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Arithmetic of the splitting field of Alexander polynomial

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§ 1. Introduction

In this paper we study the arithmetic of the minimal splitting field of the Alexander polynomial of a knot and present two kinds of infinite families of knots, one is a family of knots which satisfying Heilbronn conjecture (Conjecture 1.3) and the other is a family of counterexamples to the conjecture.

For a knot K in \mathbb{R}^3 let us denote by $\Delta_K(t)$ the Alexander polynomial of K. In general, $\Delta_K(t)$ is defined up to $\pm t^k$. In this paper we assume that $\Delta_K(t)$ is normalized so that $\Delta_K(t)$ is a polynomial in $\mathbb{Z}[t]$ whose order at t=0 is equal to 0. It is a difficult problem to determine the period of a knot K in terms of the Alexander polynomial $\Delta_K(t)$. However, some necessary conditions for a knot to have some period are known. For a positive integer $m \in \mathbb{Z}$, $m \geq 1$ let ζ_m be a primitive m-the root of unity in \mathbb{Q} . Let F_f be the minimal splitting field of a polynomial $f \in \mathbb{Q}[t]$ over \mathbb{Q} .

Proposition 1.1 (Trotter [7]). Let K be a fibered knot with $\operatorname{disc}_t \Delta_K(t) \neq 0$. If K has period m, then $\zeta_m \in F_{\Delta_K}$.

Let p be a prime number. For a positive integer $\lambda \in \mathbb{Z}$, $\mathbb{Z} \geq 1$ and a polynomial $\mu \in \mathbb{Z}[t]$ let us denote by $M_p(\lambda, \mu)$ the set consisting of polynomials $f \in \mathbb{Z}[t]$ such that $f \equiv \pm t^k (1 + t + \dots + t^{\lambda-1})^{p-1} \mu^p \pmod{p}$ for some integer $k \in \mathbb{Z}$.

Proposition 1.2 (Murasugi [4]). If K is a knot with prime period p, then $\Delta_K(t) \in M_p(\lambda, \mu)$ for a positive integer $\lambda \in \mathbb{Z}, \lambda \geq 1$ and a polynomial $\mu \in \mathbb{Z}[t]$.

Let H(F) be the Hilbert class field of a finite number field F.

Conjecture 1.3 (Heilbronn (cf. [5])). For a (fibered) knot K, if $\Delta_K(t) \in M_p(\lambda, 1)$ for a positive integer $\lambda \geq 1$, then $\zeta_p \in H(F_{\Delta_K})$.

We have already obtained some counterexamples to the Heilbronn's conjecture (e.g., Example 2.3). Morishita gave a question which revises the Heilbronn's conjecture. For a polynomial $f \in \mathbb{Q}[t]$ we say that f satisfies the condition (U) if $\zeta_p \in H(F_f)$.

Question 1.4 (Morishita (cf. [5])). What is a condition so that the Alexander polynomial $\Delta_K(t)$ with degree p-1 of a knot K satisfies the condition (U)?

In this paper we show the following theorem by constructing the explicit Alexander polynomials of knots.

Theorem 1.5 (Corollary 3.4). For every odd prime number p > 3 (resp. p = 3) there exist infinitely many knots K whose Alexander polynomials $\Delta_K(t)$ are monic (resp. non-monic) of degree p-1 and satisfy the two conditions $\Delta_K(t) \in M_p(2,1)$ and (U).

Theorem 1.6 (Corollary 3.8). For every odd prime number p > 3 (resp. p = 3) there exist infinitely many knots K whose Alexander polynomials $\Delta_K(t)$ are monic (resp. non-monic) of degree p-1 and satisfy the conditions $\Delta_K(t) \in M_p(2,1)$ but fail the condition (U).

REMARK 1.7. The Alexander polynomial of a fibered knot is monic. For the Alexander polynomial $\Delta_K(t)$ of a knot K, if $\Delta_K(t)$ is monic, then there exists a fibered knot K' so that $\Delta_{K'}(t) = \Delta_K(t)$ (Burde [1]).

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§ 2. Known results

For a positive integer n let A_n be the set of the Alexander polynomials $\Delta_K(t)$ of knots K with degree n. We define three conditions (A.1) to (A.3) for a polynomial $f(t) \in \mathbb{Z}[t]$ by

(A.1) the degree n of f(t) is even,

(A.2)
$$f(t) = t^n f(t^{-1}),$$

(A.3)
$$f(1) = \pm 1$$
.

Proposition 2.1 (Seifert [6]). For a polynomial $f(t) \in \mathbb{Z}[t]$ with degree n, it holds that $f(t) \in A_n$ if and only if f(t) satisfies all of the three conditions (A.1) to (A.3).

Let p be a prime number. For a positive integer $\lambda \in \mathbb{Z}$, $\mathbb{Z} \geq 1$ and a polynomial $\mu \in \mathbb{Z}[t]$ let $M_p(\lambda, \mu)$ be the set as in Introduction, that is, the set consisting of polynomials $f \in \mathbb{Z}[t]$ such that $f \equiv \pm t^k (1 + t + \dots + t^{\lambda-1})^{p-1} \mu^p \pmod{p}$ for some integer $k \in \mathbb{Z}$. If $f(t) \in A_n \cap M_p(\lambda, 1)$, then $t^k (1 + t + \dots + t^{\lambda-1})^{p-1} \equiv f(t) = t^n f(t^{-1}) \equiv t^{n-k} (1 + t^{-1} + \dots + t^{-(\lambda-1)})^{p-1} \pmod{p}$. By considering the degrees, one has that $k + (\lambda - 1)(p - 1) = n - k$, which shows $n = (\lambda - 1)(p - 1) + 2k$. Note that $k \geq 0$ for $f(t) \in \mathbb{Z}[t]$. Let us calculate the Hilbert class field $H(F_{\Delta_K})$ of the Alexander polynomials $\Delta_K(t)$ of some knots K and determine whether or not the Heilbronn's conjecture holds.

EXAMPLE 2.2 (cf. [5]). For $\Delta_K(t) = 4 - 7t + 4t^2 \in A_2 \cap M_3(2,1)$, we have $F_{\Delta_K} = \mathbb{Q}(\sqrt{-15})$. One can see that $H(F_{\Delta_K}) = F_{\Delta_K}(\zeta_3) \ni \zeta_3$. Thus Heilbronn's conjecture is true for the case $\Delta_K(t) = 4 - 7t + 4t^2$.

EXAMPLE 2.3 (cf. [5]). When $\Delta_K(t) = 1 - 6t + 11t^2 - 6t^3 + t^4 \in A_4 \cap M_5(2, 1)$, we have $F_{\Delta_K} = \mathbb{Q}(\sqrt{5})$ since $\Delta_K(t) = (1 - 3t + t^2)^2$. Note that $H(\mathbb{Q}(\sqrt{5})) = \mathbb{Q}(\sqrt{5})$. This means that $\zeta_5 \not\in H(F_{\Delta_K})$, that is, Heilbronn's conjecture is not true for the case $\Delta_K(t) = 1 - 6t + 11t^2 - 6t^3 + t^4$.

We define four conditions (U), (U₁), (U₂) and (U₃) for a finite Galois extension F of \mathbb{Q} such that

- (U) $\zeta_p \in H(F)$,
- $(U_1) F(\zeta_p)/F$ is unramified,
- $(U_2) F(\zeta_p)/F$ is unramified at all the prime ideals \mathfrak{p} of F above p,
- (U₃) for every prime ideal \mathfrak{p} of F above p, the ramification index of \mathfrak{p} in the extension F/\mathbb{Q} is a multiple of p-1.

The ramification theory of algebraic number theory implies

Lemma 2.4. Assume that p is odd. If F is totally real, then $F(\zeta_p)/F$ is ramified at all infinite places of F, in particular, $\zeta_p \notin H(F)$. When F is totally imaginary, the four conditions (U), (U_1) , (U_2) and (U_3) are equivalent to each other.

Morishita and Taguchi showed a sufficient condition to hold (U). For a polynomial $g(t) = \sum_{i=0}^{n} a_i t^i \in \mathbb{Z}[t]$ we call g(t) a p-Eisenstein polynomial if the coefficients a_i satisfy that $p \nmid a_n$, $p \mid a_i$ for $0 \le i \le n-1$ and $p^2 \nmid a_0$. In this paper we do not assume that a p-Eisenstein polynomial is monic.

Proposition 2.5 (Morishita–Taguchi (cf. [5])). If $g(t) \in \mathbb{Z}[t]$ is a p-Eisenstein polynomial of degree p-1, then $\zeta_p \in H(F_g)$.

EXAMPLE 2.6 (cf. [5]). If $g(t) = t^4 + 5t^3 - 40t^2 + 70t - 35$, then $g(t+1) = t^4 + 9t^3 - 19t^2 + 9t + 1$, which is the Alexander polynomial of some knot. One can see that F_g is a \mathcal{D}_4 -extension of \mathbb{Q} where \mathcal{D}_4 is the dihedral group of degree 4 with order 8. Note that F_g has no subfields which are cyclic quartic fields since \mathcal{D}_4 has no normal subgroups N such that \mathcal{D}_4/N are isomorphic to the cyclic group \mathcal{C}_4 of order 4. Thus we have $\zeta_5 \not\in F_{\Delta_K}$. Proposition 1.1 implies that K does not have period 5. On the other hand, Proposition 2.5 yields $\zeta_5 \in H(F_{\Delta_K})$.

For the use of Proposition 2.5 one needs a criterion whether or not a p-Eisenstein polynomial g(t) can become the Alexander polynomial $\Delta_K(t)$ of a knot K by some translation $t \mapsto at+b$ for integers a and $b \in \mathbb{Z}$ with $a \neq 0$, that is, $g(at+b) = \Delta_K(t)$. However, such a criterion is not easy in general. By using a characterization of the Alexander polynomials we present a family of the Alexander polynomials which become p-Eisenstein polynomials in the next section.

§ 3. Construction of the Alexander polynomials

For a positive and even integer n = 2r let B_n be the set of polynomials f(t) in $\mathbb{Z}[t]$ such that $f(t) = t^n f(t^{-1})$. Note that $A_n = \{f(t) \in B_n | \deg_t f(t) = n, f(1) = \pm 1\}$.

Lemma 3.1 (cf. Crowell-Fox [3]). The set B_n is a \mathbb{Z} -module with free basis $\{(t^2-2t+1)^it^{r-i}|0\leq i\leq r\}$, that is, $B_n=\{\sum_{0\leq i\leq r}b_i(t^2-2t+1)^it^{r-i}|b_i\in\mathbb{Z}\}$.

Let p be a prime number and $\lambda \geq 1$ a positive integer such that $n \geq (\lambda - 1)(p - 1)$. We define a polynomial $\alpha_{\lambda}(t) = \alpha_{n,p,\lambda}(t)$ by

$$\alpha_{\lambda}(t) = t^{k}(1 + t + \dots + t^{\lambda-1})^{p-1} - (\lambda^{p-1} - 1)t^{r}$$

where $k = r - (\lambda - 1)(p - 1)/2$. For integers $c_i \in \mathbb{Z}$ with $1 \le i \le r$ let us denote $\alpha_{\lambda}(t) + p \sum_{1 \le i \le r} c_i(t^2 - 2t + 1)^i t^{r-i}$ by $f_{\lambda}(\mathfrak{c}, t)$ where $\mathfrak{c} = (c_1, c_2, \dots, c_r)$. We put $S_r(\mathbb{Z}) = \mathbb{Z}^r$ and $S_r^{\times}(\mathbb{Z}) = \{\mathfrak{c} = (c_1, c_2, \dots, c_r) \in S_r(\mathbb{Z}) | c_r \ne 0 \}$.

Lemma 3.2. The intersection set $A_n \cap M_p(\lambda, 1)$ consists of the polynomials $\pm f_{\lambda}(\mathfrak{c}, t)$, $\mathfrak{c} = (c_1, c_2, \ldots, c_r)$ where $\mathfrak{c} \in S_r(Z)$ if $n = (\lambda - 1)(p - 1)$ and $\mathfrak{c} \in S_r^{\times}(Z)$ otherwise.

Proof. Let \mathfrak{c} be an element in $S_r(\mathbb{Z})$ if $n=(\lambda-1)(p-1)$ and in $S_r^{\times}(\mathbb{Z})$ otherwise. It follows from the definition that $\deg_t f_{\lambda}(\mathfrak{c},t) \leq n$. If $n=(\lambda-1)(p-1)$, then the coefficient of t^{p-1} in $f_{\lambda}(\mathfrak{c},t)$ is equal to $1+pc_r$. Since $c_r \in \mathbb{Z}$, one has that $1+pc_r \neq 0$ and $\deg_t f_{\lambda}(\mathfrak{c},t) = n$. When $n > (\lambda-1)(p-1)$, the coefficient of t^{p-1} in $f_{\lambda}(\mathfrak{c},t)$ is equal to pc_r . Thus it holds that $\deg_t f_{\lambda}(\mathfrak{c},t) = n$ for $c_r \neq 0$. It is easy to see that $\pm f_{\lambda}(\mathfrak{c},t)$ satisfies the conditions (A.2) and (A.3). Hence the polynomial $\pm f_{\lambda}(\mathfrak{c},t)$ belongs to $A_n \cap M_p(\lambda,1)$. Let f(t) be a polynomial in $A_n \cap M_p(\lambda,1)$. For a non-negative integer $k \in \mathbb{Z}$ with $k = r - (\lambda - 1)(p-1)/2$, one has $\pm t^k (1+t+\cdots+t^{\lambda-1})^{p-1} \in B_n$ and $(f(t) \mp t^k (1+t+\cdots+t^{\lambda-1})^{p-1})/p \in B_n$ if $f(1) = \pm 1$, respectively. Lemma 3.1 implies that $f(t) = \pm t^k (1+t+\cdots+t^{\lambda-1})^{p-1} + p \sum_{0 \leq i \leq r} c_i (t^2-2t+1)^{it^{r-i}}$ where $c_i \in \mathbb{Z}$ for $0 \leq i \leq r$. It follows from $f(1) = \pm 1$ that $\pm \lambda^{p-1} + pc_0 = \pm 1$ and $c_0 = \mp (\lambda^{p-1} - 1)/p \in \mathbb{Z}$. Hence f(t) is of the form $\pm f_{\lambda}(\mathfrak{c},t)$.

For considering Question 1.4 we have $n=p-1\geq 2$, which means that $\lambda=1$ or 2. Here one has that $\alpha_1(t)=t^{(p-1)/2}$ and $\alpha_2(t)=(1+t)^{p-1}-(2^{p-1}-1)t^{(p-1)/2}$. Let us define a number $\varepsilon_p\in\mathbb{Q}$ by $\varepsilon_p=-(2^{p-1}-1)/(4p)$. Note that $v_p(\varepsilon_p)\geq 0$.

Theorem 3.3. Let $h(X) = \sum_{j=0}^{(p-3)/2} s_j X^j \in \mathbb{Z}[X]$ be a polynomial of degree less than (p-1)/2 such that $s_0 \not\equiv \varepsilon_p \pmod{p}$ and $s_0 > \varepsilon_p$. Then

$$f(t) = \alpha_2(t) + pt^{(p-1)/2}(t + t^{-1} - 2)h(t + t^{-1} + 2)$$

is a polynomial in $A_{p-1} \cap M_p(2,1)$ satisfying $\zeta_p \in H(F_f)$. The polynomial f(t) is monic if and only if $s_{(p-3)/2} = 0$.

Proof. It is easy to check that $f(t) \in A_{p-1} \cap M_p(2,1)$. In fact, it holds that $f(t) = f_2(\mathfrak{c},t)$ for an element $\mathfrak{c} = (c_1,c_2,\ldots,c_{(p-1)/2}) \in S_{(p-1)/2}(\mathbb{Z})$ satisfying $\sum_{i=1}^{(p-1)/2} c_i (t+t^{-1}-2)^{i-1} = \sum_{j=0}^{(p-3)/2} s_j (t+t^{-1}+2)^j$. One has that $f(t-1) \equiv t^{p-1} \pmod{p}$ and $f(-1) = (-1)^{(p+1)/2}(2^{p-1}-1+4ps_0)$. The condition $s_0 \not\equiv \varepsilon_p \pmod{p}$ is equivalent to $v_p(f(-1)) = 1$. Thus f(t-1) is a p-Eisenstein polynomial of degree p-1. Now put $K=t+t^{-1}+1$. Then we have $K=t+t^{-1}+1$. Then we have $K=t+t^{-1}+1$ is seen that K=t+1 is seen that K=t+1 is seen that K=t+1 is K=t+1 if follows from K=t+1 if K=t+1 is shows that K=t+1 if K=t+1 if follows from K=t+1 if K=t+1 is not now put K=t+1 is not real. This means that K=t+1 is non-real zero in K=t+1 is not totally real but totally imaginary. Hence Lemma 2.4 verifies that K=t+1 is not totally real but totally imaginary. Hence Lemma 2.4 verifies that K=t+1 is not totally real but totally imaginary. Hence Lemma 2.4 verifies that K=t+1 is not totally real but totally imaginary.

Corollary 3.4 (Theorem 1.5). For every odd prime number p > 3 (resp. p = 3) there exist infinitely many knots K whose Alexander polynomials $\Delta_K(t)$ are monic (resp. non-monic) and satisfy the two conditions $\Delta_K(t) \in A_{p-1} \cap M_p(2,1)$ and (U).

Proof. For example, one may take an arbitrary integer $s \in \mathbb{Z}$ satisfying $s \not\equiv \varepsilon_p \pmod{p}$ and $s > \varepsilon_p$ for the polynomial h(X) in Theorem 3.3.

EXAMPLE 3.5 (Examples 2.2 and 2.6). For the case that p=3 and h(X)=1 in Theorem 3.3, one has $f(X)=4t^2-7t+4$. Since $\varepsilon_3=-1/4\equiv 2\pmod 3$, we have $\zeta_3\in H(F_f)$. If p=5 and h(X)=1, then $f(t)=t^4+9t^3-19t^2+9t+1$ and $\zeta_5\in H(F_f)$ for $\varepsilon_5=-3/4\equiv 3\pmod 5$.

Theorem 3.6. Assume $p \geq 5$. Let $h(X) = \sum_{i=0}^{(p-3)/2} s_i X^i \in \mathbb{Z}[X]$ be a polynomial of degree less than (p-1)/2. If $s_0 \equiv \varepsilon_p \pmod{p}$ and $s_1 \not\equiv \varepsilon_p/4 \pmod{p}$, then $f(t) = \alpha_2(t) + pt^{(p-1)/2}(t+t^{-1}-2)h(t+t^{-1}+2)$ is a polynomial in $A_{p-1} \cap M_p(2,1)$ such that $\zeta_p \not\in H(F_f)$. The polynomial f(t) is monic if and only if $s_{(p-3)/2} = 0$.

Proof. In the same way as in the proof of Theorem 3.3 one sees $f(t) \in A_{p-1} \cap M_p(2,1)$. For $0 \le j \le p-1$ let $\gamma_j \in \mathbb{Z}$ be integers such that $f(t-1) = \sum_{j=0}^{p-1} \gamma_j t^j$.

It is calculated that

$$\begin{split} &\gamma_0 = (-1)^{(p+1)/2} 4p(s_0 - \varepsilon_p), \\ &\gamma_1 = (-1)^{(p-1)/2} 2p(p-1)(s_0 - \varepsilon_p), \\ &\gamma_2 = (-1)^{(p-1)/2} 4p(s_1 - \frac{p^2 - 4p + 5}{8} s_0 - \frac{(2^{p-1} - 1)(p-1)(p-3)}{32p}). \end{split}$$

By the condition $s_0 \equiv \varepsilon_p \pmod{p}$ we have that $v_p(\gamma_0) = v_p(\gamma_1) \geq 2$ and $\gamma_2 \equiv (-1)^{(p-1)/2} 4p(s_1 - \varepsilon_p/4) \pmod{p^2}$. If $s_1 \not\equiv \varepsilon_p/4 \pmod{p}$, then $v_p(\gamma_2) = 1$. Since $f(t-1) \equiv t^{p-1} \pmod{p}$, it holds that $v_p(\gamma_j) \geq 1$ for $3 \leq j \leq p-2$. Using the Newton polygon method (cf. [2]), one can show that the ramification index of every prime ideal of F_f above p is equal to p-3. Hence we have $\zeta_p \not\in H(F_f)$ for Lemma 2.4.

Lemma 3.7. Let us put $f(t) = (3s+1)t^2 - (6s+1)t + 3s + 1$ for an integer $s \in \mathbb{Z}$. Then f(t) is a polynomial in $A_2 \cap M_3(2,1)$. The condition $\zeta_3 \in H(F_f)$ holds if and only if $v_3(s+1/4)$ is even and s is greater than -1/4.

Proof. The discriminant of the polynomial f(t) is equal to -12(s+1/4), that is, $F_f = \mathbb{Q}(\sqrt{-3(s+1/4)})$. The ramification index of 3 in F_f/\mathbb{Q} is divisible by 2 if and only if $v_3(s+1/4)$ is even. The field F_f is totally imaginary if and only if s > -1/4. Hence the assertion holds.

Theorem 3.6 and Lemma 3.7 imply

Corollary 3.8 (Theorem 1.6). For every odd prime number p > 3 (resp. p = 3) there exist infinitely many knots K whose Alexander polynomials $\Delta_K(t)$ are monic (resp. non-monic) and satisfy the conditions $\Delta_K(t) \in A_{p-1} \cap M_p(2,1)$ but fail the condition (U).

Proof. When $p \geq 5$, for the polynomial h(X) in Theorem 3.6 one may take a polynomial $s_1t + s_0$ where s_1 and $s_0 \in \mathbb{Z}$ are integers such that $s_0 \equiv \varepsilon_p \pmod{p}$ and $s_1 \not\equiv \varepsilon_p/4 \pmod{p}$. In fact, $\varepsilon_5 = -3/4 \not\equiv 0 \pmod{5}$, which means that we can have $s_{(p-3)/2} = s_1 = 0$ for the case p = 5. For p = 3 let us set $s = -(3u^2 + 3u + 1)$ in Lemma 3.7 where $u \in \mathbb{Z}$ is an integer. Then one has f(t) = -((3u+1)t - (3u+2))((3u+2)t - (3u+1)), which implies that $F_f = \mathbb{Q}$.

EXAMPLE 3.9 (Example 2.3). When p=5 and h(X)=-2, one has $f(t)=t^4-6t^3+11t^2-6t+1$. Since $\varepsilon_5=-3/4\equiv 3\pmod 5$, the polynomial $h(X)=s_1X+s_0=-2$ satisfies that $s_0\equiv \varepsilon_5\pmod 5$ and $s_1\not\equiv \varepsilon_5/4\equiv 2\pmod 5$. Theorem 3.6 shows that $\zeta_5\not\in H(F_{\Delta_K})$.

EXAMPLE 3.10. For an integer $s \in \mathbb{Z}$ let us put $f_s(t) = t^4 + (5s+4)t^3 - (10s+9)t^2 + (5s+4)t + 1$, which is obtained as p=5 and h(X)=s in Theorems 3.3 and 3.6. It is calculated that the discriminant $\delta(s)$ of the polynomial $f_s(t)$ is equal to $-5^5(s+2)^2(5s+6)^2(4s+3)$. Here $f_{-3}(t)$ (resp. $f_{-1}(t)$) have four real (resp. four non-real) zeros. It holds that $f_{-2}(t) = (t-(3+\sqrt{5})/2)^2(t-(3-\sqrt{5})/2)^2$. The polynomial $f_0(t)$ has two real and two non-real zeros. Thus F_{f_s} is totally real (resp. totally imaginary) provided s=-3,-2 (resp. s=-1,0). Note that there exist no zeros of $\delta(s)$ in the areas $s \leq -3$ or $s \geq 0$. Hence F_{f_s} is totally real (resp. totally imaginary) when $s \leq -2$ (resp. $s \geq -1$). Theorems 3.3 and 3.6 with the argument above imply that Heilbronn's conjecture is true for $f_s(t)$ if and only if $s \geq -1$ and $s \not\equiv 3 \pmod{5}$.

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