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Finite element computation for scattering problems of micro-hologram using DtN map

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Abstract. Computational results are presented on micro-hologram diffraction for optical data storage using a finite element method. Retrieval of object light from a micro-hologram is formulated as an optical scattering problem in an infinite region. In order to overcome the difficulty of dealing with the infinite region a Dirichlet to Neumann (DtN) map is employed on an artificial boundary. By virtue of the DtN map reflection from the artificial boundary is effectively alleviated and non-reflecting boundary is obtained. Retrieval of the object light is computed for two different models.

Keywords. optical scattering, DtN map, finite element method, micro-hologram

1 Introduction

Holographic data storage using micro-holograms has been studied as a next generation optical data storage with terabyte capacity; see Eichler, et al. [3], and Kinoshita, et al. [5]. Retrieval of object light from a hologram is described as an optical scattering problem, which is stated by the Helmholtz equation in an infinite region.

In order to avoid computational difficulty in an infinite region, several techniques have been developed to transform the original problem into one in a bounded domain. They include Boundary Element Method (BEM) [8], hybrid finite element method with BEM coupling [7], Perfectly Matched Layer (PML) [1], Transparent Boundary Condition (TBC) [9], and Dirichlet to Neumann (DtN) map [4], [6]. To the best of our knowledge, DtN map has not been used for the wavelength of visible light, where the wave number is of order 10^7 In this paper, we apply a DtN map to our optical scattering problem and simulate retrieval of object light from a micro-hologram.

2 Formulation

Let Ω_B be a 2-dimensional transmissive scatterer with a smooth boundary Γ and an outward unit normal n; see Fig. 1. We assume the time harmonic field. Let u be the complex amplitude of a scalar component of the electric field of scattered light at visible wavelength. The scattering problem is

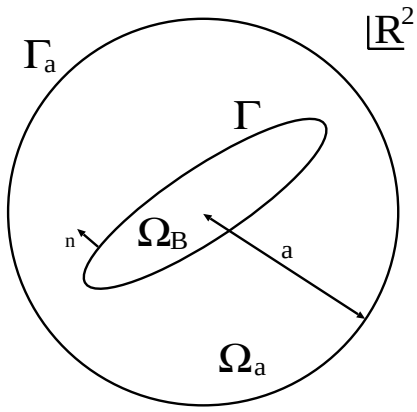

Fig.1 A scatterer Ω_B and an artificial boundary Γ_a.

formulated by the following Helmholtz equations in $\mathbb{R}^2$ according to [2], [9]; find $u : \mathbb{R}^2 \to \mathbb{C}$ such that

$$\begin{cases} -\Delta u - k_1^2 u = (\Delta + k_1^2) u^{\text{inc}} & \text{in } \Omega_B, & (1a) \\ -\Delta u - k_0^2 u = 0 & \text{in } \Omega_B^c, & (1b) \\ [\, u \,] = 0 & \text{on } \Gamma, & (1c) \\ \left[\dfrac{\partial u}{\partial n} \right] = 0 & \text{on } \Gamma, & (1d) \\ \lim\limits_{r \to +\infty} \sqrt{r} \left(\dfrac{\partial u}{\partial r} - i k_0 u \right) = 0, & & (1e) \end{cases}$$

where k_0 (or k_1) is a wave number in the vacuum (or the medium), u^{inc} an incident light, $[\,.\,]$ a gap across Γ, and $r := |x|$ with the orthogonal coordinate system $x = (x_1, x_2)$ in $\mathbb{R}^2$.

Let Ω_a be a circle with the radius a (> 0), and let Γ_a be the boundary of Ω_a; see again Fig. 1. Suppose that the circle Ω_a includes Ω_B strictly. Let u^{inc} be an incident light. By introducing DtN map [4], the problem (1) become equivalent to the following one in Ω_a; find $u : \Omega_a \to \mathbb{C}$ such that

$$\begin{cases} -\Delta u - k_1^2 u = (\Delta + k_1^2) u^{\text{inc}} & \text{in } \Omega_B, & (2a) \\ -\Delta u - k_0^2 u = 0 & \text{in } \Omega_a \backslash \Omega_B, & (2b) \\ [\, u \,] = 0 & \text{on } \Gamma, & (2c) \\ \left[\dfrac{\partial u}{\partial n} \right] = 0 & \text{on } \Gamma, & (2d) \\ \dfrac{\partial u}{\partial r} = -Su & \text{on } \Gamma_a. & (2e) \end{cases}$$

Here S is the Steklov–Poincaré operator defined by

$$Su := -k_0 \sum_{n=-\infty}^{\infty} \frac{H_n^{(1)\prime}(k_0 a)}{H_n^{(1)}(k_0 a)} \, u_n(a) \, \phi_n(\theta),$$

where (r, θ) is the polar coordinate system in $\mathbb{R}^2$, $H_n^{(1)}$ Hankel function of the first kind of order n, $\phi_n(\theta)$ the spherical harmonics defined by

$$\phi_n(\theta) := \frac{1}{\sqrt{2\pi}} \, e^{in\theta}$$

with the imaginary unit i, and u_n a Fourier coefficient defined by

$$u_n(a) := \int_0^{2\pi} u(a,\theta)\,\overline{\phi_n(\theta)}\,d\theta.$$

Let $L^2(\Omega_a)$ be the space of complex functions defined in Ω_a and square summable in Ω_a, and let $\|\,.\,\|_{0,\Omega_a}$ be its norm. For $m \in \mathbb{N}$, let $H^m(\Omega)$ be the space of complex functions in $L^2(\Omega_a)$ with derivatives up to the mth order, and let $\|\,.\,\|_{m,\Omega_a}$ be its norm. Set $V := H^1(\Omega_a)$. Moreover, bilinear forms a and s are defined by

$$a(u,v) := \int_{\Omega_a} (\nabla u \cdot \nabla \overline{v} - k^2 u\,\overline{v}\,)\,dx \qquad \forall u, v \in V,$$

$$s(u,v) := \int_{\Gamma_a} (Su)\,\overline{v}\,ds \qquad \forall u, v \in V,$$

and a linear functional f is defined by

$$\langle f, v\rangle := \int_{\Omega_a} f\,\overline{v}\,dx \qquad \forall v \in V.$$

Here, k is a piecewise constant function deinfed by

$$k(x) := \begin{cases} k_0 & \text{in } \Omega_a \backslash \Omega_B, \\ k_1 & \text{in } \Omega_B, \end{cases}$$

and f is a scattering potential defined by

$$f(x) := \begin{cases} 0 & \text{in } \Omega_a \backslash \Omega_B, \\ (\Delta + k_1^2) u^{\text{inc}} & \text{in } \Omega_B. \end{cases}$$

Note that simple calculations make the bilinear form s become

$$s(u,v) = -k_0\,a \sum_{n=-\infty}^{+\infty} \frac{H_n^{(1)\prime}(k_0 a)}{H_n^{(1)}(k_0 a)}\,u_n\,\overline{v_n}.$$

Now, the equation (2) can be written in a weak form as follows: find $u \in V$ such that

$$a(u,v) + s(u,v) = \langle f, v\rangle, \quad \forall v \in V. \tag{3}$$

3 Finite element approximation

Let $\{\mathscr{T}_h\}$ be a uniformly regular family of triangulation of $\overline{\Omega}_a$, where h stands for the maximum diameter of the triangles in $\mathscr{T}_h$. We set $\Omega_{ah} := \mathscr{T}_h$. By definition, let V_h be a finite dimensional subspace of V approximated by the conforming $P1$ elements. Moreover, the bilinear forms a and s, and the linear functional f are approximated by bilinear forms a_h and s_h^N, and a linear functional f_h defined by, for u_h, $v_h \in V_h$,

$$a_h(u_h, v_h) := \int_{\Omega_{ah}} (\nabla u_h \cdot \nabla \overline{v}_h - k^2 u_h\,\overline{v}_h)\,dx,$$

$$s_h^N(u_h, v_h) := -k_0\,a \sum_{n=-N}^{N} \frac{H_n^{(1)\prime}(k_0 a)}{H_n^{(1)}(k_0 a)}\,u_{hn}\,\overline{v}_{hn},$$

$$\langle f_h, v_h\rangle := \int_{\Omega_{ah}} (\Pi_h f)\,\overline{v}_h\,dx,$$

where N is a truncation number and $\Pi_h f$ denotes the $P1$ interpolant of f.

Then, a finite element problem corresponding to (3) is obtained as follows: find $u_h \in V_h$ such that

$$a_h(u_h, v_h) + s_h^N(u_h, v_h) = \langle f_h, v_h \rangle, \quad \forall v_h \in V_h. \tag{4}$$

Remark 1 Let D be a reflective scatterer with smooth boundary Γ. Then, the Helmholtz equation is solved in the exterior region $\mathbb{R}^2 \backslash D$. Suppose that a circle Ω_a includes D strictly. Then, we can obtain an equivalent formula as follows:

$$\begin{cases} -\Delta u - k_0^2 u = f & \text{in } \Omega_a \backslash D, & \text{(5a)} \\ u = g & \text{on } \Gamma, & \text{(5b)} \\ \dfrac{\partial u}{\partial r} = -Su & \text{on } \Gamma_a. & \text{(5c)} \end{cases}$$

Under apropriate assumptions, there exists a convergence result for a finite element scheme (4) corresponding to this problem; see [6].

4 Numerical examples

A micro-hologram is modulation of refractive indices of a holographic material created as a result of interference by two counter-propagating lights intersecting with each other. For a given micro-hologram, we compute scattered light with regard to an incident light. Let u_1 and u_2 be the reference light and the object light, respectively. The incident field is given by u^{inc}. Retrieving process is to obtain the object light upon irradiation of an incident light as a result of scattering from the micro-hologram.

In our numerical examples, we employed as the incident light u^{inc} plane wave $e^{ik_0 x}$, whereas u_1 and u_2 are represented by Gaussian beams. The scattering potential f becomes $(-k_0^2 + k_1^2)\, e^{ik_0 x}$ in Ω_B. The complex amplitude u is approximated by the conventional conforming $P1$ elements. Throughout this examples, the refractive indices are $n_0 = 1.5$ and $n_1 = 1.51$ and the wavelength in vacuum is $\lambda = 1$, i.e. the equations are nondimensionalized with respect to λ. The wave numbers then become

$$k_0 := \frac{2\pi n_0}{\lambda} \approx 9.425, \quad k_1 := \frac{2\pi n_1}{\lambda} \approx 9.488.$$

In order to solve the resultant linear systems, Conjugate Residual (CR) method was used. The computations were done by Core 2 Duo 3GHz CPU with 8GB memories.

4.1 Model A

The transmissive scatterer Ω_B is given by

$$\Omega_B = \{x \in \mathbb{R}^2;\ |u_1 + u_2|^2 \geq 0.5\},$$

which is a result of interference of two Gaussian beams that intersect at 90 degrees are considered:

$$u_1(x_1, x_2) = \frac{1}{2}\sqrt{\frac{x_R}{q(x_1)}}\, \exp\left(-\frac{ik_0 x_2^2}{2q(x_1)}\right) \exp(ik_0 x_1),$$
$$u_2(x_1, x_2) = \frac{1}{2}\sqrt{\frac{x_R}{q(x_2)}}\, \exp\left(-\frac{ik_0 x_1^2}{2q(x_2)}\right) \exp(-ik_0 x_2).$$

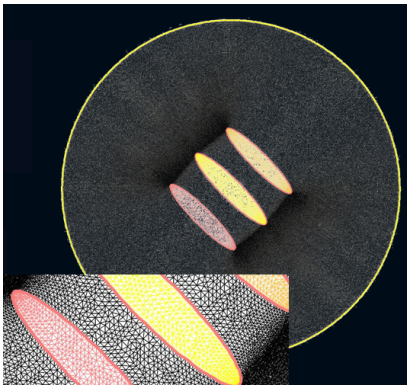

Fig.2 Model A and its triangulation.

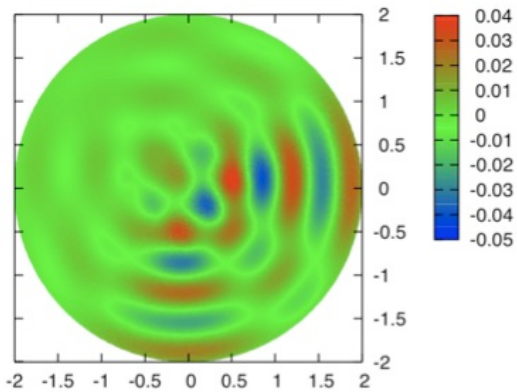

Fig.3 The real part of scattering waves in Model A.

The nondimensionalized complex beam parameter $q(x)$ is defined by $q(x) := x + ix_R$where x_R is the nondimensionalized Rayleigh range depending the waist size of the beam w_0,

$$w_0 = 1.22, \quad x_R := \frac{\pi w_0^2}{\lambda} \approx 4.676.$$

In this example the scatterer consists of three micro-ellipses orientated at 45 degrees as Fig. 2 depicts.

Fig. 2 also shows triangulation, in which the number of triangles is 105,578, and the number of nodal points is 53,046. The truncation number N of DtN map is 115. CPU time is about 1 hour.

Figs. 3–5 show the real part, the imaginary part, and absolute value of scattered light, respectively.

Retrieved light propagating along $-x_2$ axis, the direction of objective light, can be clearly seen as well as transmitted light along x_1 direction, reference light direction. No reflection from the artificial boundary was observed.

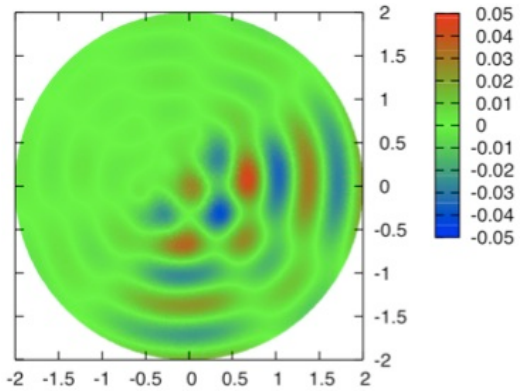

Fig.4 The imaginary part of scattering waves in Model A.

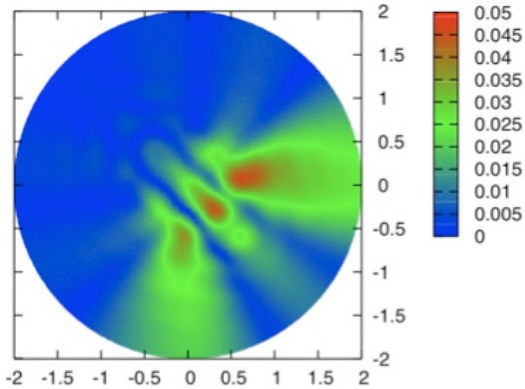

Fig.5 The absolute value of scattering waves in Model A.

4.2 Model B

In the next model, the scatterer Ω_B is represented by

$$\Omega_B = \{x \in \mathbb{R}^2;\ |u_1 + u_2|^2 \geq 0.5\},$$

which is created by two counter-propagating Gaussian beams:

$$u_1(x_1, x_2) = \frac{1}{2}\sqrt{\frac{x_R}{q(x_1)}}\ \exp\left(-\frac{ik_0 x_2^2}{2q(x_1)}\right)\exp(ik_0 x_1),$$
$$u_2(x_1, x_2) = \frac{1}{2}\sqrt{\frac{x_R}{q(x_1)}}\ \exp\left(-\frac{ik_0 x_2^2}{2q(x_1)}\right)\exp(-ik_0 x_1).$$

where $w_0 = 0.7176$ and $x_R := \pi w_0^2/\lambda \approx 1.618$.

As shown in Fig. 6 the scatterer Ω_B consists of seventeen micro-ellipses. The number of triangles is 585,019, and the number of nodal points is 1,169,012. The truncation number N of DtN map is 191. CPU time is about 3 hours.

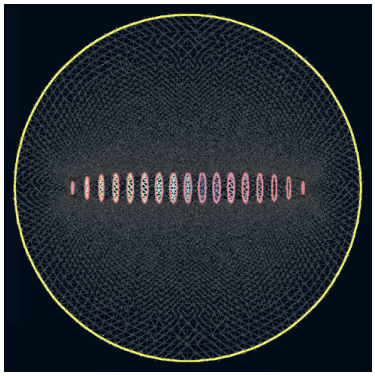

Fig.6 Model B and its triangulation.

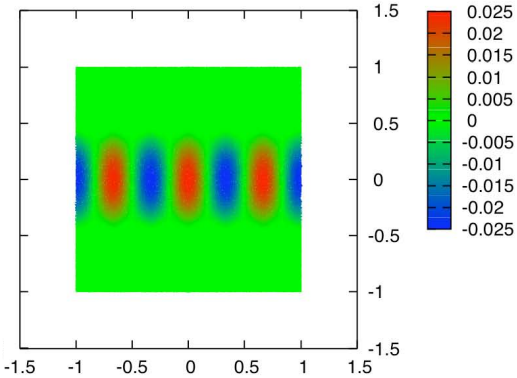

Fig.7 The real part of scattering waves in Model B.

Figs. 7–9 show the real part, the imaginary part, and the absolute value of the scattered field in the vicinity of the origin, respectively. Retrieval of the object light was successfully simulated. No reflection from the artificial boundary was observed.

5 Conclusion

A finite element method with a DtN map was successfully applied to an optical scattering problem. In computational results, no reflection from the artificial boundary was observed, which proved that the DtN map effectively reduced an infinte domain problem to a bounded domain problem even for the case of visible light.

Retrieval of the object light from a micro-hologram was qualitatively simulated as scattering of an incident reference light in two different configurations. It was confirmed that this method can be effectively used for analyses of holographic data storage based on the micro-hologram.

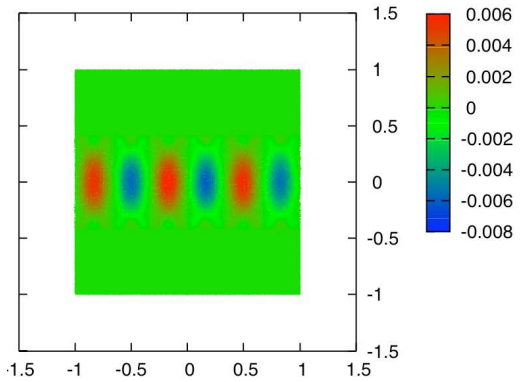

Fig.8 The imaginary part of scattering waves in Model B.

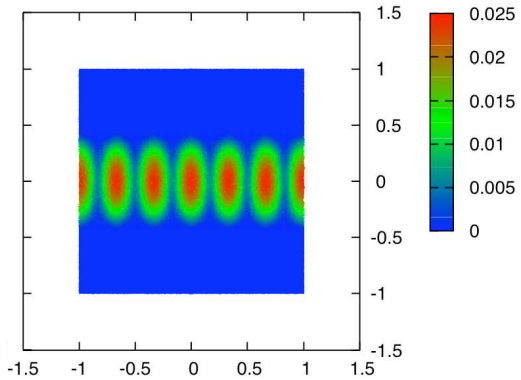

Fig.9 The absolute value of scattering waves in Model B.

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