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Usefulness of biological fingerprint in magnetic resonance

2 imaging for patient verification

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Abstract

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2 The purpose of our study is to investigate the feasibility of automated patient 3 verification using multi-planar reconstruction (MPR) images generated from 4 three-dimensional magnetic resonance (MR) imaging of the brain. Several 5 anatomy-related MPR images generated from three-dimensional fast scout scan of each 6 MR examination were used as biological-fingerprint images in this study. The database 7 of this study consisted of 730 temporal pairs of MR examination of the brain. We 8 calculated the correlation value between current and prior biological-fingerprint images 9 of the same patient and also all combinations of two images for different patients to 10 evaluate the effectiveness of our method for patient verification. The best performance 11 of our system were as follows: a half-total error rate of 1.59% with a false acceptance 12 rate of 0.023% and a false rejection rate of 3.15%, an equal error rate of 1.37%, and a

rank-one identification rate of 98.6%. Our method makes it possible to verify the

identity of the patient using only some existing medical images without the addition of

incidental equipment. Also, our method will contribute to patient misidentification error

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Key words

management caused by human errors.

20 Biological fingerprint, Biometrics, patient verification, MRI, scout image, patient safety,

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1. Introduction

2	Patient misidentification error management is one of the important factors of patient
3	safety [1-8]. Positive patient verification is essential to avoid patient misidentification
4	and for the prevention of malpractice, which includes accidents in medication, blood
5	transfusion, surgical intervention, as well as wrong patient examinations [2-5]. The most
6	commonly used patient verification procedure requires confirmation of the patient
7	medical record number (MRN) or the patient-specific information (e.g. name or date of
8	birth) on an identification band or the patient's own verbal confirmation [4,5]. Although
9	there has been considerable research on this management issue [2-5], these processes
10	have the possibility of patient misidentification risk caused by human mistakes [5-7]
11	and system problems [8]. The confirmation of MRN or specific information is not
12	possible for comatose patients [5,6]. When a patient has some identification documents
13	and is unable to communicate, it is difficult to verify the correct information due to
14	insufficiency of patient information. Moreover, after the finish of an examination, we
15	have no way of confirming whether the registered patient information is correct or not.
16	Thus, it is necessary to confirm the registered patient information by using other
17	non-verbal approaches and to verify registered patient information even after the finish
18	of an examination, such as biometrics. Biometric verification has a potential to be a
19	good alternative to current verification practices [1,9,10].
20	Biometrics has been widely applied to identification and verification techniques in
21	many fields over the years. Biometrics refers to technologies that measure and analyze
22	human body characteristics [11], such as DNA, fingerprints, palms, eye retinas and
23	irises, facial patterns, vein structure, physiological signals [12-16], and medical images
24	[17-22]. An example of an application of medical images would be automated patient
25	identification based on biological fingerprints in chest radiographs [17-20]. This
26	technique has the advantage of identifying a patient through search by image as well as
27	enabling the linking of medical exam results to the correct patient information. To
28	expand the range verification, we applied it to magnetic resonance (MR) imaging of the
29	brain.

1 Recently, a low-resolution fast three-dimensional (3D) scout scan was developed that 2 includes several automatically-computed multi-planar reconstruction (MPR) images 3 including anatomical reference positions and orientations based on landmark detection 4 or a statistical model [23,24]. MPR images used as a localizer provide an operator with 5 high intra-patient reproducibility and high inter-patient robustness in setting scan plane [23]. We predicted that MPR images with a robust and accurate reference would be 6 7 useful for automated patient identification by comparing each corresponding plane. The 8 purpose of our study is to investigate the feasibility of automated patient identification 9 using multi-planar reconstructed MR imaging of the brain.

2. Methods

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2 2.1 Subjects

- This study was conducted as a retrospective, observational study, and was approved by
- 4 the Institutional Review Board at Yamaguchi University Hospital. All the procedures
- 5 followed in the study were in accordance with the Declaration of Helsinki.
- We constructed a database containing to two different examination sets of scout
- 7 multi-planer reconstruction image data for each patient a prior examination and a
- 8 current or recent one. This study uses the scan information of 730 patients.
- 9 Patient characteristics were as follows: 352 males and 358 females, mean age: 58 years
- old (range 20-80 years old). The details of each scan interval between the prior and
- current examinations were as follows: from 1 day to 1 week in 87 patients, from 1 week
- to 1 month in 103 patients, from 1 month to 3 months in 124 patients, from 3 months to
- 6 months in 124 patients, from 6 months to 1 year in 156 patients, and from 1 year to 3
- 14 years in 136 patients. The clinical results for the brain magnetic resonance (MR)
- imaging finding were as follows: brain tumor in 296 patients, cerebrovascular
- angiopathy in 292 patients, other brain diseases in 124 patients and nothing particular in
- 17 33 patients. Nineteen have a plurality of diseases. The types of treatment for brain
- disease that the patients underwent between the prior examination and the current
- examination are as follows: surgical operation in 72 patients, internal treatment in 51
- 20 patients and follow-up with no particular treatment in 607 patients, who have already
- been treated before the prior examination with the following: surgical operation in 96
- 22 patients, internal treatment in 43 patients and other treatments in 468 patients. All
- 23 patient information used in this study was provided by Yamaguchi University Hospital
- from the time period April 2011 to March 2014. The scout acquisition protocol uses the
- Volumetric Interpolated Breath-hold Examination (VIBE) sequence to scan
- 26 T1-weighted three-dimensional (3D) volume on a 3.0T MR system (MAGNETOM
- 27 Skyra, SIEMENS, Erlangen, Germany) equipped with a 20-channel head and neck coil
- 28 (Head/Neck 20, SIEMENS, Erlangen, Germany) using the following parameters: 3.15
- 29 ms of repetition time, 1.37 ms of echo time with an 8-degree flip angle. Each slice
- 30 contains 162×162 pixels and has a typical field of view of 260×260 mm², yielding an

- in-plane spatial resolution of $1.6 \times 1.6 \text{ mm}^2$ and a slice thickness of 1.6 mm for the
- 2 scout 3D volumes in the sagittal plane. From each 3D volume of whole brain, several
- 3 brain anatomical positions and orientations are computed and eleven MPR images with
- 4 an in-plane spatial resolution of $1.6 \times 1.6 \text{ mm}^2$ and a slice thickness of 1.6 mm are
- 5 reconstructed by using the AutoAlign® head algorithm [23]. Reference lines
- 6 corresponding to the scout MPR images are illustrated in **Fig.1**.

2.2 Methodology

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2 Figure 2 shows the flowchart for the biological-fingerprint image creation process of 3 our method. Let $I_0(n)$ and $T_0(n)$ be the pixel values of two MPR images corresponding 4 to the input (current) and the template (prior) MPR images, respectively. 5 In the first procedure, to obtain the direction and quantity of displacement of $I_0(n)$ from 6 $T_{\theta}(n)$, the two-dimensional cross-correlation between $I_{\theta}(n)$ and $T_{\theta}(n)$ is computed, and it is decided that the images coincide at a coordinate where a cross-correlation value is 7 8 maximum. $I_0(n)$ is processed for translation so as to cancel that displacement, and I'(n)9 is obtained. Note that all of MPR images have equivalent scale so that it is unnecessary 10 to apply to the scale correction between $I_0(n)$ and $T_0(n)$. Second, in order to analogize 11 left and right side head neck areas by evaluating their partial resemblance, the MPR 12 images (d), (e), (f), (g), (h) and (i) are divided into left part and right part images along 13 the midsagittal plane (c), and also the left and right MPR images (a) and (b) are 14 respectively assigned as a left part and right part images. This process does nothing 15 when the MPR image is the midsagittal, (c). Third, the image banalization technique 16 was executed for all pixels that had greater than minimum value in both I'(n) and $T_0(n)$ 17 and a masking region was obtained. Subsequently, a left part with masking image, 18 $I_{m,l}(n)$, and a right part with masking image, $I_{m,r}(n)$, were respectively applied with the 19 thresholding technique using the masking region to $I_{rt}(n)$ and $I_{lt}(n)$. Similarly, a left part 20 with masking image, $T_{m,lt}(n)$, and a right part with masking image, $T_{m,rt}(n)$, were also 21 obtained. The processing above is applied to all MPR images, and eight pair images 22 $(a_{m,rt},a_{m,lt}), (b_{m,rt},b_{m,lt}), (d_{m,rt},d_{m,lt}), (e_{m,rt},e_{m,lt}), (f_{m,rt},f_{m,lt}), (g_{m,rt},g_{m,lt}), (h_{m,rt},h_{m,lt})$ and $(i_{m,rt},i_{m,lt})$ and a single image (c_m) is obtained as a biological-fingerprint image. 23 24 Let I(n) and T(n) be the pixel values of two biological-fingerprint images corresponding to the input and the template images, respectively. To examine the 25 26 resemblance for each corresponding biological-fingerprint image between I(n) and T(n), 27 we determined the correlation value, r, by the following formula: 28

$$r = \frac{1}{N} \sum_{n=1}^{N} \frac{\left\{ I(n) - \overline{I} \right\} \left\{ T(n) - \overline{T} \right\}}{\sigma_{I} \cdot \sigma_{T}}, \tag{1}$$

2 where

$$3 \qquad \overline{I} = \frac{1}{N} \sum_{n=1}^{N} I(n),$$

$$\overline{T} = \frac{1}{N} \sum_{n=1}^{N} T(n),$$
(2)

$$\sigma_{I} = \sqrt{\frac{\sum_{n=1}^{N} \{I(n) - \overline{I}\}^{2}}{N}},$$

$$\sigma_{T} = \sqrt{\frac{\sum_{n=1}^{N} \left\{ T(n) - \overline{T} \right\}^{2}}{N}}$$

7 N is the number of masking region pixels which have a value greater than minimum

8 value among all pixels in both I(n) and I(n). That is, when masking region pixel values

9 are not available, they will be excluded from the correlation computation. The

10 correlation value is a number from 0.0 to 1.0. If there is no resemblance between the

input and the template images, the correlation value is 0.0 or very low. As the strength

of the resemblance between I(n) and T(n) increases, the correlation value increases

toward 1.0. An absolute resemblance gives the correlation value of 1.0. In the

calculation of the biological-fingerprint images $(a_{m,rt}, a_{m,lt})$, $(b_{m,rt}, b_{m,lt})$, $(d_{m,rt}, d_{m,lt})$,

 $(e_{m,rt},e_{m,lt}), (f_{m,rt},f_{m,lt}), (g_{m,rt},g_{m,lt}), (h_{m,rt},h_{m,lt}), and (i_{m,rt},i_{m,lt}), the correlation values of left$

part and right part are compared with each other, the higher correlation value is adopted

as the similarity value of the biological-fingerprint images (a), (b), (d), (e), (f), (g), (h)

and (i), respectively. In the calculation of the biological-fingerprint images (c_m) , the

correlation value is adopted as the similarity value of the biological-fingerprint image

(c). The correlation values between I(n) and T(n) were determined for the same 730

patient pairs and also all of possible combinations of a different patient pair from the

prior examination set of 730 patients.

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2.3 Performance evaluation

- 2 The threshold value in each distribution of the same patient pair and different patient
- pairs were evaluated by use of the squared Mahalanobis distance [25]. The squared
- 4 Mahalanobis distance, d^2 , is defined as the following formula:

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$$d^2 = \frac{(n-\mu)^2}{\sigma^2}$$
, (3)

- 6 where μ is the mean correlation value and σ is the standard deviation of the distribution
- 7 *n*. The threshold value is the point that is equidistant to the squared Mahalanobis
- 8 distance from each correlation value distribution of same patient pair and that of
- 9 different patient pair. The discriminant function, f(n), utilizing the squared Mahalanobis
- distance to determine whether a biological-fingerprint image pair is the same patient or
- a different patient, is calculated by the following formula:

12
$$f(n) = d_s^2 - d_d^2 = \frac{(n - \mu_s)^2}{\sigma_s^2} - \frac{(n - \mu_d)^2}{\sigma_d^2},$$
 (4)

- where d_s , μ_s and σ_s belong to the same patient distribution, d_d , μ_d and σ_d belong to a
- different patient distribution. A correlation value, C, is obtained by the method in the
- beginning of section 2.2.2 and is substituted into the discriminant function, f(n), thus
- obtaining a discrimination value, f(C). If f(C) is greater than zero, the pair is from the
- same patient. All other values signify a different patient pair. That is, C is the threshold
- 18 correlation value satisfying f(C) is equals to zero.
- We calculated the false rejection rate (FRR), the false acceptance rate (FAR) and the
- 20 half-total error rate (HTER) by the discriminant function, f(n).

$$FRR = \frac{FR}{TA + FR}$$
 (5)

$$FAR = \frac{FA}{TR + FA} \qquad (6)$$

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$$HTER = \frac{FRR + FAR}{TAR + FRR + TRR + FAR}$$
 (7)

- Where TA is the number of true acceptance, FR is the number of false rejection, TR is
- 25 the number of true rejection, FA is the number of false acceptance, TAR is the true
- acceptance rate and TRR is the true rejection rate.

1	The overall verification performance of our method was evaluated in terms of receiver
2	operating characteristic (ROC) curve at various threshold correlation value settings, area
3	under the ROC curve (AUC) and its equal error rate (EER). The ROC curve shows a
4	trade-off relationship between the TAR which represents the rate of correct verification
5	and the FAR which represents the rate of incorrect verification as its discrimination
6	threshold is varied. The ROC curve is also possible to compare the AUC which is
7	integral of ROC curve. The EER is the error rate at which the FAR equals the FRR, and
8	is a commonly accepted summary of verification performance.
9	The performance of our method was evaluated in terms of cumulative match
10	characteristic (CMC) curves and its rank-one identification rates. The CMC curve is to
11	evaluate the probability of a correct identification within the top ranked match results
12	for closed-set identification. The rank-R identification rate is the proportion of correct
13	match that ranked within the top R matches. That is, the rank-one identification rate
14	represents that a correlation value of the same patient pair is higher than that of all
15	different patient pairs. The FRR is also a performance value in identifying patients.
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3. Results

- 2 **Figure 3** shows the histograms for the correlation values of the same 730 temporal
- 3 pairs and that of all combinations of two images for different patients of each
- 4 biological-fingerprint image. **Figure 4** shows the error rates on the vertical axis against
- 5 the threshold correlation value on the horizontal axis obtained with each
- 6 biological-fingerprint analysis. Also shown in the Figure is the equal error rate (EER)
- 7 achieved at the point where the false acceptance rate (FAR) and false rejection rate
- 8 (FRR) are equal to each other. **Figure 5** shows the receiver operation characteristic
- 9 (ROC) curves in the biological fingerprint analysis. The EERs shown in **Table 1** are
- also presented by the crossing between the ROC curves and equal error rate line. **Figure**
- 6 shows the cumulative match characteristic (CMC) curves in the biological-fingerprint
- analysis. The rank-one identification rates shown in **Table 1** are also represented when
- the identification rate has the first rank in all patient comparisons.
- 14 **Table 1** shows the result of threshold correlation values and the performance values
- for each biological-fingerprint analysis. The threshold correlation value row shows the
- result of threshold correlation value obtained by using the discriminant analysis utilizing
- the Mahalanobis distance. For each threshold correlation value, we calculated FRR,
- FAR, and half-total error rate (HTER). For analysis of the ROC curve shown in Fig. 5,
- 19 the results of EER and the area under the ROC curve (AUC) for each
- 20 biological-fingerprint image are shown in the EER and AUC rows, respectively in
- 21 **Table 1**. For analysis of the CMC curve for each biological-fingerprint image is shown
- in **Fig. 6** as the rank-one identification rate in **Table 1**.
- Table 2 shows the FRR for the types of brain treatment that the patient underwent
- between the prior and current examinations for each biological fingerprint analysis.
- All patients in this study are divided into the following three classes: surgical operation,
- 26 internal treatment, and follow-up with no particular treatment. Respective follow-up
- 27 with no particular treatment patients are further classed based on their brain treatment
- before the prior examination with the following: surgical operation, internal treatment,
- and other treatments or no particular treatment.

1	Table 3 shows the FRR for the clinical results of the brain MR imaging finding of
2	each biological fingerprint analysis. All patients in this study are divided into the
3	following four classes: brain tumor, cerebrovascular angiopathy, other brain diseases,
4	and nothing particular.
5	The lower the FAR, FRR, HTER, and EER, the better the biometric system is for
6	verification. Correspondingly, a higher threshold correlation value will reduce the FAR,
7	but increase the FRR. The higher the AUC, the better the biometric system is for
8	verification. The higher the rank-one identification rate, the better the biometric system
9	is for closed-set identification. Our results indicated a high performance in identifying
10	and verifying patients. The biological-fingerprint analysis by transversal IAC has
11	excellent verification performance, simultaneously excellent closed-set identification
12	performance. The performance values of FRR, FAR, HTER, EER, AUC and the
13	rank-one identification rate for biological-fingerprint analysis by transversal IAC were
14	3.15%, 0.023%, 1.59%, 1.37%, 0.998 and 98.6%, respectively.
15	It is important to note that most parts of these two curves in Figs. 3, and 4 are
16	separated, biological-fingerprint analysis by transversal basal ganglia image shown in
17	Fig. 3h is more overlapped compared with other parts in all of the biological-fingerprint
18	analysis. For this reason, biological-fingerprint analysis by transversal basal ganglia
19	image indicated lower performance than that of other biological-fingerprint analysis.
20	As shown in Table 2, the FRR in 72 patients, who underwent surgical operation
21	between the prior and current examinations, indicated lower performance than the FRR
22	in all 730 patients. The FRR in 296 patients who have had a brain tumor indicated a
23	slightly lower performance than the FRR in all 730 patients shown in Table 3 .
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4. Discussion

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2 Our results indicated a high performance in identifying and verifying patients using 3 various MPR images as biological-fingerprints, except for a transversal basal ganglia 4 one. This technique based on using several anatomy-related MPR images generated 5 from 3D scout scan of brain MR imaging as biological-fingerprint images is potentially 6 useful for verifying the patient without any other information. 7 The biological-fingerprint analysis by transversal basal ganglia image has a higher 8 correlation value for different patient pair comparisons and a lower correlation value for 9 some same patient comparison than those of the other biological-fingerprint analyze 10 shown in Fig. 3. The low performance with a transversal basal ganglia image seems to 11 be related to the general observation that the section of transversal basal ganglia does 12 not usually include unique image features in individual patients. However, the 13 biological-fingerprint analysis by the transversal IAC image has the best performance 14 for verification. Using the threshold correlation value of 0.83 makes it difficult for 15 different patient pair to be falsely accepted. Although the higher threshold correlation 16 value will increase the risk of refusing acceptance, the acceptance threshold, which is 17 required for the patient verification system, is set very high and in order to achieve a 18 low FAR. The HTER of 1.59% is found at threshold correlation value of 0.83 with 19 3.15% of FRR and 0.023% of FAR by using the transversal IAC image. Among the 20 266,085 pairs, which is the number of combinations of 730 patients taken 2, only 62 21 pairs discriminate to be incorrect results. That is, the other 266,023 pairs discriminate to 22 be correct results. Our method satisfies the requirements for the biological-fingerprint 23 patient verification system using existing medical images. 24 In the closed-set identification performance, a rank-one identification rate and FRR 25 represents successful performance value. The higher the rank-one identification rate, the 26 better the biometric system is for closed-set identification. Our method achieved a 27 rank-one identification rate of 98.6% and a FRR of 3.15%. That is, among the 730 28 patients, 720 patients achieved the highest correlation value, and 707 patients (96.8%)

achieved correct acceptance in all patient comparisons. When a same patient pair was

1 determined to be incorrect, some patient information with higher-rank results was able 2 to be used by the analyst to select correction candidates. 3 As shown in **Table 2**, the FRR in 72 patients who underwent surgical operation between the prior and current examinations indicated lower performance. However, the 4 5 FRR in 96 patients, who have undergone surgical operation before the prior examination, have high performance levels like the other patients. Furthermore, the 6 7 FRR in 296 patients who have brain tumor indicated a slightly lower performance than 8 the FRR in all 730 patients shown in Table 3. This is thought to result from formal 9 change in the brain before and after surgical operation. Surgical operation for brain 10 tumor is necessary for the following purposes: biopsy for the diagnosis of tumor type, 11 and whole or partial removal of the tumor. In particular, surgical operation is usually the 12 first step in treating most benign and many malignant tumors. This result indicated that 13 the identification performance of our method is inferior only when using a patient who 14 underwent surgical operation between prior and current examination. Furthermore, if a 15 current examination uses different pulse sequences or different MR systems, there is a 16 difference in image quality between prior scan and current scan. Changes to the structure of the brain due to illness or aging, and using images with different devices 17 18 and resolution on the prior and current scans might affect the performance of our 19 method. 20 Our study has limitations. One of the limitations of our study is that our database has a 21 short-term follow-up period of 3 years. Another limitation is that it was a retrospective 22 analysis performed at a single MR system. These limitations made it impossible to test 23 our method's application possibilities in a wide range of fields. Repeated follow up 24 research is needed over longer time periods and under a variety of conditions. 25 We consider this study to be innovative because it is possible to verify the identity of 26 the patient only using some existing medical images without the addition of incidental 27 equipment such as a biometric identification device, and keep the patient convenience 28 intact. Our method will be invaluable for confirming whether a patient's information 29 matches the person under MR examination or not. In particular, our method is useful 30 when we have no other way of confirming whether the registered patient information is 31 correct or not in the following situations requiring identity confirmation: the examinee

is in a comatose state, the examinee is not present after the examination, and the

- 1 examinee does not have any identification documents. It is also important to take
- 2 privacy into consideration in the administration of our method due to the fact that
- 3 medical images which include personal information capable of specifying individuals.

5. Conclusion

- We have to deal with various approaches to reduce the possibility of patient
- 3 misidentification. It is becoming more and more important to obtain 3D biological
- 4 fingerprint information for patient verification. Our method has the potential for
- 5 discovering misfiled patient information after examination. Also, it will be useful for
- 6 verifying registered patient information even if the patient is unable to communicate.
- We expect our method to be a key solution to patient misidentification problems.

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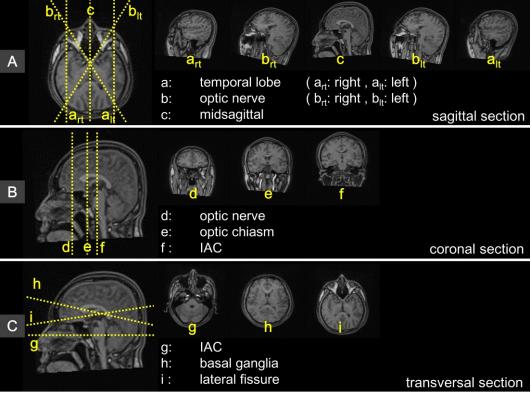


Figure 1

Reference lines and corresponding to the scout MPR images

A is a transversal image through the lateral fissure showing reference lines (yellow lines) and five corresponding oblique sagittal MPR images: (a) temporal lobe (a_{rt} : right and a_{lt} : left), (b) optic nerve (b_{rt} : right and b_{lt} : left), and (c) midsagittal. B is a sagittal image through the midline showing reference lines (yellow lines) and three corresponding oblique coronal MPR images: (d) optic nerve, (e) optic chiasm, and (f) internal auditory canal (IAC). C is a sagittal image through the midline showing reference lines (yellow lines) and three corresponding oblique axial MPR images: (g) IAC, (h) basal ganglia, and (i) lateral fissure.

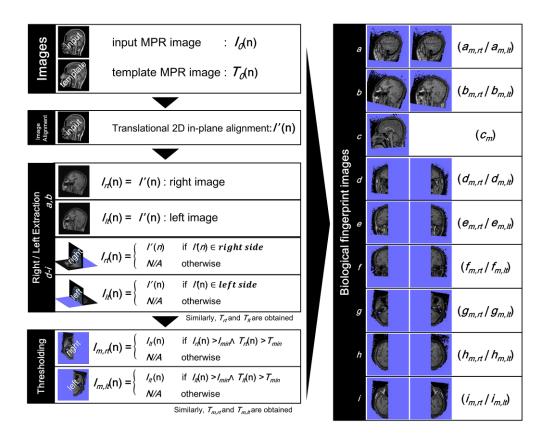


Figure 2

Flowchart for the biological fingerprint image creation process

 I_0 and I_0 are the input and template MPR images, respectively. I' is processed from the image registration for I_0 . I_{rt} and I_{lt} are the right- and left-side MPR images of I', respectively. I_{rt} and I_{lt} are the right- and left-side MPR images of I_0 , respectively. $I_{m,rt}$ are greater than I_m among all I_{rt} pixels and greater than I_m among all I_{rt} pixels. Where I_m and I_m are the minimum value among all pixels of I' and I_0 , respectively. $I_{m,lt}$, $I_{m,rt}$, and $I_{m,lt}$ are obtained similarly.

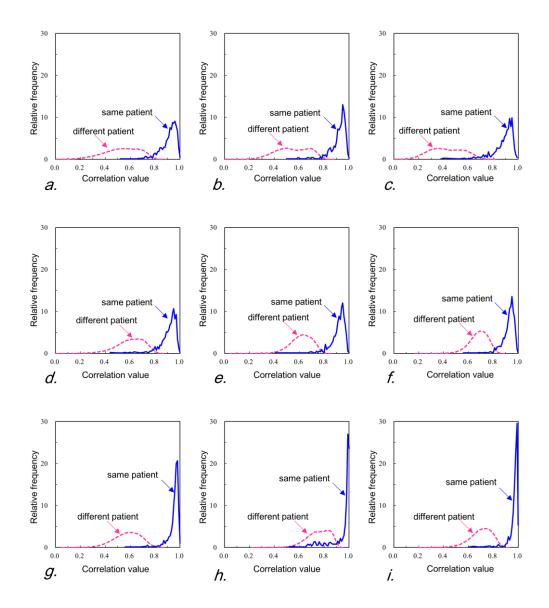


Figure 3

Correlation value histogram comparison of each biological fingerprint analysis

Histograms for correlation values between current and prior biological fingerprint images for

the same patient comparisons (solid lines) and different patients comparisons (dashed lines) in terms of (a) sagittal temporal lobe, (b) sagittal optic nerve, (c) midsagittal, (d) coronal optic nerve, (e) coronal optic chiasm, (f) coronal internal auditory canal (IAC), (g) transversal IAC, (h) transversal basal ganglia, and (i) transversal lateral fissure.

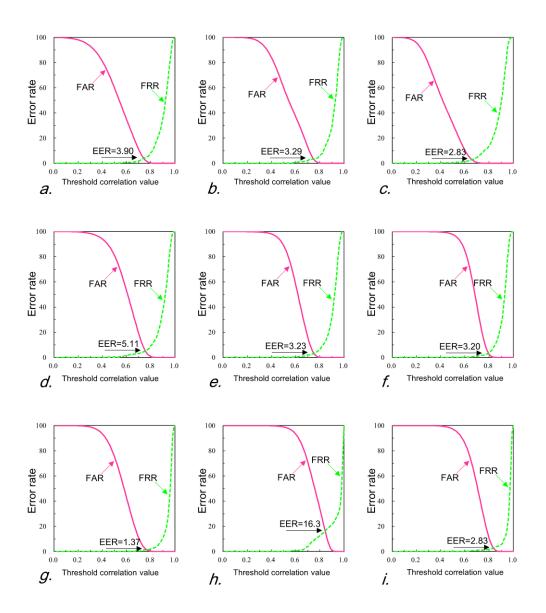


Figure 4

Variation of FAR and FRR in relation to the threshold correlation value and EER of each biological fingerprint analysis

The false acceptance rate (FAR: solid lines) and the false rejection rate (FRR: dashed line) on the vertical axis against the threshold correlation value on the horizontal axis and an arrow indicating a point of equal error rate (EER) in terms of (a) sagittal temporal lobe, (b) sagittal optic nerve, (c) midsagittal, (d) coronal optic nerve, (e) coronal optic chiasm, (f) coronal internal auditory canal (IAC), (g) transversal IAC, (h) transversal basal ganglia, and (i) transversal lateral fissure.

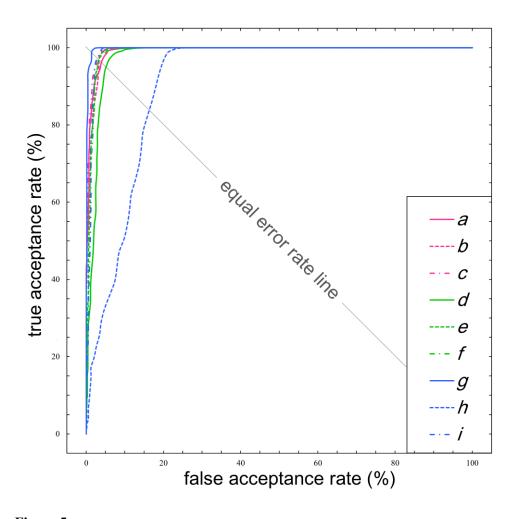


Figure 5

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Comparison of ROC curve performance in the biological fingerprint analysis

Receiver operating characteristic (ROC) curves of the automated patient verification method in

terms of (a) sagittal temporal lobe, (b) sagittal optic nerve, (c) midsagittal, (d) coronal optic

nerve, (e) coronal optic chiasm, (f) coronal internal auditory canal (IAC), (g) transversal IAC,

(h) transversal basal ganglia, and (i) transversal lateral fissure. The equal error rates are the

points where the equal error rate line intersects an ROC curve.

The transversal IAC analysis had the most excellent ROC curve performance. The transversal

basal ganglia analysis had the most inferior ROC curve performance.

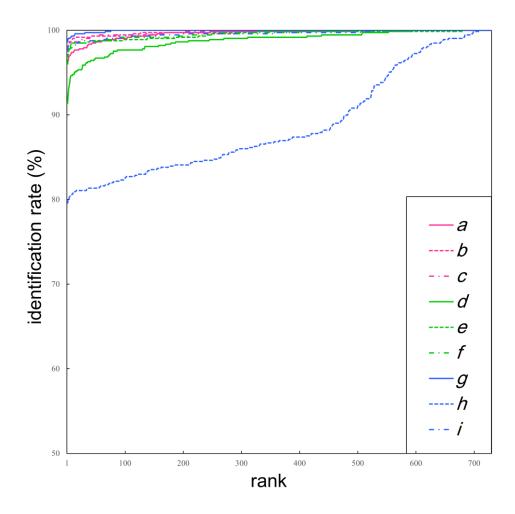


Figure 6

Comparison of CMC curve performance in the biological fingerprint analysis

Cumulative match characteristic (CMC) curves of the automated patient identification method in terms of (a) sagittal temporal lobe, (b) sagittal optic nerve, (c) midsagittal, (d) coronal optic nerve, (e) coronal optic chiasm, (f) coronal internal auditory canal (IAC), (g) transversal IAC, (h) transversal basal ganglia, and (i) transversal lateral fissure.

The transversal IAC analysis had the most excellent CMC curve performance. The transversal basal ganglia analysis had the most inferior CMC curve performance.

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Table 1
 Threshold correlation value, FRR, FAR, HTER, EER, AUC and rank-one identification
 rate comparison of each biological fingerprint analysis

	Biological fingerprint	Threshold correlation value	FRR ¹ (%)	FAR ² (%)	HTER ³ (%)	EER ⁴ (%)	AUC ⁵	rank-one identificatio n rate (%)
sagittal	temporal lobe	0.78	5.62	0.89	3.25	3.90	0.992	96.0
	optic nerve	0.79	4.66	0.58	2.62	3.29	0.991	97.9
	midsagittal	0.70	4.66	0.69	2.67	2.83	0.994	97.5
coronal	optic nerve	0.77	6.30	2.68	4.49	5.11	0.979	91.4
	optic chiasm	0.78	5.07	0.66	2.86	3.23	0.990	96.8
	IAC	0.82	5.21	1.08	3.14	3.20	0.988	96.0
transversal	IAC	0.83	3.15	0.023	1.59	1.37	0.998	98.6
	basal ganglia	0.84	16.0	17.7	16.9	16.3	0.907	79.6
	lateral fissure	0.87	4.11	0.28	2.19	2.83	0.990	97.7

¹FRR: false rejection rate; ²FAR: false acceptance rate; ³HTER: half-total error rate; ⁴EER:

6 equal error rate; ⁵AUC: area under the receiver operating characteristic curve;

7 The biological-fingerprint analysis by transversal internal auditory canal (IAC) had the best

8 verification and identification performance. The biological-fingerprint analysis by transversal

basal ganglia had the poorest inferior verification and identification performance.

Table 2
 False rejection rates for the types of brain treatment that the patient underwent between
 the prior and current examination of each biological fingerprint analysis

Biological fingerprint		surgical inte	internal	follow-up w	follow-up with no particular treatment (607)			
		operation (72)	treatment (51)	surgical operation (96)	internal treatment (43)	no particular or other treatments (468)	(730)	
sagittal	temporal lobe	22.2	9.80	4.17	2.33	3.21	5.62	
	optic nerve	29.2	5.88	4.17	0	1.28	4.66	
	midsagittal	22.2	7.84	0	0	2.99	4.66	
coronal	optic nerve	20.8	9.80	5.21	0	4.49	6.30	
	optic chiasm	27.8	3.92	4.17	2.33	2.14	5.07	
	IAC	25.0	7.84	2.08	2.33	2.78	5.21	
al	IAC	16.7	1.96	3.13	0	1.50	3.15	
transversal	basal ganglia	16.7	13.7	19.8	14.0	15.6	16.0	
	lateral fissure	30.6	3.92	2.08	0	0.85	4.11	

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⁵ Numbers in parentheses indicate the numbers of each object patients.

⁶ The FRR in 72 patients, who underwent surgical operation between the prior and current

examinations, indicated lower performance than the FRR in all 730 patients. However, the FRR

in 96 patients, who underwent surgical operation before the prior examination, had high

⁹ performance levels like the other patients.

Table 3
 False rejection rates for the clinical results of the brain magnetic resonance imaging
 finding of each biological fingerprint analysis

	Biological fingerprint	tumor (296)	angiopathy (292)	other diseases (124)	no particular (33)	all patients (730)
sagittal	temporal lobe	6.76	4.45	8.87	3.03	5.62
	optic nerve	9.12	1.37	3.23	3.03	4.66
	midsagittal	7.09	2.74	5.65	3.03	4.66
coronal	optic nerve	7.09	4.45	9.68	9.09	6.30
	optic chiasm	7.77	4.11	3.23	3.03	5.07
	IAC	7.43	4.11	4.84	3.03	5.21
transversal	IAC	4.39	2.05	3.23	3.03	3.15
	basal ganglia	15.2	19.2	16.1	9.09	16.0
	lateral fissure	7.77	1.71	2.42	3.03	4.11

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⁵ Numbers in parentheses indicate the numbers of each object patients.

⁶ The FRR in 296 patients who have had a brain tumor indicated a slightly lower performance

⁷ than the FRR in all 730 patients.

All the Author's Biography



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