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https://doi.org/10.5109/4572

出版情報:九州大学大学院農学研究院紀要. 49 (1), pp. 119-127, 2004-02-01. Faculty of

Agriculture, Kyushu University

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Preparation of Liposomal Microcapsules by Proliposome Method with Soybean Lecithin

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Liposomal encapsulation was carried out with soybean and egg yolk lecithins. Bovine serum albumin (BSA) was encapsulated by proliposome and dehydration–rehydration (DR) method. Highest encapsulation efficiency (41.9%) was achieved by proliposome method with soybean lecithin. Encapsulation efficiency of BSA by proliposome method increased to 61.5% by the addition of trehalose. Stability of the proliposome–capsules was determined under the pH of 3, 5, and 7. Degradation of the capsules was observed at pH 3 and BSA was released from the capsules. To stabilize proliposome–capsules under acidic conditions, ethanol–soluble fraction of soybean lecithin was used to prepare the capsules. The capsules showed high stability at pH 3 and encapsulated BSA was retained 74.5% after incubation at 37°C for 2 h. In addition, the proliposome–capsules with ethanol–soluble fraction of soybean lecithin exhibited high resistance against the simulated gastric solution (pH 1.2), while the capsules were readily degraded by Hofmann bile salt solution. These results suggest that the proliposome–capsules would be useful for targeted delivery of functional food ingredients acted in the intestinal tract.

INTRODUCTION

Microencapsulation technique is employed in various areas such as the pharmaceutical, oil, and food industries (Balassa and Fanger, 1971; Lasic, 1998). Through this procedure, liquid droplets, solid particles, or gaseous materials with physiological activity are packaged into the shells that protect and control their release at controlled rates under desired conditions. In food industry, microencapsulation technique has been applied to stabilize the core material, to control the release of the core material, and to separate reactive or incompatible components of formulation (Dziezak, 1988).

Liposomes, a type of microcapsule, enclose liquid compartments with their multilamellar structure consisting of lipid bilayers. Liposomes are useful in various fields because of the small size, high encapsulation efficiency, and so on. Phospholipids are commonly used to prepare liposomes. Egg yolk lecithin has been used in a number of studies because phosphatidilcholine, a major component of egg yolk lecithin, forms liposomes efficiently. Various methods to prepare liposomes have been reported. Dehydration–rehydration (DR) method (Kirby and Gregoriadis, 1984), Reverse–phase evaporation vesicle (REV) method (Szoka and Papahadjopoulos, 1978), and Proliposome method (Perrett *et al.*, 1991) were used because of their high encapsulation efficiency for water–soluble materials.

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In food industry, there are many restrictions to prepare liposomes. Organic solvents, such as chloroform, diethyl ether, or methanol, cannot be used for the liposome preparation because organic solvents have a possible risk to human health. In addition, egg yolk lecithin is too expensive for large—scale production of microcapsules in food applications. In this study, we tried to prepare liposomal microcapsules with ethanol and soybean lecithin. Encapsulation behavior of bovine serum albumin (BSA), a model compound, was investigated by using proliposome methods. Stability of the capsules was determined under various pH. In addition, resistance of the capsules against simulated gastric juice and degradation of the capsules by bile acids were investigated for targeted delivery of functional food ingredients acted in the intestinal tract.

MATERIALS AND METHODS

Materials

Soybean lecithin, egg yolk lecithin, bovine serum albumin (BSA), phosphatidylcholine (PC), phosphatidylethanolamine (PE), trehalose were purchased from Nacalai Tesque Co., Tokyo, Japan. Phosphatidylinositol (PI) was purchased from Sigma Chemical Co., Tokyo, Japan. Bile salts (glycocholic acid, glycochenodeoxycholic acid, glycodeoxycholic acid, taurocholic acid, taurochenodeoxycholic acid, and taurodeoxycholic acid) were purchased as sodium salts from Sigma Chemical Co. (St. Louis, MO, U. S. A.). All other chemicals were obtained from Nacalai Tesque Co. and were of analytical reagent grade.

Preparation of liposomal microcapsules by proliposome method

Proliposome–microcapsules were prepared according to the method described by Perrett et~al.~(1991) and Rengel et~al.~(2002). Lecithin $(250\,\mathrm{mg})$ and ethanol $(200\,\mu\mathrm{l})$ was stirred and heated to $60\,^\circ\mathrm{C}$ for 5 min. After cooling to room temperature, $500\,\mu\mathrm{l}$ of aqueous solution containing BSA $(25\,\mathrm{mg})$ was added. The proliposome mixture was converted to a liposome suspension by dropwise–addition of $20\,\mathrm{ml}$ of $10\,\mathrm{mM}$ phosphate buffer (pH 7.0) under the controlled drop rate $(1.5\,\mathrm{ml/min})$ and stirred for $30\,\mathrm{min}$. The prepared liposome suspension was left to hydrate at $2\,^\circ\mathrm{C}$. Proliposome–capsules were obtained by ultracentrifugation at $80000\times\mathrm{g}$ for $45\,\mathrm{min}$ at $4\,^\circ\mathrm{C}$. Unencapsulated BSA in supernatant of liposome suspension was measured by Bio–Rad protein assay kit using BSA as a standard and encapsulation efficiency of BSA was calculated by following equation:

Encapsulation efficiency (%)
={ (added BSA (mg) - BSA in supernatant of liposome suspension (mg))
/ added BSA (mg) }×100

Preparation of liposomal microcapsules by dehydration-rehydration method

Dehydration—rehydration (DR) microcapsules were prepared according to the method described by Kim *et al.* (1999) with minor modifications. To 150 ml of ethanol, 250 mg of lecithin (soybean or egg yolk) was dissolved. The ethanol was removed on a rotary evaporator (Tokyo Rikakikai, Japan) under reduced pressure, and then the dried lipid film formed was suspended in 20 ml of deionized water. The suspension was sonicated at 60 W using a bath type sonicater (SONO CREANER 100A, Kaijo Denki, Japan) for

 $5\,\mathrm{min}$. The suspension was mixed with $10\,\mathrm{ml}$ of aqueous solution containing BSA ($25\,\mathrm{mg}$) and stirred by vortexing for $5\,\mathrm{min}$. Then, the suspension was lyophilized for $12\,\mathrm{h}$ under vacuum in freeze–dryer (Shimadzu Co., Kyoto, Japan). Deionized water ($30\,\mathrm{ml}$) was added to the freeze–dryed preparation and mixed. Dehydration–rehydration liposome–capsules were obtained by ultracentrifugation at $80000\times\mathrm{g}$ for $45\,\mathrm{min}$ at $4\,^\circ\mathrm{C}$. Unencapsulated BSA in supernatant of liposome suspension was measured by Bio–Rad protein assay kit. Encapsulation efficiency of BSA was calculated in a similar manner as described above.

Preparation of ethanol soluble fraction of soybean lecithin

Soybean lecithin was dissolved in ethanol and stirred thoroughly. After centrifugation at $3000 \times g$ for 5 min at 4°C, the supernatant was evaporated on a rotary evaporator to remove solvent. After evaporation, $300-400\,\mathrm{mg}$ of ethanol–soluble fraction was obtained from 1 g of soybean lecithin.

pH stability measurement of proliposome-capsules

Liposomes were incubated in McIlvaine buffer (pH 3, 5,and 7) at $37\,^{\circ}$ C for 2h (liposome concentration: ca. 1.5 mg lipid/ml). After incubation, the suspension was ultracentrifuged at $80000\times g$ for $45\,\mathrm{min}$ at $4\,^{\circ}$ C. Released BSA in supernatant was measured by Bio–Rad protein assay kit using BSA as a standard. The percent retention of BSA was calculated by following equation:

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Retention (%)
= { ( encapsulated BSA (mg) - released BSA (mg) / encapsulated BSA (mg) ) }
×100
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Stability measurement of proliposome-capsules against simulated gastric solution

Liposomes were incubated in simulated gastric juice (pH 1.2, HCl solution containing 0.2% NaCl) at 37 °C for 2 h (liposome concentration: ca. 1.5 mg lipid / ml). After incubation, the suspension was ultracentrifuged at $80000 \times g$ for $45 \, \text{min}$ at 4 °C and released BSA in supernatant was measured and the percent retention of BSA was calculated as described above.

Degradation of proliposome-capsules with bile salts

Liposomes were incubated at 37 °C for 30 min in 10 mM artificial bile salt solution described by Hofmann (1963). Hofmann bile acid solution, which simulated human intestinal content, was prepared by mixing of the six bile salts at the following ratio (by volume): sodium glycocholate, 30%; sodium glycochenodeoxycholate, 30%; sodium glycochenodeoxycholate, 15%; sodium taurocholate, 10%; sodium taurochenodeoxycholate, 10%; sodium taurodeoxycholate, 5%). The turbidity at 450 nm (T_{450 nm}) was monitored. The degradation percentage of capsules was calculated by following equation:

Degradation(%) =
$$\frac{A - B}{A - C} \times 100$$

where A is the turbidity at 0 min, B is the turbidity during the treatment, and C is the

turbidity after complete degradation by treating with $10\,\mathrm{mM}$ Hofmann bile acid solution at $37\,^{\circ}\mathrm{C}$ for $60\,\mathrm{min}$.

RESULTS AND DISCUSSION

Encapsulation of BSA by proliposome and DR methods

Soybean and egg yolk lecithins were used for liposomal encapsulation of BSA. Encapsulations were done by proliposome and DR methods with ethanol. Encapsulation efficiencies of BSA by these methods were shown in Fig. 1. Highest encapsulation efficiency (41.9%) was obtained by proliposome method with soybean lecithin. The efficiency was about 20% higher than that of encapsulation with egg yolk lecithin (by proliposome and DR methods). On the other hand, encapsulation efficiency by DR method with soybean lecithin was only 10.7%. This low efficiency might be resulted from ethanol—insoluble property of phosphatidylinositol, which is one of major phospholipids in soybean lecithin. Phosphatidylinositol would inhibit liposomal encapsulation by preventing a thin film formation in ethanol—system on DR method and cause the decrease in the encapsulation efficiency.

Figure 2 shows the encapsulation efficiency of BSA by proliposome method with various amounts of soybean lecithin. Highest encapsulation efficiency of BSA (25 mg) on proliposome method was obtained by using 250 mg of lecithin.

Crowe et al. (1986) reported that trehalose would stabilize liposomal membrane by the formation of hydrogen bonds with phosphate groups of phospholipids. Kim et al. (1999) indicated that the amounts of β -galactosidase entrapped in DR-liposomes increased by the addition of trehalose. In the present study, we investigated the effect of

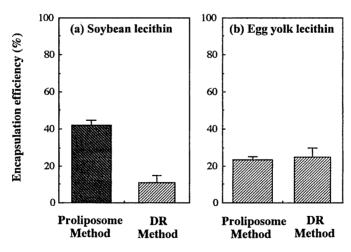


Fig. 1. Encapsulation of BSA with soybean (a) and egg yolk lecithin (b). Encapsulations were done by proliposome and dehydration-rehydration (DR) methods. The capsules were prepared with 250 mg of lecithin and 25 mg of BSA. Results are expressed as mean±SD (n=3).

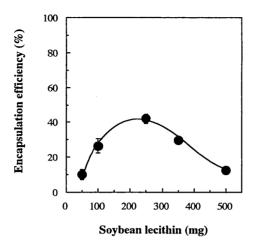


Fig. 2. Encapsulation efficiency by proliposome method with soybean lecithin. The capsules were prepared with 50–500 mg of lecithin and 25 mg of BSA. Each point represents the mean±SD (n=3).

trehalose on encapsulation by proliposome method. Encapsulation efficiency of BSA by proliposome method with trehalose was shown in Fig. 3. Encapsulation efficiency by proliposome method increased with increasing the amounts of trehalose. By addition of trehalose (1 g/250 mg lecithin), 61.5% of the efficiency was achieved. The result suggests that trehalose would stabilize proliposome–capsules and result in high encapsulation efficiency of BSA.

The pH stability of proliposome–capsules prepared with soybean lecithin and trehalose was examined (Fig. 4). The capsules were incubated in McIlvaine buffer at pH 3, 5, and 7. Incubation temperature and time were set at 37 °C and 2 h, respectively. High stabilities of proliposome–capsules were observed at pH 5 and 7. BSA was retained over 90% after the incubation for 2 h. On the other hand, BSA was easily released from the capsules at pH 3. BSA was retained only 12.6% after the incubation.

Effect of phospholipids on encapsulation by proliposome method

The effect of the phospholipids on encapsulation efficiency of BSA was investigated (Fig. 5). Phosphatidylcholine, phosphatidylethanolamine, and phosphatidylinositol were used to prepare proliposome–capsules with soybean lecithin. Encapsulation efficiency of BSA increased with increasing the amounts of PC. The addition of PE exhibited little effect on the encapsulation efficiency. A considerable decrease in the efficiency was observed by addition of PI. Encapsulation efficiency by using 250 mg of PI was only 5.1%. The result suggests that PI would destabilize proliposome–capsules and result in the decrease in the encapsulation efficiency.

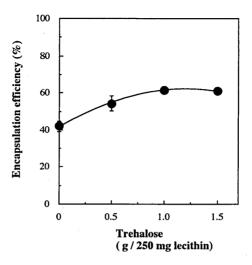


Fig. 3. Effect of trehalose on encapsulation efficiency of BSA by proliposome method. The capsules were prepared with 250 mg of lecithin and 25 mg of BSA. Each point represents the mean±SD (n=3).

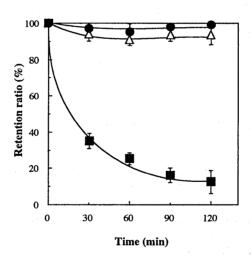


Fig. 4. Stability of proliposome–capsules at pH 3, 5, and 7. The capsules were prepared with 250 mg of lecithin and 25 mg of BSA, and incubated in McIlvaine buffer at 37°C for 2 h. Symbols indicate: ■, pH 3; △, pH 5; ●, pH 7. Each point represents the mean± SD (n=3).

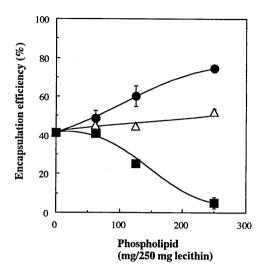


Fig. 5. Effect of the phospholipids on encapsulation efficiency of BSA by proliposome method. Phosphatidylcholine (PC), phosphatidylethanolamine (PE), phosphatidylinositol (PI) were used for the encapsulation. The capsules were prepared with 0-250 mg of each phospholipid, 250 mg of soybean lecithin, and 25 mg of BSA. Symbols indicate: ●, PC; △, PE; ■, PI. Each point represents the mean±SD (n=3).

Encapsulation of BSA by proliposome method with ethanol soluble fraction of soybean lecithin

As described above, PI would destabilize proliposome–capsules and cause the decrease in encapsulation efficiency. Thus, we prepared proliposome–capsules with ethanol–soluble fraction, which was free from PI, of soybean lecithin. As a result, encapsulation efficiency of BSA increased to 69.0% by using ethanol–soluble fraction of soybean lecithin (data not shown).

The pH stability of proliposome–capsules with ethanol–soluble fraction of soybean lecithin was investigated. The retention of BSA in the capsules under acidic condition was shown in Fig. 6. The capsules were incubated at pH 3 and 37 °C for 2 h. Proliposome–capsules with ethanol–soluble fraction exhibited higher stability than that of the capsules with whole soybean lecithin. BSA was retained 74.5% in proliposome–capsules after the incubation.

To apply proliposome–capsules for targeted delivery of functional food ingredients acted in the intestinal tract, the resistance of the capsules against gastric environment was investigated by monitoring the release of BSA from the capsules. Retention of BSA in proliposome–capsules under simulated gastric juice (pH 1.2) was shown in Fig. 7. Proliposome–capsules were prepared with ethanol fraction of soybean lecithin and whole soybean lecithin. Proliposome–capsules with whole soybean lecithin were rapidly degrad-

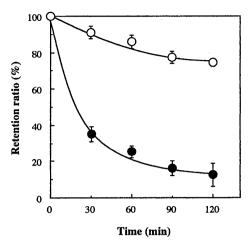


Fig. 6. Stability of proliposome-capsules under acidic condition. The capsules were prepared with ethanol fraction of soybean lecithin and whole soybean lecithin, and incubated at pH 3 and 37°C for 2 h. Symbols indicate: ○, ethanol soluble fraction; ●, whole soybean lecithin. Each point represents the mean ±SD (n=3).

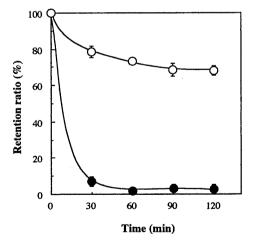


Fig. 7. Resistance of proliposome-capsules against simulated gastric juice (pH 1.2) at 37 °C. The capsules were prepared with ethanol soluble fraction of soybean lecithin and whole soybean lecithin. Symbols indicate: ○, ethanol soluble fraction; ●, whole soybean lecithin. Each point represents the mean ±SD (n=3).

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ed and BSA was released from the capsules. The percent retention of BSA was only 2.6% after 2 h. On the other hand, proliposome–capsules with ethanol fraction were more stable under simulated gastric juice. BSA was retained 68.0% even after 2 h–incubation. In addition, we examined the degradation of proliposome–capsules with 10 mM Hoffmann bile salt solution by turbidity measurement at 450 nm. Proliposome–capsules with ethanol fraction of soybean lecithin were readily degraded by the bile salt solution and 94.6% of BSA was released from the capsules within 5 min (data not shown). These results suggest that proliposome–capsules would pass through stomach with retaining functional food ingredients, and then, release them in the intestinal tract where the ingredients exhibit their activity.

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