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Cohomology vanishing theorems on a domain exhausted by complete Kähler domains

By

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Dedicated to Prof. Joji Kajiwarra on his sixtieth birthday

1. Introduction

In this paper, we generalize the results of S. Nakano [11], H. Kazama [9], and J. P. Demailly [1]. Let X be a complex manifold of dimension n , and let E be a holomorphic vector bundle of rank r over X . Let $\Omega^p(E)$ denote the sheaf of germs of holomorphic p forms with values in E . S. Nakano [11] and H. Kazama [9] proved the following cohomology vanishing theorem.

THEOREM 1. *If X is weakly 1-complete and E is positive in the sense of S. Nakano, then*

$$H^q(X, \Omega^n(E)) = 0 \quad \text{for } q \geq 1.$$

As a generalization of the above result, J. P. Demailly [1] proved the following theorem.

THEOREM 2. *If X is a weakly pseudoconvex Kähler manifold and E is s -positive, then*

$$H^q(X, \Omega^n(E)) = 0$$

for $q \geq \max(1, n - s + 1)$, or for $q \geq 1$ if $s \geq r$.

As a corollary he also obtained the following results.

THEOREM 3. *If X is a weakly pseudoconvex Kähler manifold and E is positive in the sense of P. A. Griffiths, then*

$$H^q(X, \Omega^n(E \otimes \det E)) = 0 \quad \text{for } q \geq 1.$$

THEOREM 4. *Assume that $rs > 1$. If X is a weakly pseudoconvex Kähler manifold and E is positive in the sense of P. A. Griffiths, then*

$$H^q(X, \Omega^n(E^* \otimes (\det E)^s)) = 0$$

for $q \geq \max(1, n - s + 1)$, or for $q \geq 1$ if $s \geq r$.

In this paper, we prove some cohomology vanishing theorems for complex manifolds exhausted by complete Kähler domains. Since a weakly pseudoconvex Kähler manifold is exhausted by complete Kähler domains, our results are generalizations of the above results.

2. Definitions and preliminaries

Let X be a complex manifold. We consider the following condition (C.K.).

(C.K.) There exists a sequence $\{X_k; k = 1, 2, 3, \dots\}$ of open submanifolds of X such that $X = \cup X_k$, $X_k \subset\subset X_{k+1}$ for every k , and each X_k has a complete Kähler metric.

DEFINITION 1. If X satisfies the condition (C.K.), we say that X is exhausted by complete Kähler domains.

DEFINITION 2. A complex manifold X is said to be weakly pseudoconvex if there exists a plurisubharmonic function ϕ on X such that the open set $X_c = \{z \in X; \phi(z) < c\}$ is relatively compact in X for every real number c .

If X is weakly pseudoconvex, X_c is weakly pseudoconvex for every real number c (consider the function $1/(c - \phi(z))$). Thus we have the following proposition by using Theorem 1.3 of J.P.Demailly [1].

PROPOSITION 1. *If a weakly pseudoconvex manifold X has a Kähler metric, then X satisfies the condition (C.K.).*

Let ds^2 be a hermitian metric on a complex manifold X of dimension n , and let $E \rightarrow X$ be a holomorphic vector bundle of rank r with a hermitian metric h along the fibers. Let $\Theta_h = \bar{\partial}(h^{-1}\partial h)$ be the curvature form for h . Then Θ_h is a $\text{Hom}(E, E)$ -valued $(1, 1)$ form. Let TX (resp. T^*X) be the holomorphic tangent bundle (resp. holomorphic cotangent bundle) of X . Let $(s_1, s_2, \dots, s_n)$ be an

orthonormal frame of T^*X and let $(e_1, e_2, \dots, e_r)$ be that of E in a neighborhood U of $z_0 \in X$. We can write

$$\Theta_h = \sum c_{jklm} s_j \wedge \overline{s_k} \otimes e_l^* \otimes e_m$$

with $c_{jklm} = \overline{c_{kjml}}$, where $(e_1^*, e_2^*, \dots, e_r^*)$ is the dual frame of $(e_1, e_2, \dots, e_r)$. The functions c_{jklm} are continuous on U . We define a hermitian form θ_h on $TX \otimes E$ by

$$\theta_h(x, y) = \sum c_{jklm}(z) x_{jl} \overline{y_{km}} \quad \text{for } x, y \in T_z X \otimes E_z \ (z \in U),$$

where $x_{jl} = (s_j \otimes e_l^*)(x)$, $y_{km} = (s_k \otimes e_m^*)(y)$.

(This definition is independent of the choice of $(s_1, s_2, \dots, s_n)$ and $(e_1, e_2, \dots, e_r)$.)

DEFINITION 3. ([1]) Let T and E be complex vector spaces of dimension n and r respectively. A tensor $x \in T \otimes E$ is said to be rank s if s is the smallest nonnegative integer such that we can write

$$x = \sum_{j=1}^s t_j \otimes e_j, \quad t_j \in T, \ e_j \in E.$$

DEFINITION 4. ([1]) We say that a holomorphic vector bundle E over X is s -positive if there exists a hermitian metric h along the fibers such that $\theta_h(x, x) > 0$ for any non zero tensor $x \in T_z X \otimes E_z$ of rank $\leq s$ at each point $z \in X$.

REMARK 1. If $s \geq \min(n, r)$, the s -positiveness is equivalent to the positiveness in the sense of S. Nakano [11]. If $s = 1$, the 1-positiveness is equivalent to the positiveness in the sense of P. A. Griffiths [5].

3. Cohomology vanishing theorems

Let $\langle \cdot, \cdot \rangle$ be the pointwise inner product with respect to ds^2 and h . Let L be the multiplication of $\sqrt{-1}\omega$ from the left, where ω is the (1,1) form associated to ds^2 . Let Λ be the adjoint of L . We denote by $e(\Theta_h)$ the multiplication of Θ_h from the left. Let $z_0 \in X$ be fixed and let U be a neighborhood of z_0 such that there exist orthonormal frames $(s_1, s_2, \dots, s_n)$ and $(e_1, e_2, \dots, e_r)$. We can write

$$\omega = \frac{1}{2} \sum s_j \wedge \overline{s_j}$$

on U . For any vector $\mathbf{a} = (a_{J,l}) \in \mathbf{C}^m$ ($m = \text{rank } \wedge^{n,q} T^*X \otimes E$), we put

$$\alpha = \sum_J' \sum_l a_{J,l} s_1 \wedge \dots \wedge s_n \wedge \overline{s_J} \otimes e_l.$$

Then, we have

$$\Lambda\alpha = -2\sqrt{-1} \sum_K' \sum_{j,l} (-1)^{n+j} a_{jK,l} s_1 \wedge \cdots \wedge \hat{s}_j \wedge \cdots \wedge s_n \wedge \overline{s_K} \otimes e_l$$

and

$$\langle \sqrt{-1}e(\Theta_h)\Lambda\alpha, \alpha \rangle(z) = (C_{n,q})^2 \sum_K' \sum_{jklm} c_{jklm}(z) a_{jK,l} \overline{a_{kK,m}}$$

on U , where $C_{n,q}$ is a positive constant which depends only on n and q . Assume that E is $(n - q + 1)$ -positive (with respect to h). If we fix the multiindex K , $|K| = q - 1$, the rank of the matrix $(a_{jK,l})_{j,l}$ is at most $n - q + 1$. Let V be a neighborhood of z_0 with $V \subset\subset U$. Then

$$\langle \sqrt{-1}e(\Theta_h)\Lambda\alpha, \alpha \rangle(z) > 0$$

for any $z \in \overline{V}$ and for any $\mathbf{a} \in \mathbf{C}^m \setminus \{0\}$. Since $\langle \sqrt{-1}e(\Theta_h)\Lambda\alpha, \alpha \rangle(z)$ is a continuous function on the compact set $H = \overline{V} \times \{\mathbf{a} \in \mathbf{C}^m; \|\mathbf{a}\| = 1\}$, there exists a positive constant C_1 such that

$$\langle \sqrt{-1}e(\Theta_h)\Lambda\alpha, \alpha \rangle(z) > C_1$$

on H . For any $z \in V$, let $\beta \in \wedge^{n,q} T_z^* X \otimes E_z$ with $\langle \beta, \beta \rangle = 1$. We can write

$$\beta = (C_{n,q})^{-1} \sum_J' \sum_l \beta_{J,l} s_1 \wedge \cdots \wedge s_n \wedge \overline{s_J} \otimes e_l.$$

Then $(z, (\beta_{J,l})) \in H$ and we have

$$\langle \sqrt{-1}e(\Theta_h)\Lambda\beta, \beta \rangle \geq C_1 (C_{n,q})^{-2}.$$

So we have the following proposition.

PROPOSITION 2. *Let X be a complex manifold of dimension n with a hermitian metric ds^2 and let Y be a relatively compact open subset of X . Let E be a holomorphic vector bundle over X with a hermitian metric h along the fibers. Let $\langle \cdot, \cdot \rangle$ be the pointwise inner product with respect to ds^2 and h . Assume that E is $(n - q + 1)$ -positive with respect to h . Then there exists a positive constant C such that*

$$\langle \sqrt{-1}e(\Theta_h)\Lambda\beta, \beta \rangle(z) \geq C \langle \beta, \beta \rangle(z)$$

for any $\beta \in \wedge^{n,q} T^* Y \otimes E$ and for any $z \in Y$.

Let X be a complex manifold, and let $E \rightarrow X$ be a holomorphic vector bundle over X . We set $L_{loc}^{p,q}(X, E) = \{ \text{locally square integrable } E\text{-valued } (p, q) \text{ forms} \}$.

PROPOSITION 3. *Let X be a complex manifold of dimension n with a Kähler metric ds^2 and let Y be a relatively compact open subset of X with a complete Kähler metric $d\sigma^2$. Let E be a holomorphic vector bundle over X with a hermitian metric h along the fibers. Assume that E is $(n - q + 1)$ -positive with respect to h . Then for any $g \in L_{loc}^{n,q}(X, E)$ with $\bar{\partial}g = 0$ on Y , there exists an $f \in L_{loc}^{n,q-1}(Y, E)$ such that $\bar{\partial}f = g$ on Y .*

PROOF Let $\langle \cdot, \cdot \rangle$ be the pointwise inner product with respect to ds^2 and h , and let dv be the volume form on Y with respect to ds^2 . For any $\beta \in \wedge^{n,q}T^*Y \otimes E$,

$$|\langle g, \beta \rangle|^2 \leq \langle g, g \rangle \langle \beta, \beta \rangle \leq \langle g, g \rangle C^{-1} \langle \sqrt{-1}(\Theta_h) \Lambda \beta, \beta \rangle$$

from Proposition 2. Since

$$\int_Y \langle g, g \rangle dv < \infty, \quad \int_Y \langle g, g \rangle C^{-1} dv < \infty,$$

the proposition follows from Theorem 4.1 of J. P. Demailly [1].

The idea of the proof of the following theorem owes to S. Nakano and T. S. Rhai [12].

THEOREM 5. *Let X be a complex manifold of dimension n which is exhausted by complete Kähler domains $\{X_k\}$. Let $E \rightarrow X$ be a holomorphic vector bundle of rank r over X . Assume that $E|_{X_k}$ is s -positive for each k , and that one of the following conditions is satisfied:*

- (1) $q \geq \max(2, n - s + 2)$,
- (2) $s \geq r$ and $q \geq 2$.

Then for any $\varphi \in L_{loc}^{n,q}(X, E)$ with $\bar{\partial}\varphi = 0$, there exists a $\psi \in L_{loc}^{n,q-1}(X, E)$ such that $\bar{\partial}\psi = \varphi$ on X .

PROOF We take real valued C^∞ functions χ_k ($k = 2, 3, \dots$) such that $0 \leq \chi_k \leq 1$, $\chi_k = 1$ on a neighborhood of $\overline{X_{k-1}}$, $\text{supp} \chi_k \subset X_k$. By Proposition 3, we have $\varphi = \bar{\partial}\psi_3$ on X_3 , where $\psi_3 \in L_{loc}^{n,q-1}(X_3, E)$. Set $\varphi_3 = \varphi - \bar{\partial}(\chi_3\psi_3)$. Then $\varphi_3 \in L_{loc}^{n,q}(X, E)$, $\bar{\partial}\varphi_3 = 0$, $\text{supp} \varphi_3 \subset X \setminus X_2$, and $\text{supp}(\chi_3\psi_3) \subset X_3$.

By Proposition 3, we have $\varphi_3 = \bar{\partial}\psi'_4$ on X_4 , where $\psi'_4 \in L_{loc}^{n,q-1}(X_4, E)$. Then we have $\bar{\partial}\psi'_4 = 0$ on X_2 . By Proposition 3, there exists an $\eta_4 \in L_{loc}^{n,q-2}(X_2, E)$ such that $\psi'_4 = \bar{\partial}\eta_4$. Putting $\psi_4 = \psi'_4 - \bar{\partial}(\chi_2\eta_4)$, we have $\varphi_3 = \bar{\partial}\psi_4$ on X_4 , $\psi_4 \in L_{loc}^{n,q-1}(X_4, E)$, $\psi_4 = 0$ on a neighborhood of $\overline{X_1}$. As for $\varphi_4 = \varphi_3 - \bar{\partial}(\chi_4\psi_4)$, we have that $\varphi_4 \in L_{loc}^{n,q}(X, E)$, $\bar{\partial}\varphi_4 = 0$, $\text{supp}\varphi_4 \subset X \setminus X_3$, and $\text{supp}(\chi_4\psi_4) \subset X_4 \setminus X_1$. Thus proceeding, we obtain $\varphi_k \in L_{loc}^{n,q}(X, E)$ and $\psi_k \in L_{loc}^{n,q-1}(X_k, E)$ such that $\varphi_k = \varphi_{k-1} - \bar{\partial}(\chi_k\psi_k)$, $\text{supp}\varphi_k \subset X \setminus X_{k-1}$, $\text{supp}(\chi_k\psi_k) \subset X_k \setminus X_{k-3}$ for $k \geq 3$ ($X_0 = \emptyset$, $\varphi_2 = \varphi$). Hence putting $\psi = \sum_{k \geq 2} \chi_k\psi_k$, we see that $\psi \in L_{loc}^{n,q-1}(X, E)$ and $\varphi = \bar{\partial}\psi$. This completes the proof.

Let $\Omega^n(E)$ denote the sheaf of germs of holomorphic n forms with values in E . Since

$$H^q(X, \Omega^n(E)) \cong \frac{\{f \in L_{loc}^{n,q}(X, E); \bar{\partial}f = 0\}}{\{f \in L_{loc}^{n,q}(X, E); \bar{\partial}g = f \text{ for some } g \in L_{loc}^{n,q-1}(X, E)\}}$$

(cf. L. Hörmander [8], T. Ohsawa [13]), we have the following cohomology vanishing theorem.

THEOREM 6. *Let X be a complex manifold of dimension n which is exhausted by complete Kähler domains $\{X_k\}$, and let $E \rightarrow X$ be a holomorphic vector bundle of rank r . Assume that $E|_{X_k}$ is s -positive for each k . Then,*

$$H^q(X, \Omega^n(E)) = 0$$

for $q \geq \max(2, n - s + 2)$, or for $q \geq 2$ if $s \geq r$.

COROLLARY 1. *Let X be a complex manifold of dimension n which is exhausted by complete Kähler domains $\{X_k\}$, and let $E \rightarrow X$ be a holomorphic vector bundle over X . Assume that $E|_{X_k}$ is positive in the sense of S. Nakano for each k . Then,*

$$H^q(X, \Omega^n(E)) = 0 \quad \text{for } q \geq 2.$$

Let E be a holomorphic vector bundle of rank r over a complex manifold X . We put $\det E = \wedge^r E$. Assume that E is positive in the sense of P. A. Griffiths. Then $E \otimes \det E$ is positive in the sense of S. Nakano. Moreover $E^* \otimes (\det E)^s$ is s -positive if $rs > 1$ [1]. Therefore we have the following corollaries.

COROLLARY 2. *Let X be a complex manifold of dimension n which is exhausted by complete Kähler domains $\{X_k\}$, and let $E \rightarrow X$ be a holomorphic*

vector bundle over X . Assume that $E|X_k$ is positive in the sense of P. A. Griffiths for each k . Then,

$$H^q(X, \Omega^n(E \otimes \det E)) = 0 \quad \text{for } q \geq 2.$$

COROLLARY 3. *Let X be a complex manifold of dimension n which is exhausted by complete Kähler domains $\{X_k\}$, and let $E \rightarrow X$ be a holomorphic vector bundle of rank r over X . Assume that $E|X_k$ is positive in the sense of P. A. Griffiths for each k and $rs > 1$. Then,*

$$H^q(X, \Omega^n(E^* \otimes (\det E)^s)) = 0$$

for $q \geq \max(2, n - s + 2)$, or for $q \geq 2$ if $s \geq r$.

THEOREM 7. *Let X be a complex manifold of dimension n which is exhausted by complete Kähler domains $\{X_k\}$. Assume that, for each k , there exists a C^∞ strictly plurisubharmonic function on X_k . Then for any holomorphic vector bundle E over X ,*

$$H^q(X, \mathcal{O}(E)) = 0 \quad \text{for } q \geq 2.$$

PROOF Let $F = K^{-1} \otimes E$, where K is the canonical bundle over X . Since there exists a C^∞ strictly plurisubharmonic function on X_{k+1} , there exists a metric along the fibers of $F|X_k$ such that $F|X_k$ is positive in the sense of S. Nakano. By Corollary 1, we have $H^q(X, \Omega^n(F)) = 0$ for $q \geq 2$. Since $\mathcal{O}(E) \cong \Omega^n(K^{-1} \otimes E) = \Omega^n(F)$, we have $H^q(X, \mathcal{O}(E)) = 0$ for $q \geq 2$.

REMARK 2. Let X be a complex manifold which is the union of an increasing sequence of Stein open submanifolds $\{S_i\}$ such that X is not Stein ([2],[3],[4]). Since each S_i satisfies the condition (C.K.) by Proposition 1, X also satisfies the condition (C.K.). Let $X = \cup X_k$, $X_k \subset \subset X_{k+1}$, and each X_k is a complete Kähler manifold. For each k , there exists a C^∞ strictly plurisubharmonic function on X_k , since X_k is contained in some S_i . Therefore X satisfies the assumption of Theorem 7. If Theorem 5 holds when $q = 1$, we can show that $H^1(X, \mathcal{O}(E)) = 0$ for any holomorphic vector bundle E over X as in the proof of Theorem 7. Let E be the trivial line bundle, then $H^1(X, \mathcal{O}) = 0$. Since X is the union of an increasing sequence of Stein open submanifolds and $H^1(X, \mathcal{O}) = 0$, by the result of A. Markoe [10], X is a Stein manifold. This is a contradiction.

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