Research Impact Indicators and Visualizations

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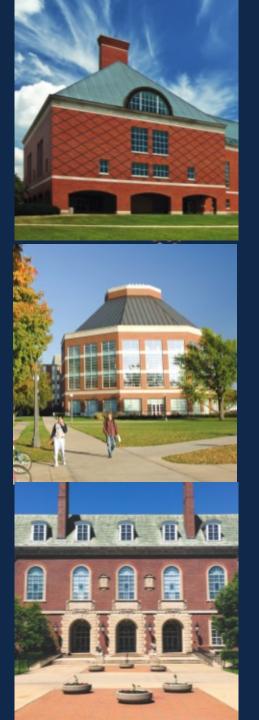
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Use of Research Impact Measures

- Universities are routinely utilizing research impact metrics
- They are used to understand, monitor, and assess research performance for:
 - Unit and department funding decisions
 - Recruiting & hiring decisions
 - Promotion and tenure
 - Comparative rankings
 - Demonstrating to government or tax payers the value of university research
 - Grant funding applications



Research Impact Metrics

- They are used to measure the productivity, influence, and performance of individual faculty, research groups, departments, college, and universities and to compare individuals and units with other individuals and units
- For these reasons they are surrounded by controversy and widely criticized by individuals and units as invalid evaluation and assessment tools
- At Illinois, we want to provide a flexible framework for research impact measures that allows us to control the process

Research Impact Metrics

- There is a rich literature on research evaluation and measurement
- Much of the focus has been on citation data and, secondarily, on publications
- A number of quantitative research metrics have been proposed and applied in visualizations
- At Illinois, we are looking at a mix of research indicators



Faculty Productivity Metrics

- H-Index maximum number of papers h where each of them has at least h citations
- G-Index highest number of papers g that receive at least g squared citations
- H sub s index h-index normalized by the average h-index of all researchers in the same discipline
- Others that assign relative credit to each co-author of a paper



Research Impact Metrics

- "Almost everyone agrees that even the most sophisticated metrics are not able to capture the diversity and richness of research impact" Wang et al, ACM Trans on Interactive Intelligent Syst 8(1), March 2018
- Moed & Halevi, "Multidimensional Assessment of Scholarly Research Impact", Jnl Assoc for Info Sci & Tech, 2015
- How do we define research impact and what indicators or metrics do we use in evaluations?



Possible Indicators

- Articles Published by researcher
 - Impact factor of the journals (CiteScore, ISI JCR, SNIP, Eigenvalue)
 - Usage (downloads) of the journal
 - Altmetric or Attention Scores of articles
 - Position within author list (last author)
 - Acceptance rate of journal or conference
- Number of times cited
 - Impact of citing journal and times citing is cited
 - Citations by year
- Number of Grants Received
 - NSF, NIH, DOE (custom databases)



More Indicators

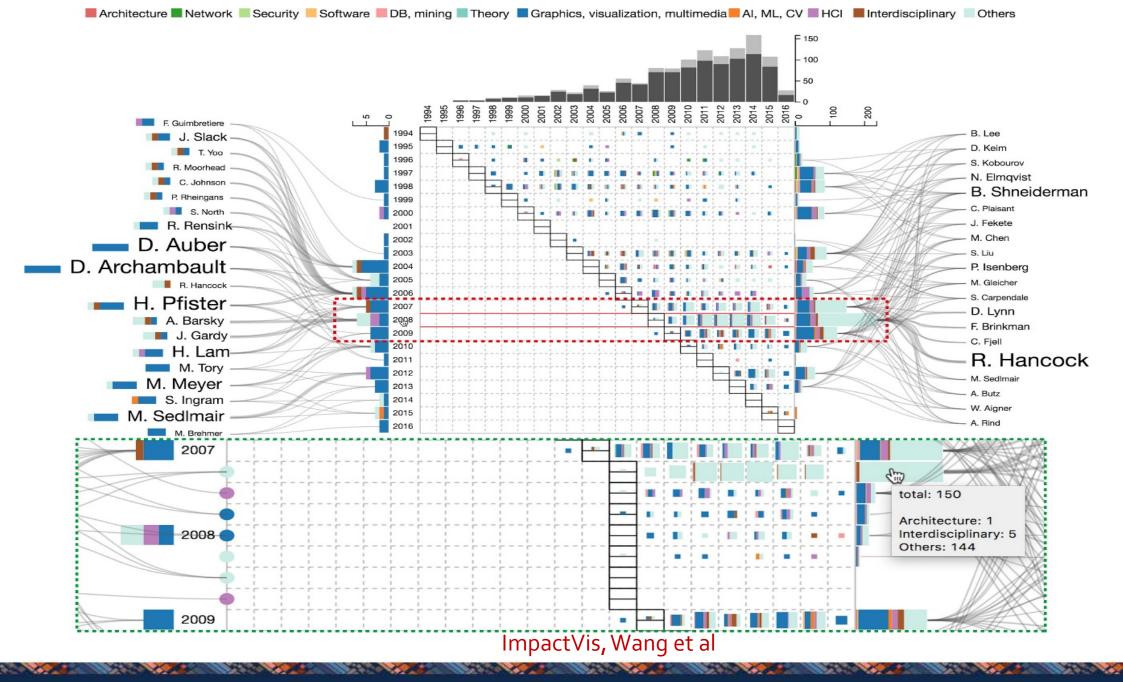
- Number of patents
 - Best way to measure innovation
 - USPTA database
- Prestigious awards and honors
- Number of start-up companies and intellectual property revenues
- Number of coauthors
 - Prestige of coauthors
 - Coauthors within the cohort or group (NIH grants)
- Start-up companies, prestigious awards (e.g. Nobel Prizes), national academy memberships
- **Weighting of indicators

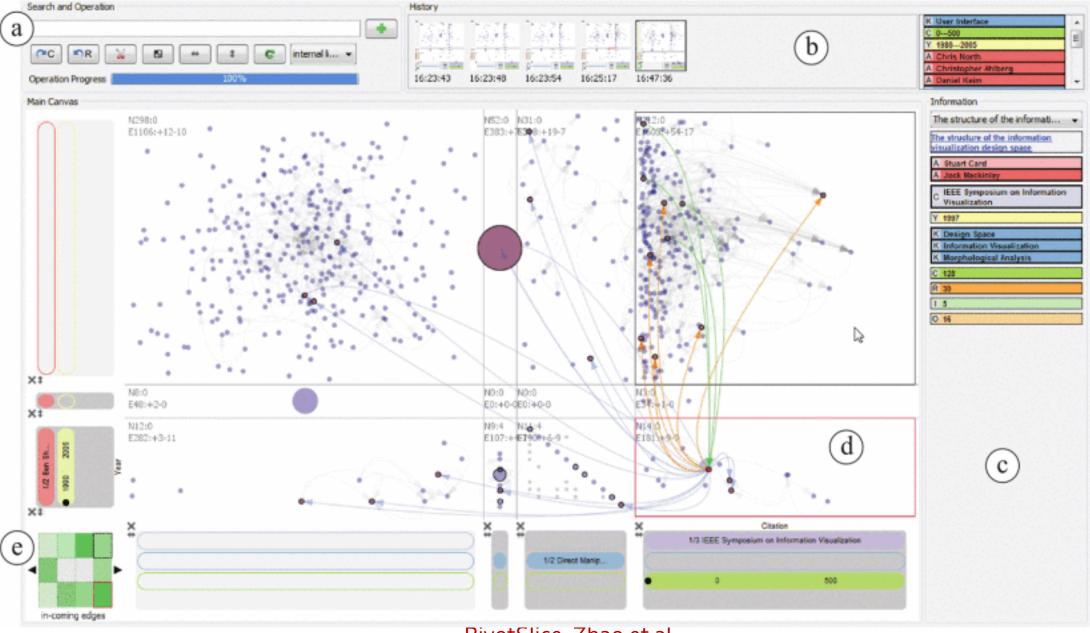


Research Impact Visualizations

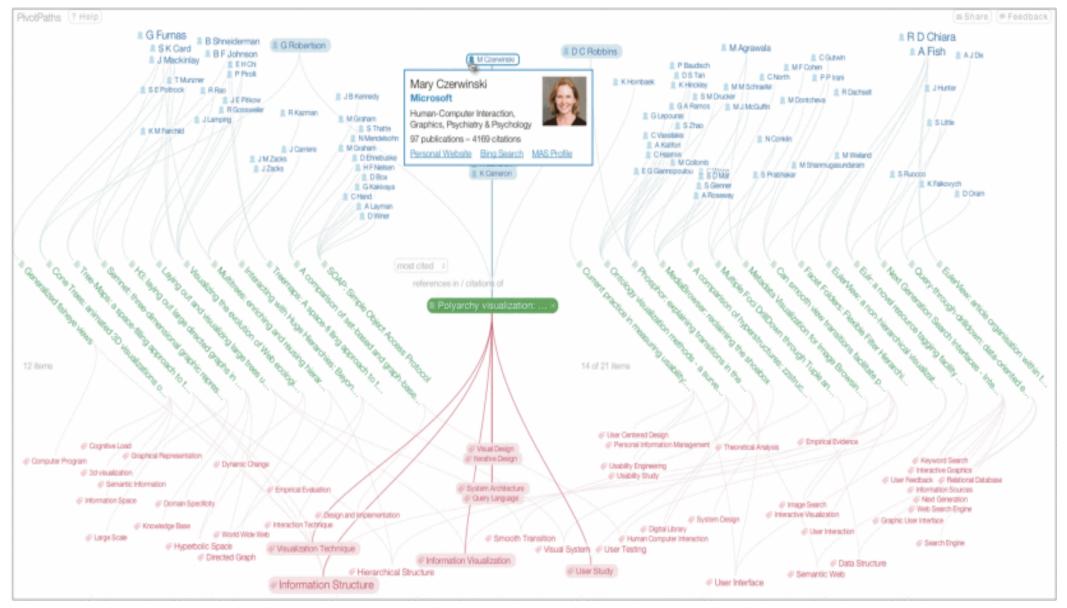
- Impact metrics are often displayed in visualizations and graphical displays
- Number of attempts to visualize research impact and research productivity and output
- Focus on citation analysis
- Commercial systems: Elsevier SciVal Analytics, ISI InCite
- Open and research systems



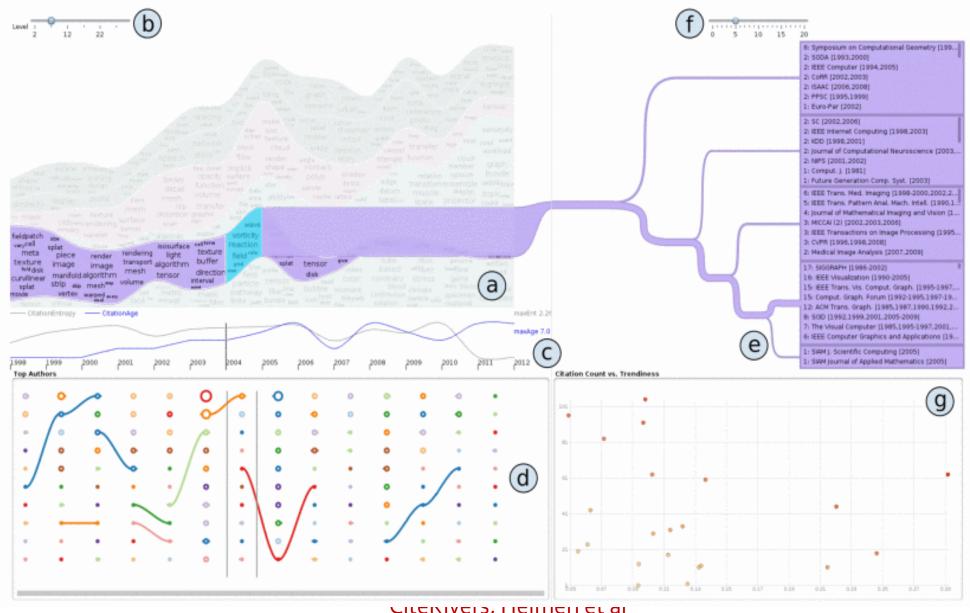




PivotSlice, Zhao et al



PivotPaths, Dork et al



Our Approach

- Create easy-to-understand visualizations
- Connect the visualization/dashboard elements to the bibliographic literature and distributed information resources and databases
- Have the visualizations be interactive and dynamic
- Allow customization of displays and weighting of impact indicators



Library Involvement in Data Visualization

- Trend for libraries to utilize visualization metrics to assess services and describe collections
- Libraries partnering with other university units in the gathering of research impact measures
- Libraries can utilize Abstracting and Indexing Service and vendor APIs (Application Programming Interfaces) and other scholarly communication and database skills
- Leiden Manifesto describes best practices for quantitative and bibliometric metrics



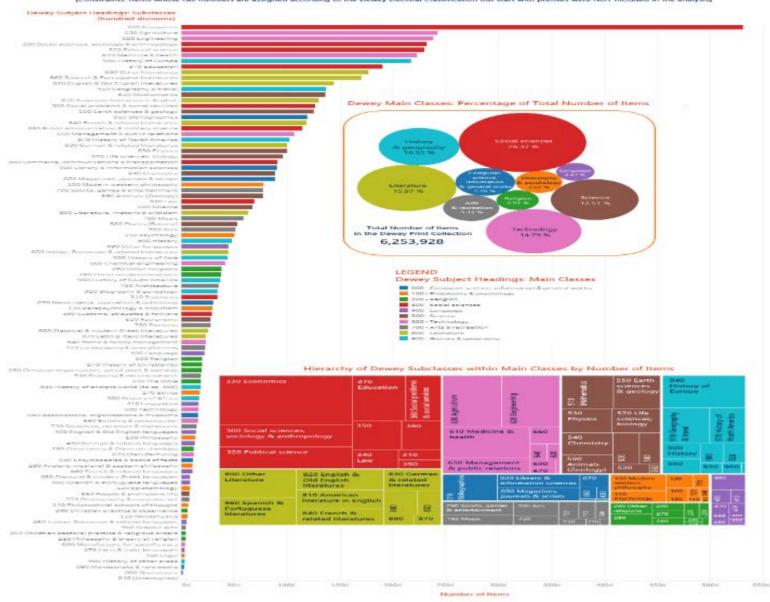
Libraries

- Library focus has been on bibliographic and service visualizations, often static
- Software: Tableau, VOSViewer, Gephi, Science of Science (Sci2), R,
 Python
- Scopus, WOS, and other APIs
- Server side scripting, Scalar Vector Graphics (SVG), HTML5, D3, Javascript Libraries

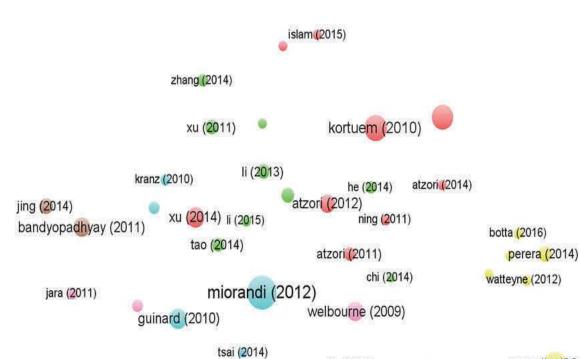


UIUC University Library Dewey Print Collection: Analysis by Subject Headings

[Constraints: Items whose call numbers are assigned according to the Dewey Declinal Classification but start with prefixes were NOT included in the analysis]



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Document	Citations	Total Link Strength
Atzori (2010)	2308	30
Whitmore (2015)	73	21
Gubbi (2013)	1061	16
Li (2015)	94	14

zanella (2014) palattella (2013) gubbi (2013) atzori (2010) guo (2013) zorzi (2010) abd aziz (2013) Fifty or more citations of highly cited articles, li (2011) 2000-2016

roman (2011)

roman (2013)

sarma (2009)

heer (2011)

yan (2014)

raza (2013)

sheng (2013)

barnaghi (2012)

sicari (2015)

ma (2011)

al-fuqaha (2015)

stankovic (2014)

whitmore (2015)

jin (2014)

hong (2010)

gluhak (2011)

zhou (2011)

gershenfeld (2004)

Figure 10: Simplified impact wheels for all 60 topics



HEFCE Higher Education Funding Council of England. The nature, scale and beneficiaries of research impact: An initial analysis of Research Excellence Framework (REF) 2014 impact case studies

Illinois Research Impact Visualizations

- University of Illinois Library generating research impact dashboards over units/departments
- Using the Elsevier Scopus API to create bibliographic metadata database. The process begins with one table of researcher names and Scopus ID numbers
- Elsevier webinar available on their system
- Additional scripts to create co-author, grants received, and cited-by tables



Illinois System

- Creates a database-driven, dynamic, and interactive web-based visualization of research group members
- Scaled and clickable display bubbles for articles, cited-by articles, grants, patents, and co-authors within the cohort group with clickable publication number labels
- The bibliographic metadata is downloaded via several Scopus APIs and additional data (CiteScore values and Altmetric scores) are added to records. Other tables are generated (Coauthor information) and custom grant and patent databases built



Illinois Visualization/Dashboard

- Initially designed to support Cancer Center of Illinois NIH grant request
- In addition to the visualization, custom spreadsheets (e.g. the affiliations of all the non-Illinois coauthors) can be generated
- Display does shows comparison of unit/group researchers and can include non-Illinois researchers
- Able to customize to include only latest year or cross-institution researchers in visualization



Illinois Dashboard

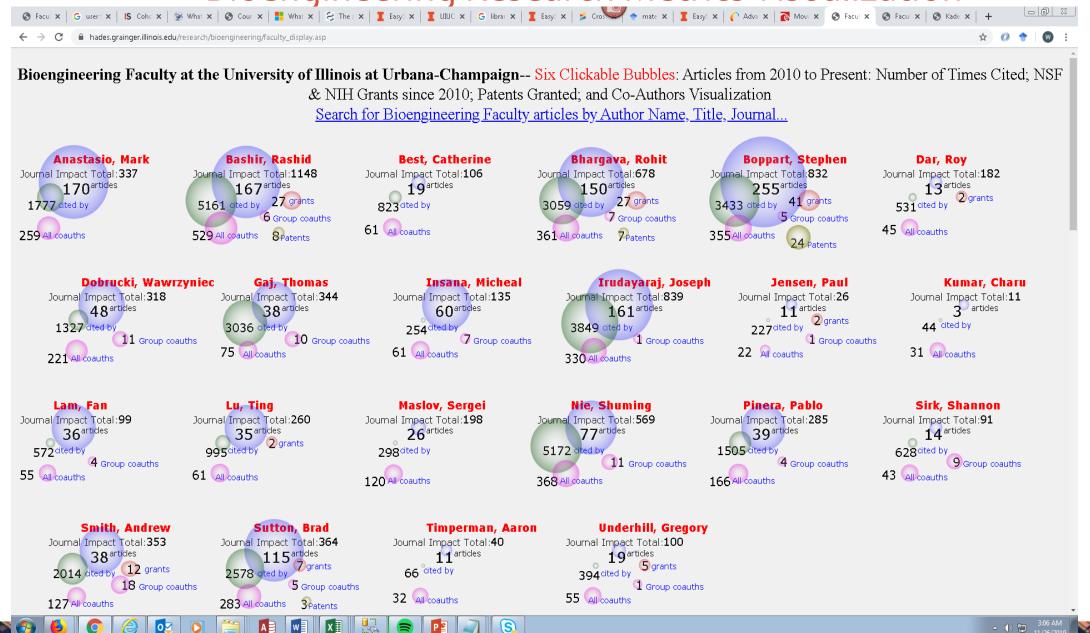
The process uses 8 executed scripts to build the entire database

 The display script is the same for all groups and the display is database-driven

 Shows articles published in designated time period, number of times the articles are cited, number of NSF or NIH grants, number of patents received, number of and list of coauthors, and a custom coauthors within the cohort visualization

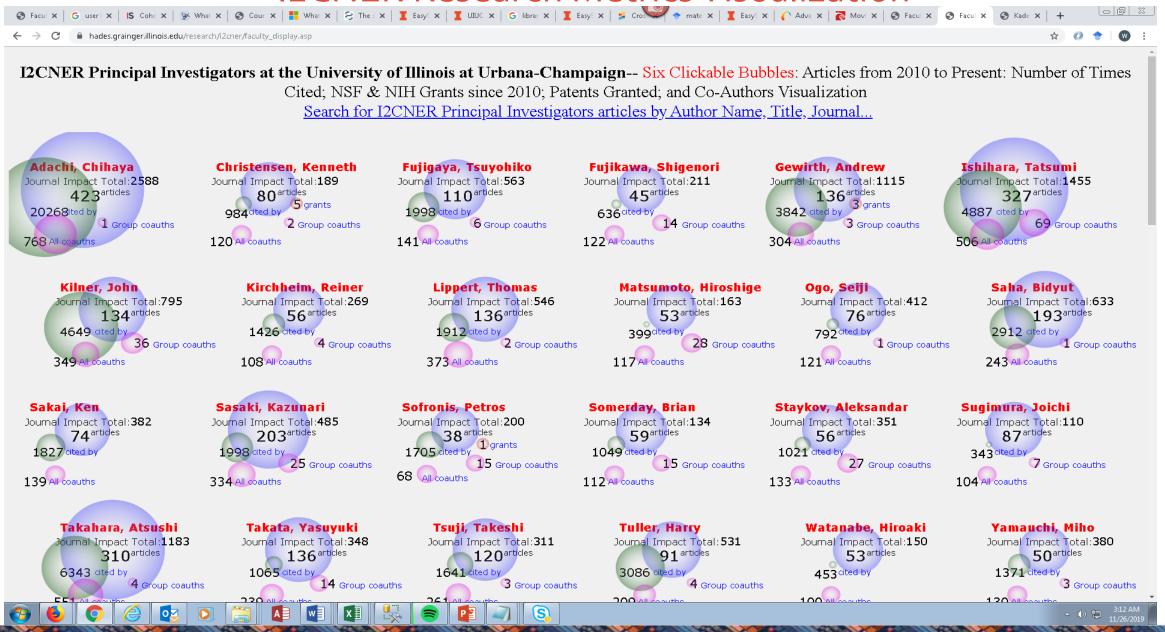


Bioengineering Research Metrics Visualization

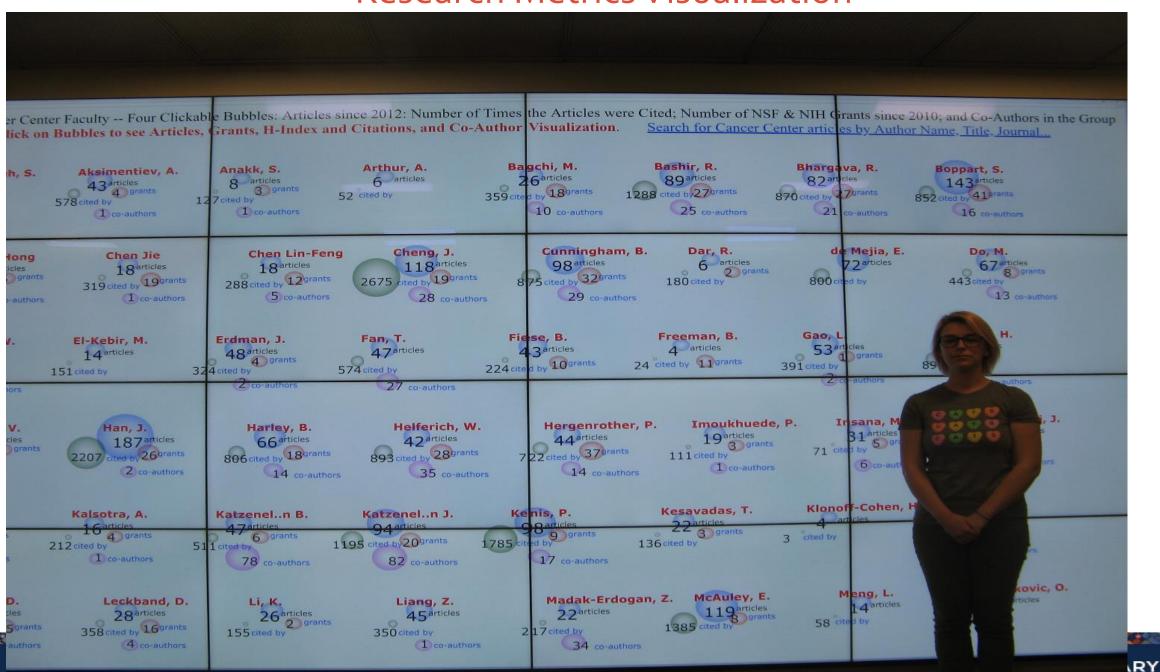




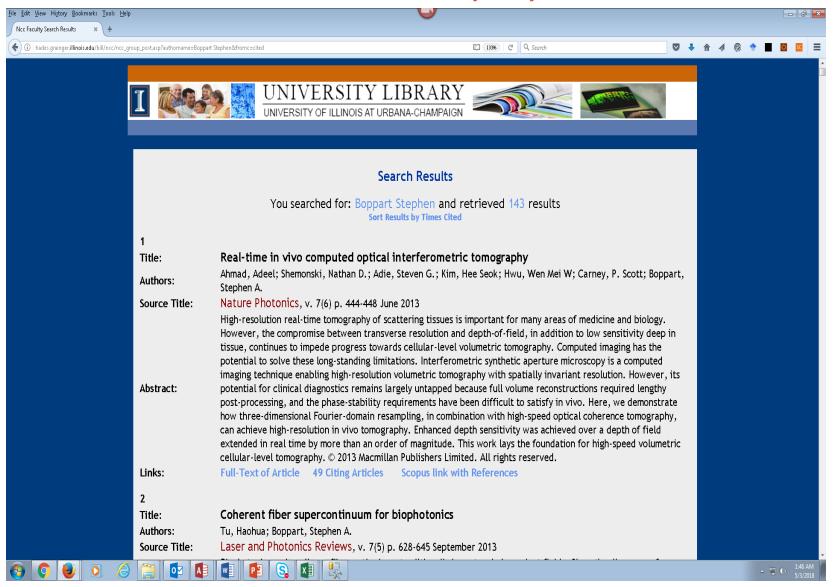
I2CNER Research Metrics Visualization



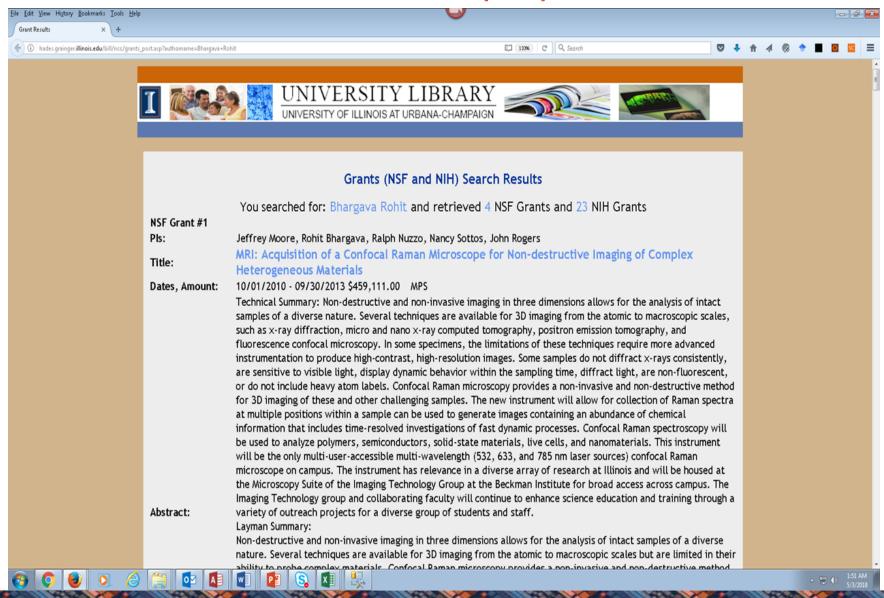
Research Metrics Visualization



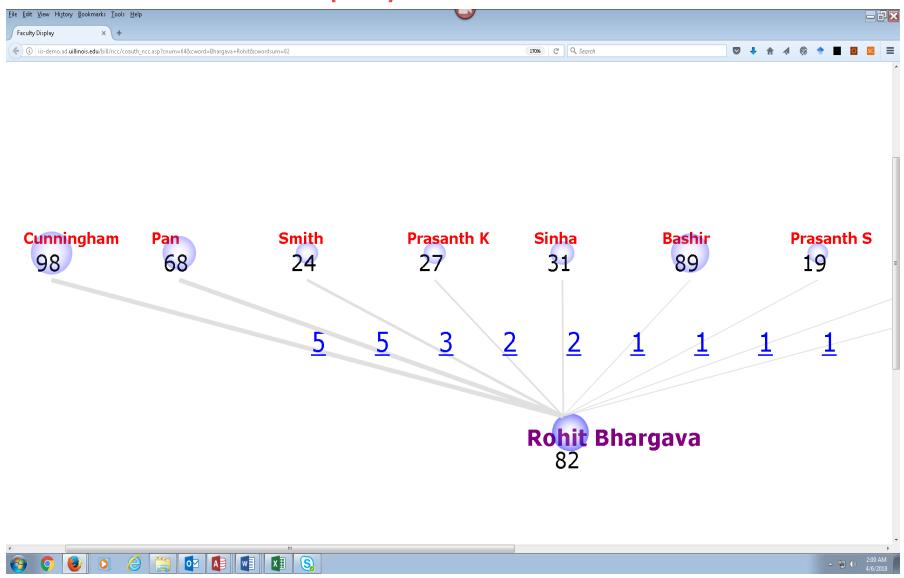
Article Display



Grants Display



Co-Author Display with Links to Articles



Separate Search System



Search the Cancer Center at Illinois faculty publications

Author Name(s) use parts of last/first of names:	
Title Word(s):	
Journal Title Word(s):	
Abstract Word(s):	
Affliation:	
rear:	
	Search View All Clear Selection
Author Names Browse:	
	Browse Authors

Research Impact Visualizations

- These activities enhance the role of the library in supporting scholarly communication and in fostering campus partnerships
- We use the same scripts and display software for all departments and research groups
- Correlations on research impact indicators
- Developing system for weighting indicators & generating composite research impact values



Relationships between Research Indicators

- Do researchers with the most articles have the highest number of items cited? CiteScore journal impact total? H-Index? Number of grants? Number of patents?
- Correlation analysis over the research impact indicator values from individual researchers at the University of Illinois
- Used 294 UIUC faculty from departments of Bioengineering, the Cancer Center, Chemistry, Computer Science, & Physics

Results of Correlation Analysis

- Results illustrate the known issues with H-Index
- They also show some variance in written article totals and the CiteScore Journal Total values
- Results show that grant and patents awarded can provide useful alternative metrics in evaluating research impact and productivity
- Opportunity to add altmetrics, acceptance rates, last authorship, awards, etc.

