Exploring New Formate Dehydrogenase And Its Model Complex

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Exploring New Formate Dehydrogenase And Its Model Complex

> Nguyen Thi Thanh Nga 2015

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CHAPTER 1

GENERAL INTRODUCTION

1.1. INTRODUCTION

The worldwide use of fossil fuels has given rise to vital problems of global warming, due to increasing atmospheric CO₂ concentration. Among various types of alternative energy sources, hydrogen is regarded as the most attractive clean energy carrier, which can provide carbon-free energy system. However, the current used H₂ is derived from fossil fuels, which does not lead to ultimate solutions of alternative clean energy problem and global warming. Therefore, new H₂ production methods have been required, but no significant methods have been developed.^[1-4] I have focused on the exploitation of a new biological catalyst for formate activation and also a new hydrogenase model complex, which have formate hydrogenlyase for H₂ production, which may play important roles in development of renewable energy systems. Compared with H₂, formate is also favorable energy carrier as a non-flammable fuel for safe fuel cells system, enabling it to be easily stored and safely transported.^[5-10]



Figure 1.1 Harmonized system of biological and chemical catalysts for production of renewable energy.

Figure 1.1 is depicted in the relationship between enzyme and its biomimetic complex, and also their applications. Enzymes are highly attractive for industrial applications because of their highly specific recognition of specific substrates, leading to excellent selectivity.^[11-13] However, most enzymes are required of the specific suitable reaction conditions such as pH and temperature, operating in the restrained conditions of pH between 5 and 10 and temperature between 20 and 50°C.^[11] Therefore, we are actively paying attention to the development of biomimetic catalysts having high robustness and activity. The model complex inspired from biochemical studies of naturally occurring enzymes might have many advantages in the excellent selectivity of biological catalysts and the robustness of synthetic catalysts. In order to create a new effective biomimetic catalyst, the exploring new enzyme and its biochemical

characterization using homogeneously purified enzyme are necessary to assess the ability of enzyme, providing new insight into the development of effective biomimetic model studies.^[14-16] For examples, hydrogenase, which catalyzes the activation of H₂ into two protons (H⁺) and two electrons (e⁻), and its mimic model are the good examples of the harmonized system of biological and chemical catalysts for production of renewable energy.^[14,15] Indeed, Ogo group found a new membrane-bound hydrogenase (MBH) having a remarkable O2-stability along with a high H2activation.^[17] In a polymer electrolyte fuel cell (PFEC) system, the ability of MBH surpasses platinum as an anode electrode for H₂ oxidation.^[18] They also succeeded in it's synthetic model complexes of a Ni(μ -H)Ru complex {[Ni^{II}L(μ -H)Ru^{II}(η^{6} -C₆Me₆)], *N*,*N*[°]-dimethyl-3,7-diazanonane-1,9-dithiolato} and [NiFe] complex L $(Ni^{II}(X')Fe^{II}(MeCN){P(OEt)_3})(BPh_4)_2$, which X' = N,N'-diethyl-3,7-diazanonane-1,9dithiolato, Et indicates an ethyl group, and Ph a phenyl group).^[19,20] The [NiRu] complexes also have catalytic abilities for H₂ activation in water at ambient conditions, applying in the PEPC as anode catalysts.^[21] Thus, the original concept of this research is to create the fusion technology by developing useful biological- and chemical- catalysts into the ideal system, which can be used as an energy generator to produce "H2" or activation of "formate".

1.2. ARRANGEMENT OF THESIS

To achieve the goal, this thesis is described in the Chapter 2 of purification and characterization a new formate dehydrogenase from our newly isolated bacterium *Citrobacter* sp. S-77. In the Chapter 3, I have attempted to create the model complex of formate hydrogenlyase with the modified [NiFe]H₂ase model complex.



Figure 1.2 The arrangement of thesis

Formate is an important energy carrier that can generate electrons or produce H₂ by catalytic reaction of biological and chemical catalysts. The metalloenzyme of FDH catalyze the oxidation of formate, which plays an important role in electron transfer pathway in aerobic and anaerobic respirations.^[22,23] In order to understand the mechanism of formate oxidation, the Chapter 2 is the first effort to define the biochemical characterization of a new formate dehydrogenase from our newly isolated bacterium *Citrobacter* sp. S-77. On the other hand, owing to the O₂-sensitivity of H₂ production from formate by biological reaction, the system is not well established. Therefore, I developed a new NiRu complex catalyzing H₂ production from formic acid, which is the same as the catalytic reaction of formate hydrogenlyase. (Figure 1.2)

In Chapter 1, I attempt to provide the general information of purposed of my thesis, and also FDH and the model research described in this thesis.

In Chapter 2, I describe the purification procedure and biochemical characterization of new formate dehydrogenase from *Citrobacter* sp. S-77 (FDH_{S77}). The purified FDH_{S77} is a molybdenum-containing membrane-bound FDH having high thermostability, pH resistance, and O₂-stability. The Michaelis-Menten constant, electrochemical analysis, and EPR and UV-visible spectra are also described in the Chapter 2.

In **Chapter** 3, the development of a mimic [NiFe]hydrogenase complex [(μ -hydro)(format)Ni^{II}Ru^{II}] is presented. The model complex can display the same reaction of a formate hydrogenlyase, heterolytically splitting dihydrogen into protons and electrons and decomposing formic acid into H₂ and CO₂. The crystal structure of (μ -hydrido)(formato)Ni^{II}Ru^{II} and the reaction intermediate of the (μ -hydro)(formato)Ni^{II}Ru^{II} complex are defined.

In **Chapter** 4, I discuss the new knowledge obtained in these studies that may provide new insight for the development of highly efficient new catalysts for future technology.

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CHAPTER 2

PURIFICATION AND CHARACTERIZATION OF A MOLYBDENUM-CONTAINING MEMBRANE-BOUND FORMATE DEHYDROGENASE FROM *CITROBACTER* SP. S-77

ABSTRACT

Membrane-bound formate dehydrogenase (FDH) was purified from a newly isolated bacterium, *Citrobacter* sp. S-77. By gel filtration analysis of the FDH from *Citrobacter* sp. S-77 (FDH_{S77}), it was a monomer with molecular mass of approximately 150 kDa. The purified FDH_{S77} showed as three different protein bands with molecular mass of approximately 95, 87, and 32 kDa, respectively, on SDS-PAGE. According to the N-terminal amino acid sequence analysis, the sequence alignment of 87 kDa protein band was identical to that of the large subunit of 95 kDa, indicating that the purified FDH_{S77} consisted of two subunits; a 95 kDa large subunit and a 32 kDa small subunit, The purified FDH_{S77} was not contained a heme *b* subunit. The specific activity of FDH_{S77} was determined as the V_{max} of 30.4 U/mg using benzyl viologen as an electron acceptor. The EPR and ICP-MS spectra indicate that the FDH_{S77} is a molybdenum-containing enzyme, displaying a remarkable O₂-stability along with thermostability and

pH resistance. This is the first report of the purification and characterization of a FDH from *Citrobacter* species.

2.1. INTRODUCTION

Biological carbon dioxide conversion is extremely important process for all organisms, which is very closely linked to the flow of energy that is maintained by living organisms in the biosphere. Organisms that use CO₂ as a carbon source may be employed to produce useful compounds that related to the biological production of energy from redox or electron transport proteins, such as photosynthetic reaction centers, hydrogenase, formate dehydrogenase (FDH), puruvate ferredoxin oxidoreductase, CO dehydrogenase, and so on.^[1-3] Among them, FDH is one of the most attractive metalloenzyme that catalyzes the reversible oxidation of formate to two-electrons and CO₂ (equation 2.1).^[2,4,5]

HCOO⁻
$$\leftarrow$$
 FDH \leftarrow CO₂ + 2e⁻ + H⁺ (Eq. 2.1)

The FDH has also a great potential for use as a useful biocatalyst in biotechnological application, such as for the regeneration of cofactors of NAD(P)H in the pharmaceutical industry^[6] and for an effective homogeneous, formate-oxidation biocatalyst in safe fuel cell systems. In addition, FDH has also a great potential as an effective biocatalyst for conversion of CO₂ to make formate as an alternative fuel.^[7] However, the enzyme is very sensitive to O₂, which can easily loss of its catalytic activity after air oxidation.^[2,4,5,8-10] The O₂-sensitivy of the FDH is considered as a major bottleneck to practical use of the enzyme in its biotechnological application.

| | FDH-H ^[10] | FDH-N ^[9] | FDH-O ^[8] | W-FDH ^[11] |
|-----------------|-----------------------|----------------------|----------------------|-----------------------|
| Microorganisms | E. coli | E. coli | E. coli | D. gigas |
| Location | cytoplasm | membrane | membrane | periplasm |
| Subunit (kDa) | 70 | 110, 32, 20 | 110, 35, 25 | 92, 29 |
| Matal asfastara | [4Fe-4S], | [4Fe-4S], | | [4Fe-4S], |
| | Mo, Se | Mo, Se | - | W, Se |

 Table 2.1 Summaries of formate dehydrogenases

So far, a number of FDH have been purified from various microorganisms, which are divided into four groups based on their physiological function, protein structure, and on their metal components in the active site (Table 2.1): FDH-H, FDH-N, FDH-O, and W-FDH. FDHs contain metal cofactors of molybdenum or tungsten in the active site, which are similar structures to each other.^[12-14] Although the active site of FDHs displays the structural similarity, but those of containing cofactors and subunit compositions are varied. FDH-H is a part of the protein complex of formate hydrogenlyase.^[8,15] FDH-O has high levels of sequence similarity with FDH-N, but the purification and biochemical characterization of FDH-O has not been performed. Therefore, the biochemical properties of FDH-O have not been understood. W-FDH is mainly located in the cytoplasm, which was purified and determined the crystal structure from *Desulfovibrio gigas*.^[14] Most FDHs contain metal cofactors of molybdenum or tungsten in their active sites.^[2,5,10-14] Among them, FDH-N from Escherichia coli, which is an analogous the FDH purified from Citrobacter sp. S-77 (FDHs77), was purified and characterized.^[9,13] The crystal structural of the FDH-N was determined at 1.6 Å, containing a selenium atom that is coordinated to molybdenum of the molybdopterin (MTP) moieties in the active site (Figure 2.1).^[13] The FDH-N from

E. coli is highly stable under anaerobic conditions, but the enzyme is very sensitive to aerobic conditions.^[9]



Figure 2.1 The crystal structure and the active site of FDH-N (1KQF)

During the course of exploring novel biocatalysts, we have found an O₂-stable membrane- bound FDH from the recently isolated bacterium, *Citrobacter* sp. strain S-77.^[16,17] Although the FDH activity was measured in the cell extracts of *C. amalonaticus*^[18] and *C. freundii*,^[19] the purification and characterization of FDH from the bacteria, however, has not been reported. Here, we report the first purification and characterization of a molybdenum containing FDH from the genus of *Citrobacter*. Like the MBH from *Citrobacter* sp. S-77, the purified FDHs77 displays a remarkable O₂-stability, along with thermostability and a wide range of pH resistance. This newly found O₂-stable FDHs77 might be an important enzyme that may significantly contribute to the development of effective biocatalysts for CO₂ conversion.

2.2. MATERIAL AND METHODS

2.2.1. Growth conditions

Citrobacter sp. strain S-77 isolated from Aso-Kuju National Park, Oita, Japan was cultivated aerobically at 30°C in a modified Bacto marine broth medium.^[16] The mass culture was carried out in 20 L Carboy bottles containing 15 L the following components in the Table 2.2. In order to express FDH activity, the metal additional components of 0.1 μ M Na₂WO₄, 0.1 μ M Na₂MoO₄, and 0.2 μ M Na₂Se₂O₃ were added into the previously prepared medium. The growth cells after culture for 4 days were harvested by centrifugation at 9,000 × *g* for 20 min. The precipitated pellet was frozen in liquid N₂ and stored at -80°C.

| Components | weight (g/L) |
|---------------------------------|--------------|
| Yeast extract | 3.0 |
| Peptone | 3.0 |
| (NH4)2SO4 | 3.0 |
| MgSO4.7H2O | 0.5 |
| K ₂ HPO ₄ | 2.0 |
| KH ₂ PO ₄ | 1.0 |
| Ammonium iron (III) citrate | 0.2 |
| CaCl ₂ | 0.1 |
| Sodium formate | 2.0 |
| D-glucose | 1.0 |

Table 2.2 Growth medium of *Citrobacter* sp. S-77

2.2.2. Enzyme assay of FDH

FDH activity was routinely determined spectrophotometrically by following the formate-dependent reduction of benzyl viologen (BV) at 30°C in glass cuvettes sealed with a rubber stopper and an aluminum cap under strictly anaerobic conditions. In order to maintain strictly anaerobic conditions for enzyme assay, all assays were performed under strictly anaerobic conditions by flushing with a constant flow of N₂ for 5 min using a vacuum gas manifold. The standard assay mixture contained 10 mM BV in 50 mM 3-(N-morpholino)propanesulfonic acid (MOPS) buffer, pH 7.0. Activity was calculated by the increase in absorbance of BV at 600 nm ($\epsilon_{600 nm} = 8.3 \text{ mM}^{-1} \text{ cm}^{-1}$).^[20] The maximal kinetic velocity (V_{max}) and K_{m} values were determined by fitting the data to the Michaelis-Menten equation using nonlinear regression. The data were analyzed by using the Enzyme Kinetics Module1.1 of SigmaPlot 8.0 software (Jandel Scientific, CA). Activity was expressed as units/mg of protein, where one unit (U) is equivalent to oxidation of 1 µmol formate/min.

2.2.3. Isolation of membrane fraction

Frozen cells (70.0 g) was suspended in 20 mM MOPS buffer (pH 7.0) and disrupted by sonication four times for 2 min in ice bath at 60 W with an Ultrasonic Disruptor UD-200 (TOMY SEIKO Inc., Japan). The broken cells were centrifuged at $3,000 \times g$ for 20 in to remove cell debris and membrane was precipitated by ultracentrifugation (Optima L-90K, Beckman Coulter Inc., USA) at $150,000 \times g$ for 1 h at 4°C. The membrane was washed one with the same buffer containing 1 M NaCl to remove cytoplasmic contaminants bound in membrane.

2.2.4. Solubilization of FDH from the isolated membrane

The washed membrane were suspended to be 4 mg/mL protein concentration in 20 mM MOPS (pH 7.0) containing 1.0 M NaCl and 1 mM dithiothreitol (DTT). FDH was solubilized by adding of 0.5% Sulfobetaine 3-12 (SB3-12) of zwitterionic detergent. Immediately after addition of the detergent, the membrane solution was slowly stirred at 4°C for 3 h under 100% N₂ gas. The extract was then centrifuged at 150, 000 × *g* for 1h. The supernatant was used for purification of FDH.

2.2.5. Purification of FDH

All purification procedures were carried out at room temperature under strictly anaerobic conditions using a Coy anaerobic chamber under an atmosphere of 98% N₂ and 2% H₂. Protein purification was performed in the Coy anaerobic chamber using an AKTA-FPLC system (GE Healthcare) as following process. All buffers were repeatedly degassed and flushed with N₂ and were stored in the anaerobic chamber.

2.2.5-1. Hydroxyapatite

The solubilized membrane proteins were directly loaded onto hydroxyapatite (2.5×15 cm; Bio-Rad Laboratories Inc.), pre-equilibrated with 1 mM potassium phosphate (KP) buffer (pH 7.0) containing 0.5 mM DTT and 0.2% SB3-12 at a flow rate of 8.0 mL/min. FDH activity was detected around 50 mM concentration of KP by a linear gradient between 1-100 mM KP buffer.

2.2.5-2. Q Sepharose high performance

The active pools of FDH after hydroxyapatite were directly applied onto a Q Sepharose HP (1.6×12 cm. GE Healthcare, UK) pre-equilibrated with 20 mM Tris buffer (pH 8.0) containing 1 mM DTT and 0.2% SB3-12. After loading the sample the column was washed with the same buffer and the protein was eluted with a gradient of 0.1-0.35 M NaCl at a flow rate of 4.5 mL/min.

2.2.5-3. Resource 15 Q

The FDH eluted at approximately 0.3 M NaCl by Q Sepharose HP was diluted 3-fold with nonsalt buffer and applied onto a Resource 15 Q (0.64×3 cm; GE Healthcare, UK). After sample application, the column was washed with wash buffer and then eluted isocratically using a buffer containing 0.2 M NaCl, followed by a gradient of buffer containing 0.25 to 0.4 M NaCl at a flow rate of 1.5 mL/min. At this stage, the FDH eluted at approximately 0.32 M NaCl.

2.2.5-4. Superdex 200

The FDH fraction was concentrated using Amicon Ultra-15 (30,000 NMWL; Millipore Corp., Billerica, MA) and then loaded onto a Superdex 200 column (1.6×50 cm; GE Healthcare, UK) pre-equilibrated with 10 mM Tris-HCl buffer (pH 8.0) containing 0.3 M NaCl and 0.2% SB3-12 at a flow rate of 1.0 mL/min, eluting as a single peak with a molecular weight of approximately 150 kDa.

2.2.6. Gel electrophoresis

Protein purity was established by SDS-PAGE and Native-PAGE analysis using a 5-20% gradient gel. The molecular weight was calculated from a standard linear regression curve using low range-standard proteins ($M_{\rm f}$ s, 14,000 to 94,000) of Bio-Rad. Activity staining in the nondenaturating gel was carried out in the presence of 10 mM formate and 1 mM triphenyl tetrazolium chloride as an electron acceptor in 50 mM MOPS buffer (pH 7.0) at 30°C under N₂ gas.

2.2.7. Oxygen stability of the purified FDH_{S77}

In order to evaluate O_2 -stability of the purified FDH_{S77}, the formate-oxidation activity of the air oxidized enzyme after exposure to air was assayed. The vials containing the purified enzyme solution were flushed with dry air for 5 min and then the enzyme was oxidized by incubation under O_2 at 4°C. The remaining activity of the oxidized enzyme was measured periodically. The atmosphere in the assay cuvettes sealed with a gas-tight rubber stoppers and an aluminum caps was exchanged by flushing with a constant flow of N_2 for 5 min to remove the dissolved trace O_2 in the reaction solution and then the assay was initiated by injection of the enzyme solution.

2.2.8. Effect of pH on FDH activity

For the optimum reaction pH measurement, the Britton-Robinson (BR) universal buffer (pH 5.0–9.0) was used. The optimum pH for the reaction was determined by measuring the activity using a 50 mM Britton–Robinson (BR) buffer system. For the pH stability, the purified FDHs77 was incubated in the same BR buffer over a wide pH range (3.0–10.0) at 4°C under N₂. The pH stability of the enzyme was determined by

measuring the residual activity after incubation for 3 h.

2.2.9. Thermal properties of the FDH_{S77}

The optimum reaction temperature was determined by measuring the formate oxidation activity over a temperature range of 20–100°C. Before injection of the enzyme solution, the reaction mixture containing 10 mM BV was pre-incubated for 10 min under N₂ at each measuring temperature. For the thermostability of the purified FDH_{S77}, the enzyme solution (0.1 mg/mL) in a 10 mM Tris-HCl buffer (pH 8.0) containing 0.3 M NaCl and 0.2% SB3-12 was incubated between 0 and 80°C for 20 min under N₂. The denatured protein was then removed by centrifugation at 14,000 × *g* for 20 min and subjected to the enzyme assay. The thermostability was determined by measuring the remaining activity after thermal incubation.

2.2.10. Electrochemical experiments

The amperometric *i-t* curve measurement was used to determine O₂-stability of the FDH_{S77}. The amperometric *i-t* curve was performed with a computer controlled electrochemical analyzer (CH Instruments model 760 DT, BAS Inc., Japan) connected to a rotating ring/disk electrode device (RRDE-3, BAS Inc.). An Ag/AgCl/3.0 M NaCl electrode (assumed + 0.197 V vs. SHE; model RE-1B, BAS Inc.) was used as a reference electrode. A platinum wire was used as a counter electrode. A glassy carbon disk-working electrode (3 mm in diameter, BAS Inc.) was carefully polished with 0.05 μ m alumina/water slurry on a glass-plate mounted microcloth pad and the polished electrode was rinsed with distilled water. Electrochemical measurements were carried out at 30°C by purging the electrolysis cell with N₂ gas or dry air. The reaction mixture

(10 mL) contained 200 µg of the purified enzyme, 20 mM formate, 2 mM BV, and 0.2 M NaCl in 50 mM Tris-HCl buffer (pH 8.0). The temperature of the reaction chamber was controlled by a water jacket.

2.2.11. EPR and UV-visible spectra

X-band electron paramagnetic resonance (EPR) spectra were measured by a JEOL JES-FA200 spectrometer. The air-oxidized and formate-reduced samples were injected into 3-mm-diameter quartz EPR tubes and frozen by slowly immersing the tubes into liquid nitrogen. The formate-reduced enzyme was prepared by promoting the FDH reaction under N₂ for 20 min at 30°C, and then injected into EPR tubes sealed with a rubber stopper. EPR spectra were measured at 110 K under liquid nitrogen. UV-visible spectra were measured using a JASCO spectrophotometer (Jasco, Tokyo, Japan).

2.2.12. N-terminal analysis

The N-terminal amino acid sequence of each subunit of the purified enzyme was determined by the automated Edman degradation system of ABI protein sequencer 473A (Applied Biosystems Japan, Tokyo). Each subunit of the enzyme was separated by SDS-PAGE and then blotted onto a polyvinylidene difluoride membrane.^[21]

2.2.13. Other methods

The protein concentration was measured using established procedures for the Bio-Rad DC Protein Assay (Bio-Rad Laboratories Inc.).^[22] The Mo, W, Se, and Fe contents of the purified enzyme were analyzed by an inductively coupled plasma mass spectrometer (ICP-MS) using an Agilent 7500c (Agilent Technologies, Inc., USA). The molecular weight of the purified enzyme was determined by gel filtration using a Superose 12 column calibrated with standard molecular weight markers (Bio-Rad Laboratories Inc.).

2.3. RESULTS

2.3.1. Solubilization of the FDH_{S77} from isolated membrane

In order to express FDH activity, I added the additional components of 2.0 g/L sodium formate, 1.0 g/L D-glucose, and metal components of 0.1 μ M Na₂WO₄, 0.1 μ M Na₂MoO₄, and 0.2 μ M Na₂Se₂O₃ into the previously described medium. In this modified medium, sodium thiosulfate was removed the initial medium described.^[16] From the harvested cells, the cytoplasmic membrane fraction was prepared by ultracentrifugation. The isolated membrane fraction of *Citrobacter* sp. strain S-77 was contained a significant FDH activity. The FDH_{S77} could be effectively solubilized by the zwitterionic detergent of 0.5% SB3-12, which could be recovered to approximately 87% of total FDH activity from the membrane fraction of the strain S-77.

2.3.2. Purification of the FDH_{S77} from solubilized membrane fraction

In the first purification step of the FDH_{S77}, I used a hydroxyapatite column, which could be effectively separated the FDH_{S77} from many other membrane proteins, particularly in the majority of hydrogenase activity. Through subsequent column chromatographies of Q Sepharose high performance, Resource 15 Q, and Superdex 200, the FDH_{S77} was purified to electrophoretic homogeneity. The purified FDH_{S77} on SDS-PAGE gel appeared as three distinct protein bands, estimated to be approximately 95, 87, and 32 kDa, respectively (Figure 2.2).



Figure 2.2 SDS-PAGE (12.5%) gel of the purified FDH_{S77}. Lane 1, low-molecular weight standard proteins (M_{rs} , 14,000 – 97,000); lane 2, 5 µg of the purified FDH_{S77}.



Figure 2.3 Native –PAGE (5-20% gradient) analysis of the purified FDH_{S77}, Lane 1, protein stain of the purified FDH_{S77}, lane 2, activity stain of the purified FDH_{S77}.

However, according to the results of N-terminal amino acid sequence analysis, the initial amino terminal sequence of the 95 kDa subunit is identical to that of the 87 kDa polypeptide, suggesting that the 87 kDa protein is a degraded product of the large subunit. As shown in Figure 2.5, the initial 19 amino acid residues (blue color) determined for the FDH_{S77} is the same for that of the FDH-N from *E. coli* (Figure 2.4). In addition, the purified FDH_{S77} gave a single protein band on a nondenaturating gel, which was responsible for the activity band of FDH_{S77} (Figure 2.3).

QARNYKLLRAKEIRNTCTY $\texttt{FDH}_{\texttt{S77}}$ (a) from <code>S-77</code> FDH_{S77} (α') from S-77 OARNYKLLRAKEIRNTCTY FDH-N (**Q**) from *E. coli* OARNYKLLRAKEIRNTCTY FDH-O (**a**) from *E. coli* ETROYKLLRTRETRNTCTY ATMALKTVDAKQTTSVCCY FDH (α) from D. gigas FDH-H from E. coli MKKVVTVCPY FDH_{S77} (β) from S-77 SMETQDIIKRSATNSITPP FDH-N (β) from E. coli AMETODIIKRSATNSITPP FDH-O (β) from E. coli AYOSODIIRRSATNGLTPA FDH (β)(D.gigas) SKGFFVDTTRCTACRGCQV

Figure 2.4 Comparison of N-terminal amino acid sequences of FDH. The sequences of FDH_{S77} were determined in this study. The sequences from other FDHs were extracted from protein dataset of NCBI (<u>www.ncbi.nlm.nih.gov</u>)

Large-subunit

| 1 | MDVS RR Q FFK | ICAGGMAGTT | VAALGFAPKM | ALAQARNYKL | LRAKEIRNT C | 50 |
|------|-----------------------------|------------|------------|------------|---------------------|------|
| 51 | TYC SVG C GLL | MYSLGDGAKN | AKESIYHIEG | DPDHPVSRGA | L CP KGAGLLD | 100 |
| 101 | YVHSENRLRY | PEYRAPGSDK | WQRISWDEAF | SRIAKLMKAD | RDANFIEKNE | 150 |
| 151 | QGVTVNRWLS | TGMLCASAAS | NETGMLTQKF | VRSLGMLAVD | NQARVHGPTV | 200 |
| 201 | ASLAPTFGRG | AMTNHWVDIK | NANVVMVMGG | NAAEAHPVGF | RWAMEAKNNN | 250 |
| 251 | DATLIVVDPR | FTRTASVADI | YAPIRSGTDI | TFLSGVLLYL | IENNKINAEY | 300 |
| 301 | VKHYTNASLL | VRDDFAFEDG | LFSGYDAKKR | QYDKSSWNYQ | FDENGYAKRD | 350 |
| 351 | ETLSHPRCVW | NLLKQHVSRY | TPDVVENICG | TPKADFLKVC | EVLASTSAAD | 400 |
| 401 | RTTTFLYALG | WTQHTVGAQN | IRTMAMIQLL | LGNMGMAGGG | VNALRGHSNI | 450 |
| 451 | QGLTDLGLLS | TSLPGYLTLP | SEKQADLQTY | LAANTPKATL | AEQVNYWGNY | 500 |
| 501 | PKFFVSLMKS | FYGNAAQKEN | DWGFEWLPKW | DQSYDVIKYF | NMMDSGKVTG | 550 |
| 551 | YICQGFNPVA | SFPDKNKVVQ | SLSKLKYLVI | IDPLVTETST | FWQNHGDSND | 600 |
| 601 | VDPASIQTEV | FRLPSTCFAE | EDGSIANSGR | WLQWHWKGQD | APGEARNDGE | 650 |
| 651 | ILAGIYHRLR | EMYRTEGGKG | VEPVLKMSWN | YKQPDEPHSE | EVAKENNGYA | 700 |
| 701 | LEDLYDANGV | LVAKKGQLLN | SFALLRDDGT | TASSCWIYTG | SWTEQGNQMA | 750 |
| 751 | NRDNADPSGL | GNTLGWAWAW | PLNRRVLYNR | ASADPQGKPW | DPKRMLIKWN | 800 |
| 801 | GTKWTGNDIP | DFNNAAPGSG | TNPFIMQPEG | LGRLFAIDKL | AEGPFPEHYE | 850 |
| 851 | PMETPLGTNP | LHPNVISNPA | ARLYEADALR | MGNKQDFPYV | GTTYRLTEHF | 900 |
| 901 | HTWTKHALLN | AIAQPEQFVE | ISETLAAAKG | IANGDYVKVS | SKRGFIRAVA | 950 |
| 951 | VVTRRLRTLH | VNGQQVETVG | IPIHWGFEGV | ARKGFIANTL | TPNVGDANSQ | 1000 |
| 1001 | TPEYKAFLVN | IEKA | | | | 1014 |

Figure 2.5 Amino acid sequence alignments of the large subunits of FDH_{S77}. The large subunit of the FDH_{S77} contains a signal sequence of twin-arginine transport (Tat) (red color), the determined N-terminal sequence from the purified FDH_{S77} (blue color). The cysteine residues of iron-sulfur-cluster-binding motifs (CX₂₋₃CX₃CX₂₆₋₃₄C) are given in bold letter, which is typical [4Fe-4S] cluster.

Small-subunit

| 1 | MSMETQDIIK | RSATNSITPP | PQARDYKAEV | AKLIDVSTCI | GCKACQVACS | 50 |
|-----|------------|------------|------------|------------|------------|-----|
| 51 | EWNDIRDEVG | HCVGVYDNPA | DLSAKSWTVM | RFTETEQNGK | LEWLIRKDGC | 100 |
| 101 | MHCEDPGCLK | ACPSAGAIIQ | YANGIVDFQS | EHCIGCGYCI | AGCPFNIPRL | 150 |
| 151 | NKEDNRVYKC | TLCVDRVSVG | QEPACVKTCP | TGAIHFGTKQ | EMLEMAEQRV | 200 |
| 201 | EKLKARGFEH | AGVYNPQGVG | GTHVMYVLHH | ANQPELYHGL | PKDPQIDTSI | 250 |
| 251 | NLWKGALKPL | AAAGFIATFA | GLIYHYIGIG | PNKEVDDDEE | DHHE | 294 |

Figure 2.6 Alignment of the amino acid sequences of the small-subunit of FDH_{S77}. The determined N-terminal sequence from the purified FDH_{S77} was marked as a blue color.

2.3.3. The molecular weight determination of the FDH_{S77}

The purified FDH_{S77} exhibited a single UV-absorption peak of the gel filtration column of Superdex 200 (Figure 2.7A). Subsequent experimental results showed that the molecular weight of the native enzyme, determined by Superose 12 gel filtration was estimated to be approximately 150 ± 20 kDa (Figure 2.7B). Taken together, we have concluded that the 87 kDa polypeptide is a degradation byproduct of the 95 kDa polypeptide of large subunit. The large subunit of FDH_{S77} appears to be easily cleaved in the C-terminal region during the step of purification or the development of SDS-PAGE analysis. Based on amino acid comparison of other bacterial FDHs, the sequences of FDH_{S77} have high homology with those of FDH-N from *E. coli*, suggesting that operon.^[5] The operon of FDH-N from *E. coli* encodes three different polypeptides of approximately 110, 32, and 20 kDa.^[9]



Figure 2.7 Gel Filtration colum chromatography.(A) Column chromatography of Superdex 200 column as the final purification step. In all cases, 20 mM Tris-HCl buffer, pH 8.0 containing 0.3 M NaCl and 0.2% SB3-12 was used as the eluted buffer. (B) Calibration curve for the determination of molecular weight of the purified FDHs77 by gel filtratration using Superose 12 HR. The FDHs77 eluted at a position corresponding to 150 kDa. The column was calibrated with standard molecular weight markers (Bio-Rad Lab Laboratories Inc.): bovine thyroglobulin ($M_r = 670,000$), bovin γ -globulin ($M_r = 158,000$), chicken ovalbumin ($M_r = 44,000$), horse myoglobin ($M_r = 44,000$), vitamin B₁₂ ($M_r = 1350$).

2.3.4. Kinetic properties

In the assay conditions of BV as an electron accepter, The purified FDH_{S77} showed a specific activity of 24.5 U/mg for the condition of 10 mM BV, which is almost 10fold greater that that (2.4 U/mg) of FDH–N from *E. coli*.^[9] In the steady-state, kinetic parameters were determined by using the Michaelis-Menten equation at pH 7.0 and 30°C. The K_m value for formate of the FDHs77 was estimated to be 0.44 mM on the conditions of BV (10 mM) as an electron acceptor (Figure 2.8). This K_m value indicates that the FDHs77 shows substantially higher affinity for formate than other bacterial FDHs, such as the K_m values of *D. desulfurican* (21 mM) and *Clostridium pasteuriaum* (1.7 mM).^[23,24] The limiting rate (V_{max}) was estimated as 30.4 U/mg (Figure 2.8). The turnover number (k_{cat}) was calculated as 76 s⁻¹, using the calculated FDHs77 molecular mass of 150 kDa. The specificity constant (k_{cat}/K_m) for formate was 1.73 × 10⁵ M⁻¹s⁻¹.



Figure 2.8 Steady-rate kinetic assays of the FDHs-77 for the reaction of formateoxidation. BV was used as an electron acceptor. The concentration of sodium formate was varied from 0.1 to 15 mM. The assays were performed at 30°C, pH 7.0. The obtained data were fitted to the Michaelis-Menten equation using nonlinear regression.

2.3.5. Effects of pH and temperature on FDH activity

The FDH_{S77} has high thermostability and pH resistance. The optimal temperature for the FDH_{S77} activity was 80°C (Figure 2.9). The activity at 80°C was almost 5-fold higher than that at 30°C (24.5 U/mg), reaching 132.3 U/mg. Considering the growth temperature (32°C) of strain S-77, the FDH_{S77} displayed a high thermostability, indicating a half-life of activity of ca. 20 min at 70°C under anaerobic conditions. In addition, the purified FDH showed a high catalytic activity over a wide pH range. The maximal activity was observed at pH 8.5 (Figure 2.10), but the activity decreased at low pH. Although the optimum reaction of the FDH_{S77} was pH 8.5, the FDH_{S77} showed remarkable pH resistance even at significant acidic ranges below pH 4.0 (Figure 2.10). The FDH retained more than 70% of the initial activity, even after 3 h incubation at pH 3.0.



Figure 2.9 Effect of temperature on the activity of the purified FDH_{S77}. Thermostability of the purified FDH_{S77} after treatment for 20 min between 0°C and 80°C under N₂ (closed circles) and optimal reaction temperature of the FDH_{S77} (open squares). The
FDH activity was measured at pH 7.0. The obtained data were calculated by the means of at least three independent experiments.



Figure 2.10 Effect of pH value for the activity of the purified FDH_{S77}: pH stability of the purified FDH_{S77} after treatment for 3 h at 4°C between pH 3.0 and 10.0 under N₂ gas (open squares) and the optimum reaction pH of the purified FDH_{S77} (closed circles). The obtained data were calculated by the means of at least three independent experiments.

2.3.6. Stability of the FDH_{S77} in air

The anaerobically purified FDH_{S77} could immediately reduce BV upon direct injection into a reaction mixture under anaerobic conditions. Surprisingly, the oxidized FDH_{S77}, even after the enzyme is exposed to air for 6 h at 4°C, can be restored up to 80% of its original specific activity (Figure 2.11). However, the activity was not

completely recovered. The oxidized enzyme required lag times (ca. 17 min) for its full reactivation (Figure 2.12). The specific activity after the end of the lag time, as shown in Figure 2.12-II, was almost the same as that of the anaerobically purified enzyme of Figure 2.12-I. In general, O₂-sensitive FDH shows a significant decline of specific activity, and easily loses catalytic ability after air oxidation.^[9,10] Compared to other bacterial FDHs, the FDH_{S77} has a remarkable O₂-stability. O₂-stable FDHs were also reported from other microorganisms, such as those of *D. gigas*,^[11,14] *D. desulfurican*,^[23] *D. alsaskensis*,^[25] *Rhodobacter capsulatus*,^[26] and *E. coli*,^[27] however, the mechanism for how to stabilize the aerobic conditions of the O₂-stable FDHs is not yet understood.



Figure 2.11 Long-term O₂-stability of the FDH₅₇₇. The purified FDH₅₇₇ was exposure to an air, then incubated at 4°C. The remaining activities were measured periodically during the air oxidation procedure. All reactions were started with injection of the oxidized enzyme directly into the anaerobic reaction solutions. All assays were carried out under the same buffer conditions of 50 mM MOPS buffer at pH 7.0 and at 30°C.



Figure 2.12 The effect of O_2 on the formate-oxidation of the purified FDH_{S-77}. Stability of the FDH_{S77} in air was determined by measuring the remaining activity after exposure to an air atmosphere. Requirement incubation time to recover activity of enzyme at initial time (solid line); and incubated in air for 1h at 4°C (dotted line).

2.3.7. Electrochemical experiments of the FDH_{S77}

We have investigated the stability of purified FDH_{S77} in air was also determined by the amperometric method. Amperometric detection of the FDH_{S77} was first held under a headgas of 100% N₂ at a reference potential of -200 mV vs. Ag/AgCl electrode. The FDH_{S77} generated an extremely stable electric current of formate-oxidation at the conditions (Figure 2.13). Surprisingly, the reduced current was recovered by continuous bubbling of N₂ to flush out the dissolved O₂ into the reaction solution. As shown in Figure 2.13, reactivation of the enzyme occurred within 300 s. However, the current density drastically decreased toward zero when the flowing gas in the electrochemical cell was changed from N₂ to air. After substantial reduction, the current density for formate oxidation could be restored to near its initial current density after of approximately 1000 s. This intriguing result suggests that the FDHs77 has an excellent stability against O₂.



Figure 2.13 Amperometric *i-t* curve of FDHs77. In the initial reaction 100% N₂ was bubbled into the reaction solution. After recording the catalytic current of formate-oxidation for 1000 s, 100 % O₂ was bubbled into the solution for 90 s. Subsequently, the bubbling gas was change from O₂ to N₂ to flush out the dissolved O₂ into the solution. Experimental data was recorded under the conditions with a potential at -200 mV vs. SHE with rotating the working electrode at 3000 rpm using a gas flow rate of 1000 scc/min.

2.3.8. UV-visible spectra of the purified FDH_{S77}

The UV/visible spectra of air-oxidized FDH_{S77} exhibited a broad absorption peak around 400 nm and a slight shoulder in the 310 nm region (Figure 2.14), which is a typical feature of non-heme containing iron proteins.^[20,28] The result suggests that the purified FDH_{S77} did not contain *b*-type heme, which exhibited no typical α -absorption peak of the reduced state around 560 nm. However, absorbance in this region around 400 nm decreased by reduction of sodium dithionite under anaerobic conditions.



Figure 2.14 UV-visible absorption spectra of the purified FDH_{S77} (1.75 mg/ml) in 50mM K-phosphate buffer, pH 1.0, containing 0.1 M NaCl and 0.2% SB3-12. Solid line: the air oxidizied FDH_{S77}. Dotted line: the reduced FDH_{S77} by addition of sodium dithionite.

2.3.9. EPR Spectra and ICP-MS analysis of the purified FDH_{S77}

The result of ICP-MS analysis indicates that the purified FDHs77 contains molybdenum (0.97 atom/molecule), selenium (1.2 atom/molecule), and iron (17.5 atoms/molecule), but not tungsten. Together with the iron content, the FDHs77 may possess five [4Fe-4S] clusters; whereas FDH-N from *E. coli* contains five [4Fe-4S] clusters^[13] and FDH from *D. gigas* contains four [4Fe-4S] clusters.^[14] The amount of iron content suggests that the purified FDHs77 may be slightly damaged by some degradation of iron sulfur clusters during the procedures of solubilization and purification.

In addition, the oxidized FDH_{S77} was EPR silent, suggesting that the active center of the enzyme was the Mo(VI) state. The formate-reduced FDH_{S77} exhibited the rhombic EPR spectrum at g = 2.018, 1.994, and 1.961 at 110 K (Figure 2.15). The signal is attributed to the representative signals from Mo(V) of FDH.^[29,30] The Mo(V) EPR signals of formate-reduced FDHs from *D. desulfuricans* were detected at g-values of 2.012, 1.996, and 1.985 and that of *Methylobacterium* sp. RXM was observed at 2.002, 1.987, and 1.959. The signal intensity increases with increasing microwave power. Although the line shape of the EPR spectra of FDH_{S77} was not completely identical to those of other FDHs, the EPR signal apparently arose from the Mo(V) state.^[29,30] On the basis of the results of ICP-MS analysis and EPR spectra, the purified FDH_{S77} belongs to the family of Mo containing FDH.



Figure 2.15 EPR spectra of formate-reduced FDH_{S77}. The EPR experiments were carried out using freshly purified FDH_{S77} (10 mg/mL) equilibrated with 10 mM Tris-HCl buffer, pH 8.0, containing 0.1 M NaCl and 0.2% SB3-12. FDH_{S77} was reduced by addition of 10 mM formate into the enzyme solution and then incubated for 20 min at 30°C under anaerobic conditions. Experimental conditions: 1 mW (black line), 5 mW (red line) and 10 mW (blue line) microwave power; 80 mT modulation amplitude; 9.20 GHz microwave frequency.

2.4. **DISCUSSION**

In order to discover robust formate dehydrogenase from nature, we have the plan to isolate new bacteria from extreme environments, such as various springs in the Kyushu area, Japan. In the same time, we have also retrieved useful genomic information from the databases of the National Center for Biotechnology Information. We have eventually isolated a novel bacterium, Citrobacter sp. S-77 from the water samples of a tepid spring at Aso-Kuju National Park, Kumamoto.^[16] In the previously studies, we have purified and characterized a novel membrane-bound [NiFe]hydrogenase (MBH) from the same bacterium.^[16] The MBH shows a remarkably O₂-stability and high H₂activation, which has a great potential for use as a biocatalyst in industrial applications. In fact, the high active and O₂-stable membrane-bound [NiFe]hydrogenase (MBH) plays an anode electron as an extraordinary activity for H₂-oxidation in polymer electrolyte fuel cell.^[17] At this time, we have found an O₂-stable formate dehydrogenase from our newly isolated microorganisms. Among several isolated strains, I can detect highly active and O₂-stable FDH activity in the isolated membrane fraction. The strain S-77 belongs to *Citrobacter* species, which is a facultative anaerobic bacterium, which can grow well under aerobic and anaerobic growth conditions around 32°C.^[16] Citrobacter sp. S-77 belongs to the family of Enterobacteriaceae, suggesting that the FDHs77 may have similar characteristics to those of the FDH-N from E. coli. The purified FDH from membrane fraction was a molecular weight of 150 kDa that is a significantly different to 510 kDa of the FDH-N from E. coli. The FDH-N is composed of a trimer of heterotetramers.^[8,9,11] However, the purified FDHs77 consisted of two subunits of approximately 95 kDa for the large subunit and 32 kDa for the small subunit (Figure 2.2), which is very similar to those of the periplasm-localized FDHs from D.

gigas and *D. desulfurican*.^[14,23] As the results of all the FDHs reported so far, the heterodimeric structure of the FDH is considered to be biologically relevant. According to the N-terminal amino acid sequence analysis, the FDHs⁷⁷ is highly homologous to the FDH-N from *E. coli*. In comparison to the amino acid sequences of other bacterial FDHs (<u>www.ncbi.nlm.nih.gov</u>), we have found that the initial 33 amino acid residues of large subunit of FDHs contains the signal sequence of a twin-arginine translocase (Tat) motif RRXFK (Figure 2.5).^[31] The N-terminal sequence determined for the large subunit of the purified FDHs⁷⁷ begins after the Tat signal peptide. Metalloenzyme complexes of FDH is exported from cytoplasm to periplasm portion by a Tat pathway,^[31,27] where the Tat signal sequence of the large subunit plays an important role in FDH secretion from cytoplasm to periplasm portion across the membrane.

On the other hand, the O₂-tolerant MBH has contains the Tat system, which serves in the translocation of MBH. In the MBH, the Tat signal sequence contains in a small subunit of MBH, whereas the large subunit lacks the targeting signal sequence.^[33] Membrane-anchoring proteins are commonly cleaved at the boundary surface of the inner membrane or transmembrane helix when the steps of solubilization and purification are performed. The exquisite example is the O₂-tolerant MBH-cytochrome *b* complex.^[16,20,29,30] The cytochrome *b* subunit of MBH and a C-terminal transmembrane helice in the small subunit were spontaneously cleaved off during solubilization and purification processes. Based on the current results, we predict that the transmembrane portion of the small subunit and the inner membrane protein of the cytochrome *b* subunit of the purified FDHs₇₇ also appear to be cleaved from the FDH complex during some purification steps. This might also affect the degradation or cleavage of the 95 kDa large subunit, which results in the production of the 87 kDa protein fragment observed on SDS-PAGE analysis. However, the cytochrome *b* subunit is an *in vivo* essential part of the FDH complex as an electron acceptor, which functions as an electron transfer chain.^[14,31] In *Citrobacter* sp. S-77, the O₂-stable FDH_{S77} may have an important role in the membrane-associated electron transport chain. The O₂stable FDH may have structural features to function efficiently under an aerobic environment. Although there is currently no defined structural information on the O₂stable FDH_{S77}, we predict that the difference in amino acid environment in the catalytic center or electron transfer iron-sulfur clusters may be involved in the O₂-stability of the enzyme.

2.5. CONCLUSIONS

In this research, I purified and characterized a new membrane-bound formate dehydrogenase from a newly isolated bacterium *Citrobacter* sp. S-77. We determined the N-terminal amino acid sequences of the purified FDH_{S77} and the draft genome sequence of the strain S-77. The assigned the gene encoding of FDH_{S77} indicated that the FDH_{S77} is analogous to FDH-N from *E. coli*. Apart from the scientific interest in the physiological role of the O₂-stable FDH along with its thermostability and pH resistance, the FDH_{S77} is also of interest in the application perspective. The prominent stability of the FDH_{S77} is more likely to accelerate our interest in determining further biochemical and structure-functional studies that will lead to a better understanding of the molecular mechanism of formate-oxidation of the O₂-stable FDH.

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CHAPTER 3

A [NIFE]HYDROGENASE MODEL THAT CATLYSES THE RELEASE OF HYDROGEN FROM FORMIC ACID

ABSTRACT

In Chapter 3, I report the decomposition of formic acid to hydrogen and carbon dioxide, catalyzed by a (μ -hydrido)(formato) Ni^{II}Ru^{II} complex, [Ni^{II}L(μ -H) (HCOO)Ru^{II}(η^6 -C₆Me₆)], L = N,N^o-dimethyl-3,7-diazanonane-1,9-dithiolato), which is developed from a [NiFe]hydrogenase model. This is the first example of H₂ evolution, catalyzed by a [NiFe]hydrogenase model without additional energy. The structure of (μ -hydrido)(formato) Ni^{II}Ru^{II} complex was characterized by X-ray analysis, electrospray ionization mass spectrometry (ESI-MS), UV-vis spectroscopy, and IR spectroscopy. X-ray crystallographic analysis of the (μ -hydrido)(formato) Ni^{II}Ru^{II} complex reveals a NiS₂Ru butterfly core with a bridging hydride. The turnover numbers of H₂ evolution that is catalyzed by the (μ -hydrido)(formato) Ni^{II}Ru^{II} complex is 857 for 1 h at pH 3.5.

3.1. INTRODUCTION

Hydrogen is promised candidate for our future energy system. H₂ is a clean energy, because it is combusted in engines of fuel cells, only water emerges as benign exhaust. However, it requires either high cost for storage and transportation of H₂.^[1-3] In contrast to these materials, formic acid has been good candidate of H₂ carrier.^[4-6] Therefore, the H₂ evolution from the decomposition of formic acid has been recently investigated.

In biological energy system, formate hydrogenlyase (FHL) is an enzyme complex that is responsible for conversion of formic acid into the gaseous products CO₂ and H₂ (equation 3.1).^[7-9] The FHL consisted of formate dehydrogenase-H (FDH-H),^[10-12] which catalyzes extraction of protons and electrons from HCOOH (equation 3.2), and a [NiFe]hydrogenase ([NiFe]H₂ase), which is recombines those protons and electrons into H₂ (equation 3.3).^[13-23] Thus, the FHL plays an important role in natural organism and are attracted model for development a effective catalyst of H₂ evolution.

HCOOH
$$\leftarrow$$
 FHL \leftarrow CO₂ + H₂ (3.1)

HCOOH
$$\xrightarrow{\text{FDH}}$$
 CO₂ + 2H⁺ + 2e⁻ (3.2)

$$2H^{+} + 2e^{-} \underbrace{[NiFe]H_{2}ase}_{H_{2}} H_{2}$$
(3.3)

The FHL comprises seven proteins of HycB, HycC, HycD, HycF, and HycG and with components of formate dehydrogenase H (FDH-H) with hydrogenase 3 (HycE).^[7-9] With the exception of FDH-H, the other six components are all encoded in a single *hyc* operon (Figure 3.1).^[7]



Figure 3.1 The structure of FHL enzyme complex (adapted from ref. 7). The FHL comprises seven proteins of HycB, HycC, HycD, HycF, HycG, FDH-H (Fdh), and hydrogenase 3 (HycE).

The crystal structure of FDH-H from *E. coli* was determined to reveal that the enzyme has a four-domain structure with the molybdenum coordinated by selenium and both MGD cofactors.^[24] The components of FDH-H and HycE are cytoplasmic located proteins, but the components of HycB, HycC, HycD, HycF, and HycG are integral membrane proteins.

Model studies of [NiFe]H₂ase with regard to H₂ evolution have been reported so far. Most of complexes have to need additional energy. For example, a NiFe catalyst, reported by Rauchfuss et al., that requires additional electrical energy^[25] and a NiFe₂ catalyst, reported by Schröder et al., that requires additional light energy^[26] have been published. Thus, a biomimetic catalyst as a model for [NiFe]H₂ase for H₂ production capable of working at ambient temperature and pressure has certainly been desired.

A dinuclear Ni(μ -H)Ru complex [Ni^{II}L(H₂O)(μ -H)Ru^{II}(η^{6} -C₆Me₆)](NO₃) {[**2**](NO₃)}, where L = *N*,*N*^{*}-dimethyl-3,7-diazanonane-1,9-dithiolato,^[27] has been synthesized from the reaction of a dinuclear NiRu aqua complex [Ni^{II}LRu^{II}(H₂O)(η^{6} -C₆Me₆)][(NO₃)₂ {[**3**](NO₃)₂} with H₂ in water under ambient conditions (20°C and 0.1 MPa). The Ni(μ -H)Ru complex **2** is close to the active site structure of [NiFe]H₂ase. Since we have developed a successful [NiFe]H₂ase model catalyst, we felt that it would be a good candidate for a FHL model system, which proved to be the case.^[29-31]

Here, we report the first example of a [NiFe]H₂ase model catalyst that is capable both of heterolytically spitting hydrogen gas into protons and electrons and of decomposing formic acid into CO₂ and H₂ without additional energy.

3.2. EXPERIMENTAL METHODS

3.2.1. Material and methods

All experiments were carried out under an N₂ or Ar atmosphere by using standard Schlenk techniques and glovebox. H₂ gas (99.9999%) was purchased from Taiyo Sanso Co., Ltd. Concentrated HNO₃/H₂O, distilled water and HCOONa were purchased from Wako Pure Chemical Industries, Ltd. Concentrated DNO₃/D₂O (99% D), 40wt% NaOD/D₂O (99% D), DCOONa and D₂O (99% D) were purchased from Cambridge Isotope Laboratores, Inc. [Ni^{II}L(H₂O)(μ -H)Ru^{II}(η^{6} -C₆Me₆)](NO₃) {[**2**](NO₃), L = *N*,*N*^{*}-dimethyl-3,7-diazanonane-1,9-dithiolato} and [Ni^{II}L(H₂O)(μ -H)Ru^{II}(η^{6} -C₆Me₆)](NO₃)₂ were prepared by the methods described in the literature.^[27]

pH (pD) values of the aqueous solutions were determined by a pH meter (TOA, HM20J) equipped with a pH combination electrode (TOA, GST-5725C). Values of pD were corrected by adding 0.4 to the observes values (pD = pH meter reading + 0.4). The pH (or pD) of aqueous solutions were adjusted by concentrated HNO₃/H₂O (or DNO₃) and 10 M NaOH/H₂O (NaOD/D₂O) and 10 M NaOH/H₂O (NaOD/D₂O).^[32,33]

Electrospray ionization mass spectrometry (ESI-MS) data were obtained by a JEOL JMS-T100LC AccTOF. IR spectra of solid compounds in KBr disks were recorded on a Thermo Nicolet NEXUS 8700 FT-IR instrument from 650 to 4500 cm⁻¹ using 2 cm⁻¹ standard resolution at 25°C (light pass length: 0.10 cm). Elemental analysis data was obtained by a Perlin Elmer 2400II series CHNS/O analyzer. H₂ gas was determined by a Shimadzu GC-14B and GC-8A gas chromatograph {He carrier, 10% MnCl₂-alumina column (model: Shinwa OGO-SP) at -196°C (liquid N₂)}. CO₂ gas was determined by a Shimadzu GC-2014 chromatograph.

3.2.2. Synthesis of $[Ni^{II}L(HCOO)(\mu-H)Ru^{II}(\eta^6-C_6Me_6)]$ (1).

Complex **1** is able to be synthesized from complex [**2**](NO₃) (method A) and complex [**3**](NO₃)₂ (method B) as starting materials as follows.

Method A. An aqueous solution (2.0 mL) of [2](NO₃) (50.1 mg 0.0804 mmol) was added to an aqueous solution (2.0 mL) of HCOONa (0.547 g, 8.04 mmol) at 25°C. The resulting solution was allowed to stand overnight to gradually precipitated brown crystals, which were collected by filtration and dried in vacuo {yield: 73% based on [2](NO₃)}.

Method B. An aqueous solution (5.0 mL) of HCOONa (1.54 g, 22,7 mmol) was added to an aqueous solution (5.0 mL) of [**3**](NO₃)₂ (152 mg, 0.222 mmol) at 25°C. The resulting solution was allowed to stand for 4 h to gradually precipitate brown crystals, which were collected by filtration and dried *in vacuo* brown crystals, which were collected by filtration and dried in vacuo {yield: 34% base on [**3**](NO₃)₂}. Positive-ion ESI-MS (in H₂O at pH 7.0): m/z 543.2 {[1–HCOO]⁺, relative intensity (I) = 100% in the range of m/z 633.2 ([1 + HCOO]⁻, I = 100% in the range of m/z 200-2000). FT-IR (cm⁻¹, KBr disk); 1349, 1760, 2649. Anal. Calcd for [1]·2H₂O:C₂₂H₄₄N₂NiO₄RuS₂: C, 42.31; H, 7.1; N, 4.49. Found: C, 42,34; H, 7.0; N, 4.39.

3.2.3. Synthesis of $[Ni^{II}L(DCOO)(\mu-D)Ru^{II}(\eta^6-C_6Me_6)]$ (double D-labelled 1).

A solution of DCOONa (151 mg, 2.19 mmol) in D₂O (0.5 mL) at 25°C. The resulting solution was allowed to stand for 4 h to gradually precipitate brown crystals, which were collected by filtration and dried in vacuo {yield: 34% based on [**3**](NO₃)₂}. Positive-ion ESI-MS (in D₂O at pD 7.0): m/z 544.2 ([**1**–DCOO]⁺, I = 100% in the range of m/z 200-2000). Negative-ion ESI-MS (in D₂O at pH 7.0): m/z 636.3 ([double D-labelled **1** + DCOO]⁺, I = 100% in the range of m/z 200-2000). FT-IR (cm⁻¹, KBr disk): 1248, 1329, 2114.

3.2.4. Typical procedure for H₂ and CO₂ evolution from HCOOH catalyzed by [Ni^{II}L(H₂O)(μ-H)Ru^{II}(η⁶-C₆Me₆)]

A 3 mL vial was charged with 7.3 nmol of [2](NO₃) and 146 μ mol of HCOONa in H₂O (500 μ L) at pH 1.6 – 9.0, was capped with a septum under N₂ atmosphere. The vial was heated at 60°C for 1 h. The gas present in the vial was sampled using a gas-tight syringe and was analyzed for H₂ and CO₂ gases by GC.

3.2.5. X-ray crystallographic analysis.

A brown crystal of 1 used for X-ray analysis was obtained from its aqueous solution. Crystallographic data for 1.5H2O has been deposited with a Cambridge Crystallographic Data Center as Supplementary Publication No. CCDC 1016741. Copies of the data can be obtained free of charge on application to CCDC, 12 Union CB1EZ. Road, Cambridge UK {Fax: (+44)1223-336-063;e-mail: deposit@ccdc.cam.ac.uk}. Measurements were made on a Rigaku/MSC Sturn CCD diffractometer with confocal monochromated MoK α radiation ($\lambda = 0.7107$ Å). Data collected and processed using the CrystalClear program (Rigaku). All calculations were performed using the CrystalStructure crystallographic software package except for refinement, which was performed using SHELXL-97.

Although five O atoms (O3, O4, O5, O6, and O7) are assigned as waters, the electron densities of H atoms binding to the O atoms are not found. Thus, refinement was carried out without these H atoms and the calculated molecular is different from the reported molecular weight. The short distances between O atoms (O3, O4, O5, O6 and O7) should be caused by hydrogen bonds.

3.3. RESULTS AND DISCUSSION

3.3.1. Synthesis and characterization of (µ-hydrido)(formato) Ni^{II}Ru^{II} complex 1

The water-soluble (μ -hydrido)(formato) Ni^{II}Ru^{II} complex, [Ni^{II}L(HCOO)(μ -H)Ru^{II}(η^{6} -Me₆Me₆)] (**1**), was synthesized by the reaction of the μ -hydrido Ni^{II}Ru^{II} complex, [Ni^{II}L(H₂O)(μ -H)Ru^{II}(η^{6} -C₆Me₆)](NO₃) {[**2**](NO₃)}, with one equivalent of HCOONa (equation 3.4), or by the reaction of the aqua Ni^{II}Ru^{II} complex, {[**3**](NO₃)₂}, with two equivalents of HCOONa in water.



Figure 3.2 shows the change of UV-vis spectra from $[2](NO_3)$ to 1. One equivalent of HCOONa (2.34 µmol) was added into $[2](NO_3)$ (2.34 µmol) in H₂O (2.0 mL). In the region between 250 and 370 nm, spectrum of 2 is shifted to spectrum of 1.



Figure 3.2 A UV-vis spectral change from (a) $[Ni^{II}L(H_2O)(\mu-H)Ru^{II}(\eta^6-C_6Me_6)]^+$ (2) to (b) $[Ni^{II}L(HCOO)(\mu-H)Ru^{II}(\eta^6-C_6Me_6)]$ (1).

3.3.2. Crystal of [Ni^{II}L(HCOO)(μ-H)Ru^{II}(η⁶-C₆Me₆)] (1)

The aqueous solution of [**3**](NO₃)₂ and HCOONa was stood overnight to gradually precipitate brow crystal of **1**, which structured of **1** was determined by X-ray analysis. (Figure 3.3).



Figure 3.3 An ORTEP drawing of **1** with ellipsoids at 50% probability. The hydrogen atoms of the ligand L (N, N'-dimethyl-3,7-diazanonane-1,8-dithiolato) and C₆Me₆ are omitted for clarity.

The framework of **1** is based around a NiS₂Ru butterfly core with a bridging hydride ion (Figure 3.3). The Ru-H distance (1.610 Å) in Ni(μ -H)Ru moiety of **1** is substantially shorter than the Ru-H distance (1.676 Å) in Ni(μ -H)Ru moiety of [**2**](NO₃) however, the distance of Ni-Ru of **1** (2.7780 Å) is longer than of [**2**](NO₃) (2.739 Å). The Ni atom of **1** adopts distorted octahedral coordination that consists of the hydrido and formato ligands at the axial site and the N₂S₂ donor ligand at the equatorial site. Similar to [**2**](NO₃), the bridging H atom is closer to the Ru atom (Ru-H = 1.610 Å, Ni-H = 1.88 Å) in the Ni(μ -H)Ru moiety of **1**. It is considered that two electrons of Ru-H

unit may be donated to Ni unit through the bridging H atom. The Ni-S-Ru angles are 71.13° and 71.14° for **1** are substantially larger than the Ni-S-Ru angles are 70.7° and 71.14° for [**2**](NO₃). The distance of Ni-O1 of **1** (O of COO⁻) is smaller than Ni-O1 of [**2**](NO₃) (O of H₂O).

| | [2](NO ₃] ^[27] | 1 |
|------------|---|------------|
| Ni-Ru1 | 2.739(3) | 2.7780(11) |
| Ni1-H1 | 1.859(7) | 1.88(6) |
| Ni1-O1 | 2.122(5) | 2.060(4) |
| Ni1-S1 | 2.359(10) | 2.3818(19) |
| Ni1-S2 | 2.362(9) | 2.3676(19) |
| Ni1-N1 | 2.119(3) | 2.1256(6) |
| Ni1-N2 | 2.117(4) | 2.120(6) |
| Ru1-H1 | 1.676(8) | 1.610(6) |
| Ru1-S1 | 2.375(11) | 2.3939(17) |
| Ru1-S2 | 2.388(7) | 2.4084(17) |
| Ru1-C11 | 2.229(5) | 2.220(6) |
| Ru1-C12 | 2.219(5) | 2.219(6) |
| Ru1-C13 | 2.209(4) | 2.219(6) |
| Ru1-C14 | 2.261(4) | 2.270(6) |
| Ru1-C15 | 2.227(4) | 2.237(6) |
| Ru1-C16 | 2.216(4) | 2.225(6) |
| O1-C10 | | 1.268(9) |
| O2-C10 | | 1.238(9) |
| | | |
| Ni1-S1-Ru1 | 70.7 (3) | 71.13(5) |
| Ni1-S2-Ru1 | 70.4(2) | 71.14(4) |

Table 3.1 Selected bond lengths (Å) and angles (deg) for [2](NO₃) and 1

3.3.3. IR spectrum of [Ni^{II}L(HCOO)(μ-H)Ru^{II}(η⁶-C₆Me₆)] [1]

An IR spectra of **1** in the region 1000-3000 cm⁻¹ region of 1 were presented in Figure 3.4 In the solid state (KBr disk), the peak at 1760 cm⁻¹ is assigned to v(Ni-H-Ru) that shift to 1248 cm⁻¹ by isotopic substitution of H with D. Similarly, the peak at 1349 cm⁻¹, which is assigned to δ (C-H of HCOO) shifts to 1329 cm⁻¹ by isotopic substitution of H with D.

The results are expected by Hooke's law calculation for Ni-H-Ru and HCOO stretching mode.



Figure 3.4 IR spectra of (a) $[Ni^{II}L(HCOO)(\mu-H)Ru^{II}(\eta^6-C^6Me^6)]$ (1) and (b) $[Ni^{II}L(DCOO)(\mu-D)Ru^{II}(\eta^6-C_6Me_6)]$ (double D-labelled 1) as KBr disks. An IR spectrum of 1 shows isotope-sensitive bands at 1349, 1760 and 2649 cm⁻¹, which shift to 1329, 1248 and 2114 cm⁻¹, respectively, after isotopic substitution of H by D in the hydrido and formato positions.

3.3.4. ESI mas spectrum of $[Ni^{II}L(HCOO)(\mu-H)Ru^{II}(\eta^6-C_6Me_6)]$ (1)

Positive- and negative-ion electrospray mass spectrometry (ESI-MS) was used to characterize **1** in an aqueous solution state. A positive-ion ESI mass spectrum of **1** in water exhibits a prominent signal at m/z 543.2 {relative intensity (I) = 100% in the range m/z 200–2000} (Figure 3.5). The signal has a characteristic isotopic distribution that matches well with the calculated isotopic distribution for [**1**–HCOO]⁺, which shifts to m/z 544.2 by the use of [Ni^{II}L(DCOO)(μ -D)Ru^{II}(η^6 -C₆Me₆)] (double D-labelled **1**).

A negative-ion ESI mass spectrum of 1 shows a HCOO⁻ adduct, which displays a prominent signal at m/z 633.2 (I = 100% in the range m/z 200–2000) (Figure 3.6), whose characteristic isotopic distribution matches well with the calculated isotopic distribution for $[1 + \text{HCOO}]^-$. The signal shifts to m/z 636.3 by using double D-labelled 1.



Figure 3.5 (a) A positive-ion ESI mass spectrum of $[Ni^{II}L(HCOO)(\mu-H)Ru^{II}(\eta^{6}-C_{6}Me_{6})]$ (1) in H₂O at pH 7.0. (b) Signal at *m/z* 543.2 corresponds to $[1 - HCOO]^{+}$. (c) Calculated isotopic distribution corresponds to $[1 - HCOO]^{+}$. (d) A positive-ion ESI mass spectrum of $[Ni^{II}L(DCOO)(\mu-D)Ru^{II}(\eta^{6}-C_{6}Me_{6})]$ (double D-labelled 1) in D₂O at pD 7.0. Signal at *m/z* 544.2 corresponds to [double D-labelled 1 – DCOO]^+.



Figure 3.6 (a) A negative-ion ESI mass spectrum of $[Ni^{II}L(HCOO)(\mu-H)Ru^{II}(\eta^{6}-C_{6}Me_{6})]$ (1) in H₂O at pH 7.0. (b) Signal at *m/z* 633.2 corresponds to $[1 + HCOO]^{-}$. (c) Calculated isotopic distribution corresponds to $[1 + HCOO]^{-}$. (d) A negative-ion ESI mass spectrum of $[Ni^{II}L(DCOO)(\mu-D)Ru^{II}(\eta^{6}-C_{6}Me_{6})]$ (double D-labelled 1) in D₂O at pD 7.0. Signal at *m/z* 636.2 corresponds to [double D-labelled 1 + DCOO]^{-}.

3.3.5. Effect of pH on H₂ evolution catalyzed by NiRu complex

The H₂ and CO₂ evolution was catalyzed by the (μ -hydrido)(formato) Ni^{II}Ru^{II} complex **1**, in which the H₂ and CO₂ gases were detected by GC. A pH-dependent profile of turnover numbers (TONs, mol of H₂ evolved/mol of catalyst) of H₂ evolution form HCOOH for 1 h at 60°C was presented in Figure 3.7. The rate of H₂ evolution was carried out in this study shows a maximum of TONs is 857 around pH 3.5. The pH dependence should be explained by a protonation process of a proposed low-valent species **B** (Figure 3.8) and by the stability of the complex, for example, the species is decomposed to unidentified mononuclear species below pH 3.0. It was confirmed that the reaction of **2** with H₂ and CO₂ in H₂O in the range of pH 2.0–9.0 at room temperature did not afford **1**.



Figure 3.7 pH-dependent H₂ evolution catalyzed by 2 (7.3 nmol) with HCOONa (146 μ mol) in water (510 μ L) for 1 h at 60°C. The maximum turnover number (TON) is 857 at pH 3.5.

3.3.6 Proposed mechanism of H₂ evolution

Figure 3.8 shows a proposed mechanism for H₂ evolution from HCOOH catalyzed by the (μ -hydrido)(formato) Ni^{II}Ru^{II} complex **1**. The (μ -hydrido)(formato) Ni^{II}Ru^{II} complex **1** is generated by the reaction of the μ -hydrido Ni^{II}Ru^{II} complex with HCOO⁻. Formation of CO₂ from **1** affords a dihydrido intermediate **A** and then reductive elimination of H₂ from **A** yields the low-valent species **B**. The species **B** reduces H⁺ to reform **2**. This reaction mechanism is the same as the H₂ activation mechanism by **2** except that HCOOH is used instead of H₂.^[34,35]



Fig 3.8 A Proposed mechanism of H₂ evolution from HCOOH catalyzed by a NiRu complex in acidic media.

3.4. CONCLUSIONS

We have achieved H₂ evolution from HCOOH catalyzed by a NiRu complexes, which base on [NiFe]H₂ase, without any need for additional energy input. Furthermore, we have structurally defined the reaction intermediate of the (μ -hydrido)(formato) Ni^{II}Ru^{II} complex during catalytic H₂ evolution.

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CHAPTER 4

CONCLUDING REMARKS

The original concept of my studies is to create the fusion technology by developing useful biological- and chemical- catalysts into the idea system, which can be used as an energy generator to produce "H₂" or activation of "formate". Therefore, I have focused on the development of a new biological and its mimetic catalysts. In this thesis, I have successfully purified and characterized a new formate dehydrogenase from our newly isolated bacterium *Citrobacter* sp. S-77. In addition, I have attempted to create the model complex of formate hydrogen lyase with the modified [NiFe]H₂ase model complex. In this Chapter, I summarized the main results and key findings in the concluding remarks.



Figure 4.1 Harmonized system between biological and chemical catalysts for application of renewable energy system.

Chapter 2

This study has attempted to find a new robust formate oxidizing enzymes from newly isolated bacteria from extreme environments. During the course of the studies, I found an O₂-stable membrane-bound formate dehydrogenase from the newly isolated bacterium *Citrobacter* sp. strain S-77. The strain was isolated from the water samples of a tepid spring at Aso-Kuju National Park, Kumamoto, Japan. In the previously studies, we have found another novel enzyme of a membrane-bound [NiFe]hydrogenase from the same bacterium. The MBH plays an effective anode catalyst for H₂ oxidation in polymer electrolyte fuel cell. Like the MBH, the purified FDH is a molybdenumcontaining enzyme, displaying a remarkable O₂-stability along with thermostability and a wide range of pH resistance. The purified FDHs77 was not contained a heme *b* subunit. The maximal activity (V_{max}) for formate oxidation at 30°C was 30.4 U/mg using benzyl viologen as an electron acceptor. This report is the first purification and characterization of a molybdenum containing FDH from the genus of *Citrobacter*. This newly found O₂-stable FDH_{S77} might be an important enzyme, which has a great potential for use as a biocatalyst in industrial applications.

Chapter 3

In order to develop the model system of FHL protein complex, I synthesized the (μ -hydrido)(formato) Ni^{II}Ru^{II} complex [Ni^{II}L(HCOO)(μ -H)Ru^{II}(η^{6} -C₆Me₆)], based on the [NiFe]hydrogenase model. The new model complex can effectively catalyze the oxidation of formic acid to H₂ and CO₂. The NiRu complex was developed by Ogo group is also capable of dihydrogen oxidation both directions of the cleavage and production of H₂. This is the first example of H₂ production using the mimic [NiFe]H₂ase. The catalytic reaction of the complex is not required for any additional energy. The FHL model complex may has function as an effective catalyst for H₂ production.

Taking these results together, the novel catalysts of the robust FDH and the FHL model complex have many potential abilities to be used as an efficient catalyst in H₂-based technology. The results described in this thesis should provide new insight into the development of the more effective biocatalysts and bio-inspired model complexes for formate activation and H₂ production, which may contribute to improved H₂-based technology.

List of Publications

Chapter 2

Molybdenum-containing membrane-bound formate dehydrogenase isolated from Citrobacter sp. S-77 having high stability against oxygen, pH, and temperature **Nguyen, N. T.**, Yatabe, T., Yoon, K.-S., Ogo, S. *J. Biosci. Bioeng.*, **2014**, 118, 386-391

Chapter 3

A [NiFe]hydrogenase model that catalyses the release of hydrogen from formic acid **Nguyen, N. T.**, Mori, Y., Matsumoto, T., Yatabe T., Kabe, R., Nakai, H., Yoon, K.-Y, Ogo, S. *Chem. Commum.*, **2014**, 50, 13385-13387

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