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## Note on Stein neighborhoods of $C^k \times R^l$

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### Introduction

In §1 we investigate properties of Stein neighborhoods of  $C^k \times R^l := \{(z, w) \in C^k \times C^l; \operatorname{Im} w_i = 0, 1 \leq i \leq l\}$  in  $C^k \times C^l$  (Theorem 1). As a consequence we show that  $C^k \times R^l$  has no Stein neighborhood bases in  $C^k \times C^l$  (Corollary 1). We take an open neighborhood  $U := \{(z, w) \in C^2; |\operatorname{Im} w| < (1 + |z|)^{-1}\}$  of  $C \times R$ . In §2 we find a  $\bar{\partial}$ -closed  $(0, 1)$ -form which is not  $\bar{\partial}$ -exact in any open neighborhood of  $C \times R$  in  $U$  (Lemma 5). Let  $U = \{A_i\}$  be an open covering of  $C$  and  $f_{ij}(z, t)$  real analytic functions in  $(A_i \cap A_j) \times R$  so that  $f_{ij}$  is holomorphic in  $z \in (A_i \cap A_j)$  and  $f_{ij} + f_{jk} = f_{ik}$ . Such  $f_{ij}$  are called Cousin data depending on the parameter  $t \in R$ . We say that  $\{f_i\}$  is a solution for Cousin data  $f_{ij}$ , if  $\{f_i\}$  satisfies the following properties. (i)  $f_i: A_i \times R \rightarrow C$  is real analytic and holomorphic in  $z \in A_i$ . (ii)  $f_{ij} = f_j - f_i$  in  $(A_i \cap A_j) \times R$ . We ask whether there exists a solution  $\{f_i\}$  for given Cousin data  $f_{ij}$ . By the result of [1] and [2] we have  $\{g_i\}$  satisfies the following statements (i)  $g_i: A_i \times R \rightarrow C$  is of class  $C^\infty$  and holomorphic in  $z \in A_i$ . (ii)  $f_{ij} = g_j - g_i$  in  $(A_i \cap A_j) \times R$ . In §2, using the  $\bar{\partial}$ -closed  $(0, 1)$ -form obtained in Lemma 5, we make Cousin data depending real analytically on the parameter  $t \in R$  which have no solutions (Proposition 2 and Theorem 2). In §3 we treat the Cauchy-Riemann equation  $\frac{\partial}{\partial \bar{z}} f(z, t) = g(z, t)$  when  $g(z, t)$  is real analytic in  $C \times R$ . Piccinini [9] showed that for some  $g(z, t)$  the above equation has no global solution. As an application of Lemma 5 and proposition 2 we shall give another proof of the Piccinini's result (Theorem 3).

### 1. Stein neighborhoods of $C^k \times R^l$ in $C^k \times C^l$

We use the following notations throughout this paper. We put  $\|a\| := \max\{|a_i|; 1 \leq i \leq m\}$  for an  $m$ -tuple  $a = (a_1, \dots, a_m)$ . And the notation  $\{equalities \text{ and inequalities involving functions } h_1, \dots, h_m\}$  denotes the set of

all points in the intersection of the domains of definition of  $h_1, \dots, h_m$  satisfying the given equalities and inequalities. Let  $z = (z_1, \dots, z_k)$  be the coordinate of  $C^k$  and  $w = (w_1, \dots, w_l)$  the coordinate of  $C^l$ .

We recall the following lemma by [7] which is also implicitly due to [6, Lemma 9].

LEMMA 1. *Let  $\pi: S \rightarrow C^k \times C^l$  be a (unramified Riemann) domain of holomorphy over  $C^k \times C^l$  ( $k, l \geq 1$ ),  $A_r := \{(w_1, \dots, w_l) \in C^l; |w_j - a_j| < r_j, 1 \leq j \leq l\}$  where  $r = (r_1, \dots, r_l)$ ,  $r_j > 0$  and  $(a_1, \dots, a_l) \in C^l$  and let  $\varepsilon = (\varepsilon_1, \dots, \varepsilon_l)$  for  $\varepsilon_j \geq 0$  ( $1 \leq j \leq l$ ). Further assume there exist an open subset  $V_1$  of  $S$  and  $\delta > 0$  such that  $\pi|_{V_1}$  is biholomorphic into  $C^k \times C^l$  and  $\pi(V_1) \supset (C^k \times A_r) \cup \{\|z\| < \delta\} \times A_{r+\varepsilon}$ , where  $A_{r+\varepsilon} := \{|w_j - a_j| < r_j + \varepsilon_j, 1 \leq j \leq l\}$ . Then there exists an open subset  $V_2$  of  $S$  with  $V_1 \subset V_2$  such that  $\pi|_{V_2}$  is biholomorphic into  $C^k \times C^l$  and  $\pi(V_2) \supset C^k \times A_{r+\varepsilon}$ .*

PROOF. We may assume  $a_1 = \dots = a_l = 0$ . Let  $f \in H^0(S, \mathcal{O}_S)$ . Then  $f$  can be expanded in the power series:  $f|_{(\pi|_{V_1})^{-1}(C^k \times A_r)}(x) = \sum_{\nu, \mu} a_{\nu\mu}(z \cdot \pi(x))^\nu (w \cdot \pi(x))^\mu$ , where  $(z \cdot \pi(x))^\nu = (z_1 \cdot \pi(x))^{\nu_1} \dots (z_k \cdot \pi(x))^{\nu_k}$  and  $(w \cdot \pi(x))^\mu = (w_1 \cdot \pi(x))^{\mu_1} \dots (w_l \cdot \pi(x))^{\mu_l}$ . Then the power series  $F(z, w) := \sum_{\nu, \mu} a_{\nu\mu} z^\nu w^\mu$  converges in  $(C^k \times A_r) \cup \{\|z\| < \delta\} \times A_{r+\varepsilon}$ . We put  $D_d := (\{\|z\| < d\} \times \{|w_j| < r_j, 1 \leq j \leq l\}) \cup (\{\|z\| < \delta\} \times \{|w_j| < r_j + \varepsilon_j, 1 \leq j \leq l\})$  for  $d > \delta$ . The envelope of holomorphy of  $D_d$  is the smallest logarithmically convex complete Reinhardt domain  $\hat{D}_d := \{\|z\| < d, |w_j| < r_j + \varepsilon_j, \log |w_j| - \log r_j < \frac{\log d - \log \|z\|}{\log d - \log \delta} (\log r_j + \varepsilon_j) - \log r_j\}$  which contains  $D_d$  (for instance see [10]). This implies that  $\bigcup_{d > \delta} \hat{D}_d = C^k \times A_{r+\varepsilon}$ . Since  $F$  can be continued holomorphically to  $\hat{D}_d$  for any  $d > \delta$ , hence  $F$  converges in  $C^k \times A_{r+\varepsilon}$ . We can find an open subset  $V_2$  of  $S$  with the required properties, for  $\pi: S \rightarrow C^k \times C^l$  is a domain of holomorphy (for instance see [6, Theorem 18, p. 55]).

PROPOSITION 1. *Let  $D$  be an open and connected Stein subset of  $C^k \times C^l$ . If there exists a non-empty open subset  $V$  of  $C^l$  such that  $C^k \times V \subset D$ , then one can find a Stein open subset  $V^*$  of  $C^l$  so that  $D = C^k \times V^*$ .*

PROOF. Let  $(z^1, w^1) \in D - C^k \times V$ . We take a fixed point  $(z^0, w^0) \in C^k \times V$  and a continuous curve  $(z(t), w(t)): [0, 1] \rightarrow D$  such that  $(z(0), w(0)) = (z^0, w^0)$  and  $(z(1), w(1)) = (z^1, w^1)$ . We put  $\delta := \inf \{d((z(t), w(t)), \partial D);$

$0 \leq t \leq 1$ }, where  $d((z(t), w(t)), \partial D)$  is the distance from  $(z(t), w(t))$  to the boundary of  $D$ . Then  $\cup_{0 \leq t \leq 1} \{\|z - z(t)\| < \delta/\sqrt{k+l}, \|w - w(t)\| < \delta/\sqrt{k+l}\} \subset D$ . We choose  $0 = t_0 < t_1 < \dots < t_s = 1$  so that  $(z(t_{i+1}), w(t_{i+1})) \in \{\|z - z(t_i)\| < \delta/\sqrt{k+l}, \|w - w(t_i)\| < \delta/\sqrt{k+l}\}$  for  $0 \leq i \leq s-1$ . Since  $(z(0), w(0)) \in C^k \times V$  and  $\{\|z - z(0)\| < \delta/\sqrt{k+l}, \|w - w(0)\| < \delta/\sqrt{k+l}\} \subset D$ , there exists  $\varepsilon > 0$  such that  $C^k \times \{\|w - w(0)\| < \varepsilon\} \cup \{\|z - z(0)\| < \delta/\sqrt{k+l}, \|w - w(0)\| < \delta/\sqrt{k+l}\} \subset D$ . Then by Lemma 1 we have  $C^k \times \{\|w - w(0)\| < \delta/\sqrt{k+l}\} \subset D$ . We put  $\delta_1 := \min\{\|w - w(t_1)\|; \|w - w(0)\| = \delta/\sqrt{k+l}\} > 0$ . Then  $C^k \times \{\|w - w(t_1)\| < \delta_1\} \subset D$ . By Lemma 1  $C^k \times \{\|w - w(t_1)\| < \delta/\sqrt{k+l}\} \subset D$ . Repeating this argument on  $t_i$  ( $2 \leq i \leq s$ ), finally we have  $C^k \times \{\|w - w^1\| < \delta/\sqrt{k+l}\} \subset D$ .

We regard  $R^l$  as the real analytic submanifold  $C^1 \cap \{\text{Im} w_j = 0, 1 \leq j \leq l\}$  of  $C^l$ .

**LEMMA 2.** *Let  $G$  be a non-empty open subset  $R^l$  and  $D$  a Stein open neighborhood of  $C^k \times G$  in  $C^k \times C^l$ . Then, for any  $u^0 = (u_1^0, \dots, u_l^0) \in G$ , there exists an open neighborhood  $V(u^0)$  of  $u^0$  in  $C^l$  such that  $C^k \times V(u^0) \subset D$ .*

**PROOF.** First we prove the lemma in the case  $l=1$ . In this case we may suppose  $G = \{u \in R; a < u < b\}$ , where  $-\infty \leq a < b \leq \infty$  and  $D$  is a Stein open neighborhood of  $C^k \times G$  in  $C^k \times C$ . We denote by  $D_1$  the connected component of  $\{(z, w) \in D; \text{Re } w \in G\}$  which intersects  $C^k \times G$ . Then  $D_1$  is also a Stein open neighborhood of  $C^k \times G$  in  $C^k \times C$ . We put  $D_1(+1) := D_1 \cup C^k \times \{w; \text{Im } w > 0, \text{Re } w \in G\}$ ,  $D_1(-1) := D_1 \cup C^k \times \{w; \text{Im } w < 0, \text{Re } w \in G\}$ . Let  $D_1(+1, t) := \{(z, w); (z, w) \in D_1(+1), a + t < \text{Re } w < b - t\}$  for  $0 < t < (b - a)/2$ . It is easy to check that  $D_1(+1, t)$  is a locally Stein open subset of  $C^2$ . Then  $D_1(+1, t)$  is a Stein open set. By the result of [3]  $D_1(+1) = \cup_{0 < t < (b-a)/2} D_1(+1, t)$  is also a Stein open set. Similarly we can show that  $D_1(-1)$  is also a Stein open set. Let  $u^0 \in G$ . We put  $\varepsilon := (1/2) \min\{u^0 - a, b - u^0\}$ . Then  $C^k \times \{w \in C; |w + (u^0 + \sqrt{-1}\varepsilon)| < \varepsilon\} \subset D_1(+1)$  and there exists  $\delta$  such that  $0 < \delta < \varepsilon$  and  $\{\|z\| < \delta\} \times \{|w - u^0| < \delta\} \subset D_1 \subset D_1(+1)$ . This means that  $C^k \times \{w \in C; |w - (u^0 + \sqrt{-1}\varepsilon)| < \varepsilon\} \cup \{\|z\| < \delta\} \times \{|w - (u^0 + \sqrt{-1}\varepsilon)| < \sqrt{\varepsilon^2 + \delta^2}\} \subset D_1 \subset D_1(+1)$ . By Lemma 1 we have  $C^k \times \{|w - (u^0 + \sqrt{-1}\varepsilon)| < \sqrt{\varepsilon^2 + \delta^2}\} \subset D_1(+1)$  and then

$$(1.1) \quad C^k \times \{\text{Im } w \leq 0, |w - u^0| < \sqrt{\varepsilon^2 + \delta^2} - \varepsilon\} \subset D_1.$$

Similarly, since  $\mathbf{C}^k \times \{|w - (u^0 - \sqrt{-1}\varepsilon)| < \varepsilon\} \subset D_1(-1)$  and  $\{\|z\| < \delta\} \times \{|w - (u^0 - \sqrt{-1}\varepsilon)| < \sqrt{\varepsilon^2 + \delta^2}\} \subset D_1(-1)$ , we have, by Lemma 1,  $\mathbf{C}^k \times \{|w - u^0| < \sqrt{\varepsilon^2 - \delta^2} - \varepsilon\} \subset D_1(-1)$  and then

$$(1.2) \quad \mathbf{C}^k \times \{\operatorname{Im} w \geq 0, |w - u^0| < \sqrt{\varepsilon^2 + \delta^2} - \varepsilon\} \subset D_1.$$

From (1.1) and (1.2) we complete the proof of the lemma in the case  $l=1$ . Next we assume  $l=2$ . Let  $u^0 = (u_1^0, u_2^0) \in G \subset \mathbf{R}^2$ . We choose  $\gamma > 0$  so that  $G_1 := \{u = (u_1, u_2); (u_1, u_2) \in \mathbf{R}^2, |u_i - u_i^0| < \gamma \ i=1, 2\} \subset G$  and  $\{\|z\| < \gamma\} \times \{(w_1, w_2); (w_1, w_2) \in \mathbf{C}^2, |w_i - u_i^0| < \gamma \ i=1, 2\} \subset D$ . For  $u_2 \in \mathbf{R}$  satisfying  $|u_2 - u_2^0| < \gamma$ , we put  $D(u_2) := \{(z, w_1) \in \mathbf{C}^k \times \mathbf{C}; (z, w_1, u_2) \in D, |\operatorname{Re} w_1 - u_1^0| < \gamma\}$  and for  $\theta = \pm 1$   $D(u_2, \theta) := D(u_2) \cup \mathbf{C}^k \times \{w_1 \in \mathbf{C}; \theta \operatorname{Im} w_1 \geq 0, |\operatorname{Re} w_1 - u_1^0| < \gamma\}$ . Then  $D(u_2, \theta)$  is a domain of holomorphy for  $\theta = \pm 1$  and  $u_2 \in \mathbf{R}$  satisfying  $|u_2 - u_2^0| < \gamma$ . And we have  $\mathbf{C}^k \times \{w_1 \in \mathbf{C}; |w_1 - (u_1 + \sqrt{-1}\gamma/3)| < \gamma/3\} \subset D(u_2, +1)$  and  $\{\|z\| < \gamma\} \times \{w_1 \in \mathbf{C}; |w_1 - (u_1 + \sqrt{-1}\gamma/3)| < 2\gamma/3\} \subset D(u_2, +1)$  for  $(u_1, u_2) \in \{(u_1, u_2) \in \mathbf{R}^2; |u_1 - u_1^0| < \gamma/3, |u_2 - u_2^0| < \gamma\}$ . By Lemma 1 we obtain  $\mathbf{C}^k \times \{w_1 \in \mathbf{C}; |w_1 - (u_1 + \sqrt{-1}\gamma/3)| < 2\gamma/3\} \subset D(u_2, +1)$  for  $(u_1, u_2) \in \{(u_1, u_2) \in \mathbf{R}^2; |u_1 - u_1^0| < \gamma/3, |u_2 - u_2^0| < \gamma\}$ . Similarly for  $\theta = -1$  we have  $\mathbf{C}^k \times \{w_1 \in \mathbf{C}; |w_1 - (u_1 - \sqrt{-1}\gamma/3)| < 2\gamma/3\} \subset D(u_2, -1)$  for  $(u_1, u_2) \in \{(u_1, u_2) \in \mathbf{R}^2; |u_1 - u_1^0| < \gamma/3, |u_2 - u_2^0| < \gamma\}$ . Put  $U_1 := \{w_1 \in \mathbf{C}; |\operatorname{Re} w_1 - u_1^0| < \gamma/3, |\operatorname{Im} w_1| < \gamma/3\}$ . Then  $\mathbf{C}^k \times U_1 \times \{u_2 \in \mathbf{R}; |u_2 - u_2^0| < \gamma\} \subset D$ . Consider the domains  $D^* := \{(z, w_1, w_2) \in D; w_1 \in U_1, |\operatorname{Re} w_2 - u_2^0| < \gamma\}$  and for  $\theta = \pm 1$   $D^*(\theta) := D^* \cup \mathbf{C}^k \times U_1 \times \{w_2 \in \mathbf{C}; \theta \operatorname{Im} w_2 \geq 0, |\operatorname{Re} w_2 - u_2^0| < \gamma\}$ . Then  $D^*(\theta)$  is a domain of holomorphy for  $\theta = \pm 1$ . Since  $\mathbf{C}^k \times U_1 \times \{|w_2 - (u_2^0 + \sqrt{-1}\theta\gamma/2)| < \gamma/2\} \subset D^*(\theta)$  and  $\{\|z\| < \gamma\} \times U_1 \times \{|w_2 - (u_2^0 + \sqrt{-1}\theta\gamma/2)| < \gamma\} \subset D^*(\theta)$ , by Lemma 1 we have  $\mathbf{C}^k \times U_1 \times \{|w_2 - u_2^0| < \gamma/2\} \subset D^*$ . This completes the proof of the lemma in the case  $l=2$ . For  $l \geq 3$  we can prove the lemma similarly to the case  $l=2$ .

The following theorem is a consequence of Proposition 1 and Lemma 2.

**THEOREM 1.** *Let  $G$  be a non-empty open and connected subset of  $\mathbf{R}^l$ . Then  $D$  is a Stein open and connected neighborhood of  $\mathbf{C}^k \times G$  in  $\mathbf{C}^k \times \mathbf{C}^l$  if and only if there exists a Stein open and connected neighborhood  $V$  of  $G$  in  $\mathbf{C}^l$  such that  $D = \mathbf{C}^k \times V$ .*

Putting  $G := \mathbf{R}^l$  in Theorem 1, we have a corollary of Theorem 1.

**COROLLARY 1.** *If  $k, l \geq 1$ , then  $\mathbf{C}^k \times \mathbf{R}^l$  has no Stein neighborhood bases in  $\mathbf{C}^k \times \mathbf{C}^l$ .*

PROOF. Take the open neighborhood  $U := \{(z, w); \sum_{j=1}^l |\operatorname{Im} w_j| \leq (1 + \sum_{i=1}^k |z_i|)^{-1}\}$  of  $\mathbb{C}^k \times \mathbb{R}^l$  in  $\mathbb{C}^k \times \mathbb{C}^l$ . Then there is no open and non-empty subset  $V$  of  $\mathbb{C}^l$  such that  $\mathbb{C}^k \times V \subset U$ . This means by Theorem 1 that we cannot find a Stein open neighborhood  $D$  of  $\mathbb{C}^k \times \mathbb{R}^l$  so that  $\mathbb{C}^k \times \mathbb{R}^l \subset D \subset U$ .

## 2. The first Cousin problems depending real analytically on a parameter

Let  $\{U_i\}$  be a Stein open covering of Stein manifold  $X$  and  $g_{ij}(z, t): U_i \cap U_j \times \mathbb{R} \rightarrow \mathbb{C}$  be of class  $C^\infty$  and holomorphic in  $z \in U_i \cap U_j$  so that  $g_{ij} + g_{ik} = g_{jk}$ . Then  $g_{ij}$  are called Cousin data depending differentiably on the parameter  $t \in \mathbb{R}$ . By the result of [1] and [2] we have  $H^p(X, \mathcal{O}^F) = 0 (p \geq 1)$ , where  $F$  denotes the Frechet space of all  $C^\infty$  functions on  $\mathbb{R}$  and  $\mathcal{O}^F$  is the sheaf of germs of  $F$ -valued holomorphic functions on  $X$ . Then we get  $\{g_i: U_i \times \mathbb{R} \rightarrow \mathbb{C}; g_i \text{ are of class } C^\infty \text{ in } U_i \times \mathbb{R} \text{ and holomorphic in } z \in U_i\}$  so that  $g_{ij} = g_j - g_i$ ; in other words, the first Cousin problem on  $X$  depending differentiably on the parameter  $t$  has a solution.

In this section we consider the first Cousin problem depending real analytically on a parameter.

In the rest of this paper we denote by  $(z, w)$  the coordinate of  $\mathbb{C}^2$ .

LEMMA 3. *Let  $V$  be a connected and simply connected open subset of  $\mathbb{C}^2$  with  $\mathbb{C} \times \{\operatorname{Im} w \leq 0\} \subset V$  and  $V(z) := \{w; (z, w) \in V\}$  for  $z \in \mathbb{C}$ . Then  $\mathbb{C} \times \bigcup_{z \in \mathbb{C}} V(z)$  is the envelope of holomorphy of  $V$ .*

PROOF. Let  $\pi: \hat{V} \rightarrow \mathbb{C}^2$  be the envelope of holomorphy of  $V$  with the injection  $i: V \rightarrow \hat{V}$ . We take a point  $w^* \in \bigcup_{z \in \mathbb{C}} V(z)$ . Then we have  $z^* \in \mathbb{C}$  such that  $(z^*, w^*) \in V$ . Let  $T := \{z(t), w(t) \in V; t \in [0, 1]\}$  be a continuous curve from  $(z(0), w(0)) = (0, -\sqrt{-1}) \in \mathbb{C} \times \{\operatorname{Im} w \leq 0\} \subset V$  to  $(z(1), w(1)) = (z^*, w^*)$ . Using the result of Lemma 1 and applying the technique of the proof of proposition 1 to the curve  $T$ , we have an open subset  $W^*$  of  $\hat{V}$  with  $i(V) \subset W^*$  and an open neighborhood  $V^*$  of  $\{\operatorname{Im} w \leq 0\} \cup \{w(t); t \in [0, 1]\}$  in  $\mathbb{C}$  so that  $W^*$  is mapped homeomorphically onto  $\mathbb{C} \times V^*$  by  $\pi$ . Since  $V$  is simply connected, the subset  $(\pi|_{w^*})^{-1}(T)$  doesn't depend on the choice of curves in  $V$  from  $(0, -\sqrt{-1})$  to  $(z^*, w^*)$  and then we have an open subset  $W$  of  $\hat{V}$  with  $i(V) \subset W$  which is mapped homeomorphically onto  $\mathbb{C} \times \bigcup_{z \in \mathbb{C}} V(z)$ . For  $\mathbb{C} \times \bigcup_{z \in \mathbb{C}} V(z)$  is a domain of holomorphy, we have the assertion of the lemma.

LEMMA 4. *Let  $D$  be a connected and simply connected open neighborhood of  $\mathbf{C} \times \mathbf{R}$  in  $\mathbf{C}^2$  and  $D(z) := \{w; (z, w) \in D\}$  for  $z \in \mathbf{C}$ . Then  $\mathbf{C} \times \bigcup_{z \in \mathbf{C}} D(z)$  is the envelope of holomorphy of  $D$ .*

PROOF. Let  $\pi: \hat{D} \rightarrow \mathbf{C}^2$  be the envelope of holomorphy of  $D$  with the injection  $j: D \rightarrow \hat{D}$ . Since  $j(D) \cup (\hat{D} - \pi^{-1}(\mathbf{C} \times \mathbf{R}))$  is pseudoconvex and  $j(D) \cup (\hat{D} - \pi^{-1}(\mathbf{C} \times \mathbf{R})) \subset \hat{D}$ , we have  $\pi^{-1}(\mathbf{C} \times \mathbf{R}) \subset j(D)$ . In the following argument  $\theta$  denotes  $+1$  or  $-1$ . We put  $\hat{D}(\theta) := \pi^{-1}(\mathbf{C} \times \{\theta \operatorname{Im} w < 0\}) \cup j(D)$ . We make a Riemann domain  $D^*(\theta)$  out of the disjoint union  $\hat{D}(\theta) \cup \mathbf{C} \times \{\theta \operatorname{Im} w > 0\}$ . To do so we identify  $p \in \hat{D}(\theta)$  and  $(z, w) \in \mathbf{C} \times \{\theta \operatorname{Im} w > 0\}$  if  $p \in j(D)$  and  $\pi(p) = (z, w)$ . The map  $\pi$  extends to  $D^*(\theta)$  if we define it as the identity on  $\mathbf{C} \times \{\theta \operatorname{Im} w > 0\}$ . Easily we can check that  $D^*(\theta)$  is  $p_\gamma$ -convex in the sense of [3]. Since any holomorphic function in  $D \cup \mathbf{C} \times \{\theta \operatorname{Im} w > 0\}$  can be continued holomorphically to  $D^*(\theta)$ ,  $D^*(\theta)$  is the envelope of holomorphy of  $D \cup \mathbf{C} \times \{\theta \operatorname{Im} w > 0\}$ . Note that  $D \cup \mathbf{C} \times \{\theta \operatorname{Im} w > 0\}$  is simply connected. Applying Lemma 3 to  $D^*(\theta)$  for each  $\theta = \pm 1$ , we complete the proof of the lemma.

We have the following fundamental lemma in the rest of this paper.

LEMMA 5. *Let  $U := \{(z, w) \in \mathbf{C}^2; |\operatorname{Im} w| < (1 + |z|)^{-1}\}$ . Then there exists a  $\bar{\partial}$ -closed  $C^\infty$  form  $\Psi$  of type  $(0, 1)$  on  $U$  such that for any open neighborhood  $V$  of  $\mathbf{C} \times \mathbf{R}$  in  $\mathbf{C}^2$  with  $V \subset U$   $\Psi|_V$  is not  $\bar{\partial}$ -exact in  $V$ .*

PROOF. We put  $U(n) := \{w; |\operatorname{Im} w| < (1 + n)^{-1}\}$  and take a holomorphic function  $f_n(w)$  in  $U(n)$  which has no holomorphic continuation through any boundary point of  $U(n)$  for  $n = 1, 2, \dots$ . Let  $\Pi$  be the projection  $\mathbf{C}^2 \ni (z, w) \rightarrow w \in \mathbf{C}$ . Since  $T_n := \{(z, w) \in U; \Pi(z, w) \notin U(n)\} \cup \{(z, w) \in U; |z - n| \geq 1/2\}$  and  $\{n\} \times U(n)$  are disjoint and closed in  $U$ , we can find a  $C^\infty$  function  $\phi_n: U \rightarrow [0, 1]$  so that  $\phi_n = 0$  in a neighborhood of  $T_n$  in  $U$  and  $\phi_n = 1$  in a neighborhood of  $\{n\} \times U(n)$  in  $U$ . We take  $\Psi(z, w) := \sum_{n=1}^{\infty} (z - n)^{-1} f_n(w) \bar{\partial} \phi_n$  which is a well-defined  $(0, 1)$ -form of class  $C^\infty$  in  $U$ . We obtain  $\bar{\partial} \Psi = 0$ . We assume that there exist an open neighborhood  $V$  of  $\mathbf{C} \times \mathbf{R}$  in  $\mathbf{C}^2$  with  $V \subset U$  and a  $C^\infty$  function  $\psi$  on  $V$  such that  $\Psi|_V = \bar{\partial} \psi$ . Putting  $G_n(z) := (z - n)^{-1} (1 - \exp 2\pi\sqrt{-1}z)$ , we get a  $C^\infty$  function  $F(z, w) := \sum_{n=1}^{\infty} G_n(z) f_n(w) \phi_n(z, w) - (1 - \exp 2\pi\sqrt{-1}z) \psi(z, w)$ . We have  $\bar{\partial} F = (1 - \exp 2\pi\sqrt{-1}z) (\sum_{n=1}^{\infty} (z - n)^{-1} f_n(w) \bar{\partial} \phi_n - \bar{\partial} \psi) = 0$ . Then  $F$  is holomorphic in  $V$  and  $F(n, w) = -2\pi$

$\sqrt{-1}f_n(w)$ . We take a connected and simply connected open neighborhood  $V^*$  of  $C \times R$  in  $C^2$  with  $V^* \subset V$  and put  $V^*(z) := \{w; (z, w) \in V^*\}$ . By Lemma 4 there exists a holomorphic function  $F^*$  in  $C \times \bigcup_{z \in C} V^*(z)$  such that  $F^*|_V = F$ . If  $n$  is sufficiently large,  $\bigcup_{z \in C} V^*(z) - U(n) \neq \emptyset$ . Since  $F^*(n, w)$  is holomorphic in  $w \in \bigcup_{z \in C} V^*(z)$ , this contradicts that  $f_n$  cannot be continued holomorphically beyond  $U(n)$ .

Let  $\mathcal{U} = \{A_i; i \in I\}$  be a locally finite open covering of  $C$  and  $f_{ij}(z, t)$  (complex valued) real analytic functions in  $(A_i \cap A_j) \times R$  which are holomorphic in  $z \subset A_i \cap A_j$ . If  $f_{ij} + f_{jk} = f_{ik}$  in  $(A_i \cap A_j \cap A_k) \times R$ , then  $f_{ij}$  are called Cousin data depending real analytically on the parameter  $t$ . If there exist real analytic functions  $f_i$  in  $A_i \times R$  such that  $f_i$  are holomorphic in  $z \in A_i$  and  $f_{ij} = f_j - f_i$ , we say that  $\{f_i\}$  is a solution for the Cousin data  $f_{ij}$ .

**PROPOSITION 2.** *Let  $\mathcal{U} = \{A_i; A_i \subseteq C, i \in I\}$  be a locally finite open covering of  $C$ . Then there exist Cousin data  $f_{ij}$  for  $\mathcal{U}$  depending real analytically on the parameter  $t \in R$  without solutions.*

**PROOF.** Let  $U$  and  $\mathcal{P}$  be as in Lemma 5. We put  $d_i := \sup \{|z|; z \in A_i\}$  and  $U_i := \{(z, w); z \in A_i, |\operatorname{Im} w| < (1 + d_i)^{-1}\}$ . Since  $U_i$  is a Stein open subset of  $U$ , we have a  $C^\infty$  function  $\phi_i$  in  $U_i$  so that  $\bar{\partial}\phi_i = \mathcal{P}$ . Let  $\phi_{ij} := \phi_j - \phi_i$  in  $U_i \cap U_j$  and  $f_{ij} := \phi_{ij}|_{(A_i \cap A_j) \times \{\operatorname{Im} w = 0\}}$ . Then  $f_{ij}$  are Cousin data depending real analytically on the parameter  $t \in R$ , where  $t := \operatorname{Re} w$ . Suppose  $\{f_i\}$  is a solution for  $f_{ij}$ . Since  $f_i(z, t)$  is real analytic in  $A_i \times R$  and holomorphic in  $z \in A_i$ , there exist an open neighborhood  $V_i$  of  $A_i \times R$  in  $C^2$  and a holomorphic function  $F_i$  in  $V_i$  such that  $F_i|_{A_i \times R} = f_i$ . Hence we have an open subset  $V$  of  $U$  so that  $C \times R \subset V \subset (\bigcup_{i \in I} U_i) \cap (\bigcup_{i \in I} V_i)$  and  $\phi_{ij} = F_j - F_i$  in  $V_i \cap V_j \cap V$ . Putting  $\phi = \phi_i - F_i$ , we have a  $C^\infty$  function  $\phi$  on  $V$  so that  $\mathcal{P}|_V = \bar{\partial}\phi$ . This contradicts the statement of Lemma 5.

Let  $\mathcal{U}^* = \{A_\alpha^*; \alpha \in A\}$  be a refinement of  $\mathcal{U}$ . Then we get a mapping  $\rho: A \rightarrow I$  so that  $A_\alpha^* \subset A_{\rho(\alpha)}$  for  $\alpha \in A$ . Let  $\mathcal{P}, \{\phi_i\}, \{f_{ij}\}$  be as in the proof of Proposition 2. Assume that the Cousin data  $f_{\alpha\beta}^* := f_{\rho(\alpha)\rho(\beta)}|_{A_\alpha^* \cap A_\beta^*}$  for  $U^*$  has a solution  $\{f_\alpha^*\}$ . Then there exist an open neighborhood  $W_\alpha^*$  of  $A_\alpha^* \times R$  in  $C^2$  and a holomorphic function  $H_\alpha$  in  $W_\alpha^*$  such that  $H_\alpha|_{A_\alpha^* \times R} = f_\alpha^*$ . Then we have an open neighborhood  $V$  of  $C \times R$  in  $C^2$  so that  $\phi_{\rho(\alpha)\rho(\beta)} = \phi_{\rho(\beta)} - \phi_{\rho(\alpha)} = H_\beta - H_\alpha$  in  $W_\alpha^* \cap W_\beta^* \cap V$ . Putting  $A := \phi_{\rho(\alpha)} - H_\alpha$ , we have a  $C^\infty$  function  $A$  on  $V$  so that  $\bar{\partial}A = \mathcal{P}$ . This contradicts the conclusion of Lemma 5.



Then we have the following theorem.

**THEOREM 2.** *Let  $A$  be the vector space of all real analytic functions on  $\mathbf{R}$  endowed with the natural locally convex topology and  $\mathcal{O}^A$  the sheaf of germs of  $A$ -valued holomorphic functions on  $C$ . Then  $H^1(C, \mathcal{O}^A) = \text{ind} \lim H^1(\mathfrak{U}, \mathcal{O}^A) \neq 0$ , where  $\mathfrak{U}$  runs through the set of all locally finite open coverings of  $C$ .*

**PEMARK.** Let  $F$  be a Frechet space and  $\mathcal{O}^F$  the sheaf of germs of  $F$ -valued holomorphic functions on a Stein space. Then  $H^i(X, \mathcal{O}^F) = 0$ ,  $i \geq 1$  ([1], [2]).

### 3. Piccinini's result for Cauchy-Riemann equations depending real analytically on a parameter

As an application of Proposition 2 we can give another proof of Piccinini's theorem ([9]).

**THEOREM 3.** *There exists a real analytic function  $g(z, t)$  in  $C \times \mathbf{R}$  such that one cannot find a real analytic function  $f(z, t)$  in  $C \times \mathbf{R}$  satisfying  $\frac{\partial}{\partial \bar{z}} f(z, t) = g(z, t)$  in  $C \times \mathbf{R}$ .*

**PROOF.** Let  $f_{ij}$  be the Cousin data as in the proof of Proposition 2. We denote by  $\mathcal{A}$  the sheaf of germs of real analytic functions on  $C \times \mathbf{R}$ . Then, by the result of [4] and [8] we have  $H^1(C \times \mathbf{R}, \mathcal{A}) = H^1(\{A_i \times \mathbf{R}\}, \mathcal{A}) = 0$ . There exists  $\{g_i \in H^0(A_i \times \mathbf{R}, \mathcal{A})\}$  such that  $f_{ij}(z, t) = g_j(z, t) - g_i(z, t)$  in  $(A_i \cap A_j) \times \mathbf{R}$ . Since  $\frac{\partial f_{ij}}{\partial \bar{z}} = 0$ , we have a real analytic function  $g(z, t) = \frac{\partial g_i(z, t)}{\partial \bar{z}}$  in  $C \times \mathbf{R}$ . If we find a real analytic function  $f(z, t)$  in  $C \times \mathbf{R}$  so that  $\frac{\partial f(z, t)}{\partial \bar{z}} = g(z, t)$  in  $C \times \mathbf{R}$ , then we have a solution  $\{f_i = g_i - f\}$  for Cousin data  $f_{ij}$  depending real analytically on  $t$ . This contradicts the statement of Proposition 2.

**REMARK.** In Theorem 3 we can replace  $\frac{\partial f(z, t)}{\partial \bar{z}} = g(z, t)$  by  $\frac{\partial f(z, t)}{\partial z} = g(z, t)$  and  $(\partial^2/\partial x^2 + \partial^2/\partial y^2)f(z, t) = g(z, t)$ , where  $z = x + \sqrt{-1}y$ .

**RREMARK.** Let  $g(z, t)$  be a real analytic function in  $C \times R$  and  $D_n := \{|z| < n\}$  for  $n=1, 2, \dots$ . We put  $f_n(z, t) := (2\pi\sqrt{-1})^{-1} \iint_{D_n} g(\zeta, t) / (\zeta - z) d\zeta \wedge d\bar{\zeta}$  for  $(z, t) \in D_n \times R$ . Then  $\partial f_n / \partial \bar{z} = f$  in  $D_n \times R$ . We can show that  $f_n$  is real analytic in  $D_n \times R$  and  $f_m - f_n (m > n)$  is holomorphic in  $z \in D_n$ . By the result of [2, Theorem C] the restriction map  $H^0(C, \mathcal{O}^F) \rightarrow H^0(D_n, \mathcal{O}^F)$  has a dense image, where  $F$  denotes the Frechet space of all  $C^\infty$  functions in  $R$  and  $\mathcal{O}^F$  is the sheaf of germs of  $F$ -valued holomorphic functions on  $C$ . Applying this approximation theorem to the local solution  $\{f_n\}$ , we have a  $C^\infty$  function  $f^*(z, t)$  in  $C \times R$  so that  $f^*(z, t)$  is real analytic in  $z$  and  $\frac{\partial f^*(z, t)}{\partial \bar{z}} = g(z, t)$  in  $C \times R$ . This implies that we cannot have Oka-Weil approximation property for holomorphic functions with values in the locally convex space of all real analytic functions in  $R$ .

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