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# POLYNOMIAL TIME ALGORITHM SOLVING THE REFUTATION TREE PROBLEM FOR FORMAL GRAPH SYSTEMS

By

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## Abstract

The refutation tree problem for a formal graph system (FGS) is to compute a refutation tree which represents the logical structure of a graph generated by the FGS. We present three subclasses of FGSs: simple FGSs, size-bounded simple FGSs, and bounded simple FGSs. We give a polynomial-time algorithm solving the refutation tree problem for simple FGSs. For size-bounded simple FGSs generating undirected trees of constantly bounded valence, we show that a refutation tree of a graph definable by the FGS can be computed in  $NC^2$ . Moreover, we indicate that the refutation tree problem for bounded simple FGSs is in  $NC^2$ .

## 1. Introduction

A formal graph system (FGS) [21] is a kind of logic programs which deals with graphs just like terms [10]. By regarding terms as trees, conventional logic programs can be directly simulated by FGSs. Moreover, an elementary formal system [2, 3, 18], that is a logic program on strings, is also a special case of FGSs. We have shown in [21] that a family of graphs is generated by a hyperedge replacement grammar (HRG) [7, 8] if and only if it is defined by a regular FGS that is an FGS of a very simple form. This result implies that some interesting families of graphs are definable by regular FGSs. For example, regular FGSs generate trees, two-terminal series parallel graphs, the homeomorphisms of a given graph, outerplanar graphs, graphs of cyclic bandwidth  $\leq 2$  and  $k$ -decomposable graphs [7, 8, 13]. Thus FGSs have a diversity of expressibility.

A refutation tree [12, 16, 19] describes how a term is generated by applying the rules of a logic program. A refutation tree of a graph for an FGS is defined in a similar way. It represents the logical structure of the graph in the FGS and simultaneously describes a decomposition of the graph explicitly. Therefore, it is useful to employ

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FGSs in designing efficient algorithms for graph problems by using refutation trees. The refutation tree problem is to compute a refutation tree of a graph generated by an FGS. Thus the refutation tree problem is an important issue in designing efficient algorithms for graphs defined by FGSs.

In [21], we have considered the family of two-terminal series parallel graphs and the family of outerplanar graphs both of which are definable by regular FGSs. We have shown efficient parallel algorithms solving the refutation tree problem for these regular FGSs.

A graph is generated from an FGS by replacing hyperedges labeled with the same variable by the same graph at a time. Hence, in general, it requires to solve the graph isomorphism problem in order to compute refutation trees. It is well known that the graph isomorphism problem is in NP, and it does not seem to be solvable in polynomial time. However, for graphs of constantly bounded valence, the graph isomorphism problem allows a polynomial-time algorithm [1, 11]. The purpose of this paper is to find subclasses of FGSs for which the refutation tree problem is solvable in polynomial time.

In Section 3, we present three subclasses of FGSs: simple FGSs, size-bounded simple FGSs and bounded simple FGSs. We first prove that the refutation tree problem for simple FGSs is solvable in polynomial time. In this proof, we give a polynomial-time algorithm which constructs and solves a path system. In constructing a path system, it is necessary to solve the graph isomorphism problem. However, since graphs generated by a simple FGS are of constantly bounded valence, the graph isomorphism problem for these graphs can be solved in polynomial time [11]. This polynomial-time algorithm does not seem to be efficiently parallelizable, since the problem of solving a path system is P-complete [4].

We say that a problem  $R$  is  $NC^k$ -reducible to a problem  $S$  if  $R$  can be solved with uniform  $O((\log n)^k)$ -depth circuits using oracles for  $S$  [5]. A generation tree of a path system depicts how an instance is derived from the path system. Rytter [14] has shown that a path system  $Q$  can be solved in  $NC^2$ , when a generation tree of  $Q$  is of polynomial size. For a size-bounded simple FGS, we can show that generation trees of the path systems constructed by our polynomial-time algorithm are of polynomial size. Therefore, the refutation tree problem for a size-bounded simple FGS is  $NC^2$ -reducible to the graph isomorphism problem for graphs of constantly bounded valence. On the other hand, we construct in Section 5 a size-bounded FGS  $\Gamma_{GI}$  such that the graph isomorphism problem is log-space reducible to the refutation tree problem for  $\Gamma_{GI}$ . These results imply that the complexity of the refutation tree problem for these FGSs centers around the complexity of the graph isomorphism problem. Hence, for size-bounded simple FGSs generating undirected trees of constantly bounded valence, we show that the refutation tree problem for these FGSs is in  $NC^2$  by employing the  $NC^2$  algorithm in [1] for the graph isomorphism problem. Lingas [9] has shown that the graph isomorphism problem is in  $NC^3$ , when an instance is restricted to connected graphs of constantly bounded valence and having a constantly bounded separator. We can show that the refutation tree problem for size-bounded simple FGSs is in  $NC^3$ , when an input graph is restricted to the family of connected graphs of constantly bounded

valence and having a constantly bounded separator. A bounded simple FGS is a size-bounded simple FGS  $\Gamma$  such that it does not require to solve the graph isomorphism problem in order to compute a refutation tree of a graph defined by  $\Gamma$ . We show that the refutation tree problem for bounded simple FGSs is in  $\text{NC}^2$ .

The parsing problem for a context-free graph grammar (CFGG) is to construct a parse tree of an input graph. As CFGGs, we deal with those defined in [17]. Rytter and Szymacha [15] have proved that the parsing problem for a CFGG is in  $\text{NC}^2$ . In Section 4, we prove that the parsing problem for a CFGG is  $\text{NC}^1$ -reducible to the refutation tree problem for a bounded simple FGS. This means that the result on bounded simple FGSs in Section 3 includes their result in [15]. This result also asserts that efficient parallel algorithms may exist for a large number of NP-complete problems when these problems are restricted to the families of graphs definable by bounded simple FGS.

## 2. Formal Graph Systems and Refutation Tree Problem

Let  $\Sigma$  and  $\Lambda$  be finite alphabets. A *colored-graph*,  $g = (V, E, \varphi, \psi)$  over  $(\Sigma, \Lambda)$  consists of a vertex set  $V$ , an edge set  $E$ , a vertex labeling  $\varphi : V \rightarrow \Sigma$  and an edge labeling  $\psi : E \rightarrow \Lambda$ . We allow multiple edges in  $g$  and  $g$  is directed or undirected. We use lower case letters for representing colored-graphs.

Let  $X$  be a finite alphabet whose elements are called *variables*. We denote variables by  $x, y, \dots$ . We assume that each variable  $x$  in  $X$  has the *rank*, denoted by  $\text{rank}(x)$ , that is a nonnegative integer. Assume that  $\Sigma \cap X = \emptyset$  and  $\Lambda \cap X = \emptyset$ .

DEFINITION 2.1. A *directed term graph*  $g = (V, E, \varphi, \psi, H, \lambda, \text{ports})$  over  $\langle \Sigma, \Lambda, X \rangle$  consists of the following:

- (1)  $(V, E, \varphi, \psi)$  is a directed colored-graph over  $(\Sigma, \Lambda)$ .
- (2)  $H$  is a finite set whose elements are called *hyperedges*.
- (3)  $\lambda : H \rightarrow X$  is a labeling function. For a hyperedge  $e \in H$ ,  $\text{rank}(\lambda(e))$  is called the *rank* of  $e$ .
- (4)  $\text{ports} : H \rightarrow V^*$  is a mapping such that for every  $e \in H$ ,  $\text{ports}(e)$  is a list of  $\text{rank}(\lambda(e))$  distinct vertices. These vertices are called the *ports* of  $e$ .

We denote term graphs by  $f, g, \dots$ . A term graph is called *ground* if  $H = \emptyset$ . We identify ground term graphs with colored-graphs. We denote by  $\mathcal{T}(\Sigma, \Lambda, X)$  the family of term graphs over  $\langle \Sigma, \Lambda, X \rangle$  and by  $\mathcal{T}(\Sigma, \Lambda)$  the family of all ground term graphs. We can define an *undirected term graph* in the same way.

EXAMPLE 2.2. We draw directed and undirected term graphs as in Figure 1 (a) and (b), respectively.

Let  $g_1, \dots, g_n$  be term graphs in  $\mathcal{T}(\Sigma, \Lambda, X)$ . An *atom* is an expression of the form  $p(g_1, \dots, g_n)$ , where  $p$  is a predicate symbol with arity  $n$ . Hereafter we use  $p, q, \dots$  to denote predicate symbols. Let  $A, B_1, \dots, B_n$  be atoms, where  $n \geq 0$ . Then, a *graph rewriting rule* is a clause of the form  $A \leftarrow B_1, \dots, B_n$ . We call the atom  $A$  the *head* and the part  $B_1, \dots, B_n$  the *body* of the graph rewriting rule. Especially, a graph rewriting

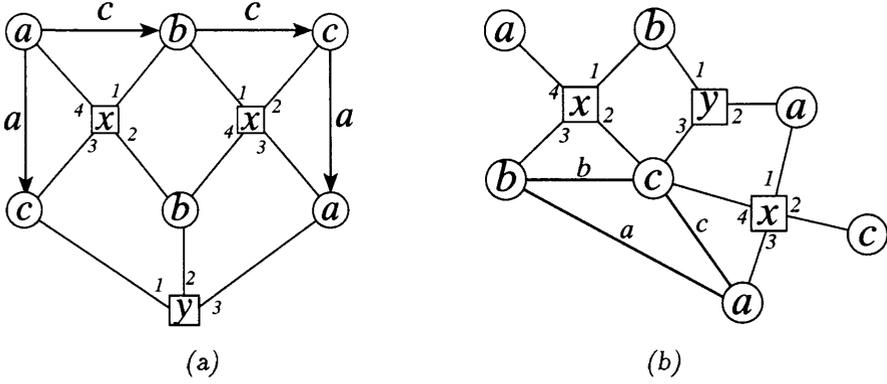


Figure 1: Term graphs. A hyperedge is represented by a box with lines to its ports. The order of the ports is indicated by numbers at these lines.

rule of the form  $A \leftarrow$  is called a *fact*. A *formal graph system* (FGS) is a finite set of graph rewriting rules.

We give some notions for term graphs and atoms. A term graph  $f = (V_f, E_f, \varphi_f, \psi_f, H_f, \lambda_f, ports_f)$  is a *subgraph* of  $g = (V_g, E_g, \varphi_g, \psi_g, H_g, \lambda_g, ports_g)$  if  $f' = (V_f, E_f, \varphi_f, \psi_f)$  is a subgraph of  $g' = (V_g, E_g, \varphi_g, \psi_g)$ ,  $H_f \subseteq H_g$ , and  $\lambda_f(e) = \lambda_g(e)$  and  $ports_f(e) = ports_g(e)$  for all  $e \in H_f$ .

Let  $g_1 = (V_1, E_1, \varphi_1, \psi_1, H_1, \lambda_1, ports_1)$  and  $g_2 = (V_2, E_2, \varphi_2, \psi_2, H_2, \lambda_2, ports_2)$  be term graphs. We say that  $g_1$  and  $g_2$  are *isomorphic*, denoted by  $g_1 \simeq g_2$ , if the following conditions are satisfied:

- (1) The colored-graphs  $g'_1 = (V_1, E_1, \varphi_1, \psi_1)$  and  $g'_2 = (V_2, E_2, \varphi_2, \psi_2)$  are isomorphic including vertex and edge labelings.
- (2) Let  $\pi : V_1 \rightarrow V_2$  be the bijection giving the isomorphism in (1). There is a bijection  $\varpi : H_1 \rightarrow H_2$  such that for every  $e \in H_1$ ,  $ports_2(\varpi(e)) = \pi^*(ports_1(e))$  and  $\lambda_2(\varpi(e)) = \lambda_1(e)$ , where  $\pi^*$  is defined by  $\pi^*((v_1, \dots, v_m)) = (\pi(v_1), \dots, \pi(v_m))$ .

Let  $(v_1, \dots, v_r)$  and  $(u_1, \dots, u_r)$  be two lists of  $r$  distinct vertices of  $g_1$  and  $g_2$ , respectively. We also say that  $[g_1, (v_1, \dots, v_r)]$  and  $[g_2, (u_1, \dots, u_r)]$  are *isomorphic* if (1) and (2) are satisfied and  $\pi(v_i) = u_i$  for each  $1 \leq i \leq r$ . We call a pair  $[g, \sigma]$  of a term graph  $g$  over  $\langle \Sigma, \Lambda, X \rangle$  and a list  $\sigma$  of  $r$  distinct vertices in  $g$  an  *$r$ -hypergraph* over  $\langle \Sigma, \Lambda, X \rangle$ .

For any two atoms  $p(f_1, \dots, f_n)$  and  $p(g_1, \dots, g_n)$ , if  $f_i \simeq g_i$  for each  $1 \leq i \leq n$ , we denote  $p(f_1, \dots, f_n) \simeq p(g_1, \dots, g_n)$ .

Let  $g = (V_g, E_g, \varphi_g, \psi_g, H_g, \lambda_g, ports_g)$  and  $f = (V_f, E_f, \varphi_f, \psi_f, H_f, \lambda_f, ports_f)$  be term graphs,  $e$  be a hyperedge in  $H_f$  of rank  $r$  with ports  $(u_1, \dots, u_r)$ , and  $[g, \sigma]$  be an  $r$ -hypergraph with  $\sigma = (v_1, \dots, v_r)$ . The *hyperedge replacement*  $e \leftarrow [g, \sigma]$  is the following operation on  $f$ : The term graph obtained by the hyperedge replacement  $e \leftarrow [g, \sigma]$  on  $f$ , denoted by  $f(e \leftarrow [g, \sigma]) = (V, E, \varphi, \psi, H, \lambda, ports)$  is defined in the following way: Let

$g' = (V'_g, E'_g, \varphi'_g, \psi'_g, H'_g, \lambda'_g, ports'_g)$  be a copy of  $g$ . For a vertex  $v \in V_g$ , we denote the corresponding copy vertex by  $v'$ . We attach  $g'$  to  $f$  by removing the hyperedge  $e$  from  $H_f$  and by identifying the ports  $u_1, \dots, u_r$  of  $e$  in  $f$  with  $v'_1, \dots, v'_r$  in  $g'$ , respectively. We set  $\varphi(u_i) = \varphi_f(u_i)$  for each  $1 \leq i \leq r$ , i.e., the label of  $u_i$  in  $f$  is used for the new term graph  $f(e \leftarrow [g, \sigma])$ . Let  $\Upsilon = \{e_1 \leftarrow [g_1, \sigma_1], \dots, e_m \leftarrow [g_m, \sigma_m]\}$  be a set of hyperedge replacements on  $f$ . We denote by  $f(\Upsilon)$  the term graph obtained by applying all hyperedge replacements in  $\Upsilon$  in parallel.

Let  $x$  be a variable in  $X$  with  $rank(x) = r$ . Let  $g = (V_g, E_g, \varphi_g, \psi_g, H_g, \lambda_g, ports_g)$  be a term graph in  $\mathcal{T}(\Sigma, \Lambda, X)$  and  $[g, \sigma]$  be an  $r$ -hypergraph. We call the form  $x := [g, \sigma]$  a *binding* for  $x$ . We say that a binding  $x := [g, \sigma]$  is *trivial* if  $g$  is a term graph consisting of  $r$  vertices without any edges such that it has a unique hyperedge  $e \in H_g$  with  $\lambda(e) = x$ . A *substitution*  $\theta$  is a finite collection of bindings  $\{x_1 := [g_1, \sigma_1], \dots, x_n := [g_n, \sigma_n]\}$ , where  $x_i$ 's are mutually distinct variables in  $X$  and each  $g_i$  ( $1 \leq i \leq n$ ) has no hyperedge labeled with a variable in  $\{x_1, \dots, x_n\}$ .

Let  $f = (V_f, E_f, \varphi_f, \psi_f, H_f, \lambda_f, ports_f)$  be a term graph and  $\theta = \{x_1 := [g_1, \sigma_1], \dots, x_n := [g_n, \sigma_n]\}$  be a substitution. For  $x_i$ , let  $H_{\lambda_f}(x_i) \doteq \{e \in H_f \mid \lambda_f(e) = x_i\}$  and  $\Upsilon_i = \{e \leftarrow [g_i, \sigma_i] \mid e \in H_{\lambda_f}(x_i)\}$ . Then let  $\Upsilon_\theta = \bigcup_{i=1}^n \Upsilon_i$ . The term graph  $f\theta$  called the *instance* of  $f$  by  $\theta$  is defined by  $f(\Upsilon_\theta)$ . We remark that the set of the hyperedges in  $f\theta$  consists of the hyperedges in  $H_f$  which are not in  $H_{\lambda_f}(x_1) \cup \dots \cup H_{\lambda_f}(x_n)$  and the newly added hyperedges of the graphs attached to  $f$  by the substitution  $\theta$ .

**EXAMPLE 2.3.** Let  $f, g$  and  $h$  be term graphs given in Figure 2, and  $\theta = \{x := [g, (u_1, u_2)], y := [h, (v_1, v_2)]\}$  be a substitution, where  $u_1$  and  $u_2$  (resp.,  $v_1$  and  $v_2$ ) are vertices in  $g$  (resp.,  $h$ ). Then the instance  $f\theta$  is a term graph shown in Figure 2.

For a substitution  $\theta$ , we define  $p(f_1, \dots, f_n)\theta = p(f_1\theta, \dots, f_n\theta)$  and  $(A \leftarrow B_1, \dots, B_m)\theta = A\theta \leftarrow B_1\theta, \dots, B_m\theta$ .

Let  $g_1$  and  $g_2$  are two term graphs or atoms. Then a substitution  $\theta$  is a *unifier* of  $g_1$  and  $g_2$  if  $g_1\theta \simeq g_2\theta$ . If  $g_1 \simeq g_2\theta$  and  $g_1\theta' \simeq g_2$  for some substitutions  $\theta$  and  $\theta'$  then  $g_1$  is called a *variant* of  $g_2$ . That is, let  $F$  be a one-to-one and onto mapping from  $X$  to  $X$ .  $g_1$  is a term graph (atom) obtained from  $g_2$  by replacing a label  $x \in X$  of each hyperedge in  $g_2$  with  $F(x)$ . A *goal* is a graph rewriting rule of the form  $\leftarrow B_1, \dots, B_m$  ( $m \geq 0$ ). If  $m = 1$ , " $\leftarrow B_1$ " is called a *unit goal*. If  $m = 0$ , we denote it " $\square$ " and call it an *empty goal*. We assume a *computation rule*  $Q$  to select an atom from a goal. For a graph rewriting rule  $C$ , let  $var(C)$  be the set of all variables labeling the hyperedges of the term graphs in  $C$ .

Let  $\Gamma$  be an FGS, and  $D$  a goal. A *derivation from*  $D$  is a (finite or infinite) sequence of triples  $(D_i, \theta_i, C_i)$  ( $i = 0, 1, \dots$ ) that satisfies the following conditions:

- (3)  $D_i$  is a goal,  $\theta_i$  is a substitution,  $C_i$  is a variant of a graph rewriting rule in  $\Gamma$ , and  $D_0 = D$ .
- (4)  $var(C_i) \cap var(C_j) = \emptyset$  ( $i \neq j$ ), and  $var(C_i) \cap var(D) = \emptyset$  for every  $i$ .
- (5) Let  $D_i$  be  $\leftarrow A_1, \dots, A_k$  and  $A_m$  be the atom selected by  $Q$ . Let  $C_i$  be  $A \leftarrow B_1, \dots, B_q$ . Then  $\theta_i$  is a unifier of  $A$  and  $A_m$  and  $D_{i+1}$  is the goal  $\leftarrow A_1\theta_i, \dots, A_{m-1}\theta_i, B_1\theta_i, \dots, B_q\theta_i, A_{m+1}\theta_i, \dots, A_k\theta_i$ .

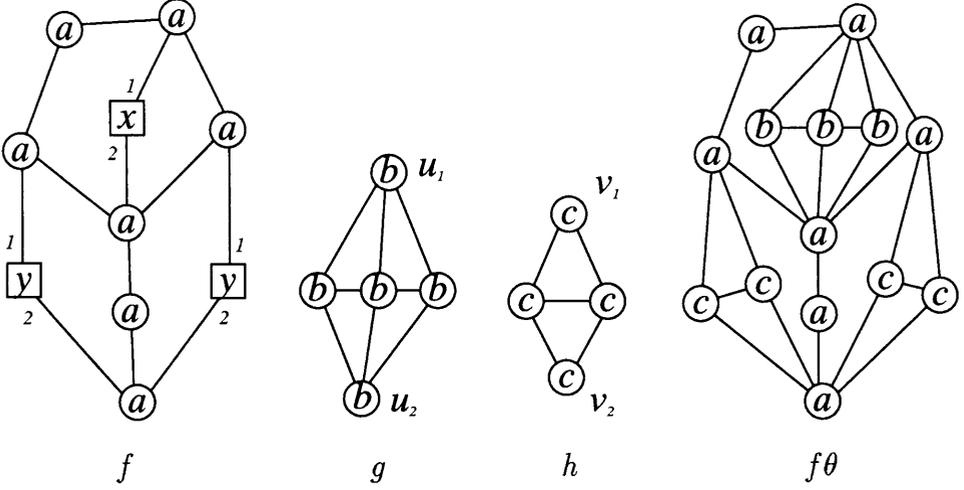


Figure 2: An example of an instance  $f\theta$  with  $\theta = \{x := [g, (u_1, u_2)], y := [h, (v_1, v_2)]\}$

A *refutation* is a finite derivation ending with the empty goal.

Let  $F = \{(D_i, \theta_i, C_i)\}_{0 \leq i \leq k}$  be a refutation from a unit goal  $D$ . The *refutation tree* of  $F$  is a tree defined as follows:

- (6) Every vertex is labeled with a unit goal or the empty goal.
- (7) The label of the root is the unit goal  $D_0 = D$ .
- (8) Every leaf is labeled with the empty goal.
- (9)  $T_0$  is a tree consisting of only one vertex labeled with  $D$ . For  $0 \leq i \leq k$ ,  $T_{i+1}$  is a tree obtained by applying  $(D_i, \theta_i, C_i)$  to the tree  $T_i$  as follows: let  $D_i$  be  $\leftarrow A_1^i, \dots, A_{n_i}^i$ . Assume that  $T_i$  has a leaf labeled with  $\leftarrow A_{m_i}^i$ . Let  $C_i$  be  $A^i \leftarrow B_1^i, \dots, B_{q_i}^i$  and  $\theta_i$  be a unifier of  $A^i$  and the atom  $A_{m_i}^i$ . Then  $T_{i+1}$  is obtained by adding new  $q_i$  vertices labeled with  $\leftarrow B_1^i \theta_i, \dots, \leftarrow B_{q_i}^i \theta_i$  as the children of the vertex labeled with  $\leftarrow A_{m_i}^i$ .

DEFINITION 2.4. Let  $\Gamma$  be an FGS. We define the relation  $\Gamma \vdash C$  for a rule  $C$  inductively as follows:

- (1) If  $\Gamma \ni C$ , then  $\Gamma \vdash C$ .
- (2) If  $\Gamma \vdash C$ , then  $\Gamma \vdash C\theta$  for any substitution  $\theta$ .
- (3) If  $\Gamma \vdash A \leftarrow B_1, \dots, B_n$  and  $\Gamma \vdash B_i \leftarrow C_1, \dots, C_m$ , then  $\Gamma \vdash A \leftarrow B_1, \dots, B_{i-1}, C_1, \dots, C_m, B_{i+1}, \dots, B_n$ .

For an FGS  $\Gamma$  and its predicate symbol  $p$  with arity  $n$ , we define  $GL(\Gamma, p) = \{(h_1, \dots, h_n) \in (T(\Sigma, \Lambda))^n \mid \Gamma \vdash p(h_1, \dots, h_n) \leftarrow\}$ . In case  $n = 1$ ,  $GL(\Gamma, p)$  defines a subset of  $T(\Sigma, \Lambda)$  called a *graph language*. We say that a graph language  $L \subseteq T(\Sigma, \Lambda)$  is *definable by FGS* or an *FGS language* if such a pair  $(\Gamma, p)$  exists.

DEFINITION 2.5. Let  $\Gamma$  be an FGS and  $p$  be its unary predicate symbol. The *refutation tree problem* for  $(\Gamma, p)$ , denoted by  $\text{RT}(\Gamma, p)$ , is defined as follows:

INSTANCE: A ground term graph  $g$ .

PROBLEM: If there is a refutation from the goal  $\leftarrow p(g)$  in  $\Gamma$ , construct its refutation tree.

### 3. Simple FGSs, Size-Bounded Simple FGSs and Bounded Simple FGSs

This section defines simple FGSs, size-bounded simple FGSs and bounded simple FGSs. We investigate the complexities of the refutation tree problems for these FGSs.

DEFINITION 3.1. Let  $\Delta$  be a subset of  $\Lambda$  called an *enclosure set*. A term graph  $g = (V, E, \varphi, \psi, H, \lambda, \text{ports})$  is *simple* with  $\Delta$  if  $g$  satisfies the following conditions:

- (1) If a vertex  $v$  is a port of two distinct hyperedges in  $H$ , then any edge in  $E$  having  $v$  as an endpoint is not labeled with any symbol in  $\Delta$ .
- (2) For any hyperedge  $e \in H$ , if a port  $v$  of  $e$  is an endpoint of an edge labeled with a symbol in  $\Delta$ , then every edge adjoining to  $v$  is labeled with a symbol in  $\Delta$ .

DEFINITION 3.2. Let  $\Delta$  be an enclosure set. An FGS  $\Gamma$  is said to be *simple* with  $\Delta$  if, for each predicate symbol  $p$  of  $\Gamma$  with the arity  $n$ , there is a sequence  $S(p)$  of  $n$  ground term graphs in  $\mathcal{T}(\Sigma, \Delta)$  such that each term graph in  $S(p)$  has no vertex whose degree is 0 and that each graph rewriting rule

$$q_0(g_0^1, \dots, g_0^{l_0}) \leftarrow q_1(g_1^1, \dots, g_1^{l_1}), \dots, q_k(g_k^1, \dots, g_k^{l_k})$$

in  $\Gamma$  satisfies the conditions (1)–(4): For each  $0 \leq i \leq k$ , let  $S(q_i) = (f_i^1, \dots, f_i^{l_i})$ .

- (1) For each  $0 \leq i \leq k$  and  $1 \leq j \leq l_i$ , the subgraph of  $g_i^j$  induced by the all edges labeled with a symbol in  $\Delta$  is isomorphic to the ground term graph  $f_i^j$ .
- (2) For  $1 \leq j \leq l_0$ , the term graph  $g_0^j = (V_0^j, E_0^j, \varphi_0^j, \psi_0^j, H_0^j, \lambda_0^j, \text{ports}_0^j)$  is simple with  $\Delta$ .
- (3) For  $1 \leq i \leq k$  and  $1 \leq j \leq l_i$ , the term graph  $g_i^j = (V_i^j, E_i^j, \varphi_i^j, \psi_i^j, H_i^j, \lambda_i^j, \text{ports}_i^j)$  is a simple with  $\Delta$  satisfying the following conditions:
  - (i) Every edge in  $E_i^j$  is labeled with a symbol in  $\Delta$ .
  - (ii) There exists a term graph  $g_0^l$  ( $1 \leq l \leq l_0$ ) which has a subgraph isomorphic to  $g_i^j$  where the vertex and edge labelings are ignored.
- (4)  $\bigcup_{j=1}^{l_0} \lambda_0^j(H_0^j) = \bigcup_{i=1}^k \bigcup_{j=1}^{l_i} \lambda_i^j(H_i^j)$ .

EXAMPLE 3.3. We draw a simple FGS  $\Gamma$  as Figure 3. An edge labeled with a symbol in the enclosure set is shown by a broken line.

Let  $g = (V, E, \varphi, \psi)$  be a ground term graph. For a vertex  $v \in V$ , the *degree* of  $v$  is the number of edges having  $v$  as an endpoint and is denoted by  $\text{deg}(v)$ . If  $g$  is directed, the degree of  $v$  is the number of edges beginning from  $v$  or ending to  $v$ . Then the *valence* of  $g$  is  $\max\{\text{deg}(v) \mid v \in V\}$ . The following lemma is obvious from the definition.

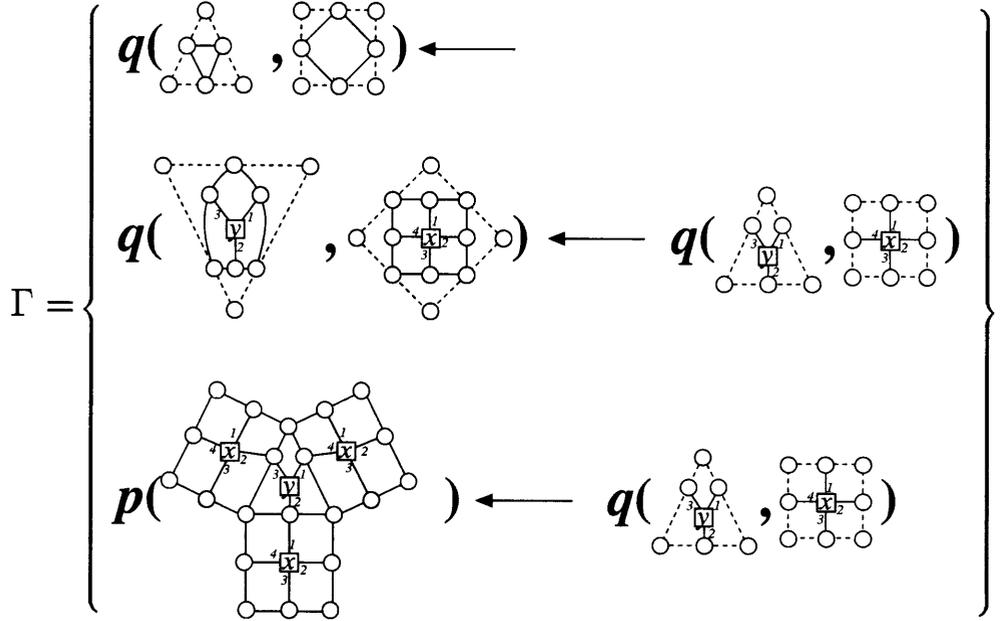


Figure 3: Simple FGS  $\Gamma$ . The broken lines represent the edges labeled with elements in the enclosure set.

LEMMA 3.4. *Let  $\Gamma$  be a simple FGS and  $p$  be a predicate symbol in  $\Gamma$ . Then the term graphs in  $GL(\Gamma, p)$  are of constantly bounded valence.*

THEOREM 3.5. *Let  $\Gamma$  be a simple FGS and  $p$  be a unary predicate symbol in  $\Gamma$ . When an input graph is connected,  $RT(\Gamma, p)$  is solvable in polynomial time.*

PROOF. We consider a connected ground term graph  $g = (V, E, \varphi, \psi)$  with  $V = \{1, \dots, n\}$  as an input graph. Let  $V_{E'}$  be the set of endpoints of the edges in  $E'$  for a subset  $E' \subseteq E$ . We denote the subgraph of  $g$  formed from  $E'$  by  $\ll E' \gg = (V_{E'}, E', \varphi', \psi')$  and call it the *edge-induced subgraph* of  $E'$ . For a sequence  $\alpha$ ,  $set(\alpha)$  denotes the set of all elements in  $\alpha$ .

In this proof, an algebraic approach of path systems[14] is taken. A path system is given by a 4-tuple  $Q = (N, T, GEN, S)$  [6, 14], where  $N$  is a finite set of vertices,  $T$  is a subset of  $N$  whose vertices are called terminal vertices,  $GEN$  is a function whose arguments are vertices in  $N$  and whose values are subsets of  $N$ , and  $S$  is a vertex of  $N$  called the goal. A *derivation* of  $S$  is a sequence of pairs  $(D_i, C_i)$  ( $i = 0, 1, \dots$ ) satisfying the following conditions:

- (1)  $D_i$  and  $C_i$  are sequences of vertices in  $N$ , and  $D_0 = S$ .
- (2) Let  $D_i = (a_1, \dots, a_n)$  and  $a_m$  be the left-most vertex in  $D_i$  not in  $T$ . Let  $C_i = (b_1, \dots, b_k)$ . Then  $D_{i+1} = (a_1, \dots, a_{m-1}, b_1, \dots, b_k, a_{m+1}, \dots, a_n)$ .

Let  $F = \{(D_i, C_i)\}_{0 \leq i \leq l}$  be a finite derivation of  $S$  such that  $\text{set}(D_l) \subseteq T$ . The *generation tree* of  $F$  is a tree  $T_l$  defined as follows:

- (3) Every vertex is in  $N$ .
- (4) The root is the goal  $S$ .
- (5)  $T_0$  is a tree consisting of the only vertex  $S$ . For  $0 \leq i < l$ ,  $T_{i+1}$  is a tree obtained by applying  $(D_i, C_i)$  to the tree  $T_i$  as follows: Let  $a_m$  be the left-most vertex in  $D_i$  not in  $T$  and  $C_i = (b_1, \dots, b_k)$ . Then  $T_{i+1}$  is obtained by adding new  $k$  vertices  $b_1, \dots, b_k$  as the children of  $a_m$ .

The system  $Q$  is *solvable* if  $S$  is generated from  $T$  using the function  $GEN$ , that is, there is a finite derivation  $\{(D_i, C_i)\}_{0 \leq i \leq l}$  of  $S$  on  $Q$  such that  $\text{set}(D_l) \subseteq T$ .

First, we number the edges of  $g$ . We give a procedure *MakeAtom* in Figure 4 which computes the set of sequences  $[q, a_1, \dots, a_l]$  satisfying the following conditions for a graph rewriting rule  $r = q_0(g_0^1, \dots, g_0^{l_0}) \leftarrow q_1(g_1^1, \dots, g_1^{l_1}), \dots, q_k(g_k^1, \dots, g_k^{l_k})$  in  $\Gamma$ :

- (6) If  $r$  is a fact, then  $q$  is the predicate symbol  $q_0$  in  $r$ . Otherwise  $q$  is a predicate symbol in the body of  $r$ , and  $l$  is the arity of  $q$ .
- (7) Let  $q = q_i$  for some  $0 \leq i \leq k$ . For  $1 \leq j \leq l(=l_i)$ , let  $e_j^1, \dots, e_j^{h_j}$  be all hyperedges in  $g_i^j$ . For each  $1 \leq j \leq l$ ,  $a_j$  is a pair  $(F_j, (\alpha_j^1, \dots, \alpha_j^{h_j}))$  defined as follows:
  - (a)  $F_j$  is the set of  $m_j$  distinct edges of  $g$ , where  $m_j$  is the number of edges of  $g_i^j$ .
  - (b) For each  $1 \leq s \leq h_j$ ,  $\alpha_j^s$  is a sequence of  $t_s$  distinct endpoints of edges in  $F_j$ , where  $t_s$  is the number of ports of the hyperedge  $e_j^s$  in  $g_i^j$ .

Hence, if  $g_i^j$  is ground then  $a_j = (F_j, ())$ .

Since  $\Gamma$  is fixed, *MakeAtom* runs in constant time.

Then we give a path system  $Q = (N, T, GEN, S)$  as follows: Let  $\#$  be the symbol corresponding to the input graph  $g$ . Let  $S = [p, \#]$  and let  $N = \{\text{MakeAtom}(r) \mid r \in \Gamma\} \cup \{[p, \#]\}$ .

For an element  $a_i$  ( $1 \leq i \leq l$ ) of  $[q, a_1, \dots, a_l] \neq [p, \#]$  in  $N$ ,  $G[a_i] = (V_{a_i}, E_{a_i}, \varphi_{a_i}, \psi_{a_i})$  denotes a subgraph of  $g$  induced by  $a_i$  defined as follows: Let  $a_i = (F_i, (\alpha_i^1, \dots, \alpha_i^{t_i}))$ . Let  $f_1, \dots, f_m$  be the connected components of the edge-induced subgraph  $\ll E - F_i \gg$  of  $g$  such that each  $f_i$  ( $1 \leq i \leq m$ ) has a vertex in  $\bigcup_{1 \leq j \leq t_i} \text{set}(\alpha_i^j)$ . Then the vertex set  $V_{a_i}$  and the edge set  $E_{a_i}$  are the sets of all vertices and edges in  $f_1, \dots, f_m$ , and  $\ll F_i \gg$ , respectively. For the symbol  $\#$  of  $[p, \#]$  in  $N$ , we define  $G[\#] = g$ . Let  $T$  be the set of every element  $[q, a_1, \dots, a_l]$  of  $N$  such that, for a fact  $q(g_1, \dots, g_l) \leftarrow$  in  $\Gamma$  and each  $1 \leq i \leq l$ ,  $G[a_i]$  is isomorphic to  $g_i$  except the edge and vertex labelings for edges in  $g_i$  labeled with symbols in  $\Delta$  and those endpoints, respectively. We can compute the sets  $N$ ,  $T$  and  $S$  in constant time, since *MakeAtom* runs in constant time.

Let  $k$  be the maximum number of atoms of graph rewriting rules in  $\Gamma$ . Then, we define a function  $GEN : N \cup N^2 \cup \dots \cup N^{k-1} \rightarrow 2^N$  as follows:

$GEN([q_1, a_1^1, \dots, a_1^{l_1}], \dots, [q_m, a_m^1, \dots, a_m^{l_m}])$  contains an element  $[q, a_0^1, \dots, a_0^l]$  of  $N$  if, for a graph rewriting rule  $r$  in  $\Gamma$ , a substitution  $\theta$  obtained by the procedure

$\text{MakeSub}([q, a_0^1, \dots, a_0^l], ([q_1, a_1^1, \dots, a_1^{l_1}], \dots, [q_m, a_m^1, \dots, a_m^{l_m}]), r)$

in Figure 5 satisfies the following condition: Let  $r = q(g_0^1, \dots, g_0^{l_0}) \leftarrow q_1(g_1^1, \dots, g_1^{l_1}), \dots,$

```

Procedure MakeAtom( $r$ );
  /*  $r = q_0(g_0^1, \dots, g_0^{l_0}) \leftarrow q_1(g_1^1, \dots, g_1^{l_1}), \dots, q_k(g_k^1, \dots, g_k^{l_k})$ . */
  1.  $N := \emptyset$ ;  $K_1 := 1$ ;  $K_2 := k$ ;
  2. if  $r$  is a fact then  $K_1 := 0$ ;  $K_2 := 0$ ;
  3. for each  $K_1 \leq i \leq K_2$  pardo
  4.   for each  $1 \leq j \leq l_i$  pardo
  5.     let  $s$  be the number of edges in  $g_i^j$ .
  6.     let  $F$  be the family of sets of  $s$  distinct edges of  $g$ ;
  7.     if  $g_i^j$  has no hyperedges then  $\text{MakeTerm}(g_i^j) := \{(f, ()) \mid f \in F\}$ 
  8.     else
  9.       for each  $\{h_1, \dots, h_s\} \in F$  pardo
  10.        let  $e_1, \dots, e_m$  be the all hyperedges of  $g_i^j$ ;
  11.        for each  $1 \leq t \leq m$  pardo
  12.          let  $n_t$  be the number of ports of  $e_t$ ;
  13.          let  $D_{e_t} := \{(v_1, \dots, v_{n_t}) \mid v_1, \dots, v_{n_t} \in h_1 \cup \dots \cup h_s\}$ ;
  14.          end pardo
  15.          let  $\text{MakePorts}(\{h_1, \dots, h_s\})$ 
  16.              $:= \{(d_1, \dots, d_m) \mid d_1 \in D_{e_1}, \dots, d_m \in D_{e_m}\}$ ;
  17.        end pardo
  18.         $\text{MakeTerm}(g_i^j) := \{(f, \alpha) \mid f \in F, \alpha \in \text{MakePorts}(f)\}$ ;
  19.     end pardo
  20.    $N := N \cup \{[g_i, a_1, \dots, a_{l_i}] \mid a_j \in \text{MakeTerm}(g_i^j), 1 \leq j \leq l_i\}$ ;
  21. end pardo

```

Figure 4: *MakeAtom*

**Procedure** *MakeSub*( $L, M, r$ );

```

/*  $L = [q, a_0^1, \dots, a_0^l]$  */
/*  $M = ([q_1, a_1^1, \dots, a_1^{l_1}], \dots, [q_m, a_m^1, \dots, a_m^{l_m}])$  */
/*  $\alpha_j^i = (F_{ij}, (\alpha_{ij}^1, \dots, \alpha_{ij}^{l_j}))$  for  $1 \leq i \leq m, 1 \leq j \leq l_i$  */
/*  $r = q(g_0^1, \dots, g_0^l) \leftarrow q_1(g_1^1, \dots, g_1^{l_1}), \dots, q_k(g_m^1, \dots, g_m^{l_m})$ . */
/*  $g_i^j = (V_i^j, E_i^j, \varphi_i^j, \psi_i^j, H_i^j, \lambda_i^j, ports_i^j)$  for  $0 \leq i \leq m, 1 \leq j \leq l_i$  */
1.  $\theta := \emptyset; U := \emptyset;$ 
2. for  $i := 1$  to  $m$  do
3.   for  $j := 1$  to  $l_i$  do
4.     let  $e_1, \dots, e_t$  be the all hyperedges in  $H_i^j$ ;
5.     if  $t = t_{ij}$  then
6.       for  $s := 1$  to  $t$  do
7.         if  $\lambda_i^j(e_s) \notin U$  then
8.            $U := U \cup \{\lambda_i^j(e_s)\};$ 
9.           let  $f_1, \dots, f_{k_s}$  be the connected components of  $\ll E - F_{ij} \gg$ 
             such that each  $f_k$  ( $1 \leq k \leq k_s$ ) has a vertex in  $set(\alpha_{ij}^s)$ ;
10.          let  $V_s$  and  $E_s$  be the sets of vertices and edges in  $f_1, \dots$ , and  $f_{k_s}$ ;
11.          let  $g_s = (V_s, E_s, \varphi_s, \psi_s)$  be the subgraph of  $g_i^j$  induced by  $V_s$  and  $E_s$ ;
12.           $\theta := \theta \cup \{\lambda_i^j(e_s) := [g_s, \alpha_{ij}^s]\}$ 
13.        end do
14.      end do
15.    end do

```

Figure 5: *MakeSub*

$q_m(g_m^1, \dots, g_m^{l_m})$ . For  $0 \leq i \leq m$  and  $1 \leq j \leq l_i$ , the instance  $g_i^j \theta$  of  $g_i^j$  by  $\theta$  is isomorphic to  $G[\alpha_{ij}^j]$ , except the edge and vertex labelings for edges in  $g_i^j$  labeled with symbols in  $\Delta$  and those endpoints, respectively. *MakeSub* can be executed in  $NC^2$  by using the  $NC^2$  algorithm [6, 20] computing connected components. It requires to solve the graph isomorphism problem in order to decide whether the substitution  $\theta$  constructed by *MakeSub* satisfies the above condition or not. Thus the problem of computing *GEN* is  $NC^2$ -reducible to the graph isomorphism problem. By Lemma 3.4, the graphs defined by  $\Gamma$  are of constantly bounded valence. Therefore, we can compute *GEN* in polynomial time by using the polynomial-time algorithm [11] solving the graph isomorphism problem whose input graphs are restricted to a family of graphs with constantly bounded valence. Thus, we can construct the path system  $Q$  in polynomial time.

It can be easily seen that the path system  $Q$  is solvable if and only if the ground term graph  $g$  is defined by the FGS  $\Gamma$ . Since we can solve  $Q$  in polynomial time, we can construct a generation tree  $GT$  of  $[p, \#]$  on the path system  $Q$  in polynomial time. Then we can compute a refutation tree for  $g$  in  $\Gamma$  from  $GT$  by replacing a label  $[q, a_1, \dots, a_l]$  of each vertex in  $GT$  with the unit goal  $\leftarrow q(G[a_1], \dots, G[a_l])$  and by attaching a new vertex labeled with the empty goal to each leaf. Thus, the refutation tree problem for

$(\Gamma, p)$  is solvable in polynomial time.

Let  $g = (V, E, \varphi, \psi, H, \lambda, \text{ports})$  be a term graph and  $\tilde{V}$  be the set of vertices which are not ports of any hyperedges in  $H$ . Then we define the *size* of  $g$ , denoted by  $|g|$ , as a pair  $(\#\tilde{V}, \#E)$  of the numbers  $\#\tilde{V}$  and  $\#E$  of vertices in  $\tilde{V}$  and edges in  $E$ , respectively. Let  $g_i$  be a term graph and  $(\#\tilde{V}_i, \#E_i)$  be the size of  $g_i$  for  $1 \leq i \leq n$ . Then, for an atom  $p(g_1, \dots, g_n)$ , we define  $\|p(g_1, \dots, g_n)\| = (\#\tilde{V}_1 + \dots + \#\tilde{V}_n, \#E_1 + \dots + \#E_n)$ . Let  $A_1, \dots, A_n$  be atoms and let  $\|A_i\| = (s_i, t_i)$  for  $1 \leq i \leq n$ . Then, we denote  $\|A_1\| \geq \|A_2\| + \dots + \|A_n\|$  if  $s_1 \geq s_2 + \dots + s_n$  and  $t_1 \geq t_2 + \dots + t_n$ .

**DEFINITION 3.6.** A graph rewriting rule  $A \leftarrow B_1, \dots, B_m$  is said to be *size-bounded* if  $\|A\theta\| \geq \|B_1\theta\| + \dots + \|B_m\theta\|$  for any substitution  $\theta$ . An FGS  $\Gamma$  is *size-bounded* if every graph rewriting rule in  $\Gamma$  is size-bounded.

For example, the simple FGS  $\Gamma$  in Figure 3 is size-bounded.

**COROLLARY 3.7.** *Let  $\Gamma$  be a size-bounded simple FGS and  $p$  be a predicate symbol in  $\Gamma$ . When an input graph is connected,  $RT(\Gamma, p)$  is  $NC^2$ -reducible to the graph isomorphism problem for graphs of constantly bounded valence.*

**PROOF.** From Lemma 3.4, the graphs defined by  $\Gamma$  are of constantly bounded valence. By using the algorithm in Theorem 3.5, the problem of constructing a path system  $Q = (N, T, GEN, S)$  simulating how  $\Gamma$  generates graphs is  $NC^2$ -reducible to the graph isomorphism problem for graphs of constantly bounded valence.

An element  $[q, a_1, \dots, a_l]$  of  $N$  is *realizable* if there is a refutation from the goal  $\leftarrow q(G[a_1], \dots, G[a_l])$  on  $\Gamma$ . By the definition of the simple FGS  $\Gamma$ , the set  $N$  has a polynomial number of elements. Since  $\Gamma$  is size-bounded, the generation tree of each realizable element in the path system  $Q$  is of polynomial size. Therefore, the set of realizable elements is computable in  $NC^2$  [14]. Thus, we can solve the path system  $Q$  in  $NC^2$ . We can compute a refutation tree for an input graph from a generation tree of  $Q$  in the same way as Theorem 3.5.

Let  $\Gamma$  be a size-bounded simple FGS and  $p$  be a unary predicate symbol in  $\Gamma$  such that each graph rewriting rule  $q_0(g_0^1, \dots, g_0^{l_0}) \leftarrow q_1(g_1^1, \dots, g_1^{l_1}), \dots, q_k(g_k^1, \dots, g_k^{l_k})$  in  $\Gamma$  satisfies the following conditions: Let  $g_i^j = (V_i^j, E_i^j, \varphi_i^j, \psi_i^j, H_i^j, \text{ports}_i^j)$  for  $0 \leq i \leq k$  and  $1 \leq j \leq l_i$ .

- (1) For each  $0 \leq i \leq k$  and  $1 \leq j \leq l_i$ ,  $\hat{g}_i^j = (V_i^j, E_i^j, \varphi_i^j, \psi_i^j)$  is an undirected colored-tree.
- (2) For each  $0 \leq i \leq k$  and  $1 \leq j \leq l_i$ , the number of ports of every hyperedge in  $H_i^j$  is only one.

Then it is easy to see that the graphs generated by  $\Gamma$  are undirected colored-trees of constantly bounded valence. The graph isomorphism problem is in  $NC^2$  [1] when an input graph is restricted to a tree of constantly bounded valence. By Corollary 3.7, the refutation tree problem  $RT(\Gamma, p)$  is in  $NC^2$ .

Let  $m$  be a positive integer. Let  $g = (V, E, \varphi, \psi)$  be a ground term graph and let  $W_1, \dots, W_k$  be subsets of  $V$ . We assume that  $W$  is a subset of  $V$  such that the number of vertices in  $W$  is not greater than  $m$ . The sequence  $(W_1, \dots, W_k, W)$  is an  $m$ -separation of  $g$  if the removal of  $W$  from  $g$  disconnects  $g$  into connected components  $f_i$   $1 \leq i \leq k$ , such that the vertex set of  $f_i$  is  $W_i$  for each  $1 \leq i \leq k$ . A ground term graph  $g = (V, E, \varphi, \psi)$  is said to have an  $m$ -separator if, for any subset  $U$  of  $V$  with  $l$  vertices, there is an  $m$ -separation  $(W_1, \dots, W_k, W)$  of  $g$  such that for each  $1 \leq i \leq k$ , the intersection  $W_i \cap U$  has less than  $(2/3)l$  vertices. We remark that, if  $g$  has an  $m$ -separator then any subgraph of  $g$  has also an  $m$ -separator. Let  $h$  and  $g$  be connected ground term graphs of constantly bounded valence. We assume that  $g$  has an  $m$ -separator. Then Lingas [9] has presented the  $NC^3$  algorithm deciding whether  $h$  and  $g$  are isomorphic. Let  $\Gamma$  be a size-bounded simple FGS and  $p$  be a unary predicate symbol in  $\Gamma$ . When an input graph is restricted to the family of connected graphs of constantly bounded valence and having constantly bounded separator, the refutation tree problem  $RT(\Gamma, p)$  is in  $NC^3$ .

Let  $g = (V, E, \varphi, \psi, H, \lambda, ports)$  be a term graph. For a variable  $x \in X$ , the number of hyperedges in  $H$  labeled with  $x$  is denoted by  $o_x(g)$ . For an atom  $p(g_1, \dots, g_n)$  and a variable  $x \in X$ , we define  $o_x(p(g_1, \dots, g_n)) = o_x(g_1) + \dots + o_x(g_n)$ . A graph rewriting rule  $A \leftarrow B_1, \dots, B_m$  is said to be *occurrence-bounded* if  $o_x(A) \leq 1$  and  $o_x(B_1) + \dots + o_x(B_m) \leq 1$  for each variable  $x \in X$ . An FGS  $\Gamma$  is *bounded* if every graph rewriting rule in  $\Gamma$  is size-bounded and occurrence-bounded.

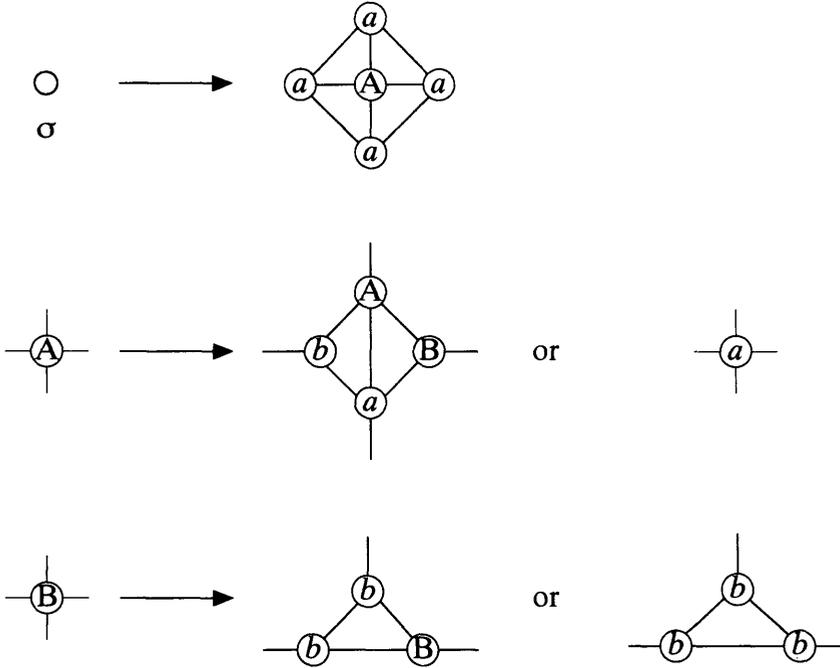
**COROLLARY 3.8.** *Let  $\Gamma$  be a bounded simple FGS and  $p$  be a unary predicate symbol in  $\Gamma$ . Then  $RT(\Gamma, p)$  is in  $NC^2$ .*

**PROOF.** By the definition of bounded simple FGS  $\Gamma$ , it does not require to solve the graph isomorphism problem for graphs of constantly bounded valence in order to compute a refutation tree of an input graph for  $(\Gamma, p)$ . Hence, from Corollary 3.7,  $RT(\Gamma, p)$  is in  $NC^2$ .

#### 4. Bounded Simple FGS and Context-Free Graph Grammar

The *parsing problem* for a context-free graph grammar (CFGG) is to construct a parse tree of an input graph. As CFGGs, we deal with those defined in [17]. Rytter and Szymacha [15] have shown that the parsing problem for a CFGG is in  $NC^2$ . This section shows that the parsing problem for CFGGs is  $NC^1$ -reducible to the refutation tree problem for bounded simple FGSs, and investigates that Theorem 3.5 includes their result.

A *vertex-colored* graph  $G = (V, E, \varphi)$  over  $\Sigma$  consists of a vertex set  $V$ , an edge set  $E$ , and a vertex labeling  $\varphi : V \rightarrow \Sigma$ . A *star graph* is a pair  $S = (G, R)$ , where  $G$  is a vertex-colored graph and  $R$  is the set of edges disjoint with the set of edges of  $G$ . We require that each edge in  $R$  has exactly one common vertex with  $G$ . The graph  $G$  is called a *kernel* of  $S$ . The vertices of  $G$  are labeled by terminal or nonterminal symbols. We denote terminal symbols by  $a, b, \dots$  and use capital letters for representing

Figure 6: Productions of the CFGG  $\mathcal{G}$ .

nonterminal symbols. The edge in  $R$  is called the *leg* of  $G$ . A *simple-star* is a star graph with its kernel being a single vertex without any edges. We assume that each nonterminal symbol  $A$  has the *rank*, denoted by  $\text{rank}(A)$ , that is a nonnegative integer.

DEFINITION 4.1. [17] A *context-free graph grammar* (CFGG)  $\mathcal{G} = (\sigma, R)$  is defined as follows:

- (1)  $\sigma$  is a simple-star without any legs, called the *axiom*.
- (2)  $R$  is a finite set of *productions* of the form  $Y \rightarrow Z$ , where  $Y$  is a simple-star with  $r$  legs such that its kernel is labeled by a nonterminal symbol  $A$  with  $\text{rank}(A) = r$ , and  $Z$  is a star graph with same  $r$  legs as  $Y$ .

We draw a CFGG  $\mathcal{G}$  in Fig. 6 as an example.

Let  $\mathcal{G}$  be a CFGG. For a simple-star  $Y$ , a star graph  $Z$ , and  $i \geq 1$ , we define the relation  $Y \rightarrow^i Z$  inductively as follows:

- (1) We denote  $Y \rightarrow^1 Z$  if there is a production  $Y \rightarrow Z$  is in  $\mathcal{G}$ .
- (2) For  $i \geq 2$ , we denote  $Y \rightarrow^i Z$  if there are  $j, l \geq 1$ , a star graph  $Z_1$  having a simple-star  $Y_0$  as a subgraph, and a star graph  $Z_2$  such that  $j + l = i$ ,  $Y \rightarrow^j Z_1$ ,  $Y_0 \rightarrow^l Z_2$ , and  $Z$  is a star graph by replacing the kernel of the subgraph  $Y_0$  of  $Z_1$  by the kernel of  $Z_2$ .

We write  $Y \rightarrow^+ Z$  if  $Y \rightarrow^i Z$  for some  $i \geq 1$ . A CFGG  $\mathcal{G}$  generates a vertex-colored graph  $g$  if  $\sigma \rightarrow^+ g$ .

**THEOREM 4.2.** *The parsing problem for CFGGs is  $NC^1$ -reducible to the refutation tree problem for bounded simple FGSs.*

**PROOF.** Let  $\mathcal{G}$  be a CFGG. Without loss of generality, we assume that each star graph on the right-hand side of a production in  $\mathcal{G}$  has at most two vertices labeled by nonterminal symbols, and that  $p$  is a nonterminal symbol not appearing in  $\mathcal{G}$ .

A *linear term graph* over  $\langle \Sigma, \Lambda \rangle$  with  $m$  edges is a ground term graph  $f = (V_f, E_f, \varphi_f, \psi_f)$  defined as follows: The vertex set  $V_f$  consists of  $m + 1$  vertices  $v_1, \dots, v_{m+1}$  labeled with symbols in  $\Sigma$ . The edge set  $E_f$  contains an edge labeled with a symbol in  $\Lambda$  which has vertices  $v_i$  and  $v_{i+1}$  as endpoints for each  $1 \leq i \leq m$ . The vertices  $v_1$  and  $v_{m+1}$  are called the *end-vertices*. We say that  $f' = (V', E', \varphi', \psi', H', \lambda', ports')$  is a *star term graph* for a variable  $x$  if  $E' = \emptyset$  and  $H'$  consists of a unique hyperedge  $e$  labeled with  $x$  such that the set of ports of  $e$  is  $V'$ .

We define a bounded simple FGS  $\Gamma_{\mathcal{G}}$  in the following way: Let  $N$  be the set of nonterminal symbols in  $\mathcal{G}$  and the nonterminal symbol  $p$ , and  $\Sigma$  be the set of terminal symbols in  $\mathcal{G}$ . Let  $\Sigma_1$  and  $\Sigma_2$  be non-empty disjoint sets of symbols such that  $(N \cup \Sigma) \cap (\Sigma_1 \cup \Sigma_2) = \emptyset$ , and let  $\Lambda_1$  and  $\Lambda_2$  be non-empty disjoint sets. We regard each nonterminal symbol  $A$  in  $N$  as a unary predicate symbol. For a unary predicate symbol  $A$ , let  $reg(A)$  be a sequence of  $rank(A)$  distinct symbols in  $\Sigma_2$ . That is,  $\Sigma_2 = \bigcup_{A \in N} set(reg(A))$ . For a simple-star  $Y$  in  $\mathcal{G}$ , let  $labels(Y)$  be a sequence of  $m_Y$  symbols in  $\Lambda_2$  where  $m_Y$  is the number of legs of  $Y$ . For each production  $Y \rightarrow Z$  in  $\mathcal{G}$ , let  $A$  and  $B_1, B_2$  be nonterminal symbols in  $Y$  and  $Z$ , respectively. If  $Y = \sigma$  then let  $A$  be the nonterminal symbol  $p$ . Then,  $\Gamma_{\mathcal{G}}$  contains the graph rewriting rule  $A(h) \leftarrow B_1(g_1), B_2(g_2)$ , where simple term graphs  $h, g_1, g_2$  with the enclosure set  $\Lambda_2$  in  $\mathcal{T}(\Sigma \cup \Sigma_1 \cup \Sigma_2, \Lambda_1 \cup \Lambda_2, X)$  are defined as follows (see Example 4.3):

(1) We assume that the star graph  $Z = (G, R)$  has two simple-stars  $Y_1 = (G_1, R_1)$  and  $Y_2 = (G_2, R_2)$  as its subgraphs. Let  $h = (V_h, E_h, \varphi_h, \psi_h, H_h, \lambda_h, ports_h)$  be a term graph obtained from  $Z$  in the following way: Let  $f$  be a ground term graph over  $\langle N \cup \Sigma, \Lambda_1 \rangle$  defined as the vertex-colored graph  $G$  having an edge labeling from the edge set of  $G$  to  $\Lambda_1$ . For each edge  $e$  in  $f$  with endpoints  $u_e$  and  $v_e$ , we attach a new linear term graph  $f_e$  over  $\langle \Sigma_1, \Lambda_1 \rangle$  with 3 edges to  $f$  by removing  $e$  from  $f$  and by identifying  $u_e$  and  $v_e$  with the end-vertices  $u_f$  and  $v_f$  of  $f_e$ , respectively. Then, the labels of  $u_e$  and  $v_e$  are  $\varphi(u_e)$  and  $\varphi(v_e)$ , respectively. If  $u_e$  (resp.,  $v_e$ ) is the kernel of the simple-star  $Y_i$  ( $i = 1, 2$ ) then we remove  $e$  from  $R_i$  and add an edge having  $u_f$  (resp.,  $v_f$ ) of  $f_e$  to  $R_i$  as a leg of  $Y_i$ . Let  $f_1$  be the resulting graph over  $\langle N \cup \Sigma \cup \Sigma_1, \Lambda_1 \rangle$ .

For each leg  $r$  of  $Z$ , let  $com(r)$  be the vertex that  $r$  and the vertex-colored graph  $G$  share in common. Let  $r_1, \dots, r_l$  be the legs of  $Z$ . For each  $1 \leq i \leq l$ , we join  $f_1$  and a new linear term graph  $h^i$  with 2 edges by identifying  $com(r_i)$  of  $f_1$  with an end-vertex  $u$  of  $h^i$ , where  $h^i$  satisfies the following conditions:

- (a) Let  $v$  be another end-vertex of  $h^i$ . Then the label of  $v$  is the  $i$ th symbol in  $reg(A)$ , and the other vertices are labeled with symbols in  $\Sigma_1$ .

- (b) The label of the edge having  $v$  is the  $i$ th symbol of  $labels(Y)$  in  $\Lambda_2$ , and the other edge is labeled with a symbol in  $\Lambda_1$ .

Here, the label of  $com(r_i)$  in the resulting term graph is that of  $com(r_i)$  in  $f_1$ . If  $com(r_i)$  is the kernel of  $Y_j$  ( $j = 1, 2$ ) then we remove  $r_i$  from  $R_j$  and add the edge having  $u$  of  $h^i$  to  $R_j$ . Let  $f_2$  be the resulting ground term graph over  $\langle N \cup \Sigma_1 \cup \Sigma_2, \Lambda_1 \cup \Lambda_2 \rangle$ . We remark that for each symbol  $a$  in  $reg(A)$ , the number of vertices in  $f_2$  labeled with  $a$  is at most one.

For each  $i = 1, 2$ , we construct a star term graph  $g_{Y_i}$  for a variable  $x_i$  from  $f_2$  such that the rank of  $x_i$  is equal to the number of legs of  $Y_i$ . For each leg  $r$  of  $Y_i$ , let  $end(r)$  be an endpoint of  $r$  not the kernel of  $Y_i$ . The term graph  $h$  is obtained from  $f_2$  in the following way: For each  $i = 1, 2$ , let  $r_i^1, \dots, r_i^{l_i}$  be the legs of  $Y_i$ . For each  $i = 1, 2$ , we attach a star term graph  $g_{Y_i}$  to  $f_2$  by removing the kernel of  $Y_i$  and its all adjoining edges from  $f_2$  and by identifying the  $j$ th port of the unique hyperedge of  $g_{Y_i}$  with  $end(r_i^j)$  for each  $1 \leq j \leq l_i$ .

(2) Let  $e_1$  and  $e_2$  be the corresponding hyperedges in  $h$  to the simple-star  $Y_1$  and  $Y_2$  in  $Z$ . For each  $i = 1, 2$ , the term graph  $g_i$  is obtained by applying the same operation as (1) to  $Y_i$  such that the label of its unique hyperedge is of  $e_i$  in  $h$ .

It is easy to see that  $\Gamma_{\mathcal{G}}$  consisting of these graph rewriting rules is simple and bounded. Since  $\mathcal{G}$  is fixed, we can compute  $\Gamma_{\mathcal{G}}$  in constant time.

Let  $F = (V, E, \varphi)$  be a vertex-colored graph over  $\Sigma$  for the parsing problem on  $\mathcal{G}$ , and let  $g = (V, E, \varphi, \psi)$  be a ground term graph over  $\langle \Sigma, \Lambda_1 \rangle$  obtained from  $F$  by adding an edge labeling  $\varphi : E \rightarrow \Lambda_1$ . We construct a ground term graph  $g'$  from  $g$  in the following way: For each edge  $e$  of  $g$  which has endpoints  $u$  and  $v$ , we attach a new linear term graph with 3 edges to  $g$  by removing  $e$  from  $E$  and by identifying its two end-vertices  $w_1$  and  $w_2$  with  $u$  and  $v$ , respectively. This transformation from  $g$  to  $g'$  is computable in constant time. Then, the parsing problem for an input vertex-colored graph  $F$  on a CFGG  $\mathcal{G}$  is  $NC^1$ -reducible to the refutation tree problem for the input colored-graph  $g'$  on a corresponding bounded simple FGS  $\Gamma_{\mathcal{G}}$ .

**EXAMPLE 4.3.** *Let  $\mathcal{G}$  be the CFGG in Fig. 6. Then we draw the bounded simple FGS  $\Gamma_{\mathcal{G}}$  in Fig. 7 such that the parsing problem for  $\mathcal{G}$  is  $NC^1$ -reducible to the refutation tree problem for  $(\Gamma_{\mathcal{G}}, p)$ .*

Rytter and Szymacha [15] have shown that the following problems are in  $NC^1$  if the parse tree is provided: edge coloring, vertex coloring Hamiltonian cycle, traveling salesman problem, maximal simple path, maximal independent set, minimal dominating set and minimal vertex cover. All these problems are NP-complete. Hence Theorem 4.2 and Corollary 3.8 assert that efficient parallel algorithms may exist for a large number of NP-complete problems when these problems are restricted to the families of graphs definable by bounded simple FGSs.

## 5. Reduction of Graph Isomorphism Problem to Refutation Tree Problem

For two graphs  $g_1$  and  $g_2$ , the graph isomorphism problem is to decide whether  $g_1$  and  $g_2$  are isomorphic or not. We present a size-bounded FGS  $\Gamma_{GI}$  in Fig. 8 such that

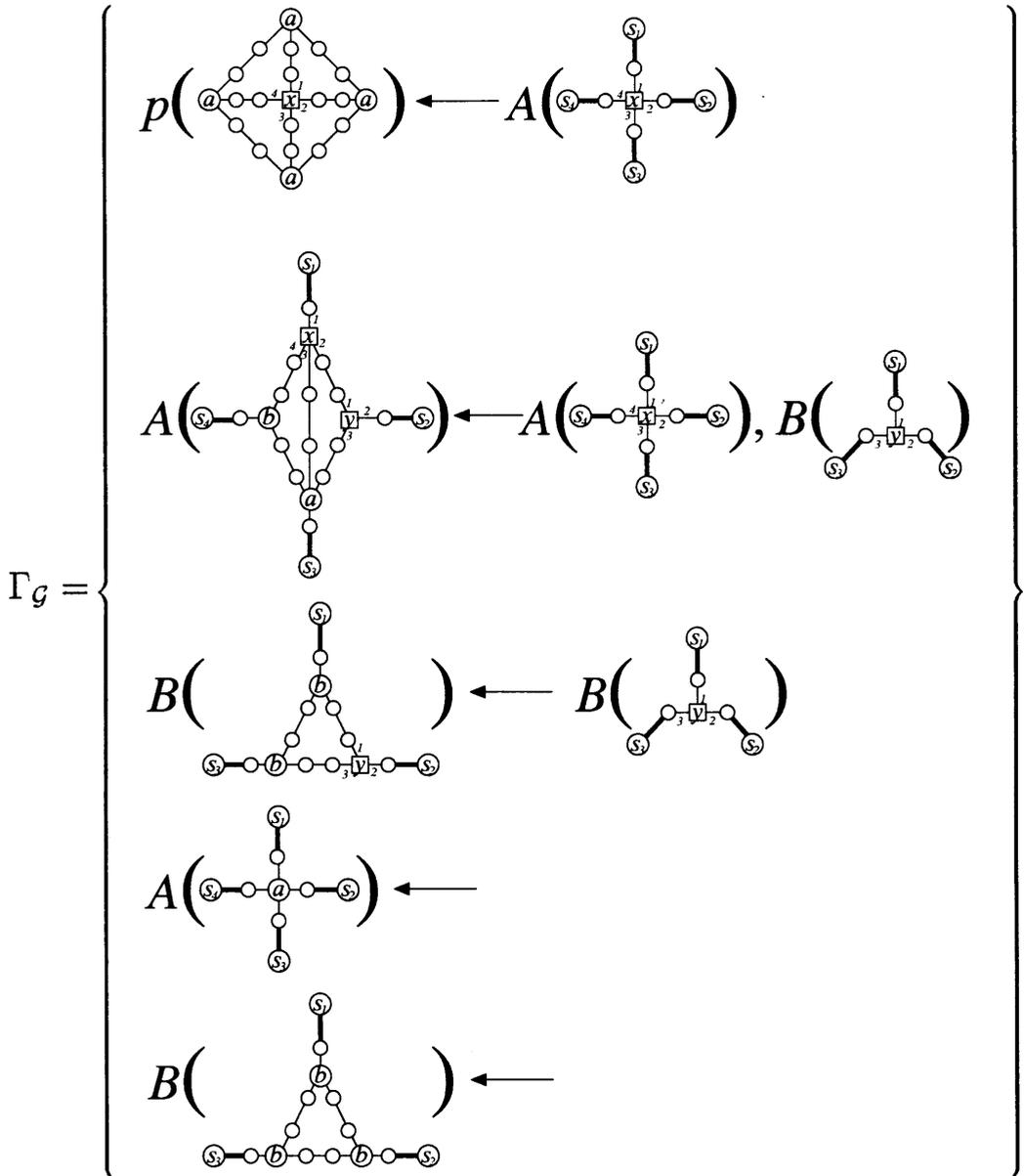


Figure 7: Bounded simple FGS  $\Gamma_G$ . The labels of thick lines are in the enclosure set.

$$\Gamma_{GI} = \left\{ \begin{array}{l} q(\textcircled{a} \text{---}^I \boxed{x}) \longleftarrow \\ p(\boxed{x} \text{---}^I \textcircled{a} \text{---}^\alpha \textcircled{a} \text{---}^I \boxed{x}) \longleftarrow q(\textcircled{a} \text{---}^I \boxed{x}) \end{array} \right\}.$$

Figure 8: FGS  $\Gamma_{GI}$ 

the graph isomorphism problem is reduced to the refutation tree problem for  $\Gamma_{GI}$ .

Let  $\Gamma$  be an FGS and  $p$  be its unary predicate symbol. When a ground term graph  $g$  is given as an input, the decision version of the refutation tree problem for  $(\Gamma, p)$ , denoted by  $\text{DRT}(\Gamma, p)$ , is to decide whether there is a refutation from the goal  $\leftarrow p(g)$  in  $\Gamma$ .

**THEOREM 5.1.** *Let  $\Gamma_{GI}$  be a size-bounded FGS in Fig. 8. Then the graph isomorphism problem is log-space reducible to  $\text{DRT}(\Gamma_{GI}, p)$ .*

**PROOF.** Let  $g_1 = (V_1, E_1, \varphi_1, \psi_1)$  and  $g_2 = (V_2, E_2, \varphi_2, \psi_2)$  be ground term graphs over  $\langle \Sigma, \Lambda \rangle$  for the graph isomorphism problem. Let  $\alpha$  is a new symbol not in  $\Lambda$ . Then, we construct a ground term graph  $g = (V, E, \varphi, \psi)$  over  $\langle \Sigma, \Lambda \cup \{\alpha\} \rangle$  as follows:

- (1)  $V = V_1 \cup V_2$ .
- (2) Let  $c$  be a new edge having a vertex in  $V_1$  and a vertex in  $V_2$  as endpoints. Then  $E = E_1 \cup E_2 \cup \{c\}$
- (3) For each vertex  $v$  in  $V$ , if  $v \in V_1$  then  $\varphi(v) = \varphi_1(v)$ . Otherwise  $\varphi(v) = \varphi_2(v)$ .
- (4) For each edge  $e$  in  $E_1 \cup E_2$ , if  $e \in E_1$  then  $\psi(e) = \psi_1(e)$ . Otherwise  $\psi(e) = \psi_2(e)$ .  
For the new edge  $c$ ,  $\psi(c) = \alpha$ .

The ground term  $g$  is computable from  $g_1$  and  $g_2$  in log-space. We can decide whether  $g_1$  and  $g_2$  are isomorphic by solving  $\text{DRT}(\Gamma_{GI}, p)$  for  $g$ .

## 6. Conclusion

We presented simple FGSs as a subclass of FGSs for which refutation trees can be computed in polynomial time. It is not known whether the refutation tree problem for simple FGSs is P-complete or not. We defined size-bounded simple FGSs and bounded simple FGSs as subclasses of simple FGSs. We showed that the refutation tree problem for size-bounded simple FGSs is  $\text{NC}^2$ -reducible to the graph isomorphism problem for graphs of constantly bounded valence. For size-bounded simple FGSs generating undirected trees, the refutation tree problem is in  $\text{NC}^2$ . Moreover, when an input graph is restricted to the family of connected graphs of constantly bounded valence and

having a constantly bounded separator, we showed that the refutation tree problem for size-bounded simple FGSs is in  $NC^3$ . For bounded simple FGSs, we showed that we can compute a refutation tree in  $NC^2$ , since it is not necessary to solve the graph isomorphism problem.

We gave a size-bounded FGS  $\Gamma_{GI}$  and showed that the graph isomorphism problem is log-space reducible to the refutation tree problem for  $\Gamma_{GI}$ . For size-bounded FGSs, the complexity of the refutation tree problem centers around the complexity of the graph isomorphism problem. We are interested in the relation between other graph problems and the refutation tree problem.

By proving that the parsing problem for CFGGs is  $NC^1$ -reducible to the refutation tree problem for bounded simple FGSs, we showed that our result for bounded simple FGSs includes the result given by Rytter and Szymacha [15]. However, this result does not show that the family of graphs generated by CFGGs can be also defined by bounded simple FGSs. It is necessary to clarify the relation between FGSs and CFGGs.

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