

## Formation and mobility of soil organic carbon in a buried humic horizon of a volcanic ash soil

Wijesinghea, Jithya Nawodi

Soil Science Laboratory, Faculty of Agriculture, Kyushu University

Koarashi, Jun

Nuclear Science and Engineering Center, Japan Atomic Energy Agency

Atarashi-Andoh, Mariko

Nuclear Science and Engineering Center, Japan Atomic Energy Agency

Saito-Kokubu, Yoko

Tono Geoscience Center, Japan Atomic Energy Agency

他

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23   **Abstract**

24   A buried humic horizon ( $^{14}\text{C}$  age between 5.4 to 6.8 kyr BP) of a volcanic ash soil in  
25   Aomori, Japan, which was collected from the depth between 147 and 187 cm at 5  
26   cm-interval (total 8 sub-horizon samples), was investigated to clarify the degree of  
27   received biological transformation and mobility of soil organic carbon (SOC)  
28   fractions. The SOC fractions were prepared from each sub-horizon samples by  
29   extraction and precipitation procedures with controlling pH of the extracted solution,  
30   resulting in humin, humic acid (HA), and four fulvic acid (FA) fractions (two  
31   hydrophilic FA fractions: FA<sub>1</sub> and FA<sub>2</sub>, and two hydrophobic FA fractions: FA<sub>3</sub> and  
32   FA<sub>IHSS</sub>). The prepared SOC fractions were characterized by  $^{14}\text{C}$  age and stable  
33   isotopic ratios of  $^{13}\text{C}$  ( $\delta^{13}\text{C}$ ) and  $^{15}\text{N}$  ( $\delta^{15}\text{N}$ ). The hydrophilic FA fractions showed the  
34   highest  $\delta^{13}\text{C}$  and  $^{15}\text{N}$  values, indicating that these SOC fractions had been most  
35   enriched with  $^{13}\text{C}$  and  $^{15}\text{N}$  by biological metabolic processes. On the other hand, the  
36   HA fraction showed the lowest  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values, therefore this fraction would  
37   have been less-metabolized, although HA fraction has been regarded as well-  
38   processed in general. The  $^{14}\text{C}$  age of the HA fraction was almost same as the  
39   deposition age of the corresponding sub-horizon, indicating that the C in the HA  
40   fraction would have been fixed *in situ* right after photosynthesis by plants at the early  
41   stage of soil formation and chemically stabilized at soil surface, by fire event, etc.  
42   The average rates of vertical translocation of the SOC fractions were low (humin and  
43   HA fractions: < 1 mm per century, FA fractions: 1 to 4 mm per century), implying  
44   that the vertical translocation of SOC would not be the main mechanisms for forming  
45   thick humic horizons. The present study showed strong evidence of the *in situ*  
46   formation of SOC on soil surface, and successive up-building accumulation of soil

47 particles containing SOC would contribute to the formation of the thick humic  
48 horizons.  
49 *Key words:* fulvic acids; humic acid; soil organic carbon; up-building soil formation;  
50  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$ ;  $^{14}\text{C}$  age  
51

## 52 **1. Introduction**

53 Volcanic ash soils or Andosols comprise a relatively small area (0.12 billion hectares)  
54 among 14 billion hectares of the global terrestrial area (Dahlgren *et al.*, 2004), but  
55 they contain several times higher concentration of soil organic carbon (SOC) than  
56 adjacent non-Andosols (Eswaran *et al.*, 1993). It has been estimated that they stored  
57 approximately 75 Pg of SOC among 1500 Pg of global SOC in 0 to 100 cm depth of  
58 their soil profiles (Dahlgren *et al.*, 2004). Therefore, Andosols have been receiving  
59 intensive attention as a carbon sink for atmospheric  $\text{CO}_2$ , and the genesis and  
60 accumulation mechanisms of SOC in Andosols have been studied (e.g., Dahlgren *et*  
61 *al.*, 2004, etc.).

62 A soil horizon under thick tephra deposits is suitable for investigating the  
63 genesis and accumulation mechanisms of SOC in natural conditions, because the age  
64 of C can be determined with  $^{14}\text{C}$  dating technique with higher accuracy than recent C  
65 and the covering tephra deposits have preserved past conditions from recent  
66 anthropogenic influences and external input of recent carbon. Inoue *et al.* (2011)  
67 investigated a 40 cm-thick buried humic horizon of an Andosol near Towada  
68 volcano, Aomori, Japan, which is interlayered between Towada-Chuseri pumice  
69 ( $5.39 \pm 0.14$  kyr BP; Hayakawa, 1983) and Towada-Nambu pumice ( $8.37 \pm 0.17$  kyr  
70 BP; Hayakawa, 1985). They collected 8 sub-horizon samples from the buried humic

71 horizon at 5 cm-interval and investigated  $^{14}\text{C}$  age of particulate SOC contained in  
72 each of the sub-horizon sample, and clarified up-building pedogenesis of the soil  
73 horizon with a rate of  $\sim 30$  mm per century. In the present study, we further  
74 investigated the same sub-horizon samples to clarify the genesis and mobility of  
75 SOC fractions in the buried humic horizon by isolating SOC fractions and  
76 investigating  $^{14}\text{C}$  age and stable isotopic ratio of  $^{13}\text{C}$  ( $\delta^{13}\text{C}$ ) and  $^{15}\text{N}$  ( $\delta^{15}\text{N}$ ).

77 Historically, SOC has been studied by separating into three fractions: humin,  
78 humic acid (HA), and fulvic acid (FA) fractions depending on their solubility against  
79 acid and alkaline solutions, although this technique has been critically disputed  
80 recently (Conte *et al.*, 1997 etc.). Humin fraction is insoluble in both acid and  
81 alkaline solutions, HA fraction is alkali-soluble and acid-insoluble, and FA fraction  
82 is soluble in both acid and alkaline solutions. Because FA fraction has high solubility  
83 against water, it would play an important role in forming soluble complexes with  
84 metals and hydrophobic organic chemicals (Iimura *et al.*, 2012; Maie *et al.*, 2004;  
85 Stevenson, 1994). The standard method for preparing the FA fraction has been  
86 established by International Humic Substance Society (IHSS, [http://www.humic-](http://www.humic-substances.org/)  
87 [substances.org/](http://www.humic-substances.org/)) (hereafter referred as  $\text{FA}_{\text{IHSS}}$ ), but this procedure isolates a part of  
88 hydrophobic FA fractions and most of hydrophilic FA fractions are typically  
89 discarded (Hiradate *et al.*, 2006). To recover other FA fractions than  $\text{FA}_{\text{IHSS}}$ , Hiradate  
90 *et al.* (2006) proposed applying the crude FA fraction on a column filled with  
91 hydrophobic resin, eluting the column with water with controlling the pH of the  
92 eluent, and precipitating the eluted FA fraction by partial neutralization for preparing  
93 hydrophilic ( $\text{FA}_1$  and  $\text{FA}_2$ ) and hydrophobic ( $\text{FA}_3$  and  $\text{FA}_{\text{IHSS}}$ ) FA fractions, and the  
94 present study followed this procedure.

95       The  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values have been used for estimating the source plants  
96   (Hiradate *et al.*, 2004; Katsumi *et al.*, 2015; Yoneyama *et al.*, 2001) and the degree  
97   of decomposition and humification of SOC (Kramer *et al.*, 2003; Krull *et al.*, 2002;  
98   Marin-Spiotta *et al.*, 2009; Panichini *et al.*, 2012). These isotopic ratios serve as  
99   indicators of relative degree of microbial processing, because the decomposition and  
100   recycling of organic matter by organisms result in enrichment of heavier  $^{13}\text{C}$  and  $^{15}\text{N}$   
101   stable isotopes due to their preferential stabilizations (Wada *et al.*, 2013). Therefore,  
102   the simultaneous increase of  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values in SOC fractions corresponds to  
103   the successive transformation of SOC by biological processes among the fractions.  
104   Soil humic substances have been assumed to be transformed from plant residues  
105   through degradation and polymerization reactions in soils, which would be closely  
106   related with biological and enzymatic reactions. Considering from the differences in  
107   molecular weight of SOC fractions, Miltner *et al.* (2012) assumed that FAs would be  
108   formed first, followed by HA and finally humin, although this has not yet been  
109   confirmed with sufficient evidence. In the present study, we determined the  $\delta^{13}\text{C}$  and  
110    $\delta^{15}\text{N}$  values of SOC fractions prepared from the buried humic horizon samples to  
111   assess the degree of received biological transformation of the SOC fractions.

112       In addition to the high C content, the thick humic horizon is also the distinct  
113   feature of Andosols. Although Inoue *et al.* (2011) have confirmed the up-building  
114   formation of the thick buried humic horizon of the Andosol from Aomori, Japan, the  
115   accumulation process of the SOM in the horizon has been still unclear whether the  
116   SOM had accumulated *in situ* with the deposition of volcanic ash or a part of SOM  
117   had been transferred from upper layer. To answer this question, we tried to clarify the  
118   mobility of the SOC fractions isolated from the buried humic horizon from the  
119   Andosol profile, i.e., the isolated SOC fractions from 8 sub-horizon samples were

120 analyzed with  $^{14}\text{C}$  dating technique, and mean vertical translocation rate was  
121 estimated for each SOC fraction.

122 Therefore, the aims of the present study were to clarify the degree of received  
123 biological transformation and mobility of SOC fractions in a thick buried humic  
124 horizon of a soil derived from volcanic ash in Aomori, Japan, by investigating  $\delta^{13}\text{C}$   
125 and  $\delta^{15}\text{N}$  values and  $^{14}\text{C}$  dating. Solid-state  $^{13}\text{C}$  nuclear magnetic resonance (NMR)  
126 spectra were also measured for selected SOC fractions to interpret the formation  
127 processes and mobility of them.

128

## 129 **2. Materials and Methods**

### 130 **2.1. Study site and soil samples**

131 The soil samples used in the present study were the same as used in Inoue *et al.*  
132 (2011), which had been collected from a buried humic horizon derived from a  
133 volcanic ash of Towada volcano, Aomori Prefecture, Japan. The buried humic  
134 horizon had occurred between 147 and 187 cm depth, which had been packed by  
135 overlaid Towada-Chuseri pumice ( $5.39 \pm 0.14$  kyr BP; Hayakawa, 1983) and  
136 underlaid Towada-Nambu pumice ( $8.37 \pm 0.17$  kyr BP; Hayakawa, 1985) (Fig. 1).  
137 Eight sub-horizon samples had been collected at 5 cm-interval within the 40 cm-thick  
138 of the buried humic horizon. The sub-horizon samples had been air-dried, sieved  
139 through a 2-mm mesh, and subjected to further analysis.

### 140 **2.2. Preparation of SOC fraction**

141 Twenty gram-portion of the powdered sub-horizon sample was extracted with  
142 160 mL of 0.1 M NaOH in the presence of 3% NaCl overnight. The supernatant was  
143 obtained by centrifugation ( $2380 \times g$ , 15 min). This extraction procedure was  
144 repeated three times, and the supernatant obtained from each extraction was mixed

145 all together. The residue was suspended in a small amount of distilled water,  
146 neutralized with 6 M HCl, washed with distilled water at least three times, and  
147 freeze-dried (humin fraction).

148 The mixed supernatant was acidified to pH 1.0 with 4 M HCl and allowed to  
149 stand overnight. The precipitated fraction was separated by centrifugation ( $2380 \times g$ ,  
150 15 min; crude HA). The supernatant fraction was filtered through a 0.2  $\mu$ m-pore  
151 cellulose acetate membrane filter (47 mm  $\phi$ , Toyo Roshi Kaisha Ltd, Japan) (crude  
152 FA).

153 The crude HA was dissolved in a small amount of alkaline solution of pH 13.0  
154 by adding 4 M NaOH and centrifuged to remove small soil minerals. Then the  
155 supernatant was acidified to pH 1.0 by adding 4 M HCl and allowed to stand  
156 overnight, and again it was centrifuged to obtain supernatant and precipitate. The  
157 supernatant was collected and added to the crude FA. The dissolution-precipitation  
158 cycle was repeated five times until final supernatant becomes light yellow in color.  
159 The precipitate was re-dissolved in a small amount of NaOH solution and centrifuge  
160 ( $2380 \times g$ ) for 2 h to remove small soil minerals. Finally, the supernatant was  
161 acidified to pH 1.0 by 4 M HCl, washed with distilled water, and freeze-dried (HA  
162 fraction).

163 The crude FA was loaded to a glass column (20 mm of internal diameter, 300  
164 mm of length) filled with approximately 25 mL of Amberlite XAD-8 resin (Rohm  
165 and Haas, Philadelphia, PA, USA) which had been washed sequentially with 0.1 M  
166 NaOH, 0.1 M HCl, and distilled water. The eluted non-adsorbed fraction was  
167 collected and partially neutralized to pH 5.0 with 4 M NaOH. The precipitate was  
168 collected by centrifugation ( $2380 \times g$ , 15 min) (FA<sub>1</sub> fraction). The column was eluted



169 with 400% of column volume (100 mL) of 0.1 M HCl, and the eluted fraction was  
170 precipitated by adjusting the pH of the solution to 5.0 with 4 M NaOH and collected  
171 by centrifugation ( $2380 \times g$ , 15 min) (FA<sub>2</sub> fraction). The column was eluted with  
172 400% of column volume (100 mL) of distilled water, and the eluted fraction was  
173 precipitated by adjusting the pH of the solution to 5.0 with 4 M NaOH. The  
174 precipitate was collected by centrifugation ( $2380 \times g$ , 15 min) (FA<sub>3</sub> fraction). Finally,  
175 the column was eluted with 50 mL of 0.1 M NaOH, and the eluted fraction was  
176 passed through an Amberlite IR 120 resin (H<sup>+</sup> form) (FA<sub>IHSS</sub> fraction). All FA<sub>1</sub>, FA<sub>2</sub>,  
177 FA<sub>3</sub>, and FA<sub>IHSS</sub> fractions were freeze-dried (Hiradate *et al.*, 2007).

### 178 2.3. Elemental analysis of C and N in SOC fraction

179 The total C and N contents of each SOC fraction were measured using an  
180 elemental analyzer (Vario PYRO cube, Elementar, Germany).

181 The  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of each SOC fraction were analyzed using an isotope  
182 ratio mass spectrometer (IsoPrime100, Isoprime Ltd., UK) connected with an  
183 elemental analyzer (Vario PYRO cube), with an analytical uncertainty of < 0.1 ‰  
184 (1SD).

### 185 2.4. <sup>14</sup>C dating

186 The <sup>14</sup>C age of the SOC fraction was determined by an accelerator mass  
187 spectrometer at Tono Geoscience Center, Japan Atomic Energy Agency (Saito-  
188 Kokubu *et al.*, 2015). The SOC sample was wrapped in a tin capsule and combusted  
189 at 920°C in an elemental analyzer (vario MICRO cube, Elementar). The resulting gas  
190 was passed through a reduction column and a separation column to purify CO<sub>2</sub> from  
191 the gas. The purified CO<sub>2</sub> was introduced into an automated graphitization equipment  
192 (AGE3, Ion plus AG, Switzerland) in which CO<sub>2</sub> was converted to graphite by  
193 reducing CO<sub>2</sub> with hydrogen gas at 580°C for 2h in the presence of iron powder as

194 catalyst (Wacker *et al.*, 2010). Radiocarbon  $^{14}\text{C}$  was then measured on graphite  
 195 targets. The  $^{14}\text{C}$  data was reported as conventional  $^{14}\text{C}$  age (yr BP) with an analytical  
 196 uncertainty of  $^{14}\text{C}$  age less than  $\pm 280$  yr BP.

## 197 2.6. Solid-state $^{13}\text{C}$ NMR measurement

198 A powdered sample of SOC fraction (ca. 20 mg) was tightly packed into a high-  
 199 speed spinning NMR tube (made of zirconia, JEOL, Tokyo, Japan) and introduced  
 200 into a FT NMR system (ECAII-600, JEOL). Solid-state cross polarization magic  
 201 angle spinning (CPMAS)  $^{13}\text{C}$  NMR signals were recorded at 150.9 MHz, with a  
 202 contact time of 1 ms, an observation band of 90.6 kHz, 1,024 observation points  
 203 (resolution; 88 Hz), an acquisition time of 11.3 ms, a pulse interval of 3 s, 3,000 -  
 204 77,000 scans (analytical time; 2.5 - 75 h), and 15 kHz of magic angle spinning, with  
 205 ramp technique. A broadening factor of 200 Hz was used in the Fourier  
 206 transformation procedure. Chemical shifts were quoted with respect to  
 207 tetramethylsilane (0 ppm) but were determined by referring to an external standard of  
 208 adamantane (29.50 ppm). The chemical shift regions 0-45, 45-110, 110-165, and  
 209 165-190 ppm were assigned to alkyl C, *O*-alkyl C, aromatic C, and carboxyl C,  
 210 respectively (Hiradate *et al.*, 2007).

## 211 2.7. Determination of rate of vertical translocation of SOC fraction

212 The rate of vertical translocation of each SOC fraction was calculated by  
 213 following equation:

$$\begin{aligned}
 &214 \text{ Rate of vertical translocation} = \\
 &215 \frac{(\text{current depth of SOC fraction} - \text{depth of original deposition})}{^{14}\text{C age of SOC fraction}} \quad (1) \\
 &216
 \end{aligned}$$

217 The depth of original deposition has been reported to be closely related with the  $^{14}\text{C}$   
218 age of deposition of the corresponding buried sub-horizon, as follows (Inoue *et al.*,  
219 2011):

$$220 \quad [\text{depth of original deposition}] = 0.0263 \text{ [}^{14}\text{C age of deposition}] + 5.646 \quad (2)$$

221 The equation (2) was used to calculate the depth of original deposition for each SOC  
222 fraction in equation (1) by substituting [ $^{14}\text{C}$  age of deposition] with the [ $^{14}\text{C}$  age of  
223 SOC fraction] in the present study.

224

### 225 **3. Results and Discussion**

#### 226 **3.1. C and N distributions in SOC fractions**

227 In the present study, almost all of the C contained in the original sub-horizon  
228 samples were quantitatively recovered in the SOC fractions (total recovered C:  $103 \pm$   
229 9%, Table 1). Among them, 85% of the total SOC were recovered as humin fraction,  
230 5% as HA fraction, 6% as FA<sub>1</sub> fraction, 1% as FA<sub>2</sub> fraction, 2% as FA<sub>3</sub> fraction, and  
231 4% as FA<sub>IHSS</sub> fraction. The total recovered N was lower than the total recovered C  
232 and  $89 \pm 6\%$ , probably because N containing compounds were more soluble in water  
233 and/or easily hydrolyzed into more soluble forms, resulting in the loss in the  
234 precipitation procedure.

235 Major portions of the C and N in the sub-horizon samples were present in the  
236 humin fractions, although it has been reported that C is dominant in hydrophilic plus  
237 hydrophobic FA fractions in the surface horizons of alluvial soil, calcareous soil, and  
238 volcanic ash soil (Hiradate *et al.*, 2007; 2006). The reason of the small proportion of  
239 the FA fractions in the present study would be because the FA fractions would had  
240 been microbially decomposed and disappeared after burial, resulting in the  
241 dominance of C in the humin fraction.

### 242 **3.2. Degree of received biological transformation of SOC fractions**

243 The  $\delta^{13}\text{C}$  value is affected by both the vegetation (i.e., around -27 ‰ for  $\text{C}_3$   
244 plants and around -13 ‰ for  $\text{C}_4$  plants: Hiradate *et al.*, 2004; Krull *et al.*, 2002;  
245 Yoneyama *et al.*, 2001) and the degree of received biological transformation  
246 (Katsumi *et al.*, 2015; Wada *et al.*, 2013). The  $\delta^{15}\text{N}$  value is known to increase with  
247 received biological transformation (Wada *et al.*, 2013). In the present study, both the  
248  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values were investigated to access the degree of received biological  
249 transformation of the SOC fractions.

250 Averaged  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of the SOC fractions were listed in Table 2. The  
251  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values were strongly dependent on the SOC fractions; relatively high  
252 values were observed in hydrophilic FA fractions and the lowest values in HA  
253 fractions. In addition, the  $\delta^{13}\text{C}$  values of the SOC fractions were related with the  $\delta^{15}\text{N}$   
254 values (Fig. 2). The slope of the relationship between the  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values in the  
255 present study was 1.60. Wada *et al.* (2013) reported that the enrichment of  $\delta^{13}\text{C}$  and  
256  $\delta^{15}\text{N}$  in organic matter occurs during the decomposition and recycling of plant  
257 residues with the slope of 1.61, which is almost the same value obtained in the  
258 present study. Therefore, the changes in the  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values would be strongly  
259 affected by biological metabolisms, and the degree of received biological  
260 transformation of the SOC fractions prepared in the present study would be in the  
261 following order:  $\text{FA}_1$  and  $\text{FA}_2$  fractions >  $\text{FA}_3$ ,  $\text{FA}_{\text{IHSS}}$  and humin fractions > HA  
262 fraction.

263 The C/N ratios of the SOC fractions also supported the above discussion on the  
264 degree of received biological transformation. The C/N ratio has been reported to  
265 decrease with biological transformation (Mcgill and Cole, 1981), and the highest C/N

ratio was recorded for HA fractions, followed by humin and hydrophobic FA (FA<sub>3</sub> and FA<sub>IHSS</sub>) fractions and hydrophilic FA (FA<sub>1</sub> and FA<sub>2</sub>) fractions (Table 3).

It has long been considered that HA fraction is formed at the final stage of SOC formation, but this would not be applicable to the SOC of the present study. It was also clarified that the hydrophilic FA<sub>1</sub> and FA<sub>2</sub> fractions were the most metabolized SOC fractions. The averaged  $\delta^{13}\text{C}$  values of FA<sub>1</sub> and FA<sub>2</sub> fractions were 2.9 and 2.6 ‰ higher than that of HA fraction, and those  $\delta^{15}\text{N}$  values were 4.6 and 3.0 ‰ higher than that of HA fraction, respectively. Based on the result of trophic fractionation ratios (Kramer *et al.*, 2003 and Wynn, 2007), the FA<sub>1</sub> and FA<sub>2</sub> fractions would be biologically metabolized more 2 to 3 times than the HA fraction on average basis. The increases in the averaged  $\delta^{13}\text{C}$  values from HA fraction for FA<sub>3</sub> and FA<sub>IHSS</sub> fractions were 1.0 and 0.5 ‰, respectively, and the increases in the averaged  $\delta^{15}\text{N}$  values were 2.5 and 2.1 ‰, respectively. Therefore, the hydrophobic FA<sub>3</sub> and FA<sub>IHSS</sub> fractions would also be more biologically metabolized than HA fraction, but the average number of metabolic turnover would be less than that of the hydrophilic FA fractions.

If these SOC fractions had been sequentially formed one after another by only biological metabolism, it would be reasonable to conclude that the HA and hydrophilic FA fractions would be the initial and final product, respectively. However, from the view point of chemical composition of the SOC fractions (Table 4 and Fig. 3), the HA fractions would not be the first product from plants by the biological metabolisms, because the chemical structure of the HA fractions was quite different from plant materials: the HA fraction was quite rich in aromatic C, although plants are composed primarily of *O*-alkyl C (cellulose-rich structure). Therefore, it would be reasonable to postulate that the HA fraction had been formed by

291 carbonization (charred process) under the influence of high temperature at the early  
292 stage of SOM genesis and preserved in the buried horizon for a long time because of  
293 the high stability of the aromatic C.

294 In the present study, the degree of received biological transformation of the  
295 SOC fractions was clarified for a buried humic horizon of an Andosol. It would be  
296 important to further clarify whether this finding can be applicable to the other soils.  
297 In addition, it would be notable that the chemical fractionation procedure was able to  
298 isolated SOC fractions which have different history of genesis and biological  
299 transformation. The isolation procedure used in the present study would be  
300 applicable to the other soils for the study of the SOC formation and genesis.

### 301 **3.3. Vertical translocation of SOC fractions**

302 The  $^{14}\text{C}$  ages of the SOC fractions prepared from the buried humic sub-horizons  
303 in the present study were in the range between 4.6 and 6.6 kyr BP (Fig. 4). Because  
304 the buried horizon was overlaid by Towada-Chuseri pumice ( $5.39 \pm 0.14$  kyr BP;  
305 Hayakawa, 1983), the SOC fractions younger than 5.39 kyr BP would be affected by  
306 SOC supplied through the overlying Towada-Chuseri pumice. Such SOC fractions  
307 were as follows: FA<sub>1</sub> fractions from 1st and 3rd sub-horizons, FA<sub>2</sub> fractions from 1st,  
308 3rd, and 5th sub-horizons (no  $^{14}\text{C}$  age data for 2nd and 4th sub-horizons), FA<sub>3</sub>  
309 fractions from 1st to 3rd sub-horizons, and FA<sub>IHSS</sub> fractions from 2nd sub-horizon.  
310 The FA fractions would have higher rate of vertical translocation in the soil profile  
311 than the HA and humin fractions.

312 The  $^{14}\text{C}$  ages of the SOC fractions prepared in the present study were plotted  
313 against the sampling depths and compared with the deposition ages of corresponding  
314 buried humic sub-horizons (Inoue *et al.*, 2011) (Fig. 4). It has been clarified that the  
315 sub-horizons had been formed by up-building pedogenesis with a constant rate of 30

316 mm per century (Inoue *et al.*, 2011). Therefore, the difference in soil depth between  
317 the SOC fraction and the corresponding original deposition position at same  $^{14}\text{C}$  age  
318 can be regarded as averaged vertical translocation distance during the mean resident  
319 time ( $^{14}\text{C}$  age). The calculated average rates of vertical translocation for humin and  
320 HA fractions in the buried humic layer were both  $< 1$  mm per century, while those  
321 for FA<sub>1</sub> to FA<sub>3</sub> fractions and for FA<sub>IHSS</sub> fraction were 2 to 4 and 1 mm per century,  
322 respectively (Table 5). The low rates of the vertical translocation of the SOC  
323 fractions in the present study imply that they would be poorly translocated  
324 downwards and the thick humic horizon would not be formed by the vertical  
325 translocation of SOC.

326 The  $^{14}\text{C}$  ages of the HA fractions were almost the same as those of the  
327 deposition ages for all the sub-horizons, indicating that the C in the HA fraction  
328 would have been fixed right after photosynthesis by plants at the early stage of soil  
329 formation. Kristiansen *et al.* (2003) have studied the  $^{14}\text{C}$  age of SOC fractions in  
330 prehistorical burial mounds and observed the oldest ages for HA fraction. Similarly,  
331 Tonneijck *et al.* (2006) also reported the oldest age for HA fractions in Andosols  
332 from Northern Ecuador. These studies were consistent with the present study.

333 The  $^{14}\text{C}$  age of the humin fraction was also close to the deposition age of the  
334 corresponding sub-horizon, although it fluctuated in some cases. Humin fraction is  
335 the most insoluble SOC fraction against aqueous solution, and it may contain non-  
336 humic substances, such as plant residues which could give younger  $^{14}\text{C}$  age.  
337 Therefore, thorough purification procedure should be applied for preparing sample to  
338 determine the  $^{14}\text{C}$  age of well humified humin fraction. Although the humin fractions  
339 in the present study were prepared with conventional method, they were old  
340 comparable with the deposition age of the sub-horizon, meaning that they would not

341 contain significant amount of non-humic substances probably because of the  
342 microbial decomposition of non-humic substances during the long burial time of >  
343 5000 years. It was also clarified that the humin fractions were poorly translocated  
344 downwards in the Andosol profile.

345 All the FA fractions were younger than the humin and HA fractions and the  
346 deposition ages in the corresponding sub-horizon samples. The FA fractions would  
347 easily capture metabolites from plant materials, which would be supplied by leaching  
348 through the soil profile (Pessenda *et al.*, 2001; Tonneijck *et al.*, 2006). Kristiansen *et*  
349 *al.* (2003) also defined FA as a broad group of organic acid which is mobile in soils.  
350 Although the FA fractions were the most mobile SOC fractions prepared in the  
351 present study, their rates of vertical translocation were not high enough to form the  
352 thick humic horizon storing significant amount of SOC in several millennia order.

#### 353 **3.4. Chemical compositional feature of SOC fractions and their relationships** 354 **with the behavior in soils**

355 The solubility of SOC fractions in water is primarily important to understand  
356 and estimate their downward movements in soils. It has been clarified that  
357 hydrophilic SOC molecules rich in *O*-alkyl C tend to remain dissolved, while  
358 hydrophobic SOC molecules rich in aromatic and aliphatic C are preferentially  
359 retained in soils (Anderson *et al.*, 1999; Kaiser *et al.*, 2004; 2002, Nilsson *et al.*,  
360 2001). It would be important to confirm the chemical compositional feature of the  
361 SOC fractions to interpret their behaviors in soils.

362 In the present study, we analyzed the chemical compositional feature of  
363 representative SOC fractions with solid-state CPMAS <sup>13</sup>C NMR. The SOC fractions  
364 were prepared based on chemical procedure, therefore the chemical compositional  
365 feature of the resulted SOC fractions prepared by the same procedure should be



366 similar among the different sub-horizon samples. In fact, the standard deviation  
367 values of C distribution (Table 4) were all small, and the  $^{13}\text{C}$  NMR spectra of HA  
368 and FA fractions in the present study (Fig. 3) were very close to those of  
369 corresponding fractions prepared with the same procedure from a different soil  
370 sample in the previous study (Hiradate *et al.*, 2007).

371 The  $^{13}\text{C}$  NMR spectra of the isolated SOC fractions (Fig. 3) indicated that the  
372 FA<sub>1</sub> fraction was characterized by high proportion of hydrophilic *O*-alkyl C ( $70 \pm$   
373  $5 \%$ ) and low proportion of aromatic C ( $3 \pm 1 \%$ ) (Table 4), indicating that the FA<sub>1</sub>  
374 fraction was rich in sugar moieties and peptide-like structures which could be easily  
375 biologically metabolized. The hydrophilic nature of the FA<sub>1</sub> fraction would also be  
376 responsible for the high solubility in water and relatively high mobility in the soil  
377 horizon.

378 In the FA<sub>IHSS</sub> fraction, the higher proportion of aromatic C ( $20 \pm 3 \%$ ) and alkyl  
379 C ( $44 \pm 6 \%$ ) would lead to lower rate of metabolic turnover and lower mobility in  
380 the soil horizon than FA<sub>1</sub>. For the FA<sub>2</sub> and FA<sub>3</sub> fractions, their chemical  
381 compositional features were intermediate between those of FA<sub>1</sub> and FA<sub>IHSS</sub>, and they  
382 were in good agreement with their behavior in the soil horizon.

383 In the HA fraction, aromatic C ( $52 \pm 2 \%$ ) was very rich, resulting in high  
384 aromaticity ( $0.56 \pm 0.03$ ) (Table 4). Such chemical compositional feature of the HA  
385 fraction in the present study was consistent with that of well-humified (A-type) HA  
386 fractions reported by Maie *et al.* (2002) and Watanabe and Fujitake (2008).  
387 Watanabe and Fujitake (2008) suggested that aromatic C contained in HA fraction  
388 would cause slow degradation of the HA molecules. Tonneijck *et al.* (2006) also  
389 reported that the HA fraction can be retained on the soil minerals of Andosols by  
390 forming stable complexes and becomes less mobile downwards. The high proportion

391 of aromatic C of the HA fraction in the present study would lead to low solubility in  
392 water and low mobility in soil profiles, therefore such molecules would not take part  
393 in dissolved organic C transported into underlying soil layers.

394 As described above, the chemical compositional feature of the SOC fractions  
395 prepared in the present study reasonably explained their chemical and biological  
396 behaviors in the soil horizon. The hydrophilic FA fraction was the most mobile  
397 fraction, followed by the hydrophobic FA fraction, and the HA fraction, although all  
398 of the mobility would not be high enough to explain the formation of the 40 cm-thick  
399 of the humic horizon in several millennia. Successive up-building accumulation of  
400 soil particles containing SOC would contribute to the formation of the thick humic  
401 horizons.

### 402 **3.5. Genesis of HA fractions in the present study**

403 The genesis of HA fraction has long been discussed especially focusing on the  
404 origin of the aromatic C. As sources of the aromatic C, monocyclic benzene rings  
405 (e.g., lignins and tannins: Stevenson, 1994, etc.) and polycyclic benzene rings (e.g.,  
406 charred plant materials with graphite-like structure: Golchin *et al.*, 1994; Shindo *et*  
407 *al.*, 2004, etc.) have been proposed. The latter source would reasonably explain the  
408 low  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of the HA fractions of the present study, because charring  
409 reaction does not require biological processes. The charring reaction would also  
410 explain the rapid formation of the HA fraction right after photosynthesis. The HA  
411 fractions in the present study could be derived through charcoal or charred plant  
412 fragments which had been formed by fire event, etc., at the early stage of soil  
413 formation.

## 414 **4. Conclusion**

416 In the present study, SOC fractions were prepared from eight sub-horizons of a  
417 buried humic horizon of an Andosol by employing chemical fractionation  
418 procedures, resulting in humin, HA, FA<sub>1</sub>, FA<sub>2</sub>, FA<sub>3</sub>, and FA<sub>IHSS</sub> fractions. It was  
419 clarified that the SOC fractions had received different degree of biological  
420 transformation, and the mobility of them in the soil profile were different depending  
421 on their chemical compositional features. The FA<sub>1</sub> fraction was the most severely  
422 biologically transformed SOC fraction among the prepared fractions in the present  
423 study, and the mobility of FA<sub>1</sub> to FA<sub>3</sub> in the soil profile was the highest and 2 to 4  
424 mm per century. The HA fraction had received less biological transformation, and its  
425 mobility in the soil profile was limited to < 1 mm per century. Judging from the  
426 information on the genesis and mobility, the HA fraction was considered to be  
427 formed at the early stage of soil formation and chemically stabilized in the soil  
428 horizon. Fire event could be a possible formation mechanism responsible for the high  
429 aromaticity of the HA fraction. For FA<sub>2</sub>, FA<sub>3</sub>, and FA<sub>IHSS</sub> fractions, the degree of  
430 biological processes received was intermediate between the HA and FA<sub>1</sub> fractions.  
431 The mobility of the prepared SOC fractions was well-explained by the chemical  
432 compositional feature determined by solid-state CPMAS <sup>13</sup>C NMR spectra. Because  
433 of the low mobility of all of the SOC fractions, the vertical translocation of SOC  
434 would not be the main mechanisms for forming the 40 cm-thick humic horizons, and  
435 successive up-building accumulation of soil particles containing SOC would  
436 contribute to the formation of the thick humic horizons.

437

438 **Declarations of interest: none**

439

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442

443   **References**

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557 **Figure captions**

558 Fig. 1. Location of sampling site illustrated on isopach map of To-Cu and To-Nb  
559 tephras based on Machida and Arai (2003) (a) and sampling position in the soil  
560 profile (b). To-a: Towada-a, To-b: Towada-b, To-Cu: Towada-Chuseri, To-Nb:  
561 Towada-Nambu. The deposition ages of To-a\* and To-b\*\* are calculated  $^{14}\text{C}$  ages and  
562 cited from Machida *et al.* (1981) and Oike and Shoji (1974), respectively. The  
563 deposition ages of To-Cu\*\*\* and To-Nb\*\*\* are non-calculated  $^{14}\text{C}$  ages and cited from  
564 Hayakawa (1983;1985).

565  
566 Fig. 2. Relationship between  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of humin, humic acid (HA),  
567 hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), and hydrophobic fulvic acid (FA<sub>3</sub> and FA<sub>IHSS</sub>)  
568 fractions prepared from eight sub-horizon samples from a buried humic horizon  
569 occurred between 147 and 187 cm depth of an Andosol near Towada volcano.  
570 The  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of FA<sub>2</sub> (152 - 157 cm and 162 - 167 cm depths) and FA<sub>IHSS</sub>  
571 (172 - 177 cm depth) were not detected due to low yield.

572  
573 Fig. 3. Solid-state cross polarization magic angle spinning  $^{13}\text{C}$  nuclear magnetic  
574 resonance spectra of hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), hydrophobic fulvic acid  
575 (FA<sub>3</sub> and FA<sub>IHSS</sub>), and humic acid (HA) fractions prepared from a sub-horizon sample  
576 between 162 and 167 cm depth from a buried humic horizon occurred between 147  
577 and 187 cm depth of an Andosol near Towada volcano.

578  
579 Fig. 4. Comparison between deposition age of sub-horizon (●: Inoue *et al.*, 2011) and  
580  $^{14}\text{C}$  age (○) of (a) humin, (b) humic acid (HA), (c and d) hydrophilic fulvic acid (c:

581 FA<sub>1</sub>, d: FA<sub>2</sub>), and (e and f) hydrophobic fulvic acid (e: FA<sub>3</sub>, f: FA<sub>IHSS</sub>) fractions  
582 prepared from eight sub-horizon samples from a buried humic horizon occurred  
583 between 147 and 187 cm depth of an Andosol near Towada volcano. Error bars  
584 indicate the uncertainty ( $2\sigma$ ) of the corresponding <sup>14</sup>C age determination. The <sup>14</sup>C age  
585 of FA<sub>2</sub> from the 2nd and 4th sub-horizons (152 - 157 cm and 162 - 167 cm depths)  
586 and FA<sub>IHSS</sub> from the 6th sub-horizon (172 - 177 cm depth) was not detected due to  
587 low yield.

(a)

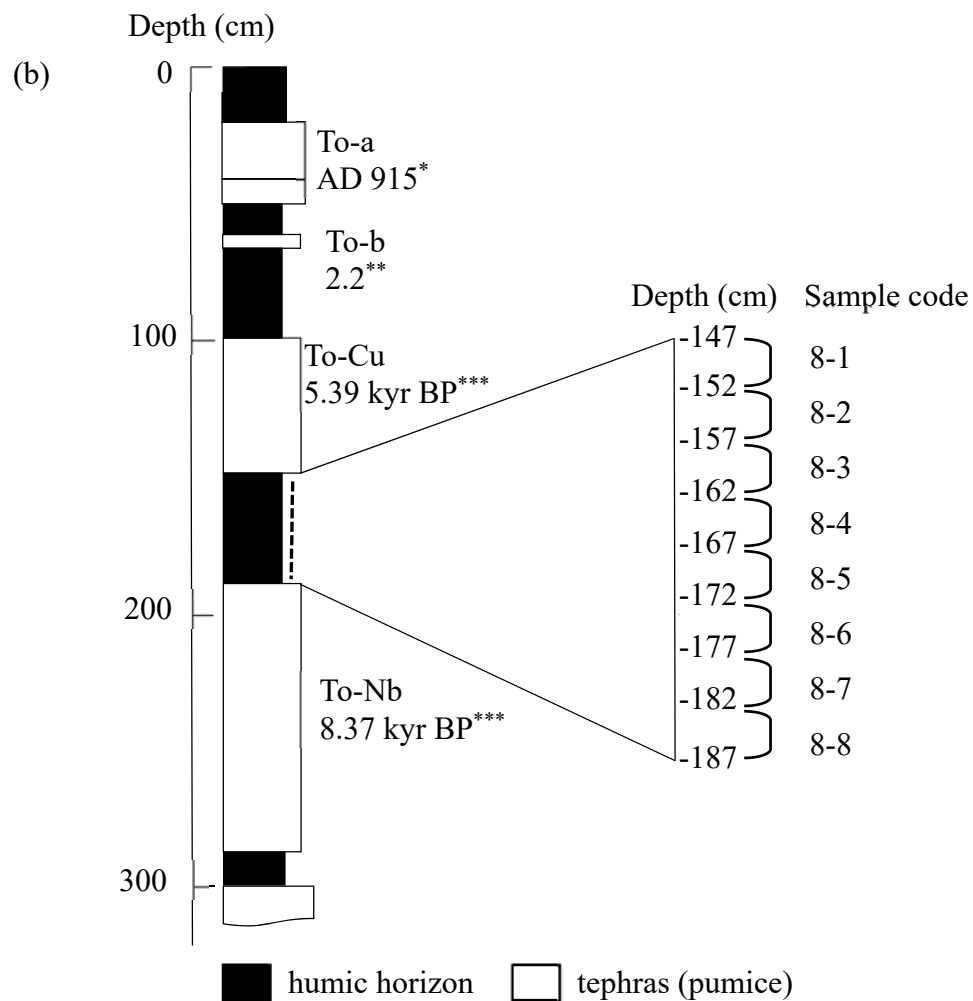
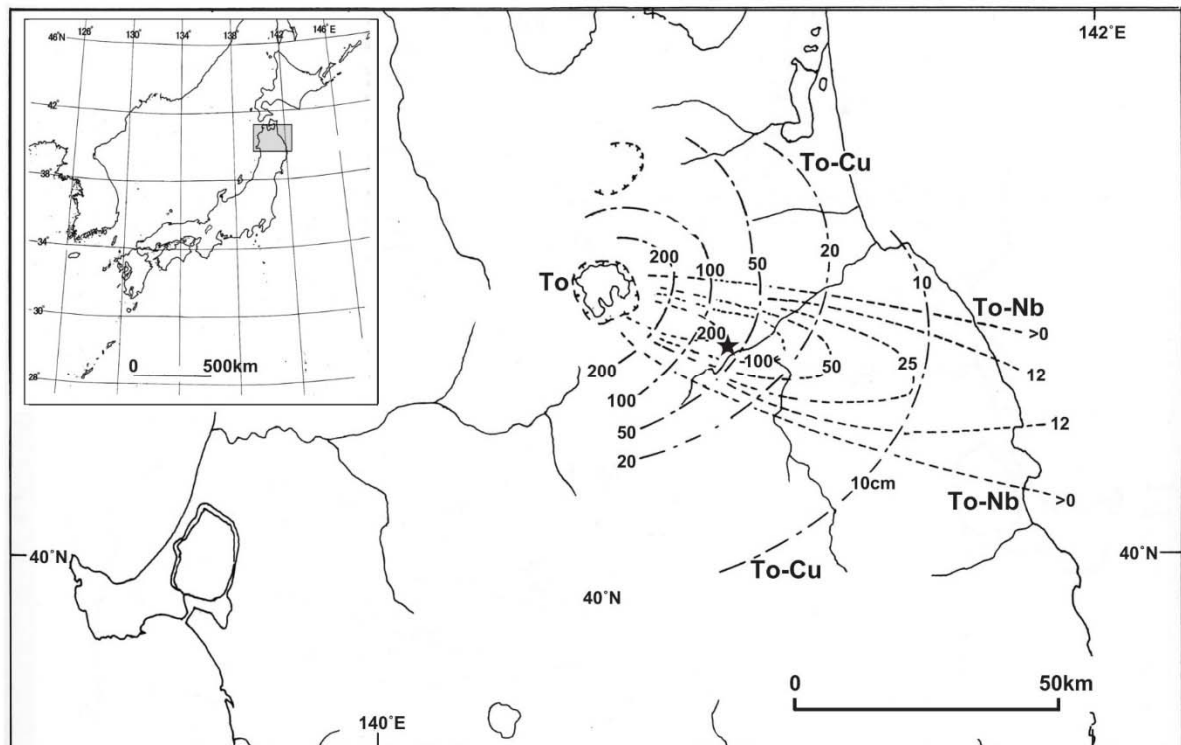


Fig.1. Wijesinghe *et al.*, 2019

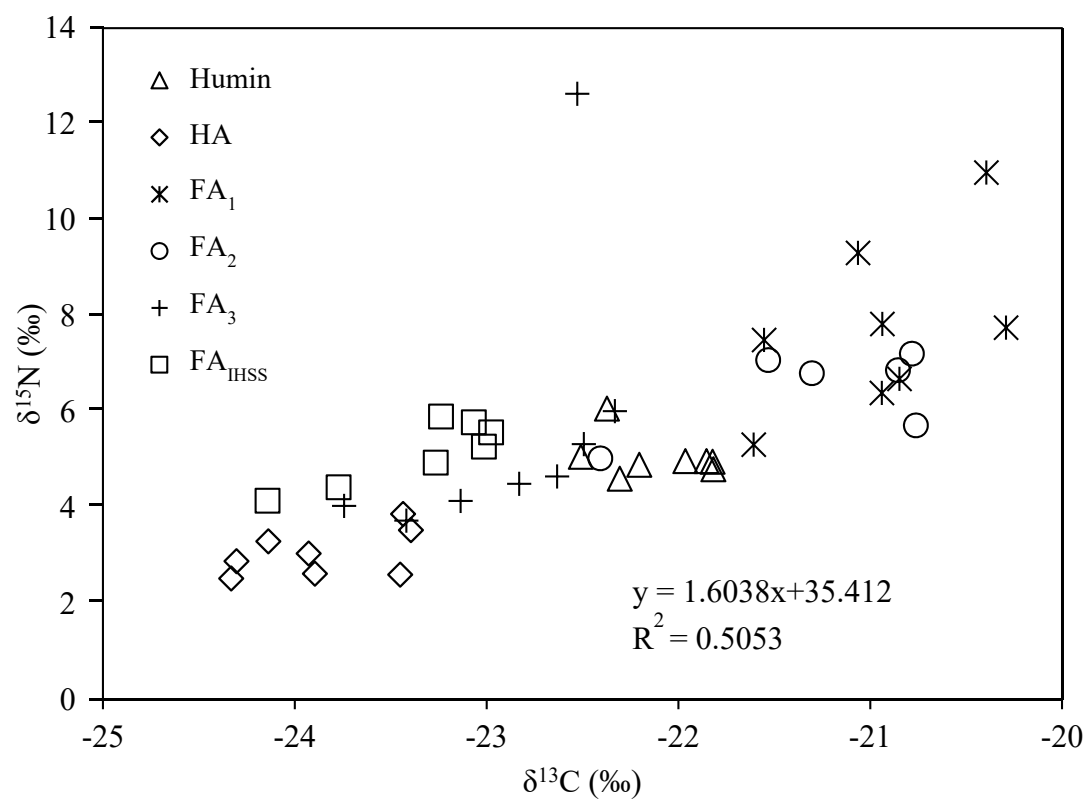


Fig. 2. Wijesinghe *et al.*, 2019

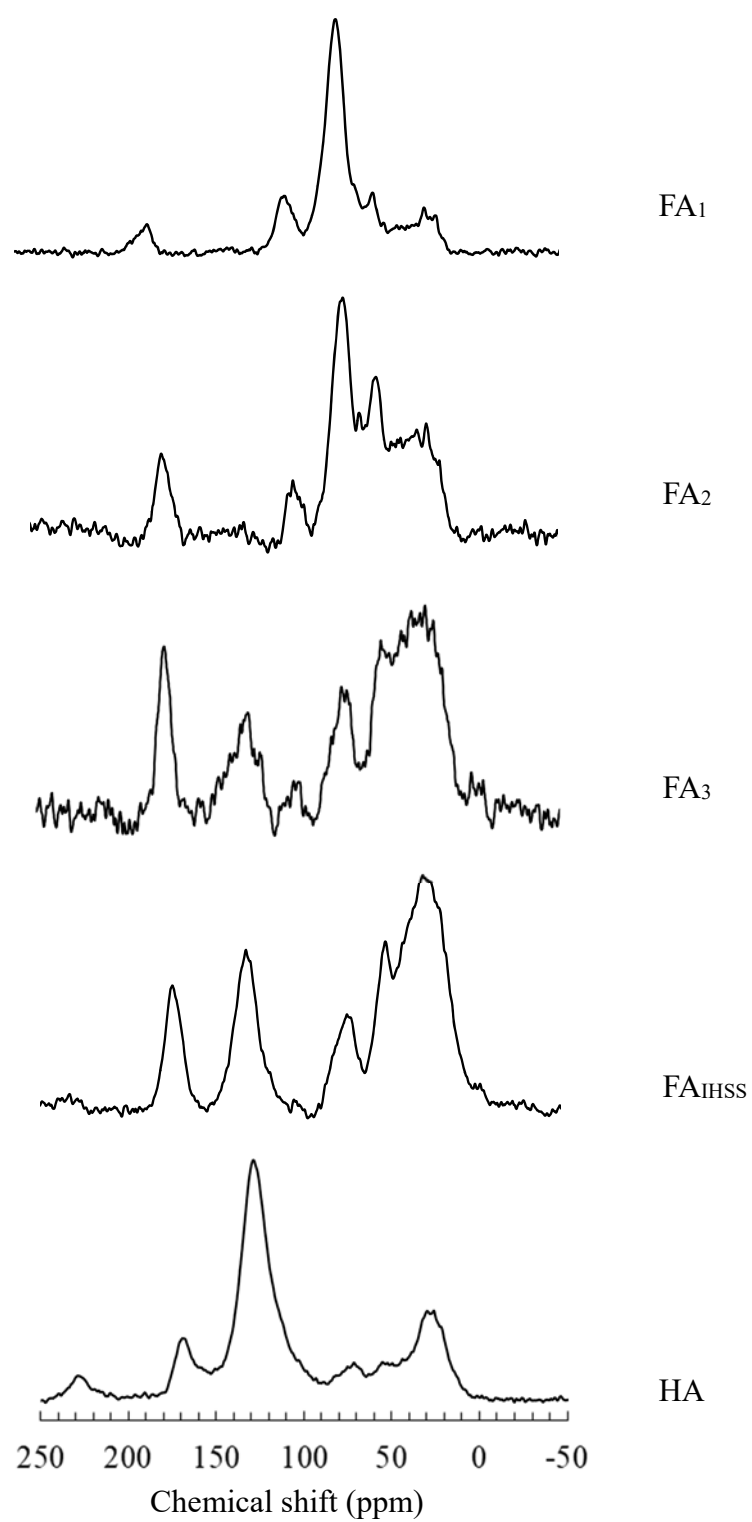


Fig. 3. Wijesinghe *et al.*, 2019

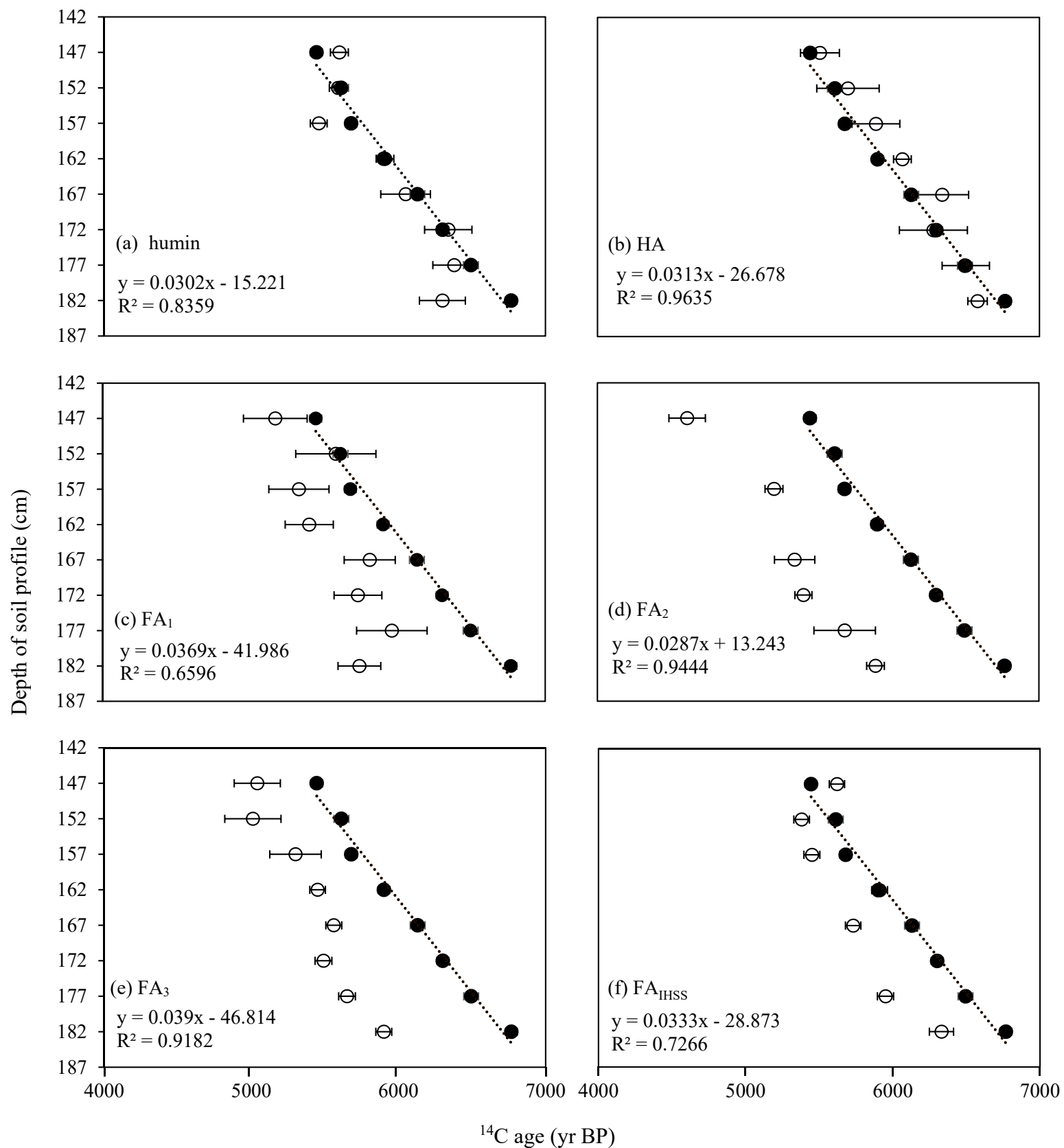


Fig. 4. Wijesinghe *et al.*, 2019

Table 1. Averaged C and N recovery of humin, humic acid (HA), hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), and hydrophobic fulvic acid (FA<sub>3</sub> and FA<sub>IHSS</sub>) fractions prepared from eight sub-horizon samples from a buried humic horizon occurred between 147 and 187 cm depth of an Andosol near Towada volcano.

SOC fraction	C recovery (%)	N recovery (%)
Humin	85.4 ± 11.1	74.1 ± 7.2
HA	4.9 ± 1.2	3.3 ± 1.2
FA <sub>1</sub>	5.7 ± 0.8	5.6 ± 0.9
FA <sub>2</sub>	1.1 ± 1.1	1.3 ± 1.3
FA <sub>3</sub>	1.9 ± 0.8	2.3 ± 1.5
FA <sub>IHSS</sub>	3.7 ± 2.0	2.0 ± 1.5
mean ± SD	102.7 ± 8.8	88.5 ± 5.9

Table 2. Averaged  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of humin, humic acid (HA), hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), and hydrophobic fulvic acid (FA<sub>3</sub> and FA<sub>IHSS</sub>) fractions prepared from eight sub-horizon samples\* from a buried humic horizon occurred between 147 and 187 cm depth of an Andosol near Towada volcano.

SOC fraction	$\delta^{13}\text{C}\text{‰}$	$\delta^{15}\text{N}\text{‰}$
humin	$-22.1 \pm 0.3$	$5.0 \pm 0.4$
HA	$-23.9 \pm 0.4$	$3.1 \pm 0.5$
FA <sub>1</sub>	$-21.0 \pm 0.5$	$7.7 \pm 1.8$
FA <sub>2</sub>	$-21.3 \pm 0.6$	$6.1 \pm 1.0$
FA <sub>3</sub>	$-22.9 \pm 0.5$	$5.6 \pm 2.7$
FA <sub>IHSS</sub>	$-23.4 \pm 0.4$	$5.2 \pm 0.7$

\*: The  $\delta^{13}\text{C}$  and  $\delta^{15}\text{N}$  values of FA<sub>2</sub> (152 - 157 cm and 162 - 167 cm depths) and FA<sub>IHSS</sub> (172 - 177 cm depth) were not detected due to low yield.



Table 3. The C/N ratio of humin, humic acid (HA), hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), and hydrophobic fulvic acid (FA<sub>3</sub> and FA<sub>IHSS</sub>) fractions prepared from eight sub-horizon samples from a buried humic horizon occurred between 147 and 187 cm depth of an Andosol near Towada volcano.

Sample code	Sampling depth (cm)	C/N					
		humin	HA	FA <sub>1</sub>	FA <sub>2</sub>	FA <sub>3</sub>	FA <sub>IHSS</sub>
8-1	147-152	14.3	18.9	12.8	9.4	10.1	13.9
8-2	152-157	16.4	18.9	13.6	-*	12.4	14.9
8-3	157-162	14.4	18.0	12.0	9.0	13.5	14.7
8-4	162-167	14.7	18.2	13.1	-*	13.3	15.7
8-5	167-172	15.0	17.2	13.3	10.2	13.9	16.2
8-6	172-177	14.9	17.4	14.4	9.4	14.6	-*
8-7	177-182	14.5	17.5	13.7	10.0	14.4	16.7
8-8	182-187	14.7	17.7	11.7	12.6	14.4	17.4
mean $\pm$ SD		14.9 $\pm$ 0.7	18.0 $\pm$ 0.6	13.1 $\pm$ 0.9	10.1 $\pm$ 1.3	13.3 $\pm$ 1.5	15.7 $\pm$ 1.2

-\*: The C/N ratio of FA<sub>2</sub> (152 - 157 cm and 162 - 167 cm depths) and FA<sub>IHSS</sub> (172 - 177 cm depth) was not detected due to low yield.

Table 4. Distribution of carbon species and aromaticity values of humin, humic acid (HA), hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), and hydrophobic fulvic acid (FA<sub>3</sub> and FA<sub>IHSS</sub>) fractions prepared from four sub-horizon samples (147 - 152, 162 - 167, 172 - 177, and 182 - 187 cm depths) from a buried humic horizon occurred between 147 and 187 cm depth of an Andosol near Towada volcano.

Fine fractionated organic C	C species (%)				Aromaticity <sup>a</sup>
	Carboxyl C (165 - 190 ppm)	Aromatic C (110 - 165 ppm)	<i>O</i> -alkyl C (45 - 110 ppm)	Alkyl C (0 - 45 ppm)	
humin <sup>*</sup>	9.9	19.5	20.7	41.5	0.24
HA	5.2 ± 1.0	52.2 ± 2.0	20.7 ± 1.4	21.0 ± 1.4	0.56 ± 0.03
FA <sub>1</sub>	7.4 ± 3.0	3.1 ± 1.0	70.0 ± 4.9	18.2 ± 1.9	0.04 ± 0.01
FA <sub>2</sub>	10.1 ± 1.3	4.2 ± 1.4	56.3 ± 2.1	27.2 ± 0.8	0.05 ± 0.02
FA <sub>3</sub> <sup>*</sup>	11.8	14.0	32.0	41.6	0.16
FA <sub>IHSS</sub>	10.2 ± 3.7	20.0 ± 3.3	25.4 ± 2.0	44.0 ± 5.7	0.23 ± 0.04

<sup>a</sup>: aromatic C/ (aromatic C+ *O*-alkyl C+ alkyl C).

<sup>\*</sup>: The data was from one sub-horizon sample occurred between 162 and 167 cm depth.

Table 5. The rate of vertical translocation of humin, humic acid (HA), hydrophilic fulvic acid (FA<sub>1</sub> and FA<sub>2</sub>), and hydrophobic fulvic acid (FA<sub>3</sub> and FA<sub>IHSS</sub>) fractions prepared from eight sub-horizon samples from a buried humic horizon occurred between 147 and 187 cm depth of an Andosol near Towada volcano.

Sampling depth (cm)	Rate of vertical translocation (mm/century) <sup>a</sup>					
	humin	HA	FA <sub>1</sub>	FA <sub>2</sub>	FA <sub>3</sub>	FA <sub>IHSS</sub>
147-152	0.0	0.0	**	**	**	0.0
152-157	0.0	0.0	0.0	- *	**	**
157-162	1.4	0.0	**	**	**	1.5
162-167	0.2	0.0	2.7	- *	2.4	0.2
167-172	0.4	0.0	1.5	**	2.7	1.9
172-177	0.0	0.2	2.7	4.5	4.0	- *
177-182	0.6	0.1	2.5	3.9	4.0	2.5
182-187	1.7	0.5	4.4	3.6	3.6	1.6
average	0.5	0.1	2.3	3.8	3.3	1.3

The minimum rate of vertical translocation was assumed to be zero.

<sup>a</sup>: (current depth of SOC fraction – depth of original deposition)/<sup>14</sup>C age of SOC fraction.

\*: The rates of vertical translocation of FA<sub>2</sub> (152 - 157 cm and 162 - 167 cm depths) and FA<sub>IHSS</sub> (172 - 177 cm depth) were not calculated due to low yield.

\*\*: SOC fraction younger than the overlaid Towada-Chuseri pumice ( $5.39 \pm 0.14$  kyr BP) was not considered in the calculation.