Thermodynamic Feasibility Evaluation of a Novel Lowtemperature Ejector-based Trans-critical R744 Refrigeration Cycle

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Abstract: In conventional ejector refrigeration cycles, the ejector mostly operates at fixed pressure points in the secondary stream and outlet. Studying the sensitivity of the system to the pressure alternation of the mentioned points has not been investigated. To cover this gap, an extra turboexpander, compressor, and gas cooler were introduced to the conventional ejector layout. It was found that pressurizing the secondary entrance of the ejector could be beneficial to COP if it is not warmer than the gas coolers. Also using the expansion process right after the ejector has the potential to increase the efficiency of the system if the discharge pressure of the ejector reaches supercritical or superheat condition.

1. Introduction

Lorentzen and Petteresen[1),2)] considered carbon dioxide as an unharmful and environmentally friendly refrigerant that has several positive characteristics such as low toxicity, inexplosive, high capacity to mass ratio, lubricants-compatible, cheap, and unique heat transfer properties. In comparison to conventional heat pump cycles, the compressors of trans-critical CO_2 cycles must provide higher lift[3)]. Because of this, their COP is usually smaller than other ones with organofluorine-based refrigerants. In order to enhance the COP, researchers tried different layouts and components such as internal heat exchangers[4)], cascade cycles[5),6)], turboexpanders[7),8)], multistage compression[9),10),11)], vortex tube expansions[12)], subcooling boost[13),14)].

Along with the described components and methods, the ejectors' implementation approach is favored. Li and Groll[15)] and Deng et al.[16)] suppositionally investigated an ejector in a transcritical CO_2 cycle. Li and Groll[15)] operated a dual-phase ejector for a transcritical HVAC system and reported a performance gain of up to 16%. It was surfaced that the highest COP in the ejector cycle was 22% better than other simple vapor compression cycles[16)]. Elbel[17)] reported that by using an ejector COP and cooling capacity enhanced up to 7% and 8% respectively. Banasiak et al.[18)] conducted a simulation

and empirical research over an R744 ejector cycle and announced an 8% boost in COP compared to a simple throttling valve system. Zhu et al.[19)] studied a transcritical R744 ejector heat pump-based water heating cycle in the laboratory and proved 10.3% of increased performance over the single-stage cycle. In a transcritical cycle, gas cooler pressure has a significant effect on COP. There is an optimum pressure that maximizes the COP of the system[20),21),22)]. Elbel[17)] reviewed and studied few unconventional layouts for transcritical R744 cycles in a nutshell.

One of the most energy-consuming parts of the refrigeration process in the industries is the low-temperature refrigeration[23)]. These systems have widespread application, energy-intensive, and usually work non-stop at high capacities. In evaporation temperatures below -35°C the COPs of most refrigeration cycles drop under 1. Until now there is not a significant specific investigation for using CO_2 cycles in this temperature domain. So, proposing and studying new cycles for these temperatures will fill some gaps in transcritical CO_2 heat pumping knowledge. From a thermodynamic point of view, if a cycle can present reasonable performance in low temperatures, it has a good chance to be highly efficient in conventional cooling temperatures. Investigation on the reaction of the cycle to

changing the pressure of 2 inlets and 1 outlet of the ejector is another target of this study.

This paper proposed 3 extra components to the conventional CO_2 ejector refrigeration cycle and tried to study the feasibility of the new layout by study the pressure of points before and after the ejector. It is the first step for the parametric analysis.

The coexistence of ejectors and expansion devices in a refrigeration cycle has never been reported. It's mainly since the pressure difference between the flash chamber and evaporator is not significant. However, there is not any mathematical model that proves this. Magnificent pressure lifts in the transcritical R744 cycle and the ejector

pressure manipulation gave the research team the chance to investigate the feasibility of simultaneous application of ejector and turboexpanders in this layout.

In the future, full parametric 1st law, 2nd law[24] and thermo-economics analysis will be done and optimization[25] algorithm will be considered in the codes.

2. The cycle's mathematical model

2.1. The schematics and governing equations

Fig.1 presents the schematics of the purposed ejector cycle for the deep-freezing cycle.
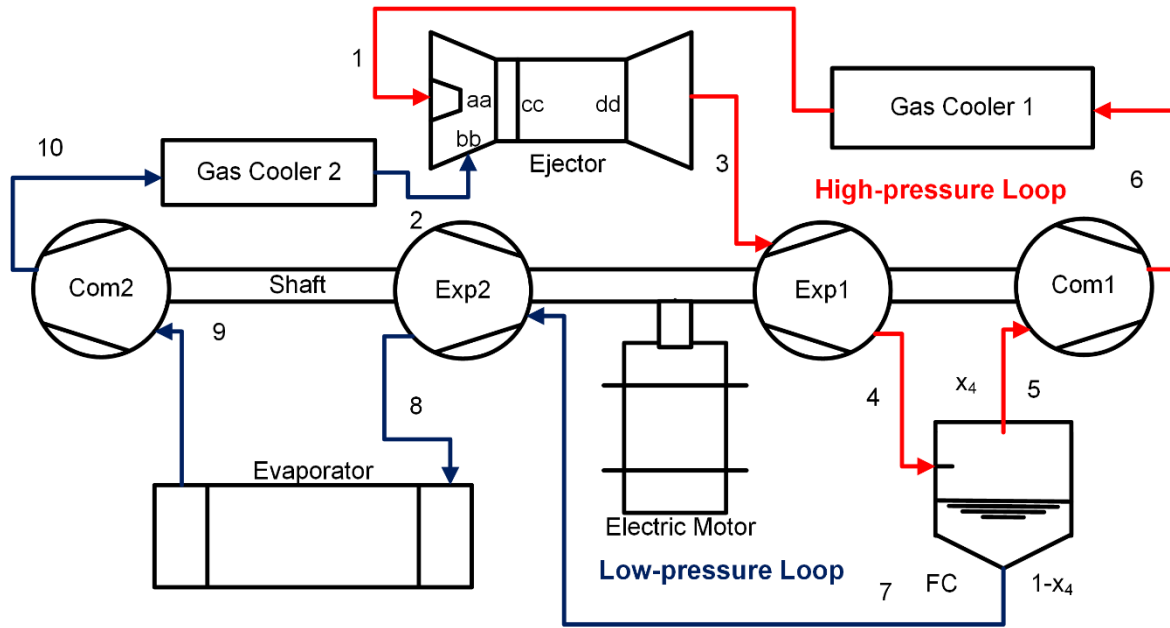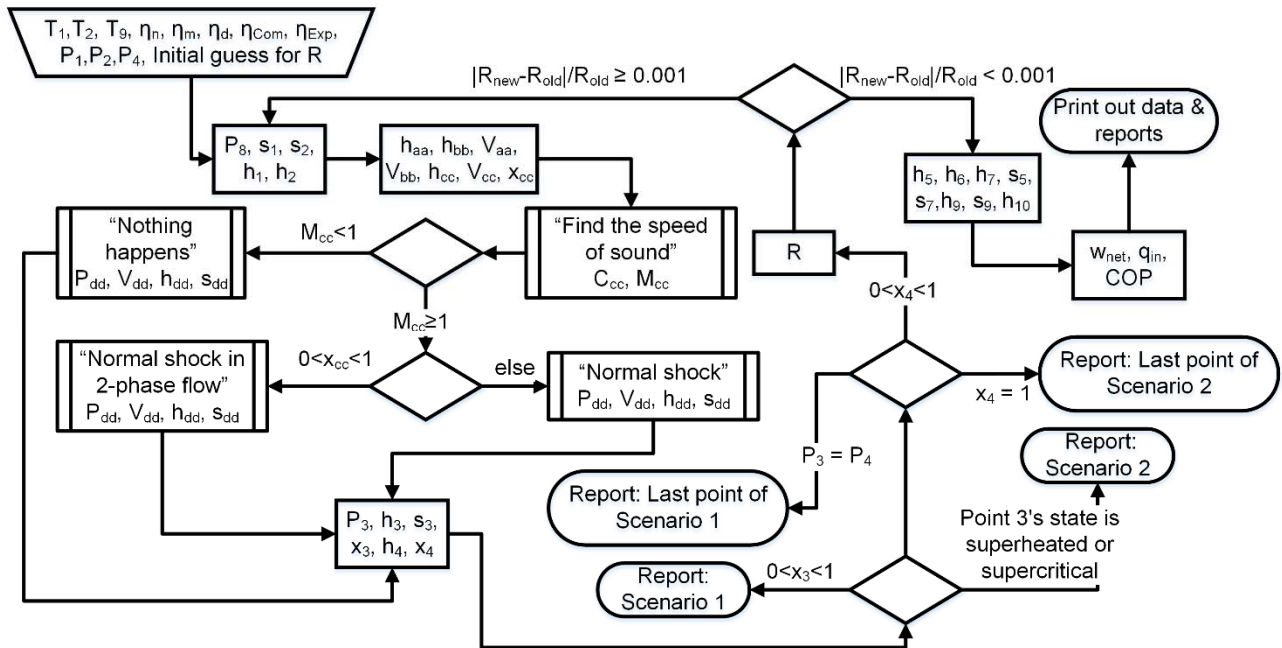

Fig. 1: Schematics of the simulated cycle

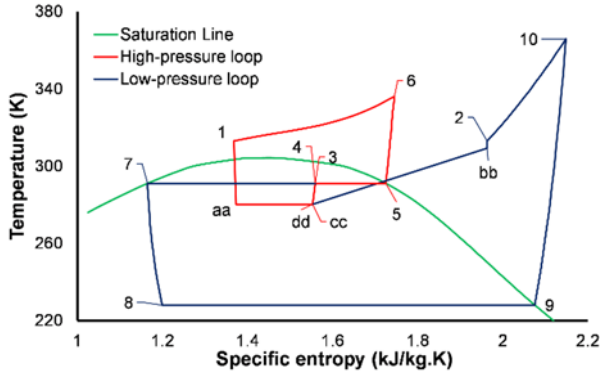**Fig. 2:** Program's flowchart in Phyton

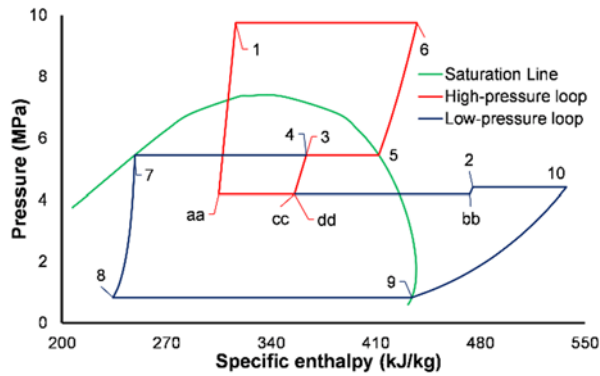**Fig. 3:** T-s diagram of the cycle according to Table 1 (1st scenario)

Fig. 4: P-h diagram of the cycle according to Table 1 (1st scenario)

2.2 1st law governing equations

Capacity-independent thermodynamic simulation is the target of this study. Though, the machinery and friction loss is neglected.

Fig. 3 and Fig. 4 show the T-s and P-h diagram of the cycle according to Table 1.

The first law of thermodynamics for a steady-state steady flow control volume with the negligible potential energy of the flow is:

$$\dot{Q} - \dot{W} = \sum \dot{m}_{out}\left(h_{out} + \frac{V_{out}^2}{2}\right) - \sum \dot{m}_{in}\left(h_{in} + \frac{V_{in}^2}{2}\right) \tag{1}$$

Mass independent form of the above equation:

$$\dot{q} - \dot{w} = \sum\left(h_{out} + \frac{V_{out}^2}{2}\right) - \sum\left(h_{in} + \frac{V_{in}^2}{2}\right) \tag{2}$$

The thermophysical properties of R744 were extracted from CoolProp libraries based on non-ideal (non-real gas) conditions[26]. The simulation environment was mostly Python.

2.2.1 Compressors and expansion devices

During compression, the fluid's pressure is increased by compressors. During expansion, the fluid's pressure is reduced by the turboexpanders. Both processes are adiabatic. However, compressors are the work consumers and turboexpanders are the work producers.

The governing equations for compression process:

$$h_{out} = h_{in} + \frac{h(P_{out}, s_{in}) - h_{in}}{\eta_{Com}} \tag{3}$$

$$w_{Com} = x_4(h_6 - h_1) + (1 - x_4)(h_{10} - h_9) \tag{4}$$

The governing equations for the expansion process:

$$h_{out} = h_{in} - \eta_{Exp}(h_{in} - h(P_{out}, s_{in})) \tag{5}$$

$$w_{Exp} = h_3 - h_4 + (1 - x_4)(h_7 - h_8) \tag{6}$$

2.2.2 Evaporator

The specific cooling capacity of the cycle:

$$q_{Eva} = (1 - x_4)(h_9 - h_8) \tag{7}$$

The mass flow rate of refrigerant in the evaporator per every refrigeration ton:

$$\dot{m}_{Eva} = \frac{3516.9}{q_{Eva}} \tag{8}$$

2.2.3 Ejector's governing equations

In the ejector, the primary flow's pressure-drop after the primary nozzle creates a vacuum which in turn sucks in the secondary flow. After that both flows are mixed in the mixing section and finally are discharged out of the ejector by the diffuser. All of the mentioned internal parts of ejector were assumed to be adiabatic except the mixing section which is also isobaric.

2.2.3.1 Nozzles' modeling

Nozzles have an isentropic efficiency. With current assumptions, the nozzles' thermodynamic oriented simulation can be used for the modeling of supersonic and subsonic velocities.

$$h_{aa} = h_1 - \eta_n(h_1 - h(P_{aa}, s_1)) \tag{9}$$

$$V_{aa} = (2(h_1 - h_{aa}))^{0.5} \tag{10}$$

The same equations were applied to the secondary nozzle.

2.2.3.2 Speed of sound in the 2-phase fluid

Niguen et al.[27] addressed a mathematical model to calculate the speed of sound in the 2-phase condition.

$$C^{-1} = (1-\alpha)\left(\frac{1-\alpha}{C_l^2} + \frac{\alpha\rho_l}{\rho_v C_v^2}\right)^{0.5} + \alpha\left(\frac{\alpha}{C_v^2} + \frac{(1-\alpha)\rho_v}{\rho_l C_l^2}\right)^{0.5} \tag{11}$$

The α is the void fraction of sub-critical dual-phase fluid.

$$\alpha = \frac{x\rho_l}{x\rho_l + (1-x)\rho_v} \tag{12}$$

2.2.3.3 Mixing section of ejector

In the current study, the entrainment ratio of the ejector is decided by the vapor quality before the flash chamber.

$$R = \frac{\dot{m}_s}{\dot{m}_p} = \frac{1-x_4}{x_4} \tag{13}$$

From the conservation of momentum in an isobaric medium.

$$V_{aa} + R(V_{bb}) = (1+R)(V_{cc.id}) \tag{14}$$

Conservation of energy:

$$h_{aa} + \frac{V_{aa}^2}{2} + R\left(h_{bb} + \frac{V_{bb}^2}{2}\right)$$
$$= (1+R)\left(h_{cc,id} + \frac{V_{cc,id}^2}{2}\right) \tag{15}$$
$$= (1+R)\left(h_{cc} + \frac{V_{cc}^2}{2}\right)$$

$$\eta_m = \frac{V_{cc}^2}{V_{cc,id}^2} \tag{16}$$

V_{cc} and h_{cc} are the results in real condition.

2.2.3.4 Normal Shockwave inside the constant area section

If the flow velocity after the mixing section exceeds the speed of the sound, a normal shock will happen in the constant area section. It starts with the conservation of mass[28]:

$$\rho_{cc}V_{cc} = \rho_{dd}V_{dd} \tag{17}$$

Conservation of momentum:

$$P_{cc} + \rho_{cc}V_{cc}^2 = P_{dd} + \rho_{cc}V_{cc}V_{dd} \tag{18}$$

Conservation of energy:

$$h_{cc} + \frac{V_{cc}^2}{2} = h_{dd} + \frac{V_{dd}^2}{2} \tag{19}$$

Thermodynamic state equation for density:

$$\rho_{dd} = \rho(P_{dd}, h_{dd}) \tag{20}$$

Density's initial guessing value.

$$\rho_{dd} = \rho_{cc}\left(\frac{(\gamma+1)M_{cc}^2}{(\gamma-1)M_{cc}^2 + 2}\right) \tag{21}$$

In which γ for single-phase:

$$\gamma = \frac{C_P(P_{cc}, h_{cc})}{C_V(P_{cc}, h_{cc})} \tag{22}$$

For dual phase:

$$\gamma = x_{cc}\left[\frac{C_P(P_{cc}, x=1)}{C_V(P_{cc}, x=1)}\right] + (1-x_{cc})\left(\frac{C_P(P_{cc}, x=0)}{C_V(P_{cc}, x=0)}\right) \tag{23}$$

2.3.3.5 Diffuser

$$h_3 = h_{dd} + \frac{V_{dd}^2}{2} \tag{24}$$

$$h_{3,is} = h_{dd} + \eta_d(h_3 - h_{dd}) \tag{25}$$

$$P_3 = P(h_{3,is}, s_{dd}) \tag{26}$$

$$T_3 = T(P_3, h_3) \tag{27}$$

2.2.4 Coefficient of performance

$$COP = \frac{q_{Eva}}{w_{Com} - w_{Exp}} \tag{28}$$

$$COP_{Carnot} = \frac{T_{Source}}{T_{Sink} - T_{Source}} \tag{29}$$

The sub-critical 2-phase fluid enters the flash chamber. The saturated vapor is separated from the saturated liquid by the flash chamber in an adiabatic isobaric process. Evaporator and gas coolers are isobaric.

The fixed values in this paper are efficiencies and source and sink temperatures. The efficiencies for nozzles, mixing section, diffuser, compressors, and turboexpanders are 0.9, 0.85, 0.85, 0.75, 0.63 respectively.

The sink temperature is 303K and the source temperature is 233K. The least temperature difference for gas coolers and the evaporator are 10 and 5 degrees respectively.

Fig. 2 presents the flow chart that calculates the COP of the cycle. The entrainment ratio is the most important part of the simulation and it is must be calculated before calculation of the COP.

3. Results and discussions

Table 1 shows the properties of every point in the schematics with some extra information. This is an estimated optimal operation point of this cycle for the fixed source and sink temperatures.

Table 1: The IIR referenced thermo-physical information the points in the schematics in the first scenario

State #	T (K)	P (MPa)	h (kJ kg^{-1})	s (kJ kg^{-1} K^{-1})	Phase or x (−)	Mach Number
1	313.0	9.750	316.3	1.368	Supercritical	0
aa	278.4	3.990	304.2	1.373	0.4256	0.87
2	313.0	4.200	477.4	1.975	Superheat	0
bb	309.3	3.990	475.3	1.979	Superheat	0.27
cc	278.4	3.990	355.8	1.558	0.6664	0.63
dd	278.4	3.990	355.8	1.558	0.6664	0.63
3	290.0	5.324	364.0	1.562	0.7042	0
4	290.0	5.324	364.0	1.562	0.7042	0
5	290.0	5.324	413.7	1.734	1	0
6	337.3	9.750	440.6	1.754	Supercritical	0
7	290.0	5.324	245.8	1.155	0	0
8	228.0	0.827	232.2	1.190	0.391	0
9	228.0	0.827	434.1	2.075	1	0
10	361.4	4.20	534.0	2.147	Superheat	0
COP			1.342	COP_{Carnot}		3.329
$\dot{m}_{Eva}$			17.4 gr/s.TR	R		0.42

Table 2: The IIR referenced thermo-physical information the points in the schematics in the second scenario

State #	T (K)	P (MPa)	h (kJ kg^{-1})	s (kJ kg^{-1} K^{-1})	Phase or x (−)	Mach Number
1	313.0	10.50	306.1	1.332	Supercritical	0
aa	298.3	6.460	300.3	1.334	0.2095	0.58
2	313.0	6.800	436.2	1.781	Superheat	0
bb	309.2	6.460	434.5	1.782	Superheat	0.27
cc	298.3	6.460	351.3	1.505	0.6403	0.49
dd	298.3	6.460	351.3	1.505	0.6403	0.49
3	306.1	7.660	355.2	1.507	Supercritical	0
4	289.1	5.200	350.2	1.517	0.623	0
5	289.1	5.200	415.2	1.742	1	0
6	344.7	10.50	447.3	1.765	Supercritical	0
7	289.1	5.200	242.7	1.145	0	0
8	228.0	0.827	229.6	1.179	0.3833	0
9	228.0	0.827	434.1	2.075	1	0
10	408.5	6.80	571.0	2.162	Superheat	0
COP			1.249	COP_{Carnot}		3.329
$\dot{m}_{Eva}$			17.2 gr/s.TR	R		0.605

The computed COP illustrates that the current study seems to have a remarkable performance at Table 1 temperatures, even though the assumptions are almost pessimistic. The results look reasonable and they predict no normal shockwaves inside the ejector in these operating conditions. Because of this, future empirical investigations seem to near results.

For the sensitivity analysis, there are supposition and constraints. The pressure after Exp1 cannot be larger than the ejector's outlet pressure. Also, the inlet of the flash chamber must be in the 2-phase state.

Two scenarios for the cycle's operation were assumed. This assumption is based on the behavior of the ejector outlet to the pressure alternation. The first scenario for the time that the purged flow of the ejector is in the 2-phase condition and the second for the time that the purged pressure becomes a single-phase fluid. Depending on the purge pressure, it can be superheated vapor or supercritical fluid. The target of this study is the investigation of the effects of pressure on the COP. The effect of the evaporation and gas cooler temperature is not covered here. In Fig. 2, the point that scenario identified is shown.

The Flash chamber is the separator of the flow of the two loops. If in the sensitivity analysis the inlet flow to the flash chamber turns to single-phase the computation is stopped. These boundaries are shown with the words "1st Scenario" or "2nd Scenario" in Fig. 7.

Fig. 5 and Fig. 6 are the P-h and T-s diagrams of the second scenario according to Table 2.

Fig. 7 shows the COP based on three pressure variable inputs. The same color was chosen for the same purge pressure lines. An important factor in COP is the entrainment ratio which depends on directly the quality of vapor after turboexpander. The optimum maximum pressure of the cycle is between 9 to 11 MPa.

The shortest continuous line in Fig. 7 shows the situation without Com2 and gas cooler. The endpoint of this curve is the situation that there is no first turboexpander in the layout. It can be concluded that this point shows a simple ejector cycle. COP in this point is almost the same as previous studies which is a validation for the calculation of this study. Comparing the COP of the conventional ejector refrigeration cycle with the maximum performance of the current layout, it can be concluded that this novel layout can considerably increase the performance at low-temperature refrigeration.
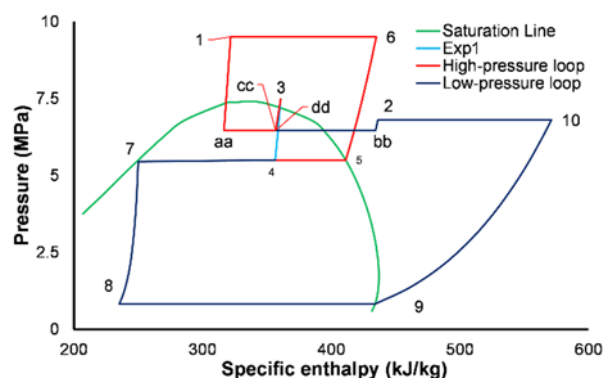

Fig. 5: P-h diagram of the cycle according to Table 2 (2nd scenario)

Installing compressor after evaporator is beneficial to the performance. It will significantly increase the COP up to an optimal point. However, the necessity of a turboexpander depends on the scenario. If the ejector drives the cycle to the 2nd scenario, the role of the first turboexpander is vital. It not only increases the COP to a certain amount but also prepares the fluid for the separation in the flash chamber. On the other hand, if the discharge is in 2 phase condition, the first expander becomes unnecessary due to the fact that the optimal operating pressure happens at the end of the curve.
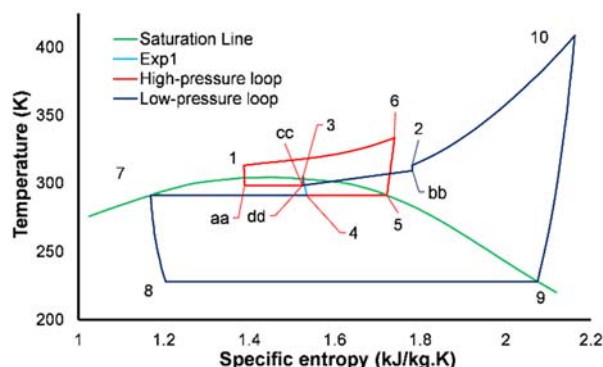

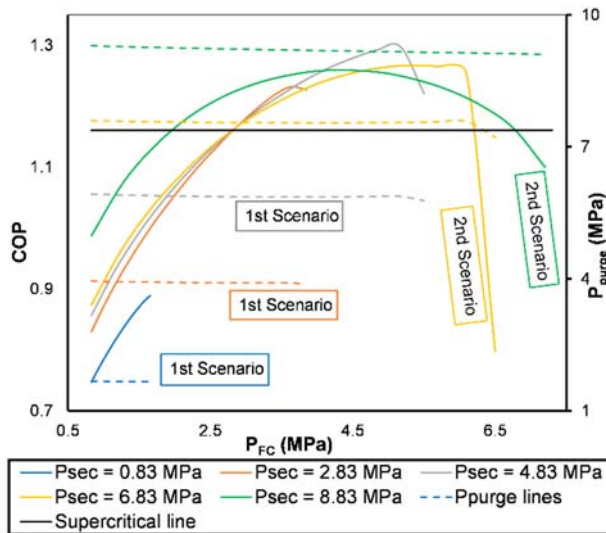**Fig. 6:** T-s diagram of the cycle according to Table 2 (2nd scenario)

Fig. 7: Pressure-based sensitivity analysis for $P_{pri} = 10.5$ MPa

Adding the compressor inevitably increases the pressure of the flash chamber which further boosts the work recovery of Exp2 and the cooling capacity of the evaporator. As it was mentioned in the introduction, simultaneous utilization of the ejector and the turboexpander has not been reported. However, in the current cycle, replacing the throttling valve between the flash chamber and the evaporator with turboexpander seems thermodynamically interesting enough to be economic.

Worthy of mention, the elevation of pressure in the flash chamber leads to shrinkage of the compression ratio in the second compressor which in turn decreases its energy consumption and mechanical problems.

These results are only for one working condition. In the future, several plans must be executed for this research. Full 1st and 2nd law analysis of cycle, thermo-economics and exergoeconomic analysis, improving the cycle performance by making the entrainment ratio more controllable, combining this cycle with other heat pumping and heat engine cycles in parallel and cascade formation and studying the performance of cycle as a heat pump must be performed in the future.

4. Conclusion

A novel recommendation for the R744 deep freezing application was presented. In the mathematical model, a simple ejector cycle was modified by adding a compressor and gas cooler between the secondary inlet flow of the ejector the evaporator, and one turboexpander after the ejector's outlet. The coefficient of the performance of the cycle is remarkable (around 1.3) at -45°C.

After the pressure-based sensitivity analysis of the cycle, it was shown that utilizing these components especially the compressor is beneficial. The turboexpander is unnecessary if the discharge of the ejector is in the 2-phase condition.

Nomenclature

C: Speed of sound (m s^{-1})
C_P: Isobaric specific heat capacity (kJ kg^{-1} K^{-1})
C_V: Isochoric specific heat capacity (kJ kg^{-1} K^{-1})
COP: Coefficient of performance (−)
Com: Compressor
Exp: Expansion device or turboexpander
Eva: Evaporator
FC: Flash chamber
h: Specific enthalpy (kJ kg^{-1})
ṁ: Mass flow rate (g s^{-1})
M: Mach number (−)
q: Specific heat energy (kW kg^{-1})
Q: Heat energy (kW)
R: Entrainment ratio
P: pressure (MPa)
s: Specific entropy (kJ kg^{-1} K^{-1})
T: Temperature (K)
TR: Ton of refrigeration
V: Speed of flow (m s^{-1})
w: Specific Work (kW kg^{-1})
W: Work (kW)
x: Quality of vapor (−)

Greek symbols
α: Void fraction (−)
γ: Specific heats ratio (−)
ρ: Density (kg m^{-3})

Subscripts
1 ~ 10: State points number
aa, bb, cc, dd: State points inside the ejector
d: diffuser
id: Ideal
is: Isentropic
in: inlet
l: Liquid
m: Mixing
n: nozzle
out: outlet
pri: primary flow into ejector
purge: purged flow after the ejector
sec: secondary flow into ejector
v: Vapor

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