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Anisuzzaman, Mohammad

Department of Electrical and Electronic Engineering, Graduate School of Information Science and Engineering, Kyushu University : Graduate Student

Muta, Shunpei

Department of Electrical and Electronic Engineering, Graduate School of Information Science and Engineering, Kyushu University : Graduate Student

Hashim, Abdul, Manaf

Department of Electronics, Faculty of Information Science and Engineering, Kyushu University

Sadoh, Taizoh

Department of Electronics, Faculty of Information Science and Engineering, Kyushu University

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Effects of Pattern Dimensions on Stabilization of Crystal Orientation for (111) Ge-on-Insulator in Rapid Melting Growth

Mohammad ANISUZZAMAN*, Shunpei MUTA*,
Abdul Manaf HASHIM** and Taizoh SADOH**

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Abstract: (111)-oriented Ge-on-insulator (GOI) is the key material structure for next generation multifunctional large scale integrated circuits. The (111) GOI structure can be implemented for high-speed transistor channels, as well as templates for the integration of optoelectronic and spintronic materials on the Si platform. The rapid melting growth technique is an effective way to obtain high-quality GOI structures on Si substrates. However, in formation of GOI strips (width: $\sim 3\ \mu\text{m}$, thickness: 100 nm) from Si(111) seed, rotation of crystal orientation occurs along $\langle 112 \rangle$ growth direction. In this study, we investigate the effects of GOI pattern-dimensions on orientation stability and demonstrate the suppression of crystal rotation by narrowing the strip width. This enables the formation of (111) GOI strips with any growth direction.

Keywords: Germanium-on-insulator, Rapid-melting growth, Orientation-stabilized growth

1. Introduction

For further improvement of performance of large-scale integrated circuits (LSIs), intensive investigation is being performed to develop high mobility functional materials to replace Si.¹⁻⁶⁾ The recent development of high quality and stable passivation layers on Ge has opened up the possibility to implement its high electron and hole mobility for the next generation LSIs.⁷⁻⁹⁾ Research has also been focused on the development of Ge-on-insulator structures, which is essential for the fabrication of high speed transistors.²⁻⁴⁾ For this purpose, control of the crystal orientation is necessary, since the maximum electron and hole mobility are orientation dependent. The (111) oriented Ge is needed for high-speed n-channel transistors, because the inversion layer mobility of electrons in Ge metal-oxide-semiconductor structures shows the maximum value on the (111) plane.⁴⁾ The (111) Ge is also necessary in multifunctional device integration, since it can be used as epitaxial templates for spintronic materials such as Fe_3Si .¹⁰⁾

To develop the much needed GOI on the ubiquitous Si platform, the rapid melting growth (RMG) process has been developed, which enables lateral growth of microstructured GOI from Si substrates over insulating films.¹¹⁻²³⁾ We have made significant improvement in

this technique and demonstrated the fabrication of chip length ($\sim 1\ \text{cm}$) single crystalline GOI strips (width: $\sim 3\ \mu\text{m}$, thickness: 100 nm),²⁰⁾ the formation of mesh structures,^{18, 19)} hybrid integration of (100), (110), and (111) GOI on a single chip,²¹⁾ and the fabrication of narrow-spacing strip arrays.²³⁾ In the course of these experiments, we encountered the difficulty in orientation-control of (111) GOI. Here, rotation of the crystal orientation occurred for strips grown along $\langle 112 \rangle$ and nearby directions, whereas stable (111) oriented growth was achieved in $\langle 011 \rangle$ direction.^{17, 19)} Such rotational growth was attributed to the weak bonding force between lattice planes along $\langle 112 \rangle$ which results in lattice plane slipping at the growth front.¹⁷⁾

This difficulty in growth of (111) GOI strips along any direction severely limits the freedom of device design. In the present study, we investigate the growth characteristics of (111) GOI in order to develop a process for the stable growth along any direction. A strong influence of the strip dimensions (i.e. width and thickness) on the orientation stability is observed. It is clarified that orientation stability of (111) GOI along any direction is increased by narrowing of the strip width.

* Department of Electrical and Electronic Engineering,
Graduate Student

** Department of Electronics

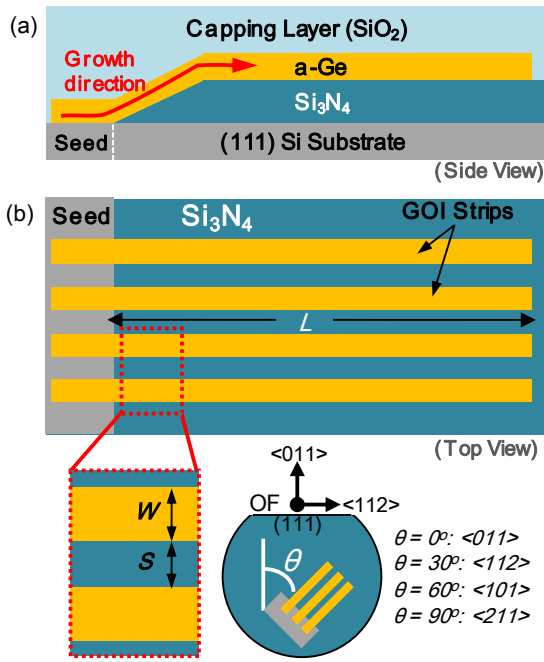


Fig. 1 Schematic cross-sectional (a) and plane views of sample (b). Explanation of growth directions is shown in (b).

2. Experimental Procedure

The sample structure used for the rapid melting growth of the (111) GOI is shown in **Fig. 1(a)**. Seed windows were opened through the Si_3N_4 layer on Si(111) substrates by lithography and dry etching. The samples were cleaned using the RCA process to remove organic and metallic contamination. The a-Ge layers were deposited on these substrates at room temperature using a Knudsen-cell in a molecular beam epitaxy system under ultra high vacuum (5×10^{-10} Torr). In-situ thermal cleaning at 550°C was performed prior to the deposition. Patterning of the Ge layers into strip structures (width W : $0.5 - 2 \mu\text{m}$, length L : $250 \mu\text{m}$, spacing S : $0.5 \mu\text{m}$) was performed by electron beam lithography and reactive ion etching using CF_4 . The schematic structure of the patterns is shown in **Fig. 1(b)**. In the schematic, θ is the angle between $\langle 011 \rangle$ of the Si substrate and the strip growth direction. Strips were fabricated with $\theta = 0^\circ, 30^\circ, 60^\circ$, and 90° corresponding to $\langle 011 \rangle, \langle 112 \rangle, \langle 101 \rangle$, and $\langle 211 \rangle$ direction of the substrate, respectively. The a-Ge strips were capped with SiO_2 layers (thickness: $\sim 800 \text{ nm}$). The melting growth was performed by rapid thermal annealing (RTA) at 1000°C (1 sec).

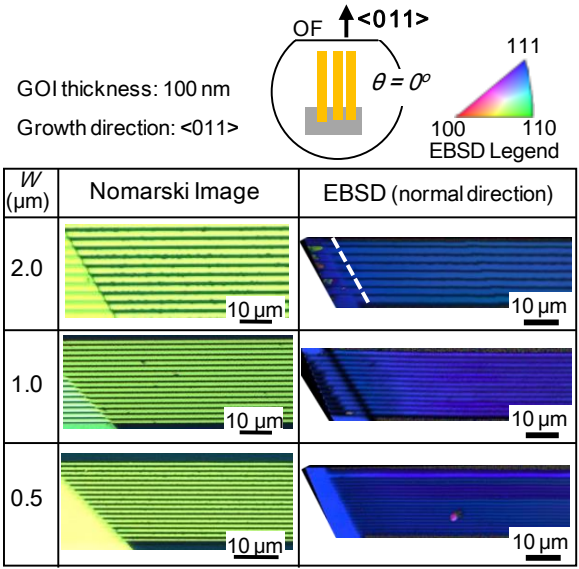


Fig. 2 Nomarski optical micrographs and EBSD images of 100-nm-thick GOI strips grown along $\langle 011 \rangle$.

3. Results and Discussion

3.1 Thick ($\sim 100 \text{ nm}$) (111) GOI Growth

Growth features were characterized by Nomarski optical microscopy and electron backscattering diffraction (EBSD). The results of the $\langle 011 \rangle$ -directed samples (W : $0.5 - 2.0 \mu\text{m}$) are shown in **Fig. 2**. For all samples, completely (111)-oriented growth is achieved in the entire growth regions. This agrees with our previous work.¹⁷⁾ **Figure 3** shows the EBSD images obtained in the normal direction and growth direction of strips grown along $\langle 112 \rangle$ direction. As expected from our previous result,¹⁷⁾ gradual crystal misorientation along the strip length is observed in the normal-direction EBSD images for the wide strips (2.0 and $1.0 \mu\text{m}$). Namely, the growth is initiated from the seed in the (111) orientation. However, the crystal orientation deviated from (111) after several micron growth. Examination of the EBSD images taken along different axis reveals that growth progresses with a rotation of the lattice planes along the $\langle 011 \rangle$ axis. The crystal rotation for growth along $\langle 112 \rangle$ was explained previously on the basis of the weak binding force between lattice planes at the growth front.¹⁷⁾ No such

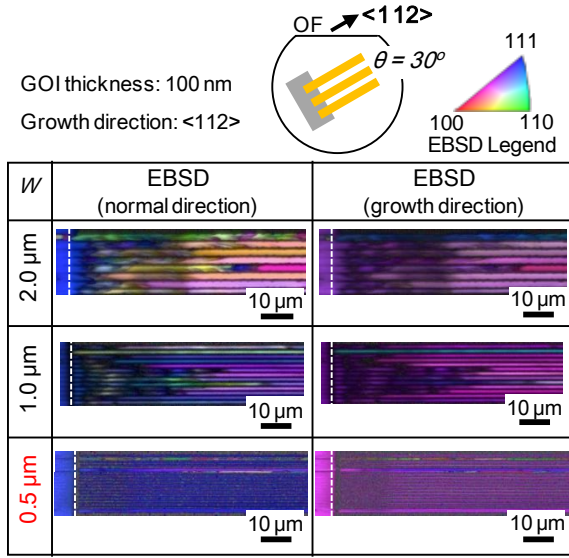


Fig. 3 EBSD images along normal and growth directions of 100-nm-thick GOI strips grown along $\langle 112 \rangle$.

rotation occur in $\langle 011 \rangle$ directed growth as shown in **Fig. 2**, because of the stronger bonding of the lattice planes in this direction. Interestingly, the degree of misorientation appears to decrease with decreasing strip width and seems to be completely suppressed.

To investigate the growth features quantitatively, the misorientation angle of strips grown along $\langle 011 \rangle$ and $\langle 112 \rangle$ were evaluated for different strip widths as a function of the distance from the seed edge. The results are summarized in **Figs. 4(a)** and **4(b)**, respectively. For growth along $\langle 011 \rangle$, the misorientation angle is almost zero and does not increase for all strip width, as shown in **Fig. 4(a)**, which indicates completely orientation-controlled formation of (111) GOI along $\langle 011 \rangle$. On the other hand, for growth along $\langle 112 \rangle$, rotational growth occurs for wide strips, as shown in **Fig. 4(b)**. Namely, in the 2 μm -wide strip, the rotation rapidly increases and reaches $\sim 40^\circ$ at about 20 μm from the seed. In the 1.0 μm -wide strip, the onset of rotation appears to be slightly gentle, and the maximum misorientation of about 30° occurs at a distance of over 50 μm from the seed. This indicates an improvement of orientation stability over the 2 μm -wide strips. Moreover, the complete suppression of rotation is clear in the 0.5 μm -wide strips, where the misorientation is negligible and shows no increase at all. This trend of stabilization of growth orientation with decreasing strip width is very

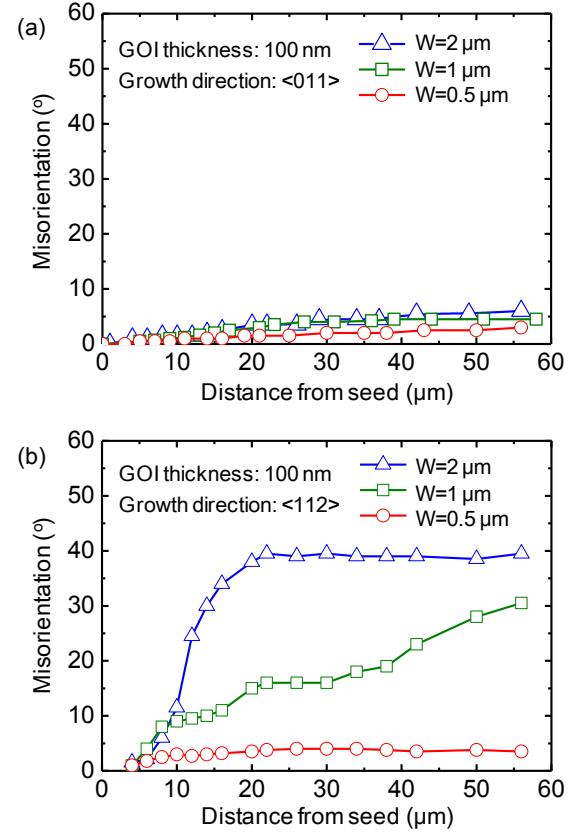


Fig. 4 Crystal misorientation profile for strips along $\langle 011 \rangle$ (a) and $\langle 112 \rangle$ directions with various strip widths (b).

clear. These results demonstrate a successful method for the fabrication of (111) GOI with any growth direction. Although the physical mechanism of this stabilization is not clear, it is assumed that strain developed in Ge during solidification can affect such phenomena in the crystal growth.

3.2 Thin (~ 50 nm) (111) GOI Growth

For application to fully depleted transistors, the RMG process must be capable of producing very thin (~ 50 nm) GOI strips. To investigate the effects of Ge layer thinning on growth characteristics, ~ 50 nm thick GOI strip growth was performed from Si(111) seed. Similar to the previous experiments, 0.5, 1.0 and 2.0 μm wide strips were fabricated under the same processing conditions. The results of the $\langle 011 \rangle$ and $\langle 112 \rangle$ directed growth is given in **Fig. 5**. Surprisingly, the thinning of the Ge layer resulted in the appearance of misorientation even for the $\langle 011 \rangle$ direction. Crystal orientation measurement shows that the growth does

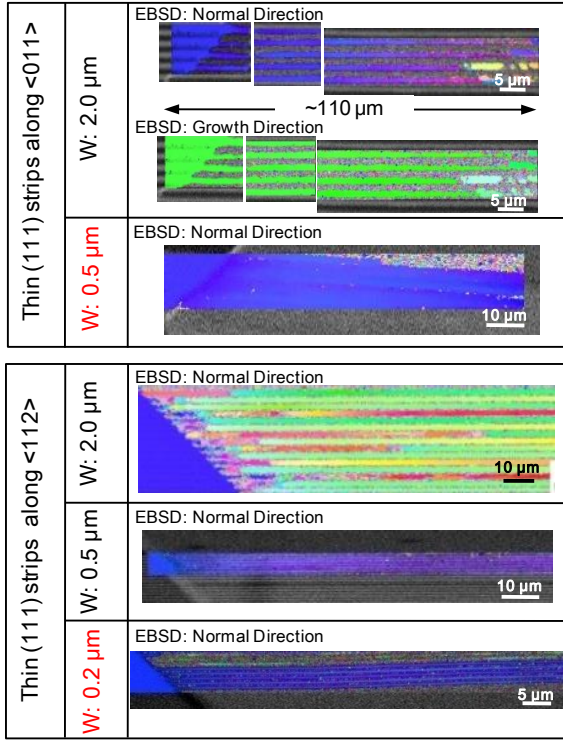


Fig. 5 EBSD images of 50-nm-thick (111) GOI strips.

initiate from the seed and the orientation deviates gradually along with growth length. The EBSD images taken along the normal and growth direction of the strips indicated the misorientation results from rotation of crystal orientation along the $\langle 011 \rangle$ axis. This means the rotation process is similar to that seen in the 100-nm-thick (111) GOI strips ($W: 1 - 2 \mu\text{m}$) along $\langle 112 \rangle$. Also similar to the thick (111) GOI, the stabilization of growth orientation appears to improve with decreasing strip width. Significant difference in the misorientation behavior is seen between strips along the $\langle 011 \rangle$ and $\langle 112 \rangle$ direction, where $\langle 011 \rangle$ directed growth appears more stable compared to $\langle 112 \rangle$ growth. The maximum values of misorientation observed at a growth distance of 50 μm are summarized in **Fig. 6**. In 2 μm -wide strips, the maximum misorientation from the seed orientation is about 45° in $\langle 112 \rangle$ -direction strips, while it is only about 15° in $\langle 011 \rangle$ -direction strips. Narrowing the strips resulted in reduction of misorientation for both directions. At the strips width of 0.5 μm , misorientation in the $\langle 011 \rangle$ -direction strips was almost completely suppressed. However, in the $\langle 112 \rangle$ -direction strips, a misorientation of about 15° is still observed.

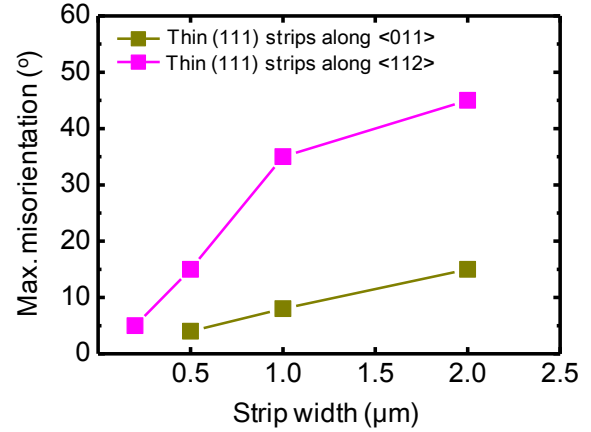


Fig. 6 Maximum crystal misorientation as a function of strip width for 50 nm thickness (111) GOI grown along $\langle 011 \rangle$ and $\langle 112 \rangle$.

The above trend indicates that further narrowing of the strip width can suppress the rotation in $\langle 112 \rangle$ direction strips completely. For this purpose, we fabricated thin GOI strips down to 0.2 μm width. Interestingly, as shown in **Fig. 5**, we see a complete suppression of rotation in these strips.

3.3 Guideline for (111) GOI Fabrication

The growth features obtained for stripes aligned to $\langle 011 \rangle$ and $\langle 112 \rangle$ directions are summarized as a function of strip width and Ge thickness in **Figs. 7(a)** and **7(b)**, respectively. For growth along $\langle 011 \rangle$, (111)-oriented GOI (100 nm thickness) is obtained for all strip width. However, crystal rotation is observed for thin GOI (50 nm thickness) with wide strips (1-2 μm). Such orientation rotation phenomena are effectively suppressed by narrowing the strips ($\leq 0.5 \mu\text{m}$). On the other hand, for growth along $\langle 112 \rangle$, crystal rotation occurs even for thick (100 nm) GOI with strips width of 1-2 μm . The rotation is suppressed for narrow strips ($\leq 0.5 \mu\text{m}$). For thin (50 nm) GOI, orientation control is achieved only for strip width below 0.2 μm . It is noted that much more narrowing is necessary to realize complete orientation control along $\langle 112 \rangle$, compared with $\langle 011 \rangle$. This is attributed to the weak bonding of lattice planes of (112) growth fronts. Based on these findings of the effects of strip dimensions on orientation stability of GOI grown from Si(111) seed, a guideline for the fabrication of orientation-controlled (111) GOI structures has been quantitatively obtained.

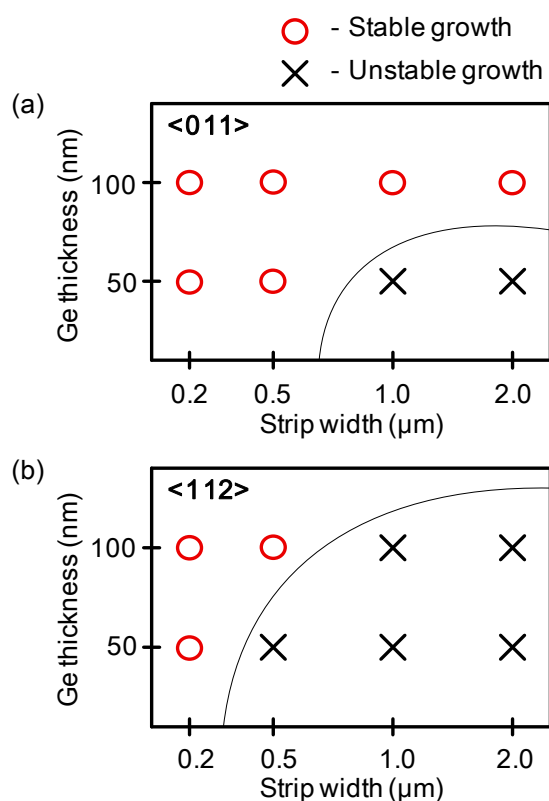


Fig. 7 Summary of (111) GOI growth stability dependence on Ge thickness and strip width for stripes aligned to $\langle 011 \rangle$ (a) and $\langle 112 \rangle$ (b).

4. Conclusions

The effect of stripe dimensions on the rapid-melting growth characteristics of GOI from Si(111) seed has been investigated. Crystal orientation becomes unstable by thickness reduction of the Ge layer. In addition, growth along $\langle 112 \rangle$ direction is more unstable compared to $\langle 011 \rangle$ -direction growth. Such instability of crystal orientation is completely suppressed by strip narrowing, where much more narrowing is necessary for $\langle 112 \rangle$ compared to $\langle 011 \rangle$. Based on these results, a guideline for growth of orientation-controlled (111) GOI structures have been clarified. This technique facilitates next-generation LSIs, where multi-functional devices are integrated on the Si platform.

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