radiation sub-process for the complete physical process can be perfectly reproduced. Considering  
9 again that the harbor acts like a linear signal amplifier, the growth rate of the standing waves in the  
10 complete physical process brought about by the bars is equal to the blockage rate of the radiated  
11 waves. Via designing a radiation group in Fig. 5c and a corresponding control group in Fig. 5e  
12 where the bar does not exist, the blockage rate of the radiated waves (denoted by  $K_R$  hereinafter)  
13 can be well determined.

14 In theory, when the harbor suffers from incident monochromatic waves with very small am-  
15 plitudes, the actual influential degree of the periodic topography on the wave condition inside the  
16 harbor should be equal to the product of the attenuation rate of the transmitted waves and the  
17 blockage rate of the radiated waves caused by the periodic topography (this equivalence relation-  
18 ship will be proved in subsection 5.3). Hence, the internal coupling mechanism between the peri-  
19 odic topography and the harbor can be effectively revealed by separately studying the transmitted  
20 waves' attenuation rate and the radiated waves' blockage rate.

## 21 22 **4. Setup of numerical experiments**

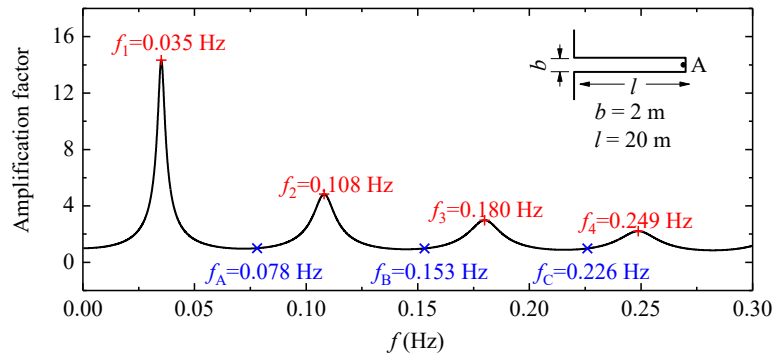
### 23 4.1. Incident wave parameters

24 In the present study, the effects of the Bragg reflection on long waves inside the harbor under  
25 harbor resonance and non-resonance conditions are investigated. Hence, aiming at these two con-  
26 ditions, the parameters for the incident waves are introduced in subsections 4.1.1 and 4.1.2. Only  
27 the incident monochromatic waves are adopted for both conditions.

#### 28 29 4.1.1. Harbor resonance condition

30 A long and narrow rectangular harbor with dimensions of  $20 \text{ m} \times 2 \text{ m}$  is considered. The wa-

ter depth inside is set to a constant,  $h_0=1$  m. According to the theoretical solution of the harbor resonance excited by long linear waves (Mei, 1983), the amplification factor curve of the harbor is shown in Fig. 6. It can be observed that the lowest four resonant modes respectively correspond to the eigenfrequencies of 0.035 Hz, 0.108 Hz, 0.180 Hz, and 0.249 Hz.



**Fig. 6.** Amplification factors at the center of the backwall (point A) calculated by Mei's (1983) theoretical solution.  $f_j$  ( $j=1-4$ ) denotes the eigenfrequency of the  $j^{\text{th}}$  mode (Mode  $j$ ), and  $f_j$  ( $j=$  "A" - "C") corresponds to three non-resonant frequencies.

**Table 2.** Parameters of both the incident waves for Modes 1-4 and the arc-shaped sinusoidal bars for the complete physical process simulations.  $L_j$  refers to the wavelength of the incident waves, which is determined by the linear dispersion relation. The topographic parameters in bold are also adopted for the process decomposition simulations.

Mode $j$	Parameters of incident waves			Parameters of arc-shaped sinusoidal bars		
	$f_j$ (Hz)	$L_j/h_0$	$a/h_0$	$N$	$D/h_0$	$2S/L_j$
1	0.035	89.41	0.01	0, 2, 4, 6, 8	0.1, 0.2, 0.3, <b>0.4</b>	<b>0.5-1.5</b>
2	0.108	28.77	0.01	0, 2, 4, 6, 8	0.1, 0.2, 0.3, <b>0.4</b>	<b>0.5-1.5</b>
3	0.180	17.02	0.01	0, 2, 4, 6, 8	0.1, 0.2, 0.3, <b>0.4</b>	<b>0.5-1.5</b>
4	0.249	12.05	0.01	0, 2, 4, 6, 8	0.1, 0.2, 0.3, <b>0.4</b>	<b>0.5-1.5</b>

To ensure that the lowest four resonant modes can be successfully excited, the frequencies of the incident monochromatic waves are taken to be their separate eigenfrequencies. In all cases, the amplitude of the incident waves is set to  $a=0.01$  m. Specific parameters of the incident waves for the lowest four modes are presented in Table 2, where  $f_j$  and  $L_j$  ( $j=1-4$ ) denote the frequency and the wavelength of the incident waves, respectively.

#### 4.1.2. Harbor non-resonance condition

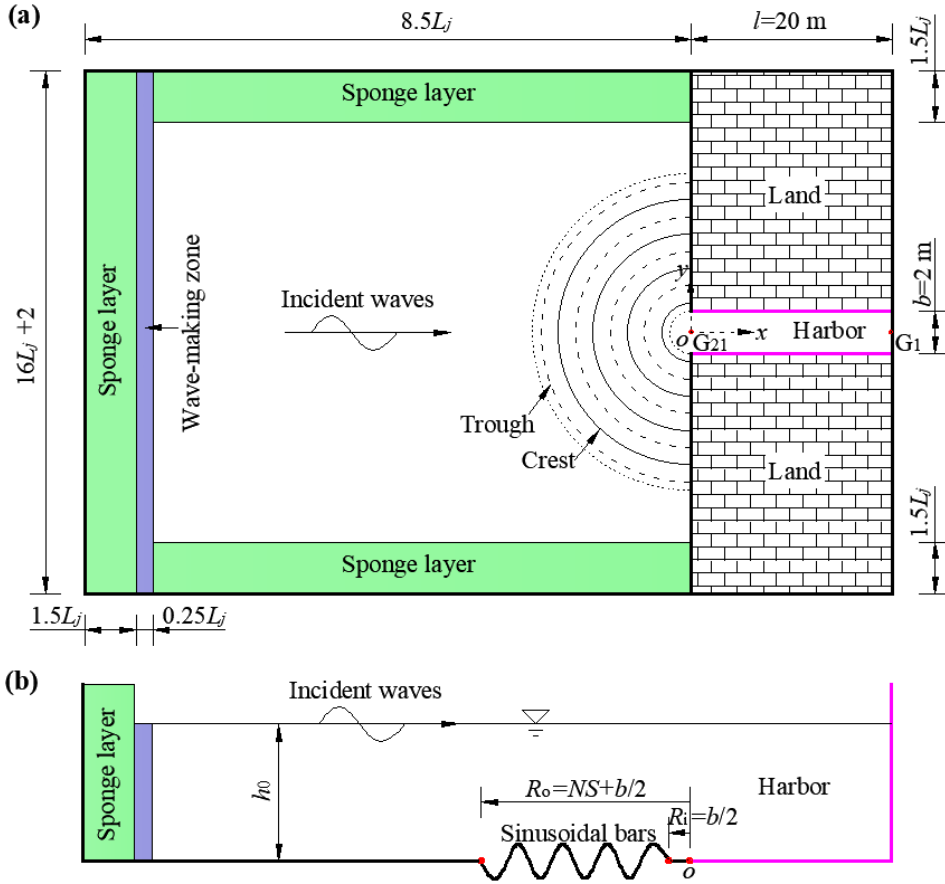
To study the effects of Bragg reflection on the harbor non-resonance condition, incident waves with frequencies of 0.078 Hz, 0.153 Hz, and 0.226 Hz are considered. All their amplification factors are approximately equal to 1.0 (refer to Fig. 6). Hereinafter, these three frequencies are denoted separately by using the variables  $f_A$ ,  $f_B$ , and  $f_C$ . All the cases corresponding to the incident waves with  $f_A$ ,  $f_B$ , and  $f_C$  are classified into Group A, Group B, and Group C, respectively. The parameters of the incident waves for these three non-resonance groups are listed in Table 3.

**Table 3.** Parameters of both the incident waves for Groups A-C and the arc-shaped sinusoidal bars for the complete physical process simulations. The topographic parameters in bold are also adopted for the process decomposition simulations.  $j$  refers to the symbols "A", "B" or "C".

Group $j$	Parameters of incident waves			Parameters of arc-shaped sinusoidal bars		
	$f_j$ (Hz)	$L_j/h_0$	$a/h_0$	$N$	$D/h_0$	$2S/L_j$
A	0.078	39.99	0.01	<b>0, 8</b>	<b>0.4</b>	<b>0.5-1.5</b>
B	0.153	20.15	0.01	<b>0, 8</b>	<b>0.4</b>	<b>0.5-1.5</b>
C	0.226	13.38	0.01	<b>0, 8</b>	<b>0.4</b>	<b>0.5-1.5</b>

#### 4.2. Numerical wave tank

The NWT for the complete physical process simulations and the coordinate system adopted are illustrated in Fig. 7. As stated above, the harbor has a length of  $l = 20$  m and a width of  $b = 2$  m. Considering that the incident wavelengths vary over a fairly wide range for different resonant modes and different non-resonance groups (see Tables 2 and 3), the dimensions of the computational domain outside the harbor are designed according to the incident wavelength,  $L_j$ . Specifically, the length of the domain outside the harbor along the  $x$ -axis is set to  $8.5L_j$ , and hence the total length of the domain along the  $x$ -axis is  $(8.5L_j+l)$ . In the  $y$ -axis direction, the entire length of the domain is set to  $(16L_j+b)$ . The wave-making zone with a width of  $0.25L_j$  is deployed to generate the incident waves. The sponge layers with a width of  $1.5L_j$  are arranged at the left, upper, and lower boundaries to dissipate reflected/radiated waves.



**Fig. 7.** Sketch of the NWT for the complete physical process simulations and the definition of the coordinate system adopted: (a) the top view of the NWT, (b) the front view of the cross-section with  $y=0$  (taking the sinusoidal bars with  $N=4$  as an example).

For all cases in Modes 2-4 and Groups B and C, the uniform grid sizes of  $\Delta x=0.25$  m and  $\Delta y=0.20$  m are adopted in the whole computational domain, where  $\Delta x$  and  $\Delta y$  denote the grid sizes in the  $x$ - and the  $y$ -axis directions, respectively. While for all cases in Mode 1 and Group A, the uniform grid sizes of  $\Delta x=0.25$  m and  $\Delta y=0.20$  m are only utilized inside the harbor. For the grid sizes outside the harbor, they gradually increase from  $\Delta x=0.25$  m and  $\Delta y=0.20$  m in the vicinity of the harbor to  $\Delta x \approx \Delta y \approx 0.025L_i$  in the sponge layers. The total simulation time is 40 wave periods, and the time step is 0.03 s. To record the wave condition, 21 gauges (i.e.,  $G_1$ - $G_{21}$ ) with an interval of 1 m are arranged inside the harbor and along the centerline of the harbor.

To answer Questions (1), (3), and (4) arisen in the Introduction section, a patch of arc-shaped sinusoidal bars with various geometric parameters is deployed around the harbor entrance, and the crest/trough lines of sinusoidal bars form a series of concentric semicircles centered on the middle

1 point of the entrance. The geometric parameters of the bars for both the harbor resonance and  
 2 non-resonance conditions are also listed in Tables 2 and 3, respectively.

3 For the complete physical process simulations of the harbor resonance condition (i.e., Modes  
 4 1-4), four bar amplitudes (i.e.,  $D/h_0=0.1-0.4$  in the interval of 0.1) and five bar numbers (i.e.,  
 5  $N=0-8$  in the interval of 2) are considered; while for that of the non-resonance condition (i.e.,  
 6 Groups A-C), only one bar amplitude (i.e.,  $D/h_0=0.4$ ) and two bar numbers (i.e.,  $N=0$  and 8) are  
 7 studied. It should be emphasized that  $N=0$  implies no bar existing in the computational domain,  
 8 and the cases with  $N=0$  are simulated here as the comparative group for the instances with  $N>0$ .  
 9 For the physical process decomposition simulations, only the bars with  $D/h_0=0.4$  are considered.

10 The radius for the inner boundary of the bar patch,  $R_i$ , is set to the half-width of the harbor  
 11 (i.e.,  $b/2=1$  m). The dimensionless bar wavelength,  $2S/L_j$ , varies between 0.5 and 1.5. Hence, the  
 12 radius for the outer boundary of the bar patch,  $R_o=NS+1$ , can achieve a maximum value of  $(6L_j$   
 13  $+b/2)$  when  $N=8$  and  $2S/L_j=1.5$ . The water depth inside the whole domain is a constant of  $h_0=1.0$   
 14 m except over the bar patch, and thus is formulated as

$$15 \quad h = \begin{cases} h_0 & \text{when } 0 < x < l, |y| \leq b/2 \\ h_0 - D \sin(2\pi r / S) & \text{when } b/2 \leq r \leq R, x \leq 0 \\ h_0 & \text{when } r > R, x \leq 0 \end{cases}, \quad (10)$$

16 where  $r$  denotes the distance between any spatial point and the middle point of the entrance.

17 For the process decomposition simulations, the overwhelming majority of the setups for their  
 18 NWTs are identical to that shown in Fig. 7. Only the different setups between them are described  
 19 here. For the transmission sub-process and its control group (Fig. 5b and d), the length of the har-  
 20 bor is extended by  $1.5L_j$ . In this extended domain, a sponge layer is deployed so that all the trans-  
 21 mitted waves can be effectively absorbed therein. The attenuation rate of the transmitted waves,  
 22  $K_T$ , is the ratio of the wave amplitude at the gauge  $G_1$  in the transmission sub-process to that in its  
 23 control group. For the radiation sub-process and its control group (Fig. 5c and e), the  
 24 wave-making zone is no longer arranged near the left sponge layer. Instead, it is deployed just out-  
 25 side the harbor entrance. Its width along the  $y$ -axis is equal to the width of the harbor, and its  
 26 width along the  $x$ -axis is still set to  $0.25L_j$ . The blockage rate of the radiated waves,  $K_R$ , is the ratio  
 27 of the wave amplitude at the gauge  $G_1$  in the radiation sub-process to that in its control group.

28

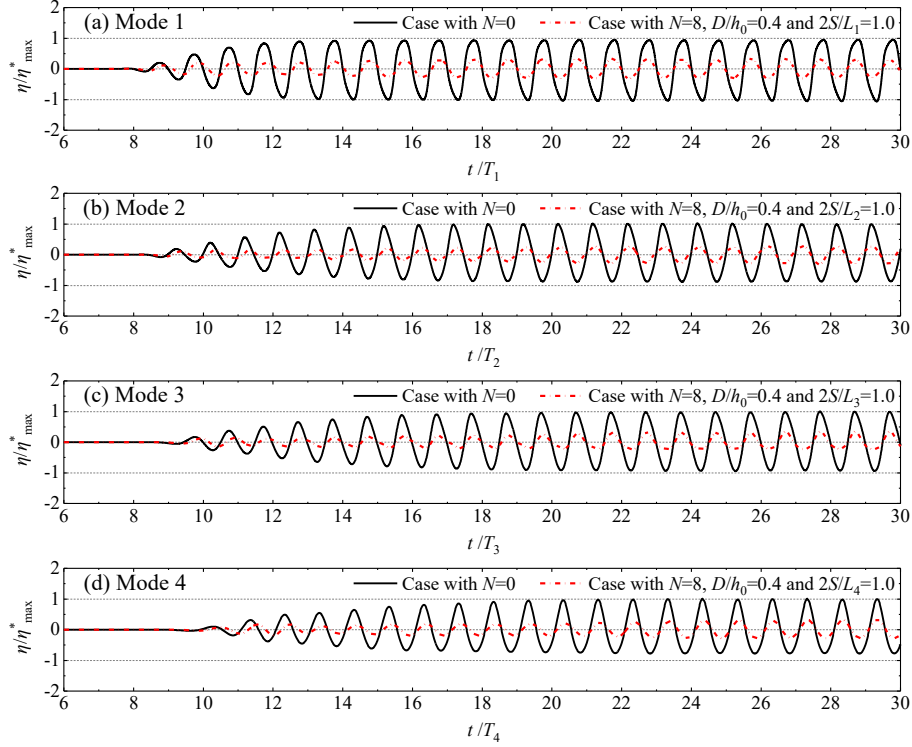
## 5. Results and discussion

Time histories of free surface and spatial distributions of wave amplitudes inside the harbor for the complete physical process simulations are first demonstrated in subsection 5.1. Then, the overall influences of Bragg reflection on mitigating the long waves inside the harbor under both the resonance and non-resonance conditions are presented in subsection 5.2. Subsection 5.3 analyzes the internal mitigation mechanism of the long waves based on the physical process decomposition method. Finally, the influences of shape parameters of bars on harbor oscillations are comprehensively studied in subsection 5.4.

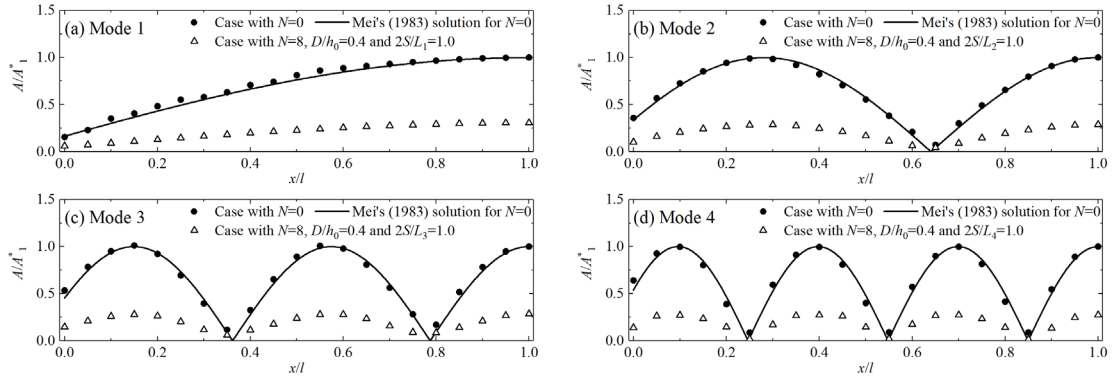
### 5.1. Time histories of wave elevations and amplitude distributions for complete physical processes

#### 5.1.1. Harbor resonance condition

Fig. 8 illustrates the time histories of the wave elevations at the backwall of the harbor (i.e.,  $G_1$ ) for the sinusoidal bars with  $N=8$ ,  $D/h_0=0.4$ ,  $2S/L_j=1.0$  ( $j=1-4$ ) and the no-bar topography (i.e.,  $N=0$ ) under Modes 1-4. In this figure,  $T_j = 1/f_j$  denotes the incident wave period. The time histories of the wave elevations,  $\eta$ , at the gauge  $G_1$  are normalized by  $\eta_{\max}^*$  that denotes the maximum wave elevation during the whole simulation for the no-bar topography. In the initial stage of the simulations, the water body inside the harbor is calm. After around  $(8-10)T_j$ , which depends on the resonant modes, the incident waves arrive at the gauge  $G_1$ . Then the wave energy increases gradually from zero to its maximum level within around another  $10T_j$  for all the eight cases shown in the figure. In other words, at the moment of  $t \approx 20T_j$ , the steady-state harbor resonance has been obtained. In the current study, only the time histories of the steady-state wave elevations ( $t/T_j > 20$ ) are utilized to analyze the spatial distributions of wave amplitudes inside the harbor.



**Fig. 8.** Time histories of the free surfaces at the gauge  $G_1$  for the sinusoidal bars with  $N=8$ ,  $D/h_0=0.4$ ,  $2S/L_j=1.0$  ( $j=1-4$ ) and the no-bar topography (i.e.,  $N=0$ ) under Modes 1-4.  $T_j=1/f_j$  in the figure denotes the incident wave period adopted in Mode  $j$ .



**Fig. 9.** The amplitude distributions inside the harbor predicted by FUNWAVE 2.0 (dots) and by Mei's (1983) theoretical solution (lines) for the eight cases in Fig. 8.

The mean wave amplitudes at all wave gauges during the  $t/T_j > 20$  can be obtained based on the zero-up-crossing method and the time histories of steady-state water elevations. For all the eight cases in Fig. 8, the spatial distributions of the mean wave amplitudes are further demonstrated in Fig. 9, where  $A$  denotes the mean wave amplitudes at various gauges, and  $A_1^*$  denotes

1 the mean wave amplitude at the gauge  $G_1$  for the no-bar topography. The theoretical resonant  
 2 modal shapes predicted by Mei's (1983) solution are also illustrated here for comparison.

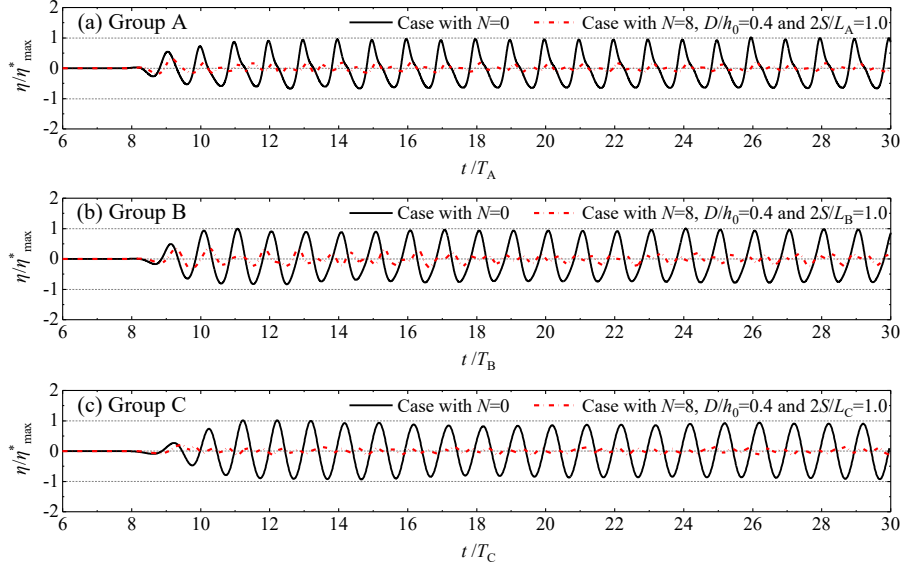
3 There are three apparent phenomena reflected in this figure. Firstly, a fairly good agreement  
 4 between the simulated amplitude distributions and the theoretical ones can be observed for all the  
 5 no-bar cases. It well reflects the accuracy of the FUNWAVE 2.0 model in simulating harbor reso-  
 6 nance. Secondly, regardless of whether the bars exist, the maximum wave amplitude always ap-  
 7 pears at the backwall owing to its total reflection property. Considering the significance and typi-  
 8 cality of the wave amplitude at the gauge  $G_1$ , the ratio of the amplitude there for  $N>0$  (denoted by  
 9  $A_1$ ) to that for  $N=0$  (denoted by  $A_1^*$ ) will be utilized to quantitatively measure the influence of the  
 10 arc-shaped bars on harbor oscillations. Thirdly, the wave amplitudes for the cases with  $N=8$  are  
 11 always significantly smaller than the corresponding ones for the instances with  $N=0$ , regardless of  
 12 the resonant mode. The amplitude ratios,  $A_1/A_1^*$ , for Modes 1-4 are further presented in Table 4.  
 13 For all four modes, their amplitude ratios are only about 30%, which means that around 70% of  
 14 the resonant wave amplitude is inhibited by the patch of arc-shaped bars outside the harbor.

15  
 16 **Table 4.** The ratios of the wave amplitudes at the gauge  $G_1$  for the cases with  $N=8$ ,  $D/h_0=0.4$ , and  
 17  $2S/L_j=1.0$  ( $j=1-4$ ) to those for the cases with  $N=0$  under Modes 1-4.

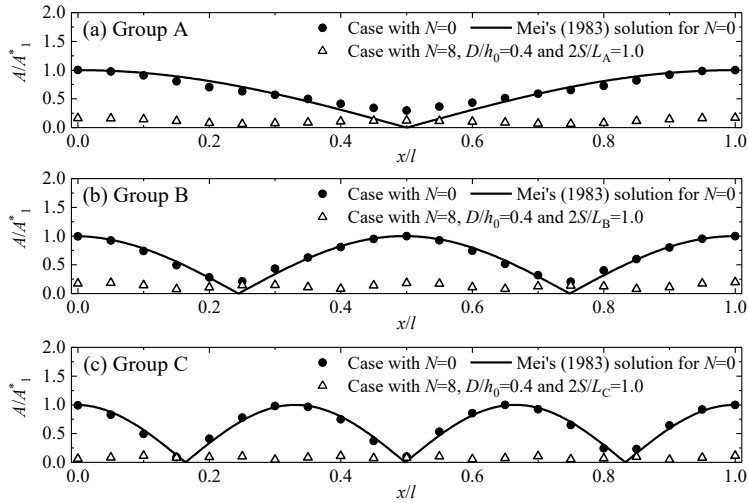
Ratio	Mode 1	Mode 2	Mode 3	Mode 4
$A_1/A_1^*$ (%)	30.61	28.78	28.54	27.42

18  
 19 5.1.2. Harbor non-resonance condition

20 Fig. 10 presents the comparisons between the time histories of the wave elevations at the  
 21 backwall for the bars with  $N=8$ ,  $D/h_0=0.3$ ,  $2S/L_j=1.0$  ( $j=$  "A" - "C") and those for the no-bar to-  
 22 pography under Groups A-C. The phenomena shown in this figure are identical to those in Fig. 8,  
 23 except that the wave energy inside the harbor increases rapidly from zero to its steady state only  
 24 within  $(3-4)T_j$  after the incident waves reach the gauge  $G_1$ . However, to maintain consistency with  
 25 the resonance condition, time histories of wave elevation with  $t/T_j > 20$  are also used for the  
 26 non-resonance condition to calculate the amplitude distribution inside the harbor.



**Fig. 10.** As in Fig. 8, but for the harbor non-resonance condition. (a)-(c) correspond to Groups A-C, respectively.  $T_j=1/f_j$  ( $j=$  "A", "B" and "C") denotes the incident wave period utilized in Group  $j$ .



**Fig. 11.** As in Fig. 9, but for the six cases shown in Fig. 10.

Fig. 11 presents the spatial distributions of the wave amplitudes for all six cases in Fig. 10. Similar to Fig. 9, the following two crucial phenomena are also observed. Firstly, due to the reflective backwall, the maximum wave amplitude inside the harbor always appears there. Secondly, for all three groups, the wave amplitudes for the cases with  $N=8$  are always significantly lower than the corresponding ones for the instances with  $N=0$ . Furthermore, the attenuation degree of the wave amplitude caused by the bars for the non-resonance condition seems to be greater than that for the resonance condition. Identical to the resonance condition, the ratio of the amplitude at the

gauge  $G_1$  for  $N=8$  to that for  $N=0$  will also be used to quantitatively determine the influence of the bars on the long waves inside the harbor under the non-resonance condition. The amplitude ratios,  $A_1/A_1^*$ , for Groups A-C are further listed in Table 5. It is seen that the amplitude ratios for the three groups are indeed remarkably lower than those for Mode 1-4. For the non-resonance condition, the wave amplitude inside the harbor can be reduced by up to 80% - 89% due to the existence of the bars.

**Table 5.** The ratios of the amplitudes at the gauge  $G_1$  for the cases with  $N=8$ ,  $D/h_0=0.4$  and  $2S/L_j=1.0$  ( $j=$  "A", "B" and "C") to those for the cases with  $N=0$  for Groups A-C.

Ratio	Group A	Group B	Group C
$A_1/A_1^*$ (%)	16.93	19.61	11.43

## 5.2. Overall results for the influence of Bragg reflection

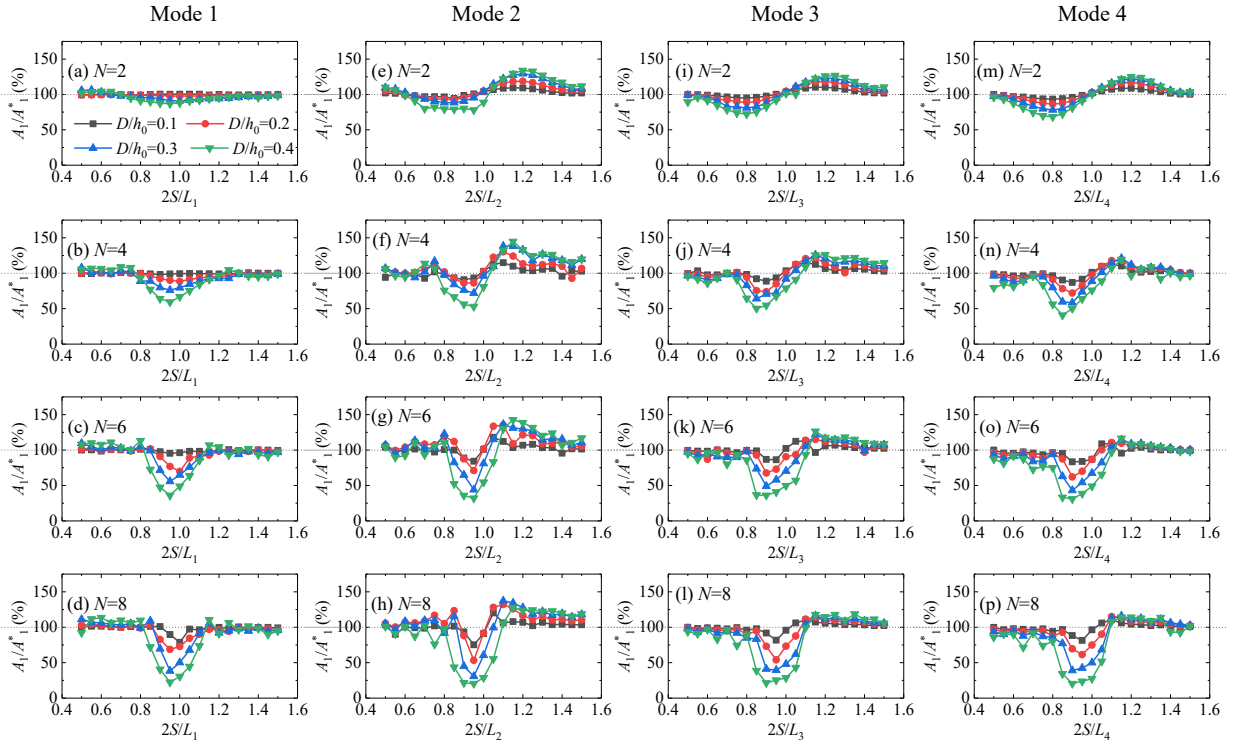
The overall results for the effects of the arc-shaped sinusoidal bars on harbor resonance are first shown in subsection 5.2.1, and their ability to restrain harbor resonance is fully revealed. Subsequently, their effects on the long waves inside the harbor under the non-resonance condition are studied in subsections 5.2.2. The similarities and differences of influence characteristics concerning the resonance condition are also uncovered therein.

### 5.2.1. Harbor resonance condition

Fig. 12 illustrates the changing trends of  $A_1/A_1^*$  with  $2S/L_j$  for different bar numbers and resonant modes. There exist three apparent phenomena. Firstly,  $A_1/A_1^*$  seems to be always less than 100% nearby  $2S/L_j=1$ , and the greater the bar number is, the lower the value of  $A_1/A_1^*$  therein is. Within the ranges of the bar parameters considered, the strength of harbor resonance can be weakened by up to about 80%. It indicates that although the arc-shaped sinusoidal bars can significantly reflect the radiated waves into the harbor when Bragg resonant reflection occurs (refer to Fig. 2), the arc-shaped sinusoidal bars are also able to effectively suppress harbor resonance, just like the periodic bars parallel with the entrance (Gao et al., 2021b). It further implies that besides the possible reason proposed by Gao et al. (2021b) (its detailed description has been presented in

1 the Introduction section), there exist other unknown mitigation mechanisms of harbor resonance  
 2 by the periodic topography.

3 Secondly, in general, the patch of periodic topographies inclines to aggravate harbor reso-  
 4 nance as the dimensionless bar wavelength  $2S/L_j$  becomes greater than 1.1, especially for Modes  
 5 2-4 and for the bars with larger amplitudes. It shows that the intensifying effect of the arc-shaped  
 6 bars becomes stronger than its inhibitory effect in this case. Thirdly, for each set of the bar number  
 7 and amplitude, both the lowest  $A_1/A_1^*$  (represented by " $(A_1/A_1^*)_m$ " below) and its corresponding  
 8  $2S/L_j$  (represented by " $(2S/L_j)_m$ " and termed as the dimensionless optimal bar wavelength) heavily  
 9 depend on the geometric parameters of the periodic topography. Specific studies on how the topo-  
 10 graphic parameters influence them will be implemented in subsections 5.4.  
 11

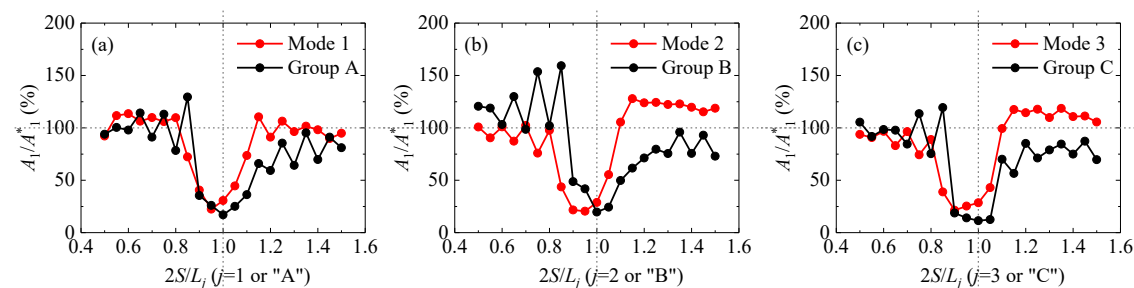


12  
 13 **Fig. 12.** Changing trends of  $A_1/A_1^*$  with  $2S/L_j$  ( $j=1-4$ ) for different bar numbers: (a-d) Mode 1; (e-h)  
 14 Mode 2; (i-l) Mode 3; (m-p) Mode 4.

15  
 16 5.2.2. Harbor non-resonance condition

17 Fig. 13 presents the changing trends of  $A_1/A_1^*$  with  $2S/L_j$  for Groups A-C. For comparison,  
 18 the tendencies of  $A_1/A_1^*$  for Modes 1-3 with  $N=8$  and  $D/h_0=0.4$  are also shown in the figure. It is  
 19 seen that like the harbor resonance condition, the arc-shaped bars under the non-resonance condi-

tion can also significantly reduce the long waves inside the harbor when the Bragg resonant reflection appears; within the ranges of the bar parameters considered, the strength of the long waves inside the harbor can be weakened by up to about 89%. However, under both conditions, the following three different influence characteristics of the bars exist on the long waves inside the harbor.



**Fig. 13.** Changing trends of  $A_1/A_1^*$  with  $2S/L_j$  for (a) Group A, (b) Group B, and (c) Group C. For comparison, the tendencies of  $A_1/A_1^*$  for Modes 1-3 with  $N=8$  and  $D/h_0=0.4$  are also shown.

Firstly, within the range of  $2S/L_j < 0.9$ , the value of  $A_1/A_1^*$  under the non-resonance condition tends to be larger than the corresponding one under the resonance condition. In addition, the former shows a more significant fluctuation. Secondly, their relative size becomes an opposite relationship when  $2S/L_j$  becomes larger than 1.0. Furthermore, within this range, the bars always suppress the long waves inside the harbor under the non-resonance condition; on the contrary, under the resonance condition, the bars tend to aggravate the long waves overall. Thirdly, the dimensionless optimal bar wavelengths,  $(2S/L_j)_m$ , under the non-resonance condition are always equal to or slightly larger than 1.0, while those for the three resonant modes are all less than 1.0.

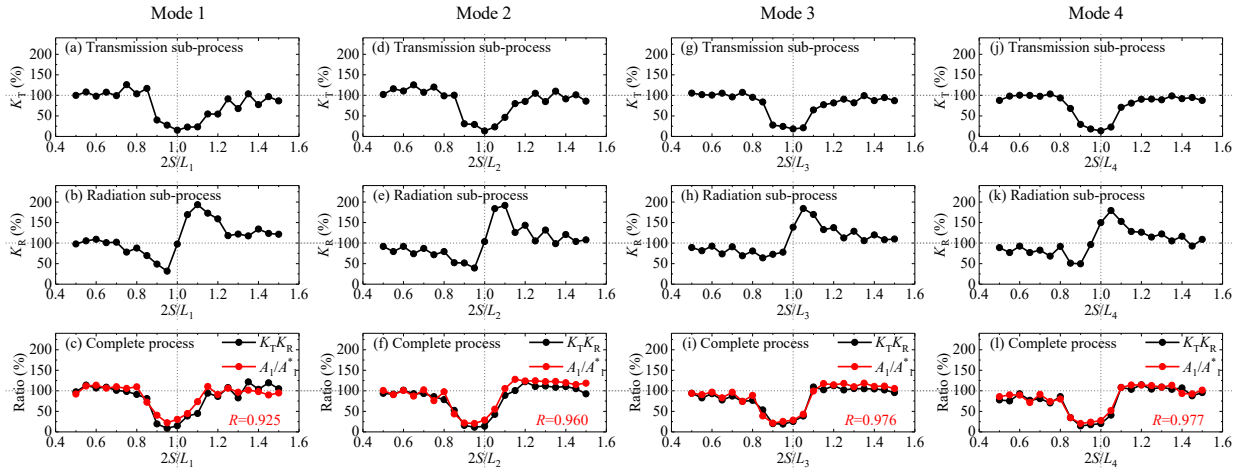
The internal mitigation mechanism of the long waves inside the harbor by Bragg reflection under both the resonance and non-resonance conditions and the reason for the three different influence characteristics of the topography will be revealed in the following subsection.

### 5.3. Mechanism analysis of mitigating long waves inside the harbor

Based on the physical process decomposition method, the attenuation rate of the transmitted waves,  $K_T$ , and the blockage rate of the radiated waves,  $K_R$ , can be quantitatively determined. For the lowest four resonant modes with  $D/h_0=0.4$  and  $N=8$ , the values of both  $K_T$  and  $K_R$  and the

1 comparison between  $K_T K_R$  and  $A_1/A_1^*$  obtained in the complete physical process are illustrated in  
 2 Fig. 14. Three phenomena can be found. Firstly, the changing trends of  $K_T$  with  $2S/L_j$  ( $j=1-4$ ) for  
 3 the lowest four modes are similar (see Fig. 14a, d, g, and j). Within the ranges of  $2S/L_j > 1.2$  or  
 4  $2S/L_j < 0.85$ , the value of  $K_T$  is insensitive to the bar wavelength. The former always slightly fluctu-  
 5 ates around a specific value close to 100%. While within the range of  $0.85 \leq 2S/L_j \leq 1.2$ ,  $K_T$  contin-  
 6 uously decreases and then increases with  $2S/L_j$ , and all four  $K_T$  curves take on a "U" shape. The  
 7 smallest value of  $K_T$  is as low as 13%-18% and always appears at  $2S/L_j = 1.0$ .

8 Secondly, the changing trends of  $K_R$  are distinctly different from those of  $K_T$  (see Fig. 14b, e,  
 9 h, and k).  $K_R$  always first decreases, then increases, and then decreases with increasing bar wave-  
 10 length. In other words, all four  $K_R$  curves take on an inverted "N" shape. The maximum value of  
 11  $K_T$  is 184%-194% and always appears at  $2S/L_j = 1.1$  or 1.05. Thirdly, for all four resonant modes,  
 12 the products of  $K_T$  and  $K_R$  are always very close to the values of  $A_1/A_1^*$  obtained directly in the  
 13 complete physical process simulations (see Fig. 14c, f, i, and l). It can be quantitatively reflected  
 14 by the correlation coefficient,  $R$ , between the two curves of  $K_T K_R$  and  $A_1/A_1^*$ . All four correlation  
 15 coefficients exceed 0.92. This phenomenon proves the correctness of the physical process decom-  
 16 position method proposed in this paper.

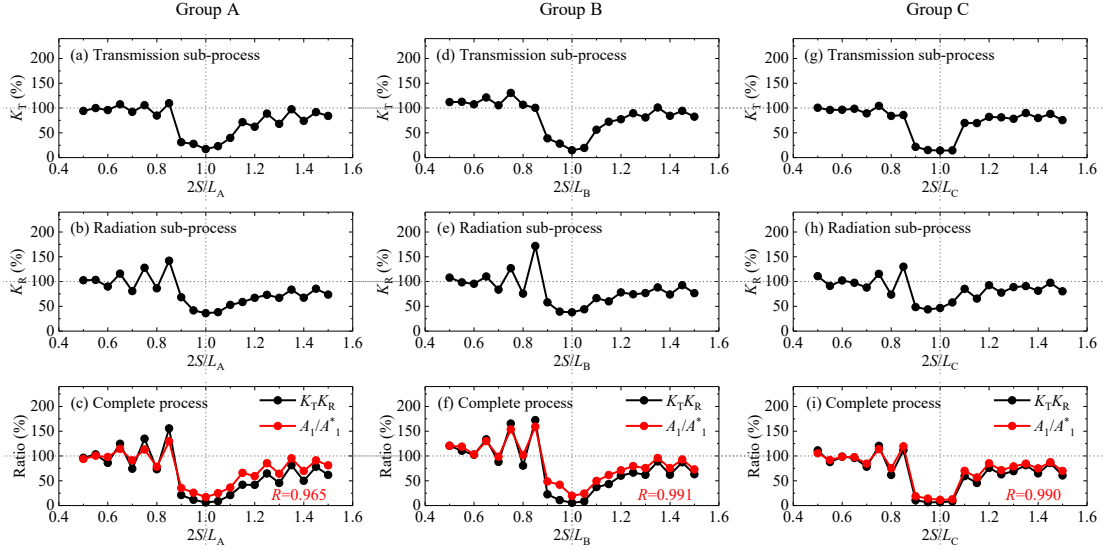


17 **Fig. 14.** Attenuation rate of the transmitted waves ( $K_T$ ), the blockage rate of the radiated waves  
 18 ( $K_R$ ), and the comparison of their product with  $A_1/A_1^*$  obtained in the complete physical process  
 19 simulations with  $D/h_0=0.4$  and  $N=8$ : (a-c) Mode 1; (d-f) Mode 2; (g-i) Mode 3; (j-l) Mode 4  
 20  
 21

22 To avoid repetition of similar results in this subsection, the results of  $K_T$  and  $K_R$  and the  
 23 comparison between  $K_T K_R$  and  $A_1/A_1^*$  for the lowest four modes with  $D/h_0=0.4$  and  $N=2, 4, 6$

1 are further demonstrated in Figs. A1-A3 of Appendix A. All three phenomena mentioned above  
 2 are also well observed in Figs. A1-A3.

3



4

5 **Fig. 15.** As in Fig. 14, but for the harbor non-resonance condition: (a-c) Group A; (d-f) Group B;  
 6 (g-i) Group C.

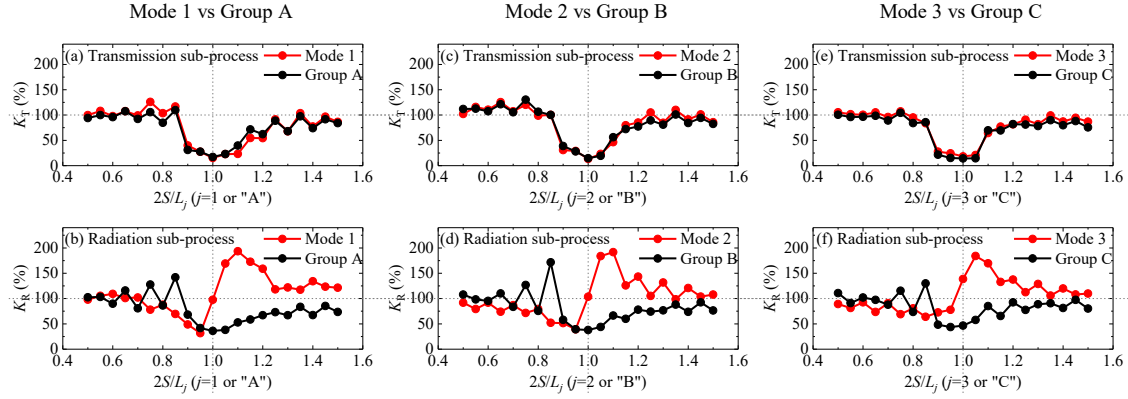
7

8 Fig. 15 further presents the values of  $K_T$  and  $K_R$  and the comparison between  $K_T K_R$  and  
 9  $A_1/A_1^*$  for the harbor non-resonance condition. The first and the third phenomena in Fig. 14 are  
 10 also well observed in this figure. However, for the radiation sub-process (see Fig. 15b, e, and h),  
 11 the changing trends of  $K_R$  are distinctly different from those for Modes 1-4. Specifically, the curve  
 12 of  $K_R$  does not present an inverted "N" shape anymore. Instead, within the range of  $2S/L_j \leq 0.85$ ,  $K_R$   
 13 increases gradually in a fluctuating manner with the increase of  $2S/L_j$  ( $j = "A" - "C"$ ). As the bar  
 14 wavelength further increases,  $K_R$  is always shown to decrease sharply and then mildly increase  
 15 with the bar wavelength. The maximum value of  $K_R$  always appears at  $2S/L_j = 0.85$  and lies be-  
 16 tween 130% and 172%, depending on the non-resonance group.

17

18 Based on Figs. 14 and 15, it can be concluded that although the possible reason for mitigating  
 19 harbor resonance by the periodic topography proposed by Gao et al. (2021b) is reasonable, it is not  
 20 the most critical factor. The essential mechanism lies in that when the Bragg resonant reflection  
 21 occurs, the enhancement effect of the bars for the long waves inside the harbor caused by the  
 blockage of the radiated waves is much weaker than their attenuation effect caused by the block-

age of the transmitted waves, regardless of the harbor resonance condition or the non-resonance condition.



**Fig. 16.** Comparisons of the changing trends of  $K_T$  and  $K_R$  with the bar wavelength under the harbor resonance and the non-resonance conditions: (a, b) Mode 1 vs. Group A; (c, d) Mode 2 vs. Group B; (e, f) Mode 3 vs. Group C.

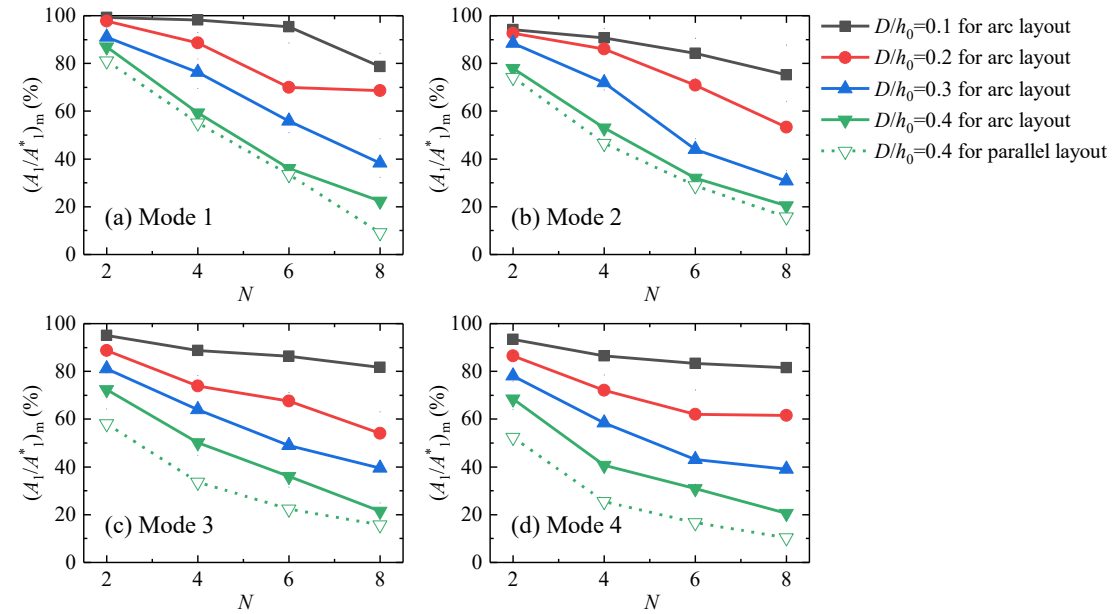
To further reveal the reasons for the three different influence characteristics of the bars on the long waves inside the harbor under the resonance and non-resonance conditions shown in Fig. 13, Fig. 16 intuitively compares the changing trends of both  $K_T$  and  $K_R$  concerning the bar wavelength under both conditions. It is seen that the two curves of  $K_T$  under both conditions are almost overlapped with each other (see Fig. 16a, c, and e). While for  $K_R$  under both conditions, as mentioned above, their changing trends are quite different (see Fig. 16b, d, and f). Hence, all the three different influence characteristics of the bars on the long waves inside the harbor are essentially caused by the different influences of the bars on the radiation sub-process.

The first two different influence characteristics can be easily understood from the different changing trends of  $K_R$ . The third different influence characteristic needs to be further explained here. For the resonance condition, although the minimum  $K_T$  always appears at  $2S/L_j=1.0$  or  $1.05$ , the differences between the values of  $K_T$  within the range of  $0.90 \leq 2S/L_j \leq 1.05$  are quite small. Hence, the tendencies of  $K_T K_R$  (equivalently,  $A_1/A^*_1$ ) in the vicinity of  $2S/L_j=1.0$  depend only on  $K_R$ . On the other hand,  $K_R$  within the range of  $0.80 \leq 2S/L_j \leq 0.95$  keeps relatively small values. As  $2S/L_j$  further increases, the value of  $K_R$  increases sharply. Therefore, for Modes 1-4 with  $N=8$  and  $D/h_0=0.4$ , their optimal bar wavelengths,  $(2S/L_j)_m$ , are always less than 1.0. For the non-resonance

condition, the variation of  $K_R$  in the vicinity of  $2S/L_j=1.0$  is very similar to that of  $K_T$ . That is, the minimum  $K_R$  always occurs at around  $2S/L_j=1.0$ , and the variation of  $K_R$  within  $0.90 \leq 2S/L_j \leq 1.05$  is also quite limited. Because  $K_T$  is much smaller than the corresponding  $K_R$  within this range, the sensitivity for the variation of  $A_1/A^*_1$  would be controlled mainly by  $K_T$ . Hence, for Groups A-C, their optimal bar wavelengths,  $(2S/L_j)_m$ , are always equal to or slightly larger than 1.0.

#### 5.4. Influences of topographic parameters on harbor oscillations

##### 5.4.1. Influences of the bar number

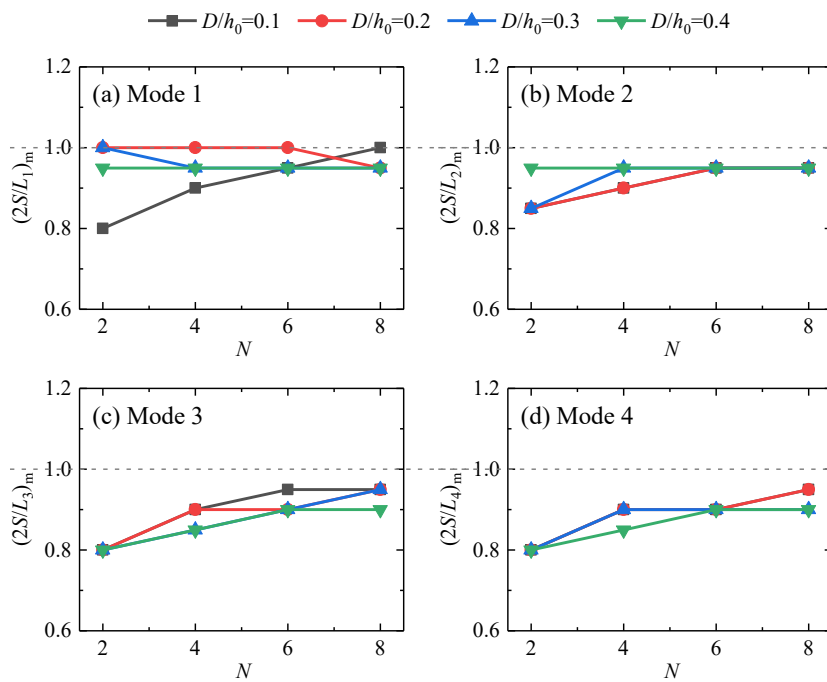


**Fig. 17.** Changing trends of  $(A_1/A^*_1)_m$  with the bar number  $N$  for Modes 1-4. Its changing trends for the parallel bars with  $D/h_0=0.4$  in Gao et al. (2021b) are also shown for comparison.

As mentioned above, for each set of the bar number and amplitude,  $(A_1/A^*_1)_m$  denotes the minimum value of  $A_1/A^*_1$  within the variation range of the normalized bar wavelength (i.e.,  $0.5 \leq 2S/L_j \leq 1.5$ ). Hence, the magnitudes of  $(A_1/A^*_1)_m$  can directly reflect the alleviation/inhibition effect of the periodic undulating topography on harbor resonance; the smaller the value of  $(A_1/A^*_1)_m$  is, the better the inhibition effect on harbor resonance is. The changing trends of  $(A_1/A^*_1)_m$  with the bar number for the lowest four modes are presented in Fig. 17. For ease of comparing the differences between the parallel and arc-shaped bars in inhibiting harbor resonance,

1 the values of  $(A_1/A^*_1)_m$  for the parallel bars with  $D/h_0=0.4$  in Gao et al. (2021b) are also shown in  
 2 this figure. This comparison is appropriate because the harbors adopted in both studies have iden-  
 3 tical plane sizes and water depths. In addition, the water depth outside the harbor in these two  
 4 studies is also the same, except over the patch of bars owing to their different plane layouts.

5 It can be observed that for all the modes and all bar amplitudes considered,  $(A_1/A^*_1)_m$  gradu-  
 6 ally declines as the bar number rises. It means that when Bragg resonant reflection occurs, the in-  
 7 hibition effect of the arc-shaped periodic topography on harbor resonance becomes better and bet-  
 8 ter as the bar number grows. This phenomenon is consistent with the finding in many pure Bragg  
 9 reflection investigations (e.g., Gong et al. (2022); Liu et al. (2019); Ni and Teng (2021b)) that the  
 10 peak Bragg reflection increases gradually with the bar number. It is also seen that the inhibition  
 11 effect for the arc-shaped bars is always slightly weaker than that for the parallel ones. This phe-  
 12 nomenon directly proves that the possible mechanism proposed by Gao et al. (2021b) (shown in  
 13 Fig. 1) is also reasonable, although it is not the most important and essential factor.

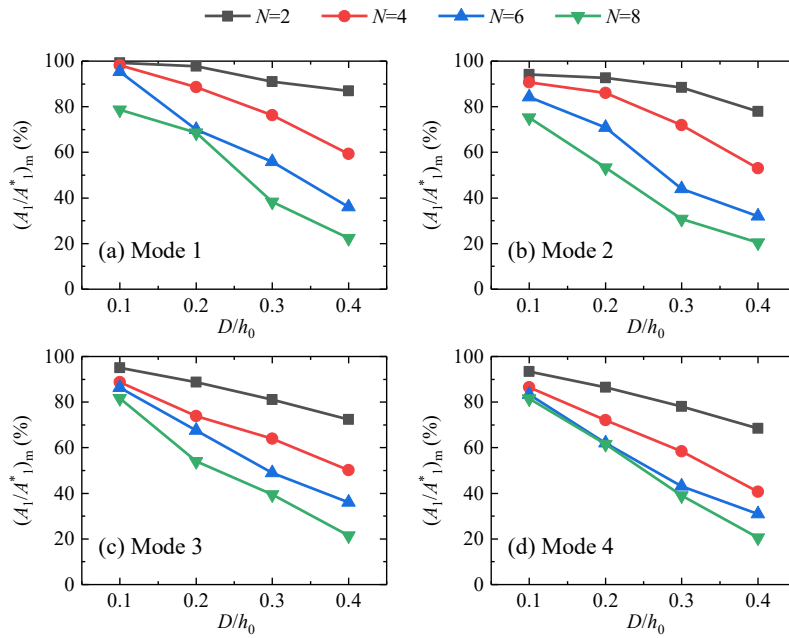


15  
 16 **Fig. 18.** Changing trends of  $(2S/L_j)_m$  with the bar number  $N$  for Modes 1-4.

17  
 18 Considering that the dimensionless optimal bar wavelength,  $(2S/L_j)_m$ , directly reflects the  
 19 geometric conditions attaining the best inhibition effect, an accurate understanding of it is also

1 important. The changing trends of  $(2S/L_j)_m$  with the bar number for the lowest four modes are  
 2 shown in Fig. 18. Two apparent phenomena can be observed. Firstly, for most sets of the bar  
 3 number and the bar amplitude, their values of  $(2S/L_j)_m$  are less than 1.0. This phenomenon is  
 4 mainly determined by the changing feature of  $K_R$ , which has been explained in detail in subsection  
 5 5.3. Secondly, except for the bars with  $D/h_0=0.2-0.4$  in Mode 1 and the bars with  $D/h_0=0.4$  in  
 6 Mode 2, the values of  $(2S/L_j)_m$  for the other bars increase gradually with the bar number.

#### 8 5.4.2. Influences of the bar amplitude

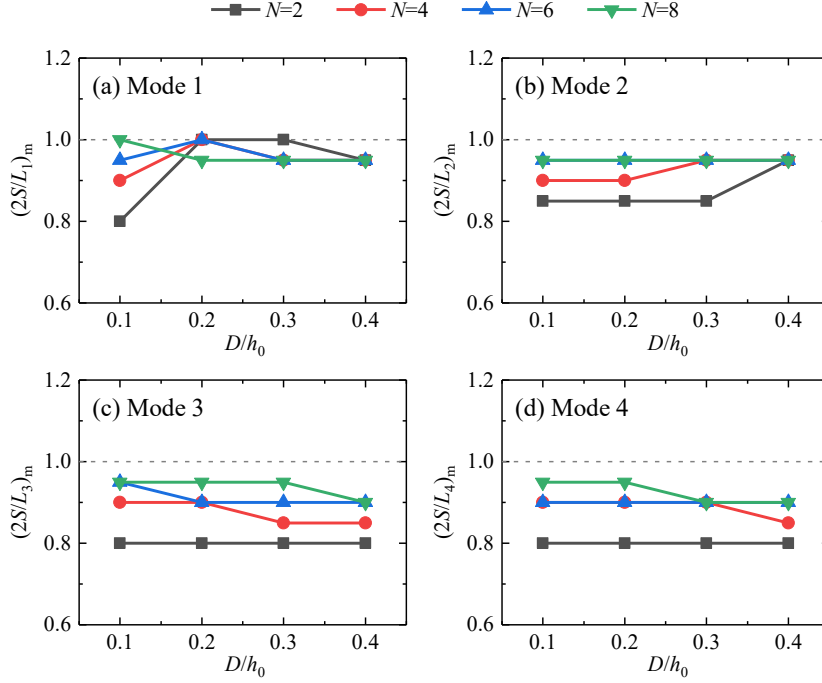


10 **Fig. 19.** Variations of  $(A_1/A^*_1)_m$  with the bar amplitude for Modes 1-4.

11  
 12  
 13 The variation of  $(A_1/A^*_1)_m$  with the bar amplitude for each mode and each bar number is il-  
 14 lustrated in Fig. 19. Similar to Fig. 17, for all the modes and all the bar numbers considered,  
 15  $(A_1/A^*_1)_m$  also decreases gradually with an increase of the bar amplitude, which indicates that the  
 16 inhibition effect of the arc-shaped bars on harbor resonance is progressively enhanced as the bar  
 17 amplitude rises. Fig. 20 further shows the tendency of  $(2S/L_j)_m$  concerning the bar amplitude. The  
 18 influence of the bar amplitude on  $(2S/L_j)_m$  is shown to rely heavily on the resonant mode. Specifi-  
 19 cally, for Mode 1, as the bar amplitude increases,  $(2S/L_j)_m$  may slightly decrease or first increase  
 20 and then decrease with the former, depending on the bar number. For Mode 2,  $(2S/L_j)_m$  keeps un-

1 changed or increases slightly with the rise of the bar amplitude. While for Modes 3 and 4, the in-  
 2 crease of the bar amplitude tends to cause the decline of  $(2S/L_j)_m$ .

3



4

5 **Fig. 20.** Variations of  $(2S/L_i)_m$  with the bar amplitude for Modes 1-4.

6

## 7 6. Conclusions

8 In the present study, the interactions between a patch of arc-shaped sinusoidal bars and the  
 9 harbor subjected to the incident monochromatic long waves are investigated for the first time by  
 10 adopting the FUNWAVE 2.0 model. The influences of the arc-shaped bars on two types of wave  
 11 conditions inside the harbor (i.e., the harbor resonance condition and the non-resonance one) are  
 12 systematically studied. For the harbor resonance condition, the lowest four resonant modes (i.e.,  
 13 Modes 1-4) are considered; for the non-resonance one, three non-resonant groups (i.e., Groups  
 14 A-C) are designed. To reveal the coupling mechanism between the bars and the harbor, an ingen-  
 15 ious physical process decomposition method that separates the complete physical process into a  
 16 transmission sub-process and a radiation one is proposed. The present study confirms its correct-  
 17 ness. Based on this analysis method, the internal influence mechanism of Bragg reflection on the  
 18 long waves inside the harbor is revealed first. Then, the similarities and differences of the influ-  
 19 ence characteristics of Bragg reflection under the harbor resonance and non-resonance conditions

1 are also uncovered and explained. Finally, for the harbor resonance condition, the influences of the  
2 two topographic parameters (i.e., the bar number and the bar amplitude) on the best mitiga-  
3 tion/inhibition effect and on the optimal bar wavelength that can attain the best inhibition effect  
4 are discussed. The results of this article have expanded our understanding on harbor resonance and  
5 provide a solid theoretical basis for possible practical engineering applications in the future.

6 The following conclusions are drawn from the present study:

- 7 1. For both the harbor resonance and non-resonance conditions, the capability of the patch of  
8 arc-shaped sinusoidal bars to effectively inhibit the long waves inside the harbor is proved.  
9 Within the ranges of the bar parameters considered, the amplitude of the long waves inside the  
10 harbor can be weakened by up to about 80% and 89%, respectively, for the two conditions.  
11 The confirmation of the capability, as mentioned above, implies that the possible mechanism  
12 proposed by Gao et al. (2021b) (shown in Fig. 1) is not the most critical factor for the periodic  
13 topography to mitigate the long waves inside the harbor significantly.
- 14 2. Based on the physical process decomposition method proposed, the most critical and essential  
15 mechanism for the periodic topography to mitigate the long waves inside the harbor is re-  
16 vealed for the first time. That is, when the Bragg resonant reflection occurs, the enhancement  
17 effect of the periodic topography on the long waves inside the harbor caused by the blockage  
18 of the radiated waves is much weaker than its attenuation effect caused by the blockage of the  
19 transmitted waves, regardless of the harbor resonance condition or the non-resonance condi-  
20 tion.
- 21 3. Three different influence characteristics of the bars exist on the long waves inside the harbor  
22 under resonance and non-resonance conditions. (1)  $A_1/A_1^*$  at  $2S/L_j < 0.9$  under the  
23 non-resonance condition tends to be greater than the corresponding one for the resonance  
24 condition. (2) When  $2S/L_j > 1.0$ , the bars under the non-resonance condition always suppress  
25 the long waves inside the harbor, while the bars under the resonance condition tend to aggra-  
26 vate the latter overall. (3) The dimensionless optimal bar wavelengths denoted by  $(2S/L_j)_m$   
27 under the non-resonance condition are always equal to or slightly larger than 1.0, while those  
28 for the resonance condition are less than 1.0. The differences in all these three aspects are es-  
29 sentially caused by the different influence characteristics of the bars on the radiation  
30 sub-process under both conditions.

- 1 4. For the harbor resonance condition, the best inhibition effect of Bragg reflection over the  
2 arc-shaped bars on the long waves inside the harbor is gradually strengthened as the amplitude  
3 or the number of bars increases. In general, the optimal bar wavelength,  $(2S/L_j)_m$ , is shown to  
4 increase gradually with the bar number, especially for Modes 2-4. However, the influence  
5 features of the bar amplitude on  $(2S/L_j)_m$  heavily rely on the resonant mode.
- 6 5. Via directly comparing the differences between the values of  $(A_1/A^*_1)_m$  for the arc-shaped bars  
7 and those for the parallel bars with the same amplitude, it is found that for the cases consid-  
8 ered where the incident angle of the waves is normal to the harbor entrance, the mitigation ef-  
9 fect on harbor resonance for the arc-shaped bars is always slightly weaker than that for the  
10 parallel ones. This phenomenon directly proves that the possible mechanism proposed by Gao  
11 et al. (2021b) is also reasonable, although it is not the most important and essential factor.

12 Finally, we affirm that these conclusions are only valid for the given harbor, monochromatic  
13 incident waves, arc-shaped sinusoidal bars, and the parameter variation ranges for both the inci-  
14 dent waves and the bars considered in the present study.

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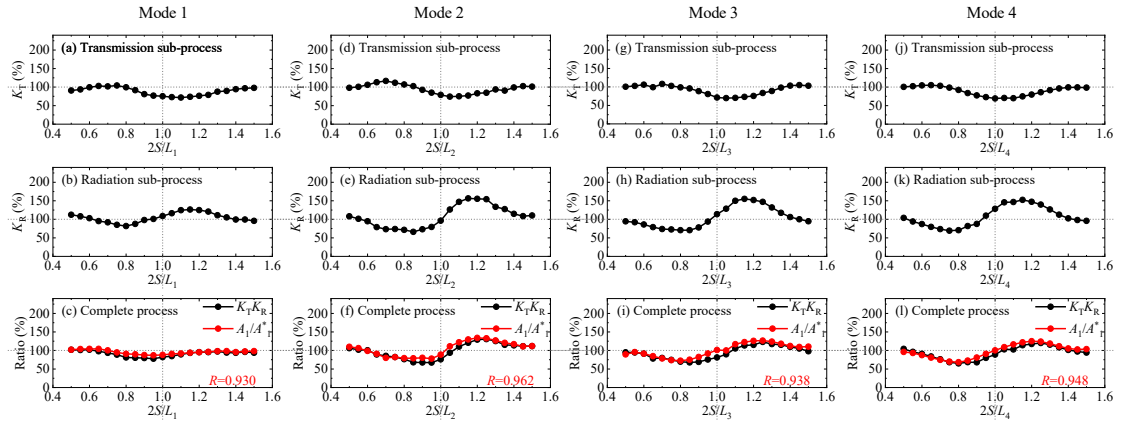
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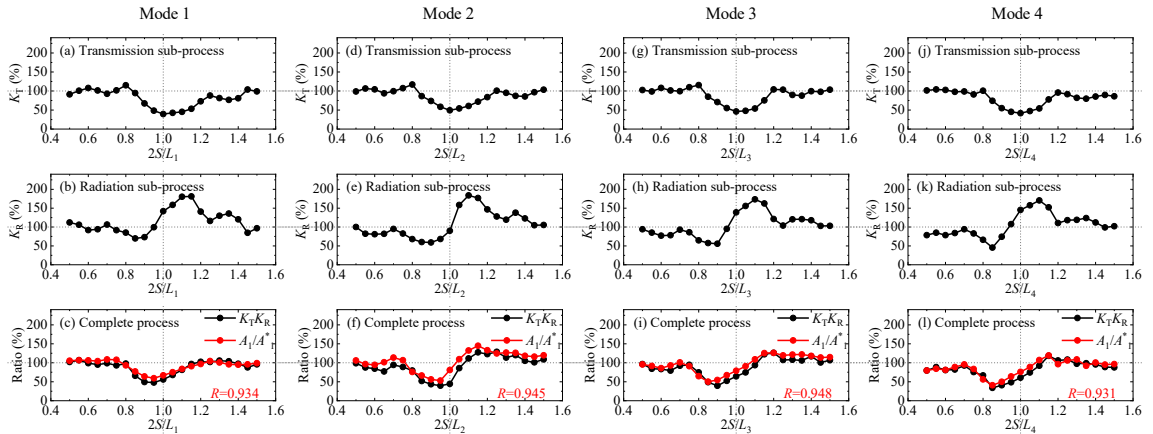
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### Appendix A: Supplement to subsection 5.3

In subsection 5.3, only the values of both  $K_T$  and  $K_R$  and the comparison between  $K_T K_R$  and  $A_1/A_1^*$  for the lowest four resonant modes with  $D/h_0=0.4$  and  $N=8$  are presented. In fact, as shown in Table 2, the process decomposition simulations are also performed for the lowest four resonant modes with  $D/h_0=0.4$  and  $N=2, 4$  and 6. Here, as the supplement to subsection 5.3, the results of both  $K_T$  and  $K_R$  and the comparisons between  $K_T K_R$  and  $A_1/A_1^*$  for the periodic bars with  $D/h_0=0.4$  and  $N=2, 4$  and 6 are demonstrated in Fig. A1-A3, respectively.

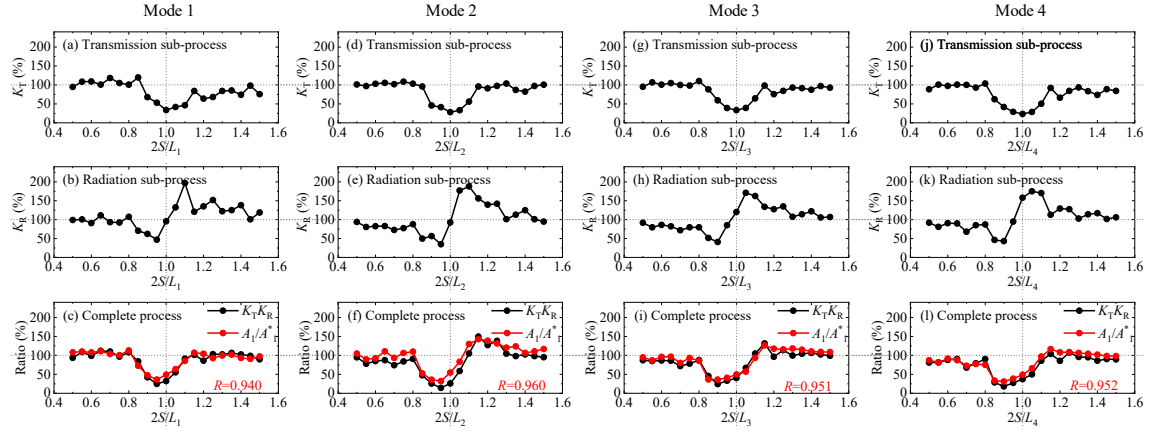


**Fig. A1.** Values of  $K_T$ ,  $K_R$  and the comparison of their product with  $A_1/A_1^*$  with  $D/h_0=0.4$  and  $N=2$ : (a-c) Mode 1; (d-f) Mode 2; (g-i) Mode 3; (j-l) Mode 4



**Fig. A2.** As in Fig. A1, but for the periodic bars with  $D/h_0=0.4$  and  $N=4$

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**Fig. A3.** As in Fig. A1, but for the periodic bars with  $D/h_0=0.4$  and  $N=6$

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