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内田, 泰輔

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Akasaki Yukio (Orcid ID: 0000-0002-3666-3952) Lotz Martin K. (Orcid ID: 0000-0002-6299-8799)

IkB kinase  $\epsilon$  contributes to the pathogenesis of osteoarthritis by promoting cartilage degradation

Taisuke Uchida<sup>1</sup>, Yukio Akasaki<sup>1</sup>, Takuya Sueishi<sup>1</sup>, Ichiro Kurakazu<sup>1</sup>, Masakazu Toya<sup>1</sup>, Masanari Kuwahara<sup>1</sup>, Ryota Hirose<sup>1</sup>, Yuki Hyodo<sup>1</sup>, Hidetoshi Tsushima<sup>1</sup>, Martin K. Lotz<sup>2</sup>, Yasuharu Nakashima<sup>1</sup>

Author affiliations

- 1 Department of Orthopaedic Surgery, Graduate School of Medical Sciences, Kyushu University, Fukuoka, Japan
- 2 Department of Molecular Medicine, The Scripps Research Institute, CA, USA

Short title for top of each page: IKKE FUNCTION IN OSTEOARTHRITIS.

<sup>1</sup>Taisuke Uchida, MD, Yukio Akasaki, MD, PhD, Takuya Sueishi, MD, PhD, Ichiro Kurakazu, MD, PhD, Masakazu Toya, MD, PhD, Masanari Kuwahara, MD, PhD, Ryota Hirose, MD, Yuki Hyodo, MD, Hidetoshi Tsushima, MD, PhD, Yasuharu Nakashima, MD, PhD: Kyushu University, Fukuoka, Japan; <sup>2</sup>Martin K. Lotz, MD: The Scripps

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Corresponding author: Yukio Akasaki

Department of Orthopaedic Surgery, Graduate School of Medical Sciences, Kyushu

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University, 3-1-1 Maidashi, Higashi-ku, Fukuoka city, Fukuoka, 812-8582, Japan.

E-mail: akasaki.yukio.443@m.kyushu-u.ac.jp

Tel: +81-92-642-5488

Fax: +81-92-642-5507

### **Abstract**

Objective. Nuclear factor kappa B (NF- $\kappa$ B) signaling is an important modulator in osteoarthritis (OA), and I $\kappa$ B kinase  $\epsilon$  (IKK $\epsilon$ ) regulates the NF- $\kappa$ B pathway. This study was undertaken to identify the functional involvement of IKK $\epsilon$  and the effectiveness of IKK $\epsilon$  inhibition in OA pathogenesis.

Methods. IKKε expression in normal and OA human knee joints was analyzed immunohistochemically. Gain- or loss-of-function experiments were performed using human chondrocytes. OA was surgically induced in mice, which were then treated with intra-articular injection of BAY-985, an IKKε/TBK1 inhibitor, every 5 days for 8 weeks. Mice were subsequently subjected to histologic examination.

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Results. IKKε protein expression was increased in human OA cartilage. In vitro, expression levels of OA-related factors were downregulated by knockdown of IKKε in human OA chondrocytes using siRNA or BAY-985. Conversely, IKKε overexpression significantly increased the expression of OA-related catabolic mediators. In western blot analysis, IKKε overexpression increased the phosphorylation of IκBα and p65 in human chondrocytes. In vivo, intra-articular injection of BAY-985 in mice attenuated OA-related cartilage degradation and hyperalgesia via NF-κB signaling.

Conclusion. These results suggest that IKKE regulates cartilage degradation through a

catabolic response mediated by NF-κB signaling, and is a potential target for OA treatment. Furthermore, BAY-985 may serve a major compound in drugs developed for OA.

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### Introduction

Osteoarthritis (OA), the most prevalent joint disease, is a degenerative condition triggered and driven, in part, by the innate immune system and local production of inflammatory mediators through the Toll-like receptors (TLRs) and/or Interleukin-1 receptor (IL-1R) pathway (1, 2). Downstream enzymes such as MMP13, ADAMTS4, and NOS2 are directly involved in OA cartilage degradation (3-5). Nuclear factor kappa B (NF-κB), a key transcription factor that mediates the aforementioned inflammatory signaling, has long been recognized as a pathogenic factor, and therefore it and its upstream regulators, co-factors, and downstream effectors are all potential cellular targets for the treatment of OA (6-8).

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The IkB kinase (IKK) family consists of IKK $\alpha$ , IKK $\beta$ , IKK $\gamma$ , and IKK $\epsilon$ /TBK1, all of which are upstream regulators of NF-kB (9, 10). When IKK complex (IKK $\alpha$ / $\beta$ / $\gamma$ ) is activated by extracellular stimuli, IkB proteins are phosphorylated and degraded. Following this activity, NF-kB dimers are released, then migrate to the nucleus, leading to specific gene transactivation (11). The canonical pathway involves NF-kB dimers composed of the p65 and p50 subunits and requires the IKK complex, which does not include IKK $\epsilon$ /TBK1. A number of previous studies have extensively investigated the roles of the canonical IKK $\alpha$ / $\beta$ / $\gamma$  in OA pathogenesis and the pharmacological inhibitory effects

of those IKKs on OA treatment (12-15). In a mouse OA model, low doses of IKKα/β inhibitor, BMS-345541, mitigated OA development by suppressing matrix-degrading enzymes, whereas high doses of inhibitor induced chondrocyte apoptosis (15). A phase 2a human study using the compound SAR113945, a specific inhibitor of the canonical IKK complex, showed that a statistically significant difference for the Western Ontario and McMaster Universities Arthritis Index (WOMAC) pain subscore in a subgroup of patients with effusion at baseline, but failed to show any effects in the overall group (14). IKKE, also referred to as IKKi or IKBKE, is a non-canonical member of the IKK family and was first discovered as a kinase induced by lipopolysaccharide (LPS) binding to TLR4 in mouse macrophage cell lines (16). TANK binding kinase 1 (TBK1) is a homologous protein of IKKE that can bind each other to orchestrate downstream pathways (17). Subsequently, IKKE has been reported to play an essential role in regulating inflammation, innate immunity, and rheumatoid arthritis (9, 18, 19). The primary function of IKKe/TBK1, like other IKKs, is considered to be the activation of NF-κB through IκB phosphorylation (20). On the other hand, overexpression of IKKε was reported to induce NF-κB-independent stimulation of the IL-6 gene promoter via activation of the transcription factor C/EBP-β, thus leading to the growth of prostate

cancer (21). In human chondrocytes from OA cartilage, IKKs gene was constitutively

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expressed and upregulated by IL1β/ TNFα signaling, however, its pathogenic impact on OA development remains uninvestigated (22). IKKε inhibition was reported to attenuate neuropathic pain in mice (23). Importantly, a recent study discovered that a novel compound named BAY-985 potently and selectively inhibited IKKε/TBK1 (24).

This study aimed to investigate the functional involvement of IKKε in human chondrocytes and to evaluate the therapeutic effect of intra-articularly administered BAY-985 on cartilage degradation in a murine OA model. It was hypothesized that IKKε plays a crucial role in OA pathogenesis by regulating cellular signaling involving NF-κB and C/EBPβ. Identifying the mechanisms whereby IKKε regulates OA progression may lead to the development of a new disease-modifying drug.

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### **Materials and Methods**

### Clinical samples

Human knee joints from individuals ages 18-65 years were obtained at autopsy with the approval of the Scripps Human Subjects Committee, or from patients undergoing total knee arthroplasty with the approval of the Ethics Committee of Kyushu University Hospital. The entire femoral condyles of normal knee joints were harvested from five donors (mean [ $\pm$  SD] age  $36.4 \pm 10.8$  years [range 18-45 years]; OA grade I) with no

history of joint disease. Human OA joints were obtained from five donors (mean [ $\pm$  SD] age 63.0  $\pm$  8.5 years [range 52–75 years]; OA grade III–IV). Written informed consent was obtained from all subjects.

### **Immunohistochemistry**

Knee joints were fixed in 4% paraformaldehyde (PFA) (Wako Pure Chemical Industries) for 2 days, decalcified with KCX (FALMA) for 2 days, and embedded in paraffin. Samples were cut into 4-um-thick sections, deparaffinized, and rehydrated; antigen retrieval was performed by incubation overnight with ethylenediaminetetraacetic acid (EDTA) (1 mM) at pH 8.0. Endogenous peroxidase activity was blocked by 3% hydrogen peroxidase in methanol for 30 minutes. For the blocking procedure, each specimen was placed in normal horse serum (Vectastain Universal Elite ABC kit; Vector Laboratories) for 30 minutes, then incubated for 1 hour at room temperature with primary anti-IKKE antibody (SC376114, Santa Cruz Biotechnology). Sections were incubated with biotinylated secondary antibodies for 30 minutes, followed by incubation with streptavidin-peroxidase complex (Vectastain Universal Elite ABC kit) for 30 minutes. Antibody complexes were visualized using the diaminobenzidine substrate system (Wako Pure Chemical Industries) and counterstained with hematoxylin. The percentage of cells

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positive for IKKɛ in hematoxylin-stained cells was systematically determined based on three images per section using BZ-II Analyzer software (Keyence).

### Cell isolation from human articular cartilage and human chondrocyte culture

Human articular knee cartilage was obtained aseptically from OA patients undergoing knee replacement surgery. All patients provided informed consent, and the protocol was approved by the Ethics Committee of Kyushu University Hospital. The cartilage fragments were digested with 2 mg/ml of collagenase for 12 hours. After digestion, chondrocytes were isolated and cultured in 10-cm dishes in Dulbecco's modified Eagle's medium/F-12 with 10% fetal bovine serum, then used at the time of sub-confluence (25). Human normal chondrocytes were purchased from Lonza. To evaluate the gene expression of IKK $\epsilon$ , cells were treated with 10 µg/ml lipopolysaccharide (LPS), 1 ng/ml interleukin1 $\beta$  (IL1 $\beta$ ), and 10 ng/ml tumor necrosis factor  $\alpha$  (TNF $\alpha$ ) for 6 hours.

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Total RNA extraction and quantitative real-time polymerase chain reaction (qRT-PCR)

Total RNA was extracted from cells using TRIzol reagent (Invitrogen), and reverse-transcribed into cDNA using PrimeScript RT Reagent (Takara Bio). qRT-PCR was

performed on a CFX Connect Real-Time System (Bio-Rad) using TB Green Premix EX TaqII (Takara Bio). GAPDH was used as an internal control to normalize the levels of other target genes across samples. The sequences of the forward and reverse primers are provided in Table S1.

### siRNA transfection

Human OA chondrocytes were seeded in 12-well plates at a density of  $0.75 \times 10^5$  cells/well. After 1 day, they were transfected with small interfering RNAs (siRNAs) (10 nM) targeting IKK $\epsilon$  (siIKK $\epsilon$ ; Santa Cruz Biotechnology), TBK1 (siTBK1; Santa Cruz Biotechnology), or p65 (sip65; Santa Cruz Biotechnology) using RNAiMAX (Thermo Fisher Scientific). The control group was transfected with control siRNA (siCtrl; Santa Cruz Biotechnology). Two days after transfection, cells were stimulated with or without LPS (10  $\mu$ g/ml) or IL-1b (1  $\mu$ g/ml) for 6 hours. The transfection efficiency was evaluated by qRT-PCR.

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### BAY-985 treatment of human normal and OA chondrocytes

Human normal and OA chondrocytes were treated for 48 hours with 1 μM, 5 μM, or 10 μM BAY-985 (MedChem Express), which was previously identified as an IKKε/TBK1

inhibitor (24). After BAY-985 treatment for 48 hours, cells were stimulated with LPS (10 µg/ml) for 6 hours.

### **IKKε** overexpression

Recombinant adenoviral vectors encoding constitutively active IKK $\epsilon$  or control Ad-GFP were purchased from SignaGen Laboratories. Human normal chondrocytes were infected with adenovirus using Lipofectamine 3000 (Thermo Fisher Scientific) at 15 multiplicities of infection with or without BAY-985 (10  $\mu$ M) (26). Forty-eight hours after infection, cells were stimulated with LPS (10  $\mu$ g/ml) for 6 hours.

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### Western blotting

Whole-cell lysates were extracted from human chondrocytes using LIPA lysis buffer (Sigma-Aldrich) with protease inhibitor (Sigma-Aldrich) and phosphatase inhibitor (Sigma-Aldrich). Cell lysates were electrophoresed in 4–12% gradient polyacrylamide gels (Thermo Fisher Scientific), and the resolved proteins were transferred to nitrocellulose membranes (Amersham Biosciences). Membranes were blocked with blocking buffer (Takara Bio), washed in Tris-buffered saline with Tween (TBST), and incubated with primary antibodies (all from Cell Signaling Technology) against IKKs

(1:500; #2905), p-p65 (1:500; #3033), p65 (1:500; #8242), p-IκBα (1:500; #9246), IκBα (1:500; #4814), p-C/EBPβ (1:500; #3084), C/EBPβ LAP (1:500; #3087), and GAPDH (1:1000; #5174) diluted in Can Get Signal Immunoreaction Enhancer Solution 1 (TOYOBO). After washing in TBST, secondary anti–rabbit IgG antibodies (1:1000; #7074, Cell Signaling Technology) or anti-mouse IgG antibodies (1:1000; sc-516102, Santa Cruz Biotechnology) were added. Immunoreactivity was detected with ECL Prime (Amersham Biosciences) and photographed using an Ez Capture MG (ATTO). Band densities were calculated using CS Analyzer 3.0 (ATTO) (25).

### Mouse OA model

All animal experiments were approved by the Animal Experiment Committee of Kyushu University, and were performed according to the rules of our institution. Male C57Black6/J mice were obtained from SLC Japan. Mice were housed three to five mice in a cage and were able to freely access food and water in the Research Center for Human Disease Modeling of our institution. The center was maintained in a specific pathogen-free condition and on a 12 hours light/dark cycle at all times (27). OA was induced by destabilization of the medial meniscus (DMM), and the medial collateral ligaments (MCL) of 12-week-old mice were transected as previously described (28). Sham surgery

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was performed in a separate group of mice using the same approach without DMM or MCL transection. The mice were euthanized 4 or 8 weeks after the operation, and subjected to histologic analysis. The group size is nine mice for 4 and 8 weeks after the operation in each group. Mice were randomly divided into each group based on a random number table. Group sizes were decided following power analysis; a minimum of 9 mice per group were required to detect a minimum 30% difference in means of Osteoarthritis Research Society International (OARSI) histopathology grading between application of BAY-985 and saline after the operation (power = 0.8,  $\alpha$  = 0.05), based on our previous study (26, 27). Knee sections in the medial sagittal plane were stained with Safranin Ofast green. OA severity was quantified by OARSI histopathology grading (on a scale of 0-6) (29) by two independent observers in a blinded manner, and averaged to minimize observer bias. The scores of the medial femur and tibia were added (total score of 0-12). Cartilage thickness was measured for the greatest perpendicular distance of medial tibial cartilage thickness by image J software (30). Osteophyte maturity and size were evaluated by examining anteromedial tibial regions in each mouse and were scored by two observers using a previously described scoring system (on a scale of 0-3) (31). Synovial inflammation was also scored by two observers using a previously described scoring system (on a scale of 0–3) (26, 32).

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### Application of BAY-985 in the OA model

DMM+MCL transection or sham operations were performed on the left knee joints of mice. The injection solution comprised 10 mM BAY-985 stock solution (MedChemExpress) diluted with saline. Ten microliters of either 10 µM BAY-985 or saline as vehicle was injected into the intra-articular spaces of each mouse knee joint starting from the day of surgery every 5 days for 4 or 8 weeks. Mice were euthanized 4 or 8 weeks after the operation.

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### Immunofluorescence

Sections were stained with primary antibody against IKK $\epsilon$  (SC376114; Santa Cruz Biotechnology), phospho-I $\kappa$ B $\alpha$  (NB100-81987; Novus Biologicals), IL6 (#12912; Cell Signaling Technology), and MMP13 (ab39012; Abcam) at room temperature for 1 hour, then incubated with Alexa Fluor–conjugated secondary antibodies (Thermo Fisher Scientific). The numbers of IKK $\epsilon$ –, phospho-I $\kappa$ B $\alpha$ –, MMP13-, and IL6–positive cells in the mouse model were quantified by counting immunopositive cells in sagittal sections of the knee joint at 100× magnification (IKK; n = 5 per group, p- I $\kappa$ B $\alpha$ , MMP13-, and IL6; n = 9 per group). The percentages of positively stained cells per section were counted

using the BZ-II Analyzer software program.

## Knee hyperalgesia

Knee hyperalgesia was measured using a Pressure Application Measurement (PAM) device (Bioseb) by adapting previously described methods (33). Mice were manually restrained, and the hind paw was lightly pinned with a finger to hold the knee in flexion at a similar angle for each mouse. The PAM transducer was pressed against the medial side of the knee while the operator's thumb lightly held the lateral side of the knee. The operator increased the amount of force at a constant rate. When the mouse tried to withdraw its knee, the force was recorded. Three measurements were obtained per knee, and the withdrawal force data were averaged. Knee hyperalgesia was assessed before surgery and 2, 4, 6, and 8 weeks after sham or DMM+MCL transection surgery.

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### Statistical analysis

All experiments were repeated at least four times. Data are presented as means  $\pm$  SD. Data normality was assessed by the Shapiro–Wilk test. When the distribution was normal, statistically significant differences between groups were determined by Student's t-test or the Tukey–Kramer test. When the distribution was not normal, the Wilcoxon test or Steel–

Dwass test was used. All data analyses were performed using JMP 14 statistical software (SAS Institute, Cary, NC). P < 0.05 was considered statistically significant.

### Results

IKKε expression is higher in OA cartilage and is increased by pro-inflammatory cytokines.

We initially performed immunohistochemical analysis to compare the expression of IKK $\varepsilon$  in human normal and OA cartilage. OA cartilage showed a significantly higher percentage of IKK $\varepsilon$ -positive cells than normal cartilage (Figure 1A). In addition, the gene expression of *IKK\varepsilon* was significantly higher in human OA knee chondrocytes than in normal chondrocytes (Figure 1B). Finally, LPS, IL1 $\beta$ , and TNF $\alpha$  significantly increased the gene expression of *IKK\varepsilon* in human normal and OA chondrocytes (Figure 1C).

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IKKε knockdown with siRNA decreases *IL6*, *MMP13*, *ADAMTS4*, and *NOS2* gene expression in human OA chondrocytes.

To explore the involvement of IKKε in OA pathogenesis, we analyzed the effects of IKKε knockdown on inflammatory and catabolic gene expression in siIKKε-transfected human OA chondrocytes stimulated with LPS or IL1β. IKKε knockdown by siRNA significantly

attenuated the gene expression of *IL6*, *MMP13*, *and ADAMTS4* compared to siCtrl in the absence of LPS and IL1β. The expression of *IL6*, *MMP13*, *ADAMTS4*, and *NOS2* was significantly decreased by siIKKε in the presence of LPS (Figure 2A). Similar to LPS, in the presence of IL1β, siIKKε significantly attenuated the gene expression of IL6, MMP13, and ADAMTS4 compared to siCtrl (Figure S1). In contrast, NOS2 gene expression increased by siIKKε under IL1β stimulation.

TBK1 knockdown with siRNA increases *IKKε*, *MMP13*, *ADAMTS5*, and *NOS2* gene expressions in human OA chondrocytes, and then siIKKε decreases *IL6* and *MMP13* gene expressions in siTBK1-transfected cells.

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To explore the functional involvement of TBK1 in OA pathogenesis, we analyzed the effect of TBK1 knockdown on inflammatory and catabolic gene expression in human OA chondrocytes stimulated with LPS. TBK1 knockdown by siRNA significantly increased the gene expression of *IKKε*, *MMP13*, *ADAMTS5*, and *NOS2* (Figure 2B). To eliminate the effect of IKKε upregulation, siIKKε/siTBK1-transfected chondrocytes were generated. The siIKKε significantly decreased the expression of *IL6* and *MMP13* in siTBK1-transfected chondrocytes (Figure 2B).

Effects of the IKKE/TBK1 inhibitor BAY985 in human normal and OA chondrocytes.

BAY-985 was used to analyze the effects of IKKε/TBK1 kinase activity inhibition in human normal and OA chondrocytes. LPS-induced gene expression of *IL6*, *MMP13*, *ADAMTS4*, and *NOS2* was significantly suppressed in a dose-dependent manner by 48 hours of pretreatment with BAY-985 (Figure 2C, D). BAY-985 most significantly attenuated the LPS-induced gene expression *of IL6*, *MMP13*, *ADAMTS4*, and *NOS2* at a concentration of 10 μM. At a concentration of 1 μM, BAY-985 significantly attenuated the expressions of *MMP13* and *ADAMTS4* in normal chondrocytes rather than OA chondrocytes.

BAY-985 restores the effect of IKKE overexpression in human normal chondrocytes.

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Transfection with recombinant adenoviral vector in human normal chondrocytes increased IKKε protein expression (Figure 3A). IKKε overexpression significantly increased the expression of *IL6*, *MMP13*, *ADAMTS4*, and *NOS2* in the presence of LPS, and these increases were significantly suppressed down to the baseline by BAY-985 treatment (Figure 3B).

IKKε overexpression activates NF-κB signaling and BAY-985 reverses this effect.

IKK $\epsilon$  overexpression in human normal chondrocytes significantly increased the phosphorylation of IkB $\alpha$  at Ser<sup>32/36</sup> compared to GFP control at 20 minutes after LPS stimulation, and the elevation was reversed by BAY-985 (Figure 4A). Furthermore, IKK $\epsilon$  overexpression significantly increased the phosphorylation of p65. BAY-985 reversed this p-p65 elevation. On the other hand, total p65, IkB $\alpha$ , p-C/EBP $\beta$ , and total C/EBP $\beta$  levels were not affected by IKK $\epsilon$  overexpression (Figure 4A).

p65 knockdown reverses the IKKε overexpression–mediated inflammatory response. To explore the involvement of p65 downstream of IKKε, the effect of p65 knockdown was examined using sip65-transfected human normal chondrocytes stimulated with LPS. p65 knockdown significantly reversed the gene expression of *IL6, MMP13, ADAMTS4*, and *NOS2*, which was increased by IKKε overexpression (Figure 4B).

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Cartilage degradation in mice with OA is delayed by intra-articular injection of BAY-985.

We examined the expression of IKKε in the cartilage of mice knee. Immunofluorescence showed that the percentage of IKKε-positive cells was higher in DMM+MCL transection mice than in sham-operated mice at 4 and 8 weeks after surgery (Figure 5A). We next

examined the effects of BAY-985 on cartilage degradation in the OA mouse model. BAY-985 solution or saline was injected into the knee joint space of DMM+MCL transection and sham-operated mice starting from the day of surgery every 5 days for 4 or 8 weeks. To assess the effects of intra-articular injection of BAY-985 in the early stage of OA, we examined histology at 4 weeks after surgery. OARSI score increased after DMM+MCL transection with no significant difference between vehicle and BAY-985 (Figure 5B). There were no significant differences in cartilage thickness, synovitis score, osteophyte maturity, and osteophyte size between sham and OA surgery. We evaluated the immunofluorescence of phospho-I $\kappa$ B $\alpha$ , II $\alpha$ , and Mmp13 4 weeks after surgery. Compared to vehicle, BAY-985 significantly decreased the number of cells expressing p-I $\kappa$ B $\alpha$ , II $\alpha$ , and Mmp13 in articular cartilage (Figure 5C, D).

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Histologic analysis 8 weeks after surgery revealed that mouse knee joints treated with 10 μM of BAY-985 had a significantly lower OARSI score than those treated with vehicle control (Figure 6A). Cartilage thickness significantly decreased after DMM+MCL transection in vehicle control but not in BAY-985-treated group. The osteophyte maturity score increased after DMM+MCL transection, but with no significant difference between BAY-985 and vehicle control. On the other hand, DMM+MCL transection had no significant effect on osteophyte size and synovitis (Figure 6A). We examined knee

hyperalgesia before surgery and 2, 4, 6, and 8 weeks after surgery using the PAM device.

Compared to vehicle, BAY-985 significantly decreased knee hyperalgesia at all time points (Figure 6B).

### **Discussion**

This is the first study to characterize IKKE expression in human cartilage tissue and its OA-related functional involvement in chondrocytes. IKKE protein was more highly expressed in human cartilage in OA patients than in healthy controls. IKKE gene expression in human normal and OA chondrocytes was upregulated by pro-inflammatory factors such as LPS, IL1 $\beta$ , and TNF $\alpha$ , suggesting that IKK $\epsilon$  is deeply involved in human OA pathogenesis. LPS-mediated catabolic factors, including IL6, MMP13, ADAMTS4, and NOS2, were downregulated by the loss of IKK function. Conversely, gain of IKK is function increased the expression of these catabolic factors, and BAY-985, a selective inhibitor of IKKe/TBK1, reversed this effect. High expression of IKKe was observed in peripheral blood lymphocytes and other immune cells such as those in lymphoid tissues and the pancreas (16). IKKE has been reported to exacerbate the pathology of several kinds of cancers and obesity-related metabolic dysfunctions (9, 34). In a mouse model of collagen □-induced rheumatoid arthritis, IKK increased nociceptive and inflammatory

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responses (18). Taken together, IKKε is deeply involved in the pathogenesis of a variety of diseases.

In contrast to siIKKε, siTBK1, a homologous protein of IKKε, increased MMP13 and NOS2 gene expressions with accompanying IKKε upregulation in human OA chondrocytes. Subsequently, siIKKε significantly decreased the expression of IL6 and MMP13 in siTBK1-transfected cells. These results suggest that at least, upregulated IKKε but not TBK1 plays a pathogenic role in OA-related inflammatory and catabolic gene expression in human chondrocytes. Although the interaction and feedback between IKKε and TBK1 in chondrocytes remain unclear, the effects of BAY-985 in this study would be due to primarily IKKε inhibition.

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Further mechanistic analyses demonstrated the effect of IKKε on the regulation of NF-κB but not C/EBPβ in human chondrocytes. In particular, overexpression of IKKε increased both IκBα and p65 phosphorylation, which induce NF-κB dimer migration to the nucleus. Validating this finding, the effect of IKKε overexpression on the gene expression of catabolic factors was restored by p65 knockdown using siRNA. NF-κB signaling is closely associated with OA development (6-8), although targeted therapeutic strategies to inhibit this signaling have not yet been established. Previous studies showed that use of an adenoviral vector or peptide nanoparticle to deliver siRNA targeting NF-

κB into knee joints suppressed cartilage degradation in rat and mouse OA models (35, 36). However, safety concerns remain even if the transfection efficacy of viral-based vectors is excellent (37). Intra-articular administration of decoy oligodeoxynucleotides that inhibit NF-κB activation was previously shown to suppress OA progression (38). However, the half-life of free oligodeoxynucleotides was found to be too short to achieve a sufficient therapeutic effect (39).

In a surgically induced OA mouse model, intra-articular administration of BAY-985 elicited decreases in IκBα phosphorylation and catabolic factor expressions, followed by a protective effect against cartilage degradation. These results indicate that IKKε inhibition by BAY-985 attenuated cartilage degradation by suppressing the catabolic response via NF-κB signaling. At 4 weeks, DMM+MCL transection exacerbated OARSI score with no significant difference between vehicle and BAY-985, although the score change might be too small to detect the effect of BAY-985 in the early stage of OA. Possibly, BAY-985 did not prevent the onset of cartilage injury caused by mechanical stress, but rather the subsequent cartilage degradation caused by biochemical stress from 4 to 8 weeks after surgery in this OA model.

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There was a discrepancy between histology and hyperalgesia in the BAY-985 efficacy.

Hyperalgesia started to decrease at 2 weeks after surgery by BAY-985 treatment, whereas

there were no significant histological changes in cartilage, synovium, and osteophyte at least 4 weeks after surgery. A histological study also reported that the DMM+MCL transection model showed only a little cartilage degradation at 2-4 weeks (28). It is possible that the effect of BAY-985 on hyperalgesia was not based on the histological change in joint tissues. This discrepancy may be explained by the effect of IKKε inhibition on neuropathic pain. A previous study reported that genetic depletion or pharmacological inhibition of IKKε led to a significant reduction of mechanical hyperalgesia mediated by reduced activation of NF-κB in mice (23). Therefore, BAY-985 injection might decrease hyperalgesia as early as 2 weeks after OA surgery, due to the pain suppression effect of IKKε inhibition.

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A previous study showed that homozygous knockout of p65 caused OA development by inducing chondrocyte apoptosis, whereas p65 hetero knockout slowed OA development by suppressing matrix-degrading enzymes (7). IKK $\alpha$ , IKK $\beta$ , IKK $\gamma$ , or TBK1 knockout mice die embryonically or shortly after birth (40-44). By contrast, IKK $\epsilon$  knockout mice are viable and fertile, meaning that IKK $\epsilon$  does not completely inhibit NF- $\kappa$ B signaling at the level of life-threatening (45). Furthermore, I $\kappa$ B $\alpha$  degradation in IKK $\epsilon$ -deficient mice was shown to be unaltered after stimulation with TNF, IL1, LPS, or polyI:C (10). Therefore, an IKK $\epsilon$  inhibitor might be safer and have advantages over IKK $\alpha$ / $\beta$ / $\gamma$ 

inhibitors as a therapeutic target for OA. In this study, the 5-day injection windows were able to gain these positive effects, but optimal dosing intervals need to be determined in the future clinical safety and efficacy trials.

This study had several limitations. First, IKKε inhibition had inconsistent effects on NOS2 gene expression in a siRNA experiment. In the presence of LPS, the gene expression of NOS2 was decreased, while in the absence of LPS, it was unchanged. In the presence of IL1β, NOS2 gene expression increased by siIKKε. Second, BAY-985 is an ATP-competitive kinase inhibitor of IKKε/TBK1, therefore, it is difficult to elucidate how much of its effects could be due to IKKε or TBK1 inhibition. Third, we should have used IKKε knockout mice to further elucidate the involvement of IKKε in OA pathogenesis.

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In conclusion, our results demonstrated that IKK $\epsilon$  was highly expressed in OA cartilage and that IKK $\epsilon$  knockdown suppressed catabolic responses mediated by NF- $\kappa$ B signaling both in vivo and in vitro. IKK $\epsilon$  is a positive regulator of inflammatory responses in OA, and therefore BAY-985 may be a potent compound in OA treatment.

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### **Author Contribution**

All authors were involved in drafting the article or revising it critically for important intellectual content, and all authors approved the final version to be published. Dr. Akasaki had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study conception and design. Uchida, Akasaki, Sueishi, Tsushima, Lotz, Nakashima.

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Acquisition of data. Uchida, Hyodo.

Analysis and interpretation of data. Uchida, Akasaki, Sueishi, Kurakazu, Toya, Kuwahara, Hirose, Hyodo.

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### Figure legends:

### Figure 1. IKKE expression in human cartilage and chondrocytes.

A, Left, representative immunohistochemical staining for IKK $\epsilon$  in human normal and OA cartilage (bars = 100 µm). Right, quantification of IKK $\epsilon$ -positive cells in human normal and OA cartilage. Values are means  $\pm$  SD (n=5). B, Relative mRNA levels of *IKK* $\epsilon$  in human normal and OA chondrocytes. Values are means  $\pm$  SD (n=5). C, Relative mRNA levels of *IKK* $\epsilon$  in human normal (left) and OA (right) chondrocytes treated with 10 µg/ml LPS, 1 ng/ml IL1 $\beta$ , and 10 ng/ml TNF $\alpha$  for 6 hours as determined by qRT-PCR. Values are means  $\pm$  SD (normal; n=6, OA; n=5). \*P < 0.05; \*\*\*P < 0.001.

# Figure 2. Effects of IKKε or TBK1 knockdown by siRNA or BAY-985 on mRNA levels of catabolic factors.

A, Relative mRNA levels of catabolic factors in siIKK $\epsilon$ -transfected human OA chondrocytes with or without LPS. Values are means  $\pm$  SD (n=6). B, Relative mRNA levels of catabolic factors in siTBK1- or siTBK1/IKK $\epsilon$ -transfected human OA chondrocytes with LPS. Values are means  $\pm$  SD (n=7). C and D, Changes in LPS-induced gene expression in human normal (C) and OA (D) chondrocytes treated with BAY-985 (1, 5, or 10  $\mu$ M) for 48 hours. Values are means  $\pm$  SD (n=7). \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001.

Figure 3. Effects of IKKε overexpression and BAY-985 on mRNA levels of catabolic factors.

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A, Western blot (left) and quantification (right) of IKK $\epsilon$  expression with IKK $\epsilon$  (Ad-IKK $\epsilon$ ) or GFP (Ad-GFP) overexpression in human normal chondrocytes treated with 10 µg/ml LPS. GAPDH was used as a loading control. Values are means  $\pm$  SD (n=4). B, Changes in LPS-induced gene expression in human normal chondrocytes with or without IKK $\epsilon$  overexpression and with or without BAY-985 treatment. Values are means  $\pm$  SD (n=6). \*\*P < 0.01; \*\*\*P < 0.001.

# Figure 4. IKKε overexpression activates NF-κB signaling and BAY-985 reverses the effect.

A, Western blot (left) and quantification (right and below) of expression of p-I $\kappa$ B $\alpha$ , I $\kappa$ B $\alpha$ , p-p65, p65, p-C/EBP $\beta$ , and C/EBP $\beta$  with IKK $\epsilon$  overexpression and BAY-985 treatment in human normal chondrocytes with or without LPS (20 minutes) stimulation. GAPDH was used as a loading control. Values are means  $\pm$  SD (n=6). B, Changes in LPS-induced gene expression in human normal chondrocytes with IKK $\epsilon$  overexpression and sip65 transfection. Chondrocytes were stimulated by LPS for 6 hours. Values are means  $\pm$  SD (n=6). \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001.

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# Figure 5. In vivo effects of intra-articular administration of BAY-985 on surgically induced OA mice 4 weeks after surgery.

A, Representative immunofluorescence images of Ikk $\epsilon$  (red) and quantification of Ikk $\epsilon$ -positive cells 4 weeks (Left) or 8 weeks (Right) after DMM+MCL transection surgery or sham surgery in mice (bars = 100  $\mu$ m). Values are means  $\pm$  SD (n=5). B, Left, representative images of Safranin O–fast green staining of the knee joints of mice subjected to sham surgery or DMM+MCL transection 4 weeks after surgery, and treated

with saline or BAY-985 (bars = 100  $\mu$ m). Right, quantification of cartilage degradation, as measured by OARSI score, cartilage thickness, synovitis, osteophyte maturity and size 4 weeks after surgery in the indicated groups. Values are means  $\pm$  SD (n=9 per group). C and D, Left, representative immunofluorescence images of phospho-I $\kappa$ B $\alpha$  (C), Il6 (D), and Mmp13 (D) 4 weeks after sham or DMM + MCL transection surgery in mice treated with saline or BAY-985 (bars = 100  $\mu$ m). Right, Quantification of p-I $\kappa$ B $\alpha$ - (C), Il6- (D), and Mmp13 (D)-positive cells. Values are means  $\pm$  SD (n=9). \*\*P < 0.01; \*\*\*P < 0.001.

Figure 6. In vivo effects of intra-articular administration of BAY-985 on surgically induced OA mice 8 weeks after surgery.

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A, Histologic assessment by Safranin O–fast green staining of the knee joints of mice subjected to sham surgery or DMM+MCL transection 8 weeks after surgery, and treated with saline or BAY-985 (bars = 100  $\mu$ m). Above, representative images of cartilage, synovium, and osteophyte. Below, quantification of cartilage degradation, as measured by OARSI score, cartilage thickness, synovitis, osteophyte maturity and size 8 weeks after surgery in the indicated groups. Values are means  $\pm$  SD (n=9 per group). B, Knee hyperalgesia assessed using the PAM device before surgery and 2, 4, 6, and 8 weeks after surgery in mice treated with saline or BAY-985. Values are means  $\pm$  SD (n=9). \*\*P<0.01;

\*\*\*P < 0.001.

### Table S1. Primer sequences used for real-time PCR

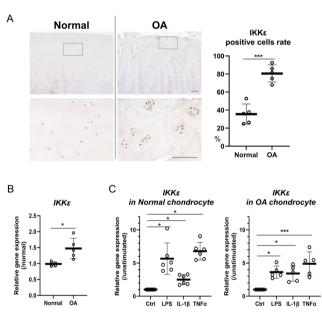
Figure S1. Effects of IKK $\epsilon$  knockdown by siRNA on gene expression of catabolic factors in response to IL1 $\beta$ .

Changes in gene expression in response to IL1 $\beta$  in human OA chondrocytes transfected siIKK $\epsilon$ . Values are means  $\pm$  SD (n=6). \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001.

Figure S2. Representative images of synovium and osteophyte in 4 weeks after surgery.

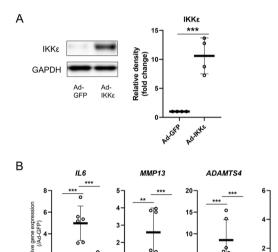
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A and B, Representative images of synovium (A) and osteophyte (B) 4 weeks after sham or DMM+MCL transection surgery, with saline or BAY-985.



ART\_42421\_figure1.tif

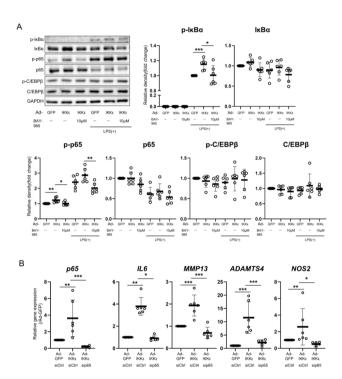
ART\_42421\_Figure2.TIF



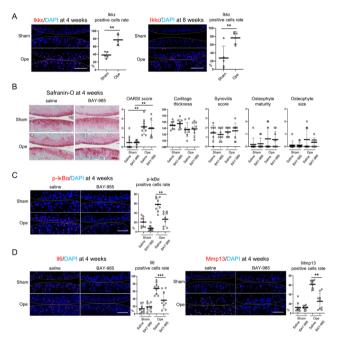
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NOS2

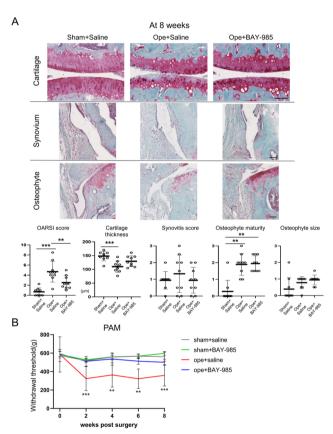
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